

# CITY OF AUSTIN



## Volume 2 of 2 CITY CODE CHAPTER 2-9A MBE/WBE PROCUREMENT PROGRAM

### INDEFINITE DELIVERY INDEFINITE QUANTITY COMPLIANCE PLAN PACKET

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**Project Name:** Chilled Water Piping Construction in the Right of Way IDIQ

**Project/Solicitation Number:** IFB 6100 CLMC606

**Date:** 8/08/2016

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## MBE/WBE GOALS

<u>Annual/Project Participation Goals:</u>			<u>Annual/Project Participation Subgoals:</u>		
MBE	4.81	%		African American	%
WBE	0.86	%	<b>OR</b>	Hispanic	%
				Asian/Native American	/ %
				WBE	%

## OVERVIEW

This document should be read in conjunction with the City of Austin’s Minority-owned and Women-owned Business Enterprise Procurement Program Ordinance for Professional Services (Chapter 2-9A of the Austin City Code) and the Small and Minority Business Resources Department (SMBR) Rules. The definitions contained in Chapter 2-9A apply to this document. The City Code and Rules are amended from time to time and the Bidder is responsible for ensuring they have the most up to date version. The City Code and Rules are incorporated into this document by reference. Copies of Chapter 2-9A and SMBR Rules may be obtained online at <http://www.austintexas.gov/department/small-and-minority-business/about> or from SMBR, 4201 Ed Bluestein, Austin, Texas 78721 (512) 974-7600.

Firms or individuals submitting responses to this Request for Bid agree to abide by the City’s Minority-owned and Women-owned Business Enterprise (MBE/WBE) Procurement Program and Rules. The City’s MBE/WBE Program is intended (1) to promote and encourage MBEs and WBEs to participate in business opportunities with the City of Austin; (2) to afford MBEs and WBEs an equal opportunity to compete for work on City contracts; and (3) to encourage contractors to provide subcontracting opportunities to certified MBEs and WBEs by soliciting such Firm for subcontracting opportunities. The City of Austin and its contractors shall not discriminate on the basis of race, color, national origin, disability, or gender in the award and performance of contracts.

The City encourages Bidders to achieve the MBE/WBE participation goals and subgoals for this contract. However, Bidders may comply with the City Code and Rules without achieving the participation goals so long as they make and document Good Faith Efforts that would allow MBE and WBE participation per Section 2-9A-21 of the City Code and Section 9.1 of the Rules. Bidders that do not meet the project’s goals and subgoals are subject to Good Faith Efforts review.

Prior to the due date and time specified in the City’s solicitation documents, all Bidders (including those Firms certified as MBE/WBEs) shall submit: (1) an *MBE/WBE Compliance Plan* (Appendix A); and (2) if it is anticipated the project goals will not be met, all appropriate documentation to demonstrate Good Faith Efforts to meet the project goals. Any questions regarding preparation of the *Compliance Plan* should be directed to SMBR at [SMBRComplianceDocuments@austintexas.gov](mailto:SMBRComplianceDocuments@austintexas.gov). Such contact will not be a violation of the Anti-Lobbying Ordinance.

The City has implemented Anti-Lobbying Ordinance (Chapter 2-7 of the Austin City Code). Under Chapter 2-7, there is a “no-contact” period from the date the City issues a solicitation until the contract is executed. During the

“no-contact” period, a person responding to a City solicitation can speak only to the contract’s authorized contact person regarding their solicitation response. Chapter 2-7 allows certain exceptions; for instance, a person responding to a City solicitation may speak to SMBR regarding this *Compliance Plan*. See the full language of the City Code or solicitation documents for further details.

**If the *Compliance Plan* and Good Faith Efforts documentation are not submitted prior to the due date specified in the solicitation documents, the bid will be deemed non-responsive and not be accepted for consideration.**

## COMPLIANCE PLAN INSTRUCTIONS

(See Appendix A)

SMBR may request written clarification of items listed on the *Compliance Plan*. However, there will be no further opportunity for the Bidder to augment the MBE/WBE participation originally listed in the *Compliance Plan* or to demonstrate Good Faith Efforts that were not made prior to the submission of the *Compliance Plan*. Changes to the *Compliance Plan* are permitted only after contract execution and only with prior written approval of SMBR.

Please type or clearly print all information, use “none” or “N/A” where appropriate, and sign and date the *Compliance Plan* as indicated. ***Compliance Plans not complying with the Compliance Plan Instructions shall be rejected as non-responsive. Submissions not utilizing the forms provided with the solicitation may render the submission nonresponsive or noncompliant.***

### **Section I Project Identification and Goals**

This section includes the pre-printed Project Name, Project/Solicitation Number, and goals and/or subgoals. The Bidder does not need to fill in any information under Section I.

### **Section II Bidder Information**

The Bidder should complete this section with its information and sign in the space provided. The portion of Section II marked as “Reserved for City of Austin SMBR Only” should be left blank.

### **Section III Compliance Plan Summary**

This Contract is an Indefinite Delivery/Indefinite Quantity (IDIQ) service contract that contains an indefinite quantity of work and components of the work and indefinite delivery dates. This section is a list of proposed subcontractors the Bidder intends to utilize for potential scopes of work which may be awarded, as further described in this solicitation. Bidders shall sign the Statement of Responsibility. **Failure to sign this section shall result in rejection of the Compliance Plan and the Bidder found non-responsive.** Although the specific participation percentages cannot be determined at this time, the Bidder understands and agrees to meet the solicitation goals utilizing the firms listed on the Compliance Plan as Work Assignments materialize. If the Bidder indicates that they do not anticipate meeting the goals with certified MBE/WBE firms, then the Bidder shall submit documentation detailing their Good Faith Efforts to meet the established MBE/WBE goals. The Compliance Plan will be reviewed and approved by the Small and Minority Business Resources Department.

### **Section IV Disclosure of MBE and WBE Participation**

Please list all certified MBE/WBEs subcontractors, using the legal name under which they are registered to do business with the City of Austin that will be used in the performance of this contract if selected. Do not include the value of work that the MBE/WBEs subcontractors will be subcontracting to second-level subcontractors.

**If additional scopes of work are identified in this section as available for subcontracting beyond those identified in the availability lists provided, Bidder must contact SMBR to request an availability list of certified Firms for those additional scopes of work.**

By listing certified MBE and WBE Firms on the *Compliance Plan*, the Bidder indicates that both parties acknowledge they have reviewed and understand the potential scope of work and that the Firms are prepared to contract for that scope if the City awards the project to the Bidder. A Letter of Intent (LOI) does not replace a binding contract between a prime contractor and a subcontractor.

Before completing Section IV of the *Compliance Plan*, please read the following instructions regarding how to count MBE/WBE participation:

(A) Only the value of the work to be actually performed by the MBE/WBE shall be counted toward the goals. This includes:

- (1) work performed by the MBE/WBE's own forces;
- (2) the cost of supplies, materials, or equipment purchased, leased, or otherwise obtained by the MBE/WBE for the work of the contract (except that supplies, materials, and equipment purchased or leased from the prime Bidder or its affiliate may not be counted toward the goal); and
- (3) fees or commissions charged by an MBE/WBE for providing a bona fide service, such as professional, technical, consultant, or managerial services, or for providing bonds or insurance specifically required for the performance of a contract, provided the fee is reasonable and not excessive as compared with fees customarily allowed for similar services.

(B) When a Bidder purchases supplies, materials, or equipment from an MBE/WBE, the cost of those supplies, materials, or equipment shall be counted toward the goals as follows:

- (1) If the supplies, materials, or equipment are obtained from an MBE/WBE that is a Manufacturer or Regular Dealer, 100 percent of the payment for the supplies, materials, or equipment shall be counted toward the goals.
- (2) If the supplies, materials, or equipment are obtained from an MBE/WBE that is neither a Manufacturer nor a Regular Dealer, the cost of the materials and supplies themselves shall not be counted toward the goals. However, fees or commissions charged for assistance in the procurement of the materials and supplies, or fees or transportation charges for the delivery of materials or supplies required on a job site, may be counted toward the goals if the payment of such fees is a customary industry practice and such fees are reasonable and not excessive as compared with fees customarily allowed for similar services.

(C) When an MBE/WBE subcontractor listed on the *Compliance Plan* subcontracts part of the work of its contract to another Firm, the value of that second-level subcontracted work may not be counted toward the goals based on the initial subcontractors MBE/WBE certification. Please see Section VI for an explanation of how to count the value of second-level subcontractors' work.

(D) A Firm owned by a minority woman may be certified as both an MBE and a WBE (dual certified). On a single contract, the value of the work performed by a dual certified subcontractor may not be counted toward both the MBE and the WBE goals. The Bidder must decide whether to designate the dual certified subcontractor as an MBE or a WBE in the *Compliance Plan* for the purpose of meeting the goals set for that contract. That designation may not be changed for the duration of the contract.

