CITY OF AUSTIN
Public Works Department

PROJECT MANUAL
Contract Documents and Technical Specifications

VOLUME 1 of 3

Davis WTP RWHEEI
High Service Pump Station Area Improvements

C.I.P. PROJECT NUMBER: 2015.017
SOLICITATION NUMBER: CLMC880

CITY OF AUSTIN
Public Works Department
P. O. Box 1088
Austin, TX 78704

October 2021

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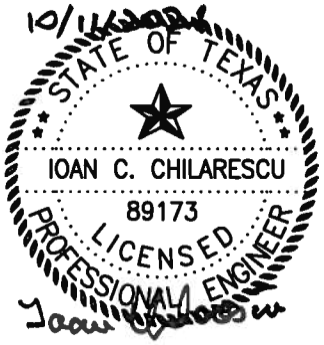
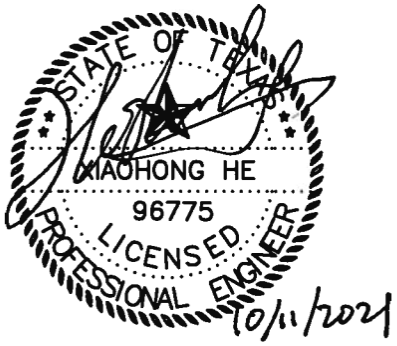
CITY OF AUSTIN
Public Works Department

PROJECT MANUAL
FOR
Davis WTP RWHEEI
High Service Pump Station Area Improvements

Ioan C. Chilarescu, Ph.D., P.E.
AECOM
Civil/Mechanical



Xiaohong He, Ph.D., P.E.
AECOM
Civil/Mechanical



Kenneth W. Hanks, P.E.
AECOM
Structural

Shant Harutunian, P.E.
Harutunian Engineering, Inc.
Electrical/I&C

Certifications

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1. OVERVIEW AND PROJECT INFORMATION

Following is a summary of information for this Project. Bidder is cautioned to refer to other sections of the Project Manual, Drawings and Addenda (Bid Documents) for further details.

The City of Austin, hereafter called OWNER, is requesting Bids for furnishing all labor, materials, equipment, supervision, and incidentals, and for performing all Work required for the following:

Project:	Davis WTP RWHEEI High Service Pump Station Area Improvements
Located at:	3500 W 35th Street, Austin, TX 78703
CIP ID No.:	2015.017
Solicitation No.:	CLMC880

The Work consists of replacement of the existing high service pump station surge tank and associated piping, installation of a surge relief valve, rehabilitation/replacement of multiple high service pumps and valves, re-routing high service pump station drain, installation of a potable water line, and other miscellaneous tasks.

2. BID DOCUMENTS

Bid Documents are obtained through the City's Vendor Connection website, log on https://financeonline.austintexas.gov/afo/account_services/solicitation/solicitations.cfm. A complete set of Bid Documents, including all sections of the Project Manual and Drawings, are included in the attachments section of each solicitation.

All addenda and answers to Bidders' questions will also be posted in the attachments section for each solicitation on the City's Vendor Connection website.

3. SUBMISSION OF BIDS

Sealed Bids may be submitted to the Capital Contracting Office Bid Opening Desk located at One Texas Center, 505 Barton Springs Rd., Suite 365, Austin, Texas 78704, or may be submitted electronically (see eResponse Instructions).

Sealed Bid may be mailed using address below:

Address for US Mail (If mailed to the physical address, the proposal will be returned unopened)	
City of Austin	
Capital Contracting Office	
P. O. Box 1088	
Austin, Texas 78767-8845	

NOTE: Bids must either be received and time stamped in the Capital Contracting Office prior to the Due Date and Time or submitted electronically via Austin Finance Online. The time of record for those electronically submitted is the time received in Austin Finance OnLine. It is the responsibility of the Offeror to ensure

that their Bid arrives at the reception desk in the Capital Contracting Office or electronically prior to the time and date indicated. Arrival at the City's mailroom, mail terminal, or post office box will not constitute the Proposal arriving on time.