(E) When an MBE/WBE performs as a participant in a certified Joint Venture, only the portion of the contract value that is the result of the distinct, clearly defined portion of the work that the MBE/WBE performs with its own forces and for which it is at risk shall be counted towards the project goals. For more specific information regarding requirements and evaluations of certified MBE/WBE Joint Ventures, please see the City's MBE/WBE Procurement Program Rules or contact SMBR's Certification Division.

(F) Only expenditures to an MBE/WBE contractor that is performing a Commercially Useful Function shall be counted toward the project goals. If SMBR makes an initial determination that an MBE/WBE is not

performing a Commercially Useful Function given the type of work involved and normal industry practices, the MBE/WBE may present evidence to rebut this presumption.

(G) To be counted toward project goals, MBE/WBEs must be certified by SMBR prior to the due date to submit the *Compliance Plan* as specified in the City’s solicitation documents. A Firm that is certified as an MBE/WBE at the time that the *Compliance Plan* is filed may cease to be a certified Firm before the contract is completed. Only the value of the work performed by such a Firm while it is certified may be counted toward the project goals.

**Section V Disclosure of Non-Certified Subcontractors**

Please list all known non-certified subcontractors, using the legal name under which they are registered to do business with the City of Austin, to be used in the performance of this contract. If Bidder will not use any non-certified Firms, please write “N/A” in the first box on this page.

The scopes of work indicated in Section V will be considered subcontracting opportunities for MBEs and WBEs, unless it is demonstrated that certified MBEs or WBEs are unavailable or do not possess the requirements in the technical portion of the solicitation to perform the work involved. If Bidder did not meet the project goals, Bidder must explain in the space provided why MBEs/WBEs were not used as subcontractors and ***submit documentation for the stated reason if applicable.*** If Bidder did meet the project goals, please indicate “Goals Met” in the space provided.

**Section VI Disclosure of Second-Level Subcontractors**

Please complete this section if Bidders knows that one or more of Bidder’s subcontractors will subcontract part of the work of their contracts to second-level subcontractors. In the last line of each entry box, please write the name of the first-level subcontractor that will be subcontracting work to the second-level subcontractor. Identify second-level subcontractors by the legal name under which they will be registered to do business with the City. The first-level subcontractor should be listed in Section IV or Section V. If Bidder is not aware of any second-level subcontractors, please write “N/A” in the first box on this page.

As discussed in Section IV above, when an MBE/WBE subcontractor subcontracts part of the work of its contract to another Firm, the value of that second-level subcontractor work may not be counted toward the goals based on the initial subcontractor’s MBE/WBE certification. The value of the second-level subcontractor work may be counted toward the project goals only based on the second-level subcontractor’s own MBE/WBE certification, if any. Work that an MBE/WBE subcontracts to a non-certified firm does not count toward the goals. Work that an MBE/WBE subcontractor contracts to another certified firm shall not be counted twice towards the goal.

**Section VII MBE/WBE *Compliance Plan* Check Sheet**

Please complete the MBE/WBE *Compliance Plan* Check Sheet with the information requested.

## **GOOD FAITH EFFORTS INSTRUCTIONS**

**(See Appendices B and D)**

The Bidder has a responsibility to make a portion of the work available to MBE/WBE subcontractors so as to facilitate meeting the goals or subgoals. If the Bidder cannot achieve the goals or subgoals, documentation of the Bidder's Good Faith Efforts to achieve the goals or subgoals must be submitted at the same time as the *Compliance Plan*. The SMBR Director will review the documentation provided and determine if the Bidder made sufficient Good Faith Efforts. That there may be some additional costs involved in soliciting and using MBEs and WBEs is not a sufficient reason for a Bidder's failure to meet the goals and subgoals, as long as such costs are reasonable. However, a Bidder is not required to accept a higher quote from a subcontractor in order to meet a goal or subgoal.

### **Contacting Potential MBE/WBE Subcontractors**

The City has determined the scopes of work for this project and provided an Availability List of all the MBE and WBE firms certified to perform those scopes. The Availability List is found at Appendix D and has two sections: *Vendors Within the Significant Local Business Presence (SLBP) Area* and *Vendors Outside the Significant Local Business Presence (SLBP) Area*. As part of Good Faith Efforts, Bidders **must** contact **all** firms listed in the *Vendors Within the SLBP Area* section. Please note that every firm on the Availability List – outside the SLBP – is City-certified as an MBE or WBE for purposes of meeting the project goals, and Bidders are encouraged to contact all the firms. If a Bidder identifies an additional scope of work for this project not identified in the solicitation, the Bidder must request from SMBR an Availability List for that scope of work and contact all firms, if any, on such list. The SMBR Director determines whether the Bidder has made sufficient Good Faith Efforts if goals or subgoals are not met.

**The City neither warrants the capacity or availability of any Firm, nor does the City guarantee the performance of any Firm indicated on the availability list.**

The availability list is sorted in numerical sequence by National Institute of Governmental Purchasing (NIGP) Commodity Code. It includes all certified MBE/WBE vendors for the scopes of work identified by the City as being potentially applicable to this project. However, the availability list is not a comprehensive identification of all areas of potential subconsulting opportunities. If a Bidder identifies one or more work areas that are appropriate subconsulting opportunities that not included on the availability list, the Bidder shall contact SMBR to request the availability list for MBE and WBE Firms in those areas. Requests for supplemental availability lists will be evaluated as a part of the Bidder's Good Faith Efforts to meet the goals.

If the Bidder believes any of the work areas on the availability list are not applicable to the project's scope of work or if the Bidder believes that the lists are inaccurate, the Bidder shall notify the authorized contact person of the concern immediately and prior to submission of the response to the solicitation. All Bidders will be notified in writing of any inaccuracy by addendum to the solicitation. Concerns about a particular MBEs/WBE's certification status may be addressed to SMBR at [SMBRComplianceDocuments@austintexas.gov](mailto:SMBRComplianceDocuments@austintexas.gov). If the Bidder wants to use a certified subcontractor that does not appear on this list, Bidder may either request the certified subcontractor to furnish proof of certification and the specific work areas for which it has been certified or request such information from SMBR.

Appendix B shows the format for collecting required information from the subcontractors on the *Vendors Within SLBP Area* availability list. The information must be obtained at least seven (7) business days prior to the submission of the *Compliance Plan*; alternate formats may be acceptable as long as they gather the same required information. Attached to the Subcontractor Vendor List at Appendix D is a list containing the names and addresses of all these MBE/WBE Firms in alphabetical order. This list is in label format and is designed to facilitate the printing of mailing labels.

The following codes are used on the availability lists:

G	Gender code	LOC	A firm's two-digit location code (e.g., SL or TX)
F	Female	AU	Austin
M	Male	SL	Significant Local Business Presence (SLBP)
		TX	Outside SLBP
MBE	A firm certified as a Minority-owned Business Enterprise	WBE	A firm certified as a Woman-owned Business Enterprise
MWB	A firm certified as both a Minority-owned & Woman-owned Business Enterprise	WMB	A firm certified as both a Woman-owned & Minority-owned Business Enterprise
MWDB	A firm certified as a Minority-owned, Woman-owned, and Disadvantaged Business Enterprise	WMDB	A firm certified as a Woman-owned, Minority-owned, and Disadvantaged Business Enterprise

### Good Faith Efforts Review

If goals are not met, SMBR will examine the *Compliance Plan* and the Good Faith Efforts documentation submitted with the *Compliance Plan* to ensure that the Bidder made Good Faith Efforts to meet the project goals or subgoals. In determining whether the Bidder has made Good Faith Efforts, SMBR will consider, at a minimum, the Bidder's efforts to do the following:

- (A) Solicit certified MBE/WBE subcontractors with a Significant Local Business Presence (SLBP) and request a response from those interested subcontractors who believe they have the capability to perform the work of the contract through at least two reasonable, available, and verifiable means. The Bidder must solicit this interest more than seven (7) business days prior to submission of the Compliance Plan to allow sufficient time for the MBEs or WBEs to respond. (The date bids/proposals are due to the City should not be included in the seven day solicitation criteria.) The Bidder must state a specific and verifiable reason for not contacting each certified Firm with a significant local business presence.
- (B) Provide interested MBEs/WBEs with adequate information about the plans, specifications, and requirements of the contract, including addenda, in a timely manner, to assist them in responding and submitting a proposal.
- (C) Negotiate in good faith with interested MBEs/WBEs that have submitted bids/proposals to the Bidder. An MBE/WBE that has submitted a bid to a Bidder but has not been contacted within five (5) business days of submission of the bid may contact SMBR to request a meeting with the Bidder. Evidence of good faith negotiation includes the names, addresses, and telephone numbers of MBEs/WBEs that were considered; a description of the information provided regarding the plans and specifications for the work selected for subconsulting; and evidence as to why additional agreements could not be reached for MBEs/WBEs to perform the work. Bid shopping is prohibited.
- (D) Select portions of the work to be performed by MBEs/WBEs in order to increase the likelihood that the MBE/WBE goals or subgoals will be met. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MBE/WBE participation, even when the Bidder might otherwise prefer to perform these work items with its own forces.
- (E) Publish solicitation notice in a local publication (i.e. newspaper, trade association publication, or via electronic/social media).

(F) Use the services of available community organizations; minority persons/women consultants' or groups in the applicable field for the type of work described in this solicitation; local, state, and federal minority persons/women business assistance offices; and other organizations as allowed on a case-by-case basis to provide assistance in the recruitment and placement of MBEs/WBEs.

(G) Seek guidance from SMBR on any questions regarding compliance with this section.

The following factors may also be considered by SMBR in determining compliance through good faith efforts; however, they are not intended to be a mandatory checklist, nor are they intended to be exclusive or exhaustive:

(A) Whether the Bidder made efforts to assist interested MBEs/WBEs in obtaining bonding, lines of credit, or insurance as required by the City or consultant.

(B) Whether the Bidder made efforts to assist interested MBEs/WBEs in obtaining necessary equipment, supplies, materials, or related assistance or services.

In assessing minimum good faith efforts, SMBR may consider whether the Bidder sought assistance from SMBR on any questions related to compliance with this section. In addition, SMBR may also consider the performance of other Bidders successfully meeting the goals.

The ability or desire of a Bidder to perform the work of a contract with its own organization does not relieve the Bidder of the responsibility to make Good Faith Efforts.

Bidders may reject MBE/WBEs as unqualified only following thorough investigation of their capabilities. The MBE/WBE's membership or lack of membership in specific groups, organizations, or associations, and political or social affiliations (for example union or non-union employee status), are not legitimate causes for the rejection or non-solicitation of bids/proposals in the Bidder's efforts to meet the project goals or subgoals.

**At a minimum, the following should be submitted to support Good Faith Effort documentation (documentation is not limited to this list):**

- Fax logs, emails, and/or copies of documents sent to firms within the SLBP area.
- Copies of written correspondence to certified firms (include names, addresses, and other identifying information).
- Phone logs with responses (*Phone contacts, alone, will not be sufficient.*).
- Lists and copies of letters sent by mail, hand delivered, or e-mailed.
- Breakdown of negotiations made with certified firms.
- Copies of advertisements with local newspapers, trade associations, Chambers of Commerce and/or any other public media.
- Other communications regarding contacts with trade associations and Chambers of Commerce.

**The following additional Good Faith Efforts factors may also be considered**

- Copies of emails or phone logs regarding assistance in bonding, lines of credit, or insurance (as required by City or Consultant).
- Copies of emails or phone logs regarding assistance in obtaining equipment, supplies, materials, or services.
- Copies of all proposals received in response to Bidder contacting other Firms.

## **POST-AWARD INSTRUCTIONS**

**(See Appendix C)**

### **Confirmation Letters**

All Bidders are required to include copies of the confirmation letters received from subcontractors, confirming the Subcontractors' willingness to provide services should the contract be awarded.

Changes to the *Compliance Plan* including additions, deletions, contract changes, or substitutions of subcontractors are permitted only after contract execution and only with prior written approval of SMBR. Request for changes to the *Compliance Plan* must be submitted on the *Request for Change of Compliance Plan Form* for all levels of subconsulting and must be approved by the SMBR Director prior to adding, deleting, changing or substituting any subcontractor.

### **Post-Award Monitoring**

The City will monitor post-award compliance information regarding the use of certified MBE/WBE Firm(s) listed on the *Compliance Plan*. The Bidder will be required to submit post award reports detailing the utilization of all subcontractors. The reports and other information regarding post-award compliance will be discussed with the successful Bidder. The following information on Payment Verification, Change Order/Contract Amendments, and Progressive Sanctions provides an overview of some of the post-award monitoring process.

#### **▪ Payment Verification**

Bidders are advised that the contract resulting from this solicitation includes a subcontractor payments clause. This clause requires all subcontractors to be paid within ten (10) calendar days from the date that the Bidder has been paid by the City for invoices submitted by subcontractors.

The Bidder shall submit a *Subcontractor/Supplier Awards and Expenditures Report* to the project manager and/or contract administrator at the time specified by the managing department. The report shall be in the format required by the City and shall include all awards and payments to subcontractors for goods and services provided under the contract during the previous month. This report may be used by the City to verify utilization of and payment to MBEs and WBEs.

The Bidder and/or any subcontractor whose subcontracts are being counted toward the MBE/WBE requirements shall allow the City access to records relating to the contract, including but not limited to, subcontracts, payroll records, tax information, and accounting records, for the purpose of determining whether the MBEs/WBEs are performing the scheduled subcontract work.

In determining achievement of MBE/WBE goals, the participation of an MBE/WBE subcontractor shall not be counted until the amount being counted toward the goal has been paid.

#### **▪ Change Order/Contract Amendments**

The goals on this contract shall also apply to change orders that require work beyond the scope(s) of trades originally required to accomplish the project. The Bidder is required to make Good Faith Efforts to obtain MBE/WBE participation for additional scopes of work.

Change orders that do not alter the type of trades originally required to accomplish the project may be undertaken using the subcontractors already under contract to the Bidder. Project managers will have automatic SMBR

approval to authorize any change order that **increases** the contract amount for an **existing** certified subcontractor and is **within** the existing scope being performed by that subcontractor.

▪ **Progressive Sanctions**

The successful Bidder's *Compliance Plan* will be incorporated into the resulting contract with the City and shall be considered part of the consultant's performance requirements. Progressive sanctions may be imposed for failure to comply with Chapter 2-9A of the City Code, including:

- Providing false or misleading information in Good Faith Efforts documentation, post award compliance, or other Program operations;
- Substituting Subcontractors without first receiving approval for such substitutions, which may include the addition of an unapproved Subcontractor and failure to use a Subcontractor listed in the approved *Compliance Plan*; and
- Failure to comply with the approved *Compliance Plan* without an approved Request for Change, an approved Change Order, or other approved change to the Contract.

Please refer to Section 2-9A-25 of the City Code and SMBR Rule 11.5 for additional information.

**MBE/WBE COMPLIANCE PLAN**

*All sections (I-VII) must be completed and submitted prior to the due date in the solicitation documents*

**Section I — Project Identification and Goals**

<b>Project Name</b>	Chilled Water Piping Construction in the Right of Way IDIQ
<b>Solicitation Number</b>	IFB 6100 CLMC606

Project Goals or Subgoals		
MBE	4.81	%
African American		%
Hispanic		%
Asian/Native American	/	%
WBE	0.86	%

**Section II — Bidder Company Information**

Name of Company	
Vendor Code	
Address	
City, State Zip	
Phone	
Fax	
Name of Contact Person	
Is your company registered on Vendor Connection?	Yes <input type="checkbox"/> No <input type="checkbox"/> <i>If yes, provide Vendor ID #:</i> _____ If No, please note: All vendors and subcontractors/consultants must register with COA's Vendor Connect prior to award. See Link for registration information at <a href="https://www.ci.austin.tx.us/financeonline/finance/index.cfm">https://www.ci.austin.tx.us/financeonline/finance/index.cfm</a>
Is your company COA M/WBE certified?	Yes <input type="checkbox"/> No <input type="checkbox"/> <i>If yes, please indicate:</i> MBE <input type="checkbox"/> WBE <input type="checkbox"/> MBE/WBE Joint Venture <input type="checkbox"/>

**I certify that the information included in this *Compliance Plan* is true and complete to the best of my knowledge and belief. I further understand and agree that this *Compliance Plan* shall become a part of my contract with the City of Austin.**

\_\_\_\_\_  
Name and Title of Authorized Representative

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

<b>For SMBR Use Only:</b>	
<i>I have reviewed this compliance plan and found that the Proposer <b>HAS</b> <input type="checkbox"/> or <b>HASNOT</b> <input type="checkbox"/> complied as per the City Code Chapter 2-9.A.</i>	
Reviewing Counselor _____	Date _____
<i>I have reviewed this compliance plan and <b>Concur</b> <input type="checkbox"/> or <b>Do Not Concur</b> <input type="checkbox"/> with recommendation.</i>	
Director/Assistant Director _____	Date _____

**Section III — *Compliance Plan Summary***

**STATEMENT OF RESPONSIBILITY**

*Initial one of the following Statement of Responsibility options below as applicable.*

**A. Goals Met**

\_\_\_\_\_ I understand that I am responding to an Indefinite Delivery Indefinite Quantity (IDIQ). I understand and affirm I have filled out this Compliance Plan in accordance with applicable City Code and Program Rule requirements, and must comply with the MBE/WBE Program in order to be considered for selection of this solicitation. If chosen for this Solicitation, compliance with the City’s MBE/WBE Procurement Program ordinances and rules is required. I agree to meet the solicitation goals specified in this solicitation or as specified in the chart below utilizing the firms listed on the Compliance Plan as scopes of work are assigned.