Public Bid Opening Update
Due to the unprecedented event of COVID-19 and to help prevent the further spread, Capital Contracting Office will NOT be conducting an in person bid opening. Bidders must either submit their bids and compliance plans no earlier than 10:00 AM and prior to 2:00 PM on the date bids are due to One Texas Center, 505 Barton Springs Rd., Suite 365, Austin, Texas 78704; or must submit Bids and Compliance Plans electronically via Austin Finance Online prior to 2:00 PM on the day proposals are due. Bids and compliance plans submitted after 2:00 PM on the date bids are due will not be accepted. The Capital Contracting Office will open both the sealed bids and bids received electronically via Austin Finance Online at 3:00 PM on the date bids are due.

Bidders may watch the bid opening online using the following Web link: CCO Web Bid Opening Click Here

Disclaimer: The result of the bid opening does not become final until all bids are verified, and the bid tab is certified. The pencil bid tab and certified bid tab will be posted in Austin Finance Online at the following link:

https://financeonline.austintexas.gov/afo/account_services/solicitation/solicitations.cfm

ALL BIDS AND COMPLIANCE PLANS ARE DUE PRIOR TO (Austin time) 2:00 PM ON 12/02/2021.
BIDS WILL BE OPENED AT (Austin time) 3:00 PM ON 12/02/2021.

ALL BIDS AND COMPLIANCE PLANS NOT RECEIVED PRIOR TO THE DATE AND TIME SET FORTH ABOVE WILL NOT BE ACCEPTED FOR CONSIDERATION. The time stamp clock in SUITE 365 is the time of record and is verified with www.time.gov, the official U.S. time. For Bids submitted electronically via Austin Finance Online, the time of record is the time received in Austin Finance Online.

4. VENDOR REGISTRATION AND NON-DISCRIMINATION

To create, edit, or submit an eResponse Bid, you must be logged in to your vendor account as the primary contact. You are eligible to submit an eResponse Bid after creating a user account and providing the business information of your organization. All CONTRACTORS must be registered to do business with OWNER prior to the Contract Award. All Subcontractors must be registered with the OWNER prior to execution of a contract. Prime Contractors are responsible for ensuring that their Subcontractors are registered as vendors with the City of Austin. Registration can be done through the OWNER's on-line Vendor Registration system. Log onto and follow directions:

https://financeonline.austintexas.gov/afo/afo_content.cfm?s=17.

The City of Austin, in accordance with the provisions of Title VI of the Civil Rights Act of 1964 (78 Stat. 252, 42 U.S.C. §§ 2000d to 2000d-4) and the Regulations, hereby notifies all bidders that it will affirmatively ensure that any contract entered into pursuant to this advertisement, disadvantaged business enterprises will be afforded full and fair opportunity to submit bids in response to this invitation and will not be discriminated against on the grounds of race, color, or national origin in consideration for an award.

5. MBE/WBE PROCUREMENT PROGRAM

All City procurements are subject to the City's Minority-Owned and Women-Owned Business Enterprise Procurement Program found at Chapter 2-9-A of the City Code, as amended. The Program provides Minority-Owned and Women-Owned Business Enterprises (MBEs/WBEs) or Disadvantaged Business Enterprises (DBEs) full opportunity to participate in all City contracts. Goals for MBE/WBE or DBE participation are stated for each solicitation. Information on achieving the goals or documenting good faith efforts to achieve the goals are contained in the MBE/WBE Procurement Program Package or DBE Procurement Program Package attached to the solicitation. When goals are established, Bidders are required to complete and return the MBE/WBE or DBE Compliance Plan with their Bid. If a Compliance Plan is not submitted prior to the date and time set forth in the solicitation, the Bid will not be accepted for consideration. (See Section 00820 for MBE/WBE requirements on "no goal" solicitations.)

6. BID GUARANTY

All Bids shall be accompanied by an acceptable Bid guaranty in an amount of not less than five percent (5%) of the total Bid, as specified in Section 00100, Instructions to Bidders.