Proposed Participation	
African American	
Hispanic	
Asian/ Native American	
MBE	
WBE	
Non-Certified	

**B. Good Faith Efforts**

\_\_\_\_\_ I understand that I am responding to an Indefinite Delivery Indefinite Quantity (IDIQ) solicitation. I do not anticipate meeting the goals with certified MBE/WBE firms; therefore I am submitting Good Faith Efforts documentation demonstrating my efforts to meet the established MBE/WBE goals. I understand and represent I have filled out this Compliance Plan in accordance with applicable City Code and Program Rule requirements, and must comply with the MBE/WBE Program in order to be considered for selection for this solicitation. If chosen for this Solicitation, compliance with the City’s MBE/WBE Procurement Program ordinances and rules is required. I understand, as scopes of work are assigned and/or if additional scopes of work or subcontracting opportunities are identified, Good Faith Efforts must be performed.

This signed Statement of Responsibility is my commitment to the requirements of the MBE/WBE Procurement Program which are a part of my contract with the City of Austin.

\_\_\_\_\_  
Name and Title of Authorized Representative (Print or Type)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

**Section IV — Disclosure of MBE and WBE Participation  
Duplicate As Needed**

Note:

- Fill in all the blanks.
- Compliance plans not complying with these requirements shall be rejected as non-responsive.
- Do not list the percentages for subcontractor participation.
- Fill in names of MBE/WBE Certified Firms as registered with Vendor Connection.
- Select either MBE or WBE for dually certified firms to indicate which certification will count towards the MBE or WBE goal.
- Contact SMBR to request an availability list of certified Firms for additional scopes of work that were not included on the original availability list.

<b>Name of MBE/WBE Certified Firm</b>			
City of Austin Certified	MBE <input type="checkbox"/>	WBE	Gender/ Ethnicity:
Vendor Code			
Address/ City / State / Zip			
Contact Person & Phone #			
Email Address			
Commodity codes/describe services			

<b>Name of MBE/WBE Certified Firm</b>			
City of Austin Certified	MBE <input type="checkbox"/>	WBE	Gender/ Ethnicity:
Vendor Code			
Address/ City / State / Zip			
Contact Person & Phone #			
Email Address			
Commodity codes/describe services			

<b>Name of MBE/WBE Certified Firm</b>			
City of Austin Certified	MBE <input type="checkbox"/>	WBE	Gender/ Ethnicity:
Vendor Code			
Address/ City / State / Zip			
Contact Person & Phone #			
Email Address			
Commodity codes/describe services			

<b>Name of MBE/WBE Certified Firm</b>			
City of Austin Certified	MBE <input type="checkbox"/>	WBE	Gender/ Ethnicity:
Vendor Code			
Address/ City / State / Zip			
Contact Person & Phone #			
Email Address			
Commodity codes/describe services			

**Section V — Disclosure of Non-Certified Subcontractors  
Duplicate As Needed**

Note:

- Fill in all the blanks.
- Compliance plans not complying with these requirements shall be rejected as non-responsive.
- Fill in names of Non-Certified Subcontractors as registered with the City of Austin.

**Are Goals Met?      Yes  No  If no, state reason(s) below and attach documentation:**

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<b>Subcontractor</b>	
Vendor Code	
Address/ City / State / Zip	
Contact Person & Phone #	
Email Address	
Commodity codes/describe services	
Reason MBE/WBE not used	

<b>Subcontractor</b>	
Vendor Code	
Address/ City / State / Zip	
Contact Person & Phone #	
Email Address	
Commodity codes/describe services	
Reason MBE/WBE not used	

<b>Subcontractor</b>	
Vendor Code	
Address/ City / State / Zip	
Contact Person & Phone # &	
Email Address	
Commodity codes/describe services	
Reason MBE/WBE not used	

<b>Subcontractor</b>	
Vendor Code	
Address/ City / State / Zip	
Contact Person & Phone # &	
Email Address	
Commodity codes/describe services	
Reason MBE/WBE not used	

**Section VI — Disclosure of Second-Level Subcontractors  
Duplicate as Needed**

Note:

- Fill in all the blanks.
- Compliance plans not complying with these requirements shall be rejected as non-responsive.
- Fill in names of Second-Level Subcontractors as registered with the City of Austin.

<b>Second-Level Subcontractor</b>	
City of Austin Certified?	No <input type="checkbox"/> MBE <input type="checkbox"/> WBE Gender/Ethnicity:
Vendor Code	
Address/ City / State / Zip	
Contact Person & Phone #	
Email Address	
Commodity codes/describe services	
First-Level Subcontractor	

<b>Second-Level Subcontractor</b>	
City of Austin Certified?	No <input type="checkbox"/> MBE <input type="checkbox"/> WBE Gender/Ethnicity:
Vendor Code	
Address/ City / State / Zip	
Contact Person & Phone #	
Email Address	
Commodity codes/describe services	
First-Level Subcontractor	

<b>Second-Level Subcontractor</b>	
City of Austin Certified?	No <input type="checkbox"/> MBE <input type="checkbox"/> WBE Gender/Ethnicity:
Vendor Code	
Address/ City / State / Zip	
Contact Person & Phone #	
Email Address	
Commodity codes/describe services	
First-Level Subcontractor	

<b>Second-Level Subcontractor</b>	
City of Austin Certified?	No <input type="checkbox"/> MBE <input type="checkbox"/> WBE Gender/Ethnicity:
Vendor Code	
Address/ City / State / Zip	
Contact Person & Phone #	
Email Address	
Commodity codes/describe services	
First-Level Subcontractor	

**Section VII — MBE/WBE Compliance Plan Check List**

The MBE/WBE *Compliance Plan* must be completed and submitted by the time specified in the solicitation documents. If the goals or subgoals were not achieved, Good Faith Efforts documentation must be submitted with the MBE/WBE *Compliance Plan*. All questions in Section VII **must** be completed and submitted with the *Compliance Plan* if goals or subgoals are not met.

- 
1. Were written notices sent to all MBE/WBEs from the Significant Local Business Presence (SLBP) availability list at least seven (7) business days prior to the submission of this *Compliance Plan*? Yes  No
  2. Were two separate methods used to contact all MBE/WBEs from the SLBP availability list at least seven (7) business days prior to the submission of this *Compliance Plan*? Please list the two methods used to contact MBE/WBEs. (*i.e. fax, email, mail, and/or phone*) Yes  No   
 List Methods: \_\_\_\_\_
  3. Were steps taken to follow up with interested MBE/WBEs? Yes  No
  4. Were advertisements placed with a local publication? (*i.e. newspaper, minority or women organizations, or electronic/social media*)? **If no, please attach.** Yes  No
  5. Were written notices sent to Minority or Women organizations? **If no, please attach.** Yes  No
  6. Were additional elements of work identified to achieve the goals or subgoals? Yes  No   
 If yes, please explain: \_\_\_\_\_
  7. Was SMBR contacted for assistance? Yes  No   
 If yes, complete following:  
 Contact Person: \_\_\_\_\_  
 Date of Contact: \_\_\_\_\_  
 Summary of Request: \_\_\_\_\_
  8. Were Minority or Women organizations contacted for assistance? Yes  No   
 If yes, complete following:  
 Organization(s): \_\_\_\_\_  
 Date of Contact: \_\_\_\_\_  
 Summary of Request: \_\_\_\_\_
  9. Is the following documentation attached to support good faith effort requirements to achieve goals or subgoals? (***Documentation is not limited to this list.***)
 

Copy of written solicitation sent to MBE/WBEs in SLBP area	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Two separate methods of notices sent to MBE/WBEs in SLBP area (fax transmittals, emails, and/or phone log).	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Copy of advertisements	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Copy of notices sent to Minority and Women organizations	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Documentation that demonstrates efforts made to reach agreements with the MBE/WBEs who responded to Bidder’s written notice? ( <i>i.e. copy of bids/proposals, spreadsheet breakdown of MBE/WBEs considered follow-up emails/phone logs and/or correspondence between Bidder and interested MBE/WBEs</i> )	Yes <input type="checkbox"/>	No <input type="checkbox"/>



**CONFIRMATION LETTER**

*(Printed on Subcontractor letterhead)*

Date

Contact Name  
Business Name  
Street Address  
City, State Zip

Re: Solicitation # \_\_\_\_\_

Dear (Contact Name):

This letter is to confirm that (insert Subcontractor name here) is pleased to provide (insert Prime Contractor name here) (insert service here) for the above-referenced project. This confirmation letter is for an Indefinite Delivery, Indefinite Quantity contract solicitation. I understand that the scope(s) of work in the described solicitation is based on assignments from the City and may or may not result in actual work to my firm.

We look forward to working with you and the City of Austin should your team be awarded the project.

Sincerely,

(insert signature)

Contact Name  
Title  
Business Name

**City of Austin  
Subcontract Vendor List - VCRCVS**

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C Code & Description	Vend Code/Adr	Phone/Fax/Email	W/MB Code	G/E	LCTN
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**Vendors Within the SLBP Area**

**91244 Excavation Services**

AME8312492 AMERICAN BORING UNLIMITED 2408 Hill Street Bastrop Tx 78602-1506	512-563-4912 512-303-3920 americanboringunlimited@gmail.com	MB	M/African American	SL
VS0000017696 AUSTIN CONSTRUCTORS LLC 7907 S Fm 973 Austin Tx 78719	512-386-8690 5123868695 info@austinconstructors.com	MDB	M/Hispanic	AU
AUS8321418 AUSTIN UNDERGROUND INC Po Box 5650 Lago Vista Tx 78645-5650	512-535-3285 512-535-3286 rick@austin-underground.COM	MDB	M/Hispanic	SL
V00000918492 Austin Formula Utilities LLC. 3701 Hobbs Cove Austin Tx 78749	512-828-7521 5122983709 austinformulautilities@gmail.com	MDB	M/Hispanic	AU
CEN8321007 CENTRAL ROAD AND UTILITY LTD 8760 A Research Blvd #192 Austin Tx 78758	512-989-2602 512-989-2698 RMARTINEZ@ROADANDUTILITY.COM	MDB	M/Hispanic	AU
VS0000028230 CVI Development, LLC. 1801 Lavaca 2-J Austin Tx 78701	512-944-0280 512-597-2767 KarlDaniels.mac@me.com	MDB	M/African American	AU
VS0000022301 CX2 Construction, Inc. 19507 Fm 150 W Driftwood Tx 78619	512-858-9203 512-858-5608 stephaniec2@gmail.com	WDB	F/Caucasian	SL
V00000911523 D Guerra Construction LLC 9810 Fm 969 Austin Tx 78724	512-563-0920 5124540077 danny@dguerraconstruction.com	MDB	M/Hispanic	AU
V00000906584 DAVID DELA CERDA 2302 Southwood Hills Dr Taylor Tx 76574	512-496-0864 1 5123651231 dlc.laguna@yahoo.com	MDB	M/Hispanic	SL
VS0000012711 Environmental Safety Services Inc. 14101 Hwy 290 W Bldg. 400, Suite C Austin Tx 78737	512-989-2259 5123729375 enviross@hotmail.com	MDB	M/Hispanic	SL

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	V00000911255 Guerra Underground LLC 9810 Fm 969 Austin Tx 78724	512-825-9580 3126665810 utilityrehab@yahoo.com	MDB	M/Hispanic	AU
	HHL7086095 H&H FORADORY CONSTRUCTION INC 9220 Burklund Farms Road Del Valle Tx 78617-5328	512-243-2378 512-243-1518 HENRYB@AUSTIN.RR.COM	MDB	M/Hispanic	AU
	V00000917285 Heavy Roadway Construction Services, LLC 1305 Terrace View Dr Cedar Park Tx 78613	512-922-0507 heavyroadwaycs@gmail.com	MDB	M/Hispanic	AU
	VS0000003616 JPC Construction Inc 1706 Hur Industrial Blvd Cedar Park Tx 78613	512-670-1522 5122675551 jchamberlain1@austin.rr.com	WDB	F/Caucasian	AU
	V00000905304 Lobo Excavation, Inc. 22201 Hog Eye Rd Manor Tx 78653	512-689-0106 5127179535 ranferi10@gmail.com	MB	M/Hispanic	AU
	MUN7143465 MUNIZ CONCRETE & CONTRACTING INC Po Box 6596 Austin Tx 78762	512-385-2334 512-389-1446 rudy@munizcontracting.com	MDB	M/Hispanic	AU
	PAT8302249 PAT NORMAN & ASSOC L L C Po Box 4677 Austin Tx 78765-4677	512-407-9100 512-407-8788 pat@pna-austin.com	MDB	M/Native American	AU
	PRI7031445 PRISM DEVELOPMENT INC 2709 E 5th St Austin Tx 78702-4729	512-479-9587 100 5124799591 betty@prismdevelopmentinc.com	MDB	M/Hispanic	AU
	ROA7009475 ROADWAY SPECIALTIES INC Po Box 90309 Austin Tx 78709-0309	512-280-6666 512-280-6066 stthomas@roadwayspecialties.com	WB	F/Caucasian	AU
	ROY7135410 ROYAL VISTA INC 350 County Rd 260 Liberty Hill Tx 78642-6202	512-515-6824 512-515-6829 maydale@royalvistainc.com	WDB	F/Caucasian	SL
	VC0000101184 TRAVIS PAVING & EXCAVATING INC 1190 Fm 969 Bastrop Tx 78602	512-303-9988 512-303-9977 TRAVISPAE@AOL.COM	MWDB	F/Hispanic	SL

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	TRI7012850 TRI RECYCLING INC Po Box 26499 Austin Tx 78755-0499	512-329-0172 512-329-0174 trirecycle@aol.com	MDB	M/Hispanic	AU
	VS0000006907 TRINI CONSTRUCTION BUILDER LLC Po Box 81431 Austin Tx 78708	512-282-2262 512-535-7962 info@triniconstructionbuilder.com	MDB	M/African American	AU
	UNI7027985 UNISM DEVELOPMENT CO INC Po Box 14145 Austin Tx 78761-4145	512-255-3726 512-255-1451 unism@sbcglobal.net	MB	M/African American	AU
	V00000919925 Underground Water Solutions Co. Po Box 1355 Elgin Tx 78621	5122789333 5122789133 zoila@uwsco.net	MDB	F/Hispanic	SL
	V00000911146 XEVEK CONSTRUCTION LLC Po Box 681 Buda Tx 78610	512-312-4214 5123124637 info@xeveklc.com	MDB	M/Hispanic	SL
<b>9131346 JACKING, CRIBBING, AND SHORING EXISTING DECK BEAMS</b>					
	V00000922250 Rucoba & Maya Construction, LLC 1201 Grove Blvd. #2903 Austin Tx 78741	8328676673 rudy@rucobaconstruction.com	MDB	M/Hispanic	AU
<b>91338 Construction, Chilled Water Pipe Installation</b>					
	MEC8310574 MECHANICAL & PROCESS SYSTEMS LLC 1804 Central Commerce Court Round Rock Tx 78664	512-691-9259 5126919258 MIKE@MPSLTD.US	MDB	M/Asian	SL
<b>9133919 BACK FILL, TRENCH.(furnish, transport fine aggregate)</b>					
	VS0000028230 CVI Development, LLC. 1801 Lavaca 2-J Austin Tx 78701	512-944-0280 512-597-2767 KarlDaniels.mac@me.com	MDB	M/African American	AU
	VS0000022301 CX2 Construction, Inc. 19507 Fm 150 W Driftwood Tx 78619	512-858-9203 512-858-5608 stephaniec2@gmail.com	WDB	F/Caucasian	SL

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	V00000911561 Cantu Construction Inc 14806 Eilers Rd Austin Tx 78719	512-844-5545 5122439650 cantu-construction-inc@hotmail.com	MDB	M/Hispanic	AU
	GCA1014250 G CARTER CONSTRUCTION CO INC 805 N. Bell Blvd Cedar Park Tx 78613	512-258-1025 512-258-1026 allisonterry@gcarterconstruction.com	WDB	F/Caucasian	AU
	HHL7086095 H&H FORADORY CONSTRUCTION INC 9220 Burklund Farms Road Del Valle Tx 78617-5328	512-243-2378 512-243-1518 HENRYB@AUSTIN.RR.COM	MDB	M/Hispanic	AU
	VC0000101859 JB ASPHALT PAVING & GRADING LLC Po Box 92856 Austin Tx 78709	512-851-7202 512-288-1560 NORMA@JBASPHALTANDGRADING.COM	MDB	M/Hispanic	AU
	VS0000016867 PANTHER CREEK TRANSPORTATION INC Po Box 2715 Georgetown Tx 78627	512-746-4224 512-746-5103 Natalia@panthercreektransportation.com	MWDB	F/Hispanic	SL
	PRI7031445 PRISM DEVELOPMENT INC 2709 E 5th St Austin Tx 78702-4729	512-479-9587 100 5124799591 betty@prismdevelopmentinc.com	MDB	M/Hispanic	AU
	V00000922250 Rucoba & Maya Construction, LLC 1201 Grove Blvd. #2903 Austin Tx 78741	8328676673 rudy@rucobaconstruction.com	MDB	M/Hispanic	AU
	V00000919925 Underground Water Solutions Co. Po Box 1355 Elgin Tx 78621	5122789333 5122789133 zoila@uwsco.net	MDB	F/Hispanic	SL
	V00000911146 XEVEK CONSTRUCTION LLC Po Box 681 Buda Tx 78610	512-312-4214 5123124637 info@xeveklc.com	MDB	M/Hispanic	SL
<b>91340 Construction, Pipeline</b>	AUS8321418 AUSTIN UNDERGROUND INC Po Box 5650 Lago Vista Tx 78645-5650	512-535-3285 512-535-3286 rick@austin-underground.COM	MDB	M/Hispanic	SL