7. BONDS AND INSURANCE

Performance and payment bonds when required shall be executed on forms furnished by OWNER. Each bond shall be issued in an amount of one hundred percent (100%) of the Contract Amount by a solvent corporate surety company authorized to do business in the State of Texas, and shall meet any other requirements established by law or by OWNER pursuant to applicable law.

Minimum insurance requirements are specified in Section 00810, Supplemental General Conditions.

8. WAGE COMPLIANCE

Minimum wage rates have been established and are specified in Section 00830, Wage Rates and Payroll Reporting.

9. CONTRACT TIME

Contract Time is of the essence and all Work shall be substantially completed within seven hundred and twelve (712) Calendar Days after date specified in the Notice to Proceed, in accordance with the Bid Form, Section 00300.

Final completion shall be achieved within sixty (60) Calendar Days after substantial completion.

Liquidated damages are one thousand seven hundred sixty ($1,760) dollars per Calendar Day for failure to substantially complete the work and four hundred fifty ($450) per Calendar Day for failure to achieve final completion within sixty (60) Calendar Days after substantial completion, in accordance with the Bid Form, Section 00300.

10. OWNER'S RIGHTS

OWNER reserves the right to reject any or all Bids and to waive any minor informality in any Bid or solicitation procedure (a minor informality is one that does not affect the competitiveness of the Bid).

11. PRE-BID CONFERENCE

A **mandatory** Pre-Bid Conference will be held on 11/4/2021, 9:30 AM (Austin time), 3500 W.35th Street, Austin, Texas (Davis Water Treatment Plant TRAINING ROOM, Austin, Texas.

Attendance is mandatory unless otherwise stated. Bidders must attend any mandatory Pre-Bid Conference and are encouraged to attend any non-mandatory Pre-Bid Conference to ensure their understanding of OWNER's bidding and contracting requirements, particularly MBE/WBE or DBE Procurement Program requirements. If the Pre-Bid Conference is mandatory the Bidder must arrive and sign-in within fifteen (15) minutes of the scheduled start time of the meeting, otherwise the Bidder will not be allowed to submit a Bid for the project.

A mandatory Site-Visit will immediately follow the mandatory Pre-Bid Conference.

Attendance is mandatory unless otherwise stated. Bidders must attend any mandatory Site-Visit and are encouraged to attend any non-mandatory Site-Visit. If the Site-Visit is mandatory the Bidder must arrive and sign-in within fifteen (15) minutes of the scheduled start time of the Site-Visit, otherwise the Bidder will not be allowed to submit a Bid for the project.

12. ANTI-LOBBYING AND PROCUREMENT

On June 14, 2018, the Austin City Council adopted Ordinance No. 20180614-056 replacing Chapter 2.7, Article 6 of the City Code relating to Anti-Lobbying and Procurement. The policy defined in this Code applies to Solicitations for goods and/or services requiring City Council approval under City Charter Article VII, Section 15 (Purchase Procedures). The City requires Offerors submitting Offers on this Solicitation to certify that the Offeror has not in any way directly or indirectly had communication restricted in the ordinance section 2-7-104 during the No-Lobbying Period as defined in the Ordinance. The text of the City Ordinance is posted on the Internet at:

https://assets.austintexas.gov/purchase/downloads/New_ALO_Ordinance_No_20180614-056.pdf

13. AUTHORIZED CONTACT PERSONS

The persons listed below may be contacted for information regarding the Invitation for Bid.

PROJECT MANAGER: James King, phone 512-974-7099, email james.king@austintexas.gov

CAPITAL CONTRACTING OFFICE CONTACT: Steve Cocke, phone 512-974-7998, email steven.cocke@austintexas.gov

SMALL & MINORITY BUSINESS RESOURCES DEPARTMENT CONTACT: Veronica Hawkins, phone 512-974-7639, email veronica.hawkins@austintexas.gov

END

INSTRUCTIONS TO BIDDERS
Section 00100

1. PREPARATION OF BID

1.1 Bid Documents. Each Bidder must prepare its Bid on forms furnished by OWNER or as otherwise specified or permitted. Blank spaces for each item in Bid form must be filled. Bidder must submit a price for each item in Bid. In case of conflict between unit prices and extensions, unit prices shall govern. The Bid must be executed in the complete and correct legal name of individual, partnership, firm, corporation or other legal entity constituting the Bidder.