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C Code & Description	Vend Code/Adr	Phone/Fax/Email	W/MB Code	G/E	LCTN
	V00000918492 Austin Formula Utilities LLC. 3701 Hobbs Cove Austin Tx 78749	512-828-7521 5122983709 austinformulautilities@gmail.com	MDB	M/Hispanic	AU
	CEN8321007 CENTRAL ROAD AND UTILITY LTD 8760 A Research Blvd #192 Austin Tx 78758	512-989-2602 512-989-2698 RMARTINEZ@ROADANDUTILITY.COM	MDB	M/Hispanic	AU
	FAC8317993 FACILITIES REHABILITATION INC 716 N Main #3 Taylor Tx 76574	512-352-6035 512-352-6036 annette@facrehab.com	MB	M/Hispanic	SL
	V00000911255 Guerra Underground LLC 9810 Fm 969 Austin Tx 78724	512-825-9580 3126665810 utilityrehab@yahoo.com	MDB	M/Hispanic	AU
	MEC8310574 MECHANICAL & PROCESS SYSTEMS LLC 1804 Central Commerce Court Round Rock Tx 78664	512-691-9259 5126919258 MIKE@MPSLTD.US	MDB	M/Asian	SL
	PEA7124270 PEABODY GENERAL CONTRACTORS INC Po Box 3 Dripping Springs Tx 78620-0003	800-443-7457 512-858-4132 shelley@peabodygeneral.com	WB	F/Caucasian	SL
	VS0000024973 Prota, Inc. P.O. Box 342195 Austin Tx 78734	512-535-2555 5125355441 info@protaconstruction.com	MWB	F/Hispanic	AU
	ROY7135410 ROYAL VISTA INC 350 County Rd 260 Liberty Hill Tx 78642-6202	512-515-6824 512-515-6829 maydale@royalvistainc.com	WDB	F/Caucasian	SL
	SAN8316404 SANTA CLARA CONSTRUCTION LTD 9811 Anderson Mill Road, Ste 201 Austin Tx 78750	512-250-8310 512-250-8371 z.forth@sbcglobal.net	MB	M/Hispanic	AU
	DOU8314208 SETO VARGAS UTILITIES INC 5300 Navarro Creek Rd Del Valle Tx 78617	5125609972  yeyevargas@yahoo.com	MB	M/Hispanic	AU
	V00000919925 Underground Water Solutions Co. Po Box 1355 Elgin Tx 78621	5122789333 5122789133 zoila@uwsco.net	MDB	F/Hispanic	SL

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C Code & Description	Vend Code/Adr	Phone/Fax/Email	W/MB Code	G/E	LCTN
<b>91355 Construction, Tunnel</b>					
	V00000920808 CL Concrete Construction Corporation Po Box 971 Taylor Tx 76574	8179170542 5123580718 annette@clconcreteconstructioncorp.com	MWB	F/Hispanic	SL
	FAC8317993 FACILITIES REHABILITATION INC 716 N Main #3 Taylor Tx 76574	512-352-6035 512-352-6036 annette@facrehab.com	MB	M/Hispanic	SL
<b>91485 Welding</b>					
	HAF8322659 HAFCO SERVICES INC 11411 Bristle Oak Trail Austin Tx 78750-1307	512-925-1193 512-996-0722 humberto.friede@hafcoservices.com	MDB	M/Hispanic	AU
	PEA7124270 PEABODY GENERAL CONTRACTORS INC Po Box 3 Dripping Springs Tx 78620-0003	800-443-7457 512-858-4132 shelley@peabodygeneral.com	WB	F/Caucasian	SL
	V00000905765 The Salinas Group, LLC 1706 Bouldin Ave. Austin Tx 78704	512-619-6696 5127077796 sal78704@yahoo.com	MDB	M/Hispanic	AU
<b>96239 Hauling Services</b>					
	VC0000102408 ALVIN WASHINGTON TRUCKING INC Po Box 14872 Austin Tx 78761	512-339-2292 512-339-7219 a.washingtontruckn@yahoo.com	MDB	M/African American	AU
	VC0000101882 BARBARA WHITE 9701 Wayside Blvd Austin Tx 78724	512-626-7642 512-933-9699 bwbmwtrucking@gmail.com	MWDB	F/African American	AU
	V00000922619 BENITO MUNOZ Po Box 70 Maxwell Tx 78656	5127498126 5123574065 erikmunoz@yahoo.com	MDB	M/Hispanic	LO
	V00000915488 BRIDGET C THOMAS 2021 Airport Blvd Austin Tx 78722	512-382-9285 5123829299 bubblez.suddz.carwash@gmail.com	MWDB	F/African American	AU

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V00000901790 Bedrock Transportation, LLC 13492 Research Blvd Suite 120-622 Austin Tx 78750-2254		512-535-5580 5125191105 leslie@bedrocktransportation.com	WDB	F/Caucasian	AU
CGA8319026 C GARCIA TRUCKING 12730 Laws Rd Buda Tx 78610-9695		512-801-8945 512-243-3571 texasboring@yahoo.com	MDB	M/Hispanic	SL
V00000916591 CLAUDIA SERNA 2700 Cherry Blossom Ct San Marcos Tx 78666		512-466-7084 5123924726 serna.trucking@gmail.com	MWDB	F/Hispanic	SL
VC0000102282 CMS 2 TRUCKING INC Po Box 141423 Austin Tx 78714-1423		512-431-1351 LHAULER@YAHOO.COM	MDB	M/African American	AU
VC0000103459 COMANCHE XPRESS LLC Po Box 144402 Austin Tx 78714		512-771-2692 512-904-0022 COMANCHEEXPRESS@AUSTIN.RR.COM	MDB	M/African American	AU
V00000911561 Cantu Construction Inc 14806 Eilers Rd Austin Tx 78719		512-844-5545 5122439650 cantu-construction-inc@hotmail.com	MDB	M/Hispanic	AU
V00000911499 Cynthia Cueva-Luna Po Box 3560 Pflugerville Tx 78691		512-769-0752 8883554419 alphatransportation@hotmail.com	MWDB	F/Hispanic	SL
V00000935995 Felis Balderas Sr. 6803 Viewing Place Austin Tx 78719		5124481420 5124481420 f.balderasfamily@hotmail.com	MDB	M/Hispanic	AU
HHL7086095 H&H FORADORY CONSTRUCTION INC 9220 Burkland Farms Road Del Valle Tx 78617-5328		512-243-2378 512-243-1518 HENRYB@AUSTIN.RR.COM	MDB	M/Hispanic	AU
VS0000004462 Ivy Rosalva Cruz 7733 Marble Crest Dr. Austin Tx 78747		512-577-6199	MWDB	F/Hispanic	AU
JAR8315720 J A ROAD BORING 1000 Dacy Ln Kyle Tx 78640-5143		512-268-4312 512-268-0974 jaroadboring@yahoo.com	MB	M/Hispanic	SL

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	JAG8307217 JAG TRUCKING INC 800 Private Rd 917 Georgetown Tx 78626	512-864-9906 512-864-3121 GILLY@JAGTRUCKING.COM	MDB	M/Hispanic	SL
	V00000929975 JIMMY ARREDONDO 130 Escondido Dr Del Valle Tx 78617	5128445976 lonestartrucking@austin.rr.com	MDB	M/Hispanic	AU
	VS0000027653 JUAN DEANDA TRUCKING LLC 5101 Hwy 21 Maxwell Tx 78656	512-748-1537 5122856596 juandeandatruckingllc@yahoo.com	MDB	M/Hispanic	LO
	LIL7079660 LILLIE'S TRUCKING INC 1110 Radam Cir Austin Tx 78745-3018	512-444-9562 email@notprovided.xxx	WB	F/Caucasian	AU
	V00000925782 Lewis Clark Trucking, LLC 14815 Avery Ranch Blvd Unit 2302 Austin Tx 78717	3134024398 citytransportersinc@gmail.com	MDB	M/African American	AU
	MAR7095015 MARIE'S TRUCKING 2314 Wagon Crossing Path Austin Tx 78744-4330	512-441-0775 512-441-0549 mariekellough@yahoo.com	MWDB	F/Asian	AU
	MEL8302760 MELENDREZ TRUCKING LLC 11608 Moore Rd Austin Tx 78719-9652	512-243-3977 512-243-3947 meltrks@melendreztrucking.com	MWDB	F/Hispanic	AU
	VC0000102842 MG LIMON CORPORATION 124 Juarez Cv Del Valle Tx 78617	512-791-4369 512-291-6132 GLIMONHAULINGCO@YAHOO.COM	MDB	M/Hispanic	AU
	MUN7143465 MUNIZ CONCRETE & CONTRACTING INC Po Box 6596 Austin Tx 78762	512-385-2334 512-389-1446 rudy@munizcontracting.com	MDB	M/Hispanic	AU
	VS0000016867 PANTHER CREEK TRANSPORTATION INC Po Box 2715 Georgetown Tx 78627	512-746-4224 512-746-5103 Natalia@panthercreektransportation.com	MWDB	F/Hispanic	SL
	VS0000020631 RAMI MATERIALS TRANSPORT LLC 7719 Mcangus Rd Del Valle Tx 78617	512-845-8358 866-800-5957 RAMITRANS@AOL.COM	MDB	M/Hispanic	AU

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	MMT3262750 ROBERT M MEDEL SR 10811 San Jose Ave Del Valle Tx 78617-5903	5127362051 512-243-1093 carrillo1957@aol.com	MDB	M/Hispanic	AU
	VS0000010430 Ralph Anthony Wright 1716 Meander Dr Austin Tx 78721	512-791-3644 512-420-8373 wright_ralph@yahoo.com	MDB	M/African American	AU
	V00000905724 Robert E Ashford P O Box 16478 Austin Tx 78761	512-247-1293 ashnemtrucking@hotmail.com	MDB	M/African American	AU
	V00000933636 Robert M Medel 10811 San Jose Venue Del Valle Tx 78617	5128500827 5122439414 medeltrucking@yahoo.com	MDB	M/Hispanic	AU
	SAM7042190 SAMMIE J KELLOUGH 2314 Wagon Crossing Path Austin Tx 78744-4330	512-441-0775 512-441-0549 kelloughj@aol.com	MDB	M/African American	AU
	DOU8314208 SETO VARGAS UTILITIES INC 5300 Navarro Creek Rd Del Valle Tx 78617	5125609972 yeyevargas@yahoo.com	MB	M/Hispanic	AU
	TEX4863000 TEXAS TRUCKING CO INC 3900 Shell Rd Georgetown Tx 78628-9249	512-868-5558 512-930-0298 GEORGETTC@YAHOO.COM	MDB	M/Hispanic	SL
	VC0000101184 TRAVIS PAVING & EXCAVATING INC 1190 Fm 969 Bastrop Tx 78602	512-303-9988 512-303-9977 TRAVISPAE@AOL.COM	MWDB	F/Hispanic	SL
	TRI7012850 TRI RECYCLING INC Po Box 26499 Austin Tx 78755-0499	512-329-0172 512-329-0174 trirecycle@aol.com	MDB	M/Hispanic	AU
	V00000907221 Two Brother General Contractor Corp. 10430 Fm 812 Austin Tx 78719	512-720-0140 5124285538 twobrothergc7@yahoo.com	MDB	M/Hispanic	AU
<b>96884 Traffic Control Device Placement and Removal Service</b>	VC0000102723 AVERY'S LAWN CARE & LANDSCAPING LLC 2002 Savannah Ct Round Rock Tx 78681	512-845-6251 512-388-7303 averyslawncare@att.net	MDB	M/African American	SL

**City of Austin  
Subcontract Vendor List - VCRCVS**

Solicitation No.: IFB 6100 CLMC606 Chilled Water Piping Construction in the Right of Way IDIQ

Version No.: 1

Phase: 1

C Code & Description	Vend Code/Adr	Phone/Fax/Email	W/MB Code	G/E	LCTN
	V00000918979 Accurate Pavement Striping LLC 2411 Patterson Industrial Dr Ste #B Pflugerville Tx 78660	512-244-7000 5122441309 shannon@weraccurate.com	MWDB	F/Hispanic	AU
<b>9885299 LANDSCAPING, TREE AND PLANT PROTECTION DURING CONS</b>					
	VC0000102203 ABC EROSION CONTROL INC 23407 Fulkes Lane Leander Tx 78641	512-259-3894 5122594467 vsanchez@abceci.com	MWDB	F/Hispanic	SL
	ADV7008080 AFFORDABLE LAWN CARE AND LANDSCAPING INC 13523 Pond Springs Rd Austin Tx 78729-4415	512-918-8009 512-918-0162 vincent@advancelandscapedesign.com	MWB	F/Hispanic	AU
	VC0000102723 AVERY'S LAWN CARE & LANDSCAPING LLC 2002 Savannah Ct Round Rock Tx 78681	512-845-6251 512-388-7303 averyslawncare@att.net	MDB	M/African American	SL
	VC0000101862 GTT & PREFERRED LANDSCAPING INC 8409 Indian Smt Austin Tx 78737	512-288-2867 866-268-9597 alma.garcia@garciastreettrimming.com	MDB	M/Hispanic	SL
	VS0000036304 Great Western Managed Services Corporation 2635 Demona Drive Austin Tx 78733	512-585-9990 512-382-6812 ekoehler@greatwesternco.com	WDB	F/Caucasian	AU
	V00000926719 Heather Fojtasek 12709 Palfrey Austin Tx 78727	5122842369 5122468734 actionservices@contractor.net	WDB	F/Caucasian	AU
	V00000905731 KIN PERFORMANCE CONTRACTORS LLC Po Box 140884 Austin Tx 78714	512-983-4529 5129283042 kathleen@kincontracting.com	MWDB	F/Asian	AU
	VS0000034661 VAQUERO COMMERCIAL, INC. P.O. Box 170759 Austin Tx 78717	512-388-7400 5123887401 audon@vaquerogrp.com	MDB	M/Hispanic	AU

## City of Austin Subcontract Vendor List - VCRCVS

Solicitation No.: IFB 6100 CLMC606 Chilled Water Piping Construction in the Right of Way IDIQ

Version No.: 1

Phase: 1

C Code & Description	Vend Code/Adr	Phone/Fax/Email	W/MB Code	G/E	LCTN
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### Vendors Outside the SLBP Area

#### 91244 Excavation Services

V00000917830 Earthco Landscape Construction LLC 4500 Williams Dr. Suite 212-108 Georgetown Tx 78633	5128187015 5129438333 earthcolc@aol.com		MDB	M/African American	TX
VC0000102952 WILLIAMS TUNNELING INDUSTRIES INC 18922 Woodglen Shadows Humble Tx 77396	8328891468 512-285-3477 WILLIAMS_EDISON@YAHOO.COM		MDB	M/African American	TX

#### 9131346 JACKING, CRIBBING, AND SHORING EXISTING DECK BEAMS

IBA7153325 IBARRA CONSULTING ENGINEERS INC 3131 Turtle Creek Blvd #1151 Dallas Tx 75219-5445	214-219-1030 214-219-1035		MWDB	F/Hispanic	TX
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#### 91340 Construction, Pipeline

V00000917138 PROLIFIC ENTERPRISES INC 4146 W. Hwy 79 Rockdale Tx 76567	512-848-1554 info@prolificinc.us		WDB	F/Caucasian	TX
V00000904196 SCORPIONS WELDING & FABRICATION, LLC 1109 Coombs Creek Drive Dallas Tx 75211	214-908-6480 2149414214 mgarcia@scorpionswelding.com		MB	M/Hispanic	TX

#### 91355 Construction, Tunnel

IBA7153325 IBARRA CONSULTING ENGINEERS INC 3131 Turtle Creek Blvd #1151 Dallas Tx 75219-5445	214-219-1030 214-219-1035		MWDB	F/Hispanic	TX
VC0000102952 WILLIAMS TUNNELING INDUSTRIES INC 18922 Woodglen Shadows Humble Tx 77396	8328891468 512-285-3477 WILLIAMS_EDISON@YAHOO.COM		MDB	M/African American	TX

## City of Austin Subcontract Vendor List - VCRCVS

Solicitation No.: IFB 6100 CLMC606 Chilled Water Piping Construction in the Right of Way IDIQ

Version No.: 1

Phase: 1

C Code & Description	Vend Code/Adr	Phone/Fax/Email	W/MB Code	G/E	LCTN
<b>91485 Welding</b>					
	V00000922160 CAPITOL CITY SERVICES LLC Po Box 2541 Cypress Tx 77410	5122729819 5122729820 rayamers@aol.com	MDB	M/Hispanic	TX
	V00000917985 Glenn Steel, LLC 1100 County Road 5035 Leonard Tx 75452	903-587-0177 bridget@glennsteel.com	WB	F/Caucasian	TX
	V00000917138 PROLIFIC ENTERPRISES INC 4146 W. Hwy 79 Rockdale Tx 76567	512-848-1554 info@prolificinc.us	WDB	F/Caucasian	TX
	V00000904196 SCORPIONS WELDING & FABRICATION, LLC 1109 Coombs Creek Drive Dallas Tx 75211	214-908-6480 2149414214 mgarcia@scorpionswelding.com	MB	M/Hispanic	TX
<b>96239 Hauling Services</b>					
	V00000917830 Earthco Landscape Construction LLC 4500 Williams Dr. Suite 212-108 Georgetown Tx 78633	5128187015 5129438333 earthcolc@aol.com	MDB	M/African American	TX
	VS0000027560 SAR Transportation, L.L.C. 1101 Jarvis Road Saginaw Tx 76179-5612	817-232-2599 817-232-0955 pam@sartrans.com	WDB	F/Caucasian	TX
<b>9885299 LANDSCAPING, TREE AND PLANT PROTECTION DURING CONS</b>					
	V00000917830 Earthco Landscape Construction LLC 4500 Williams Dr. Suite 212-108 Georgetown Tx 78633	5128187015 5129438333 earthcolc@aol.com	MDB	M/African American	TX
				Total in SLBP:	102
				Total Outside SLBP:	14

Abc Erosion Control Inc  
23407 Fulkes Lane  
Leander, Tx 78641

Affordable Lawn Care And Landscaping Inc  
13523 Pond Springs Rd  
Austin, Tx 78729-4415

Alvin Washington Trucking Inc  
Po Box 14872  
Austin, Tx 78761

American Boring Unlimited  
2408 Hill Street  
Bastrop, Tx 78602-1506

Austin Constructors Llc  
7907 S Fm 973  
Austin, Tx 78719

Austin Underground Inc  
Po Box 5650  
Lago Vista, Tx 78645-5650

Avery'S Lawn Care & Landscaping Llc  
2002 Savannah Ct  
Round Rock, Tx 78681

Accurate Pavement Striping Llc  
2411 Patterson Industrial Dr Ste #B  
Pflugerville, Tx 78660

Austin Formula Utilities Llc.  
3701 Hobbs Cove  
Austin, Tx 78749

Barbara White  
9701 Wayside Blvd  
Austin, Tx 78724

Benito Munoz  
Po Box 70  
Maxwell, Tx 78656

Bridget C Thomas  
2021 Airport Blvd  
Austin, Tx 78722

Bedrock Transportation, Llc  
13492 Research Blvd  
Austin, Tx 78750-2254

C Garcia Trucking  
12730 Laws Rd  
Buda, Tx 78610-9695

Capitol City Services Llc  
Po Box 2541  
Cypress, Tx 77410

Central Road And Utility Ltd  
8760 A Research Blvd #192  
Austin, Tx 78758

Cl Concrete Construction Corporation  
Po Box 971  
Taylor, Tx 76574

Claudia Serna  
2700 Cherry Blossom Ct  
San Marcos, Tx 78666

Cms 2 Trucking Inc  
Po Box 141423  
Austin, Tx 78714-1423

Comanche Xpress Llc  
Po Box 144402  
Austin, Tx 78714

Cvi Development, Llc.  
1801 Lavaca 2-J  
Austin, Tx 78701

Cx2 Construction, Inc.  
19507 Fm 150 W  
Driftwood, Tx 78619

Cantu Construction Inc  
14806 Eilers Rd  
Austin, Tx 78719

Cynthia Cueva-Luna  
Po Box 3560  
Pflugerville, Tx 78691

D Guerra Construction Llc  
9810 Fm 969  
Austin, Tx 78724

David Dela Cerda  
2302 Southwood Hills Dr  
Taylor, Tx 76574

Earthco Landscape Construction Llc  
4500 Williams Dr. Suite 212-108  
Georgetown, Tx 78633

Environmental Safety Services Inc.  
14101 Hwy 290 W  
Austin, Tx 78737

Facilities Rehabilitation Inc  
716 N Main #3  
Taylor, Tx 76574

Felis Balderas Sr.  
6803 Viewing Place  
Austin, Tx 78719

G Carter Construction Co Inc  
805 N. Bell Blvd  
Cedar Park, Tx 78613

Gtt & Preferred Landscaping Inc  
8409 Indian Smt  
Austin, Tx 78737

Glenn Steel, Llc  
1100 County Road 5035  
Leonard, Tx 75452

Great Western Managed Services  
Corporation  
2635 Demona Drive  
Austin, Tx 78733

Guerra Underground Llc  
9810 Fm 969  
Austin, Tx 78724

H&H Foradory Construction Inc  
9220 Burklund Farms Road  
Del Valle, Tx 78617-5328

Hafco Services Inc  
11411 Bristle Oak Trail  
Austin, Tx 78750-1307

Heather Fojtasek  
12709 Palfrey  
Austin, Tx 78727

Heavy Roadway Construction Services, Llc  
1305 Terrace View Dr  
Cedar Park, Tx 78613

Ibarra Consulting Engineers Inc  
3131 Turtle Creek Blvd #1151  
Dallas, Tx 75219-5445

Ivy Rosalva Cruz  
7733 Marble Crest Dr.  
Austin, Tx 78747

J A Road Boring  
1000 Dacy Ln  
Kyle, Tx 78640-5143

Jag Trucking Inc  
800 Private Rd 917  
Georgetown, Tx 78626

Jb Asphalt Paving & Grading Llc  
Po Box 92856  
Austin, Tx 78709

Jimmy Arredondo  
130 Escondido Dr  
Del Valle, Tx 78617

Jpc Construction Inc  
1706 Hur Industrial Blvd  
Cedar Park, Tx 78613

Juan Deanda Trucking Llc  
5101 Hwy 21  
Maxwell, Tx 78656

Kin Performance Contractors Llc  
Po Box 140884  
Austin, Tx 78714

Lillie'S Trucking Inc  
1110 Radam Cir  
Austin, Tx 78745-3018

Lewis Clark Trucking, Llc  
14815 Avery Ranch Blvd  
Austin, Tx 78717

Lobo Excavation, Inc.  
22201 Hog Eye Rd  
Manor, Tx 78653

Marie'S Trucking  
2314 Wagon Crossing Path  
Austin, Tx 78744-4330

Mechanical & Process Systems Llc  
1804 Central Commerce Court  
Round Rock, Tx 78664

Melendrez Trucking Llc  
11608 Moore Rd  
Austin, Tx 78719-9652

Mg Limon Corporation  
124 Juarez Cv  
Del Valle, Tx 78617

Muniz Concrete & Contracting Inc  
Po Box 6596  
Austin, Tx 78762

Panther Creek Transportation Inc  
Po Box 2715  
Georgetown, Tx 78627

Pat Norman & Assoc L L C  
Po Box 4677  
Austin, Tx 78765-4677

Peabody General Contractors Inc  
Po Box 3  
Dripping Springs, Tx 78620-0003

Prism Development Inc  
2709 E 5th St  
Austin, Tx 78702-4729

Prolific Enterprises Inc  
4146 W. Hwy 79  
Rockdale, Tx 76567

Prota, Inc.  
P.O. Box 342195  
Austin, Tx 78734

Rami Materials Transport Llc  
7719 Mcangus Rd  
Del Valle, Tx 78617

Roadway Specialties Inc  
Po Box 90309  
Austin, Tx 78709-0309

Robert M Medel Sr  
10811 San Jose Ave  
Del Valle, Tx 78617-5903

Royal Vista Inc  
350 County Rd 260  
Liberty Hill, Tx 78642-6202

Ralph Anthony Wright  
1716 Meander Dr  
Austin, Tx 78721

Robert E Ashford  
P O Box 16478  
Austin, Tx 78761

Robert M Medel  
10811 San Jose Venue  
Del Valle, Tx 78617

Rucoba & Maya Construction, Llc  
1201 Grove Blvd. #2903  
Austin, Tx 78741

Sammie J Kellough  
2314 Wagon Crossing Path  
Austin, Tx 78744-4330

Santa Clara Construction Ltd  
9811 Anderson Mill Road, Ste 201  
Austin, Tx 78750

Sar Transportation, L.L.C.  
1101 Jarvis Road  
Saginaw, Tx 76179-5612

Scorpions Welding & Fabrication, Llc  
1109 Coombs Creek Drive  
Dallas, Tx 75211

Seto Vargas Utilities Inc  
5300 Navarro Creek Rd  
Del Valle, Tx 78617

Texas Trucking Co Inc  
3900 Shell Rd  
Georgetown, Tx 78628-9249

Travis Paving & Excavating Inc  
1190 Fm 969  
Bastrop, Tx 78602

Tri Recycling Inc  
Po Box 26499  
Austin, Tx 78755-0499

Trini Construction Builder Llc  
Po Box 81431  
Austin, Tx 78708

The Salinas Group, Llc  
1706 Bouldin Ave.  
Austin, Tx 78704

Two Brother General Contractor Corp.  
10430 Fm 812  
Austin, Tx 78719

Unism Development Co Inc  
Po Box 14145  
Austin, Tx 78761-4145

Underground Water Solutions Co.  
Po Box 1355  
Elgin, Tx 78621

Vaquero Commercial, Inc.  
P.O. Box 170759  
Austin, Tx 78717

Williams Tunneling Industries Inc  
18922 Woodglen Shadows  
Humble, Tx 77396

Xevex Construction Llc  
Po Box 681  
Buda, Tx 78610