1.2 Vendor Registration. To create, edit, or submit an eResponse Bid, you must be logged in to your vendor account as the primary contact. You are eligible to submit an eResponse Bid after creating a user account and providing the business information of your organization. All CONTRACTORS must be registered to do business with OWNER prior to Contract Award. All Subcontractors must be registered with the OWNER prior to execution of a contract. Prime Contractors are responsible for ensuring that their Subcontractors are registered as vendors with the City of Austin. Registration can be done through the OWNER's on-line Vendor Registration system. Log onto https://financeonline.austintexas.gov/afo/afo_content.cfm?s=17 and follow the directions.

1.3 Pre-Bid Conference. Unless otherwise notified, Bidders must attend the Pre-Bid Conference to ensure their understanding of OWNER's bidding and contracting requirements, particularly MBE/WBE Procurement Program requirements.

1.4 Sales Tax Exemption. The Owner is a tax-exempt organization as defined by Chapter 11 of the Property Tax Code of Texas. Bid prices shall not include sales tax on materials, supplies, or equipment that are incorporated into the real property interest of the OWNER or are otherwise completely used and consumed in the performance of the Contract. OWNER will furnish CONTRACTOR with a Sales Tax Exemption Certificate to be issued to Suppliers in lieu of the tax.

1.5 Minimum Wages. Workers on Project shall be paid not less than wage rates, including fringe benefits, as published by the Department of Labor (DOL) for Building Construction and Heavy and Highway Trades "AS APPLICABLE" and/or the minimum wage required by City of Austin Ordinance No. 20160324-015, whichever is higher. The Total Minimum Wage required can be met using any combination of cash and non-cash qualified fringe benefits provided the cash component meets or exceeds the minimum wage required.

1.6 Addenda. Bidder shall be knowledgeable of all Addenda issued and shall acknowledge all Addenda in spaces provided on Bid form. Further information regarding the Bid documents and the Project may be obtained from the Project Manager listed at the end of Section 00020, Invitation for Bids.

1.7 Required Items. Bids must include all specified items in this section and be submitted in accordance with paragraph No. 7 below. Any additional requirement to the bid submittal is specified in Section 00820. Any corrections to a Bid shall be initialed by the person signing the Bid.

1.8 Professional Services. Bidders must secure any required professional services that are defined as professional services under the Professional Services Procurement Act, Chapter 2254 of the Texas Government Code (for example: registered professional land

surveyors and professional engineers) using the qualifications based selection process prescribed by that chapter. (Note: It is a violation of State Law to solicit Bids for professional services.)

1.9 Further Information. Prospective Bidders desiring further information or interpretation of Project Manual or Drawings must make a written request for such information to OWNER addressed to the Authorized Contact Person listed in Section 00020 no later than seven (7) Working Days before Bid opening. Interpretation of Project Manual or Drawings will be made by Addendum only and obtained through the City's Vendor Connection website. Log on to:
https://financeonline.austintexas.gov/afo/account_services/solicitation/solicitations.cfm.
Any verbal communications will not be binding on the OWNER.

1.10 Anti-Lobbying and Procurement. Article 6, Chapter 2-7, City Code, repealed and replaced effective on June 25, 2018, prohibits lobbying activities or representations by Offerors during the No Lobbying Period as defined in the Ordinance.

1.10.1. FINDINGS; PURPOSE.

(A) The council finds that persons who enter a competitive process for a city contract voluntarily agree to abide by the terms of the competitive process, including the provisions of this article.

(B) The council finds that it is in the City's interest:

(i) to provide the most fair, equitable, and competitive process possible for selection among potential vendors in order to acquire the best and most competitive goods and services; and

(ii) to further compliance with State law procurement requirements.

(C) The council intends that:

(i) each response is considered on the same basis as all others; and

(ii) respondents have equal access to information regarding a solicitation, and the same opportunity to present information regarding the solicitation for consideration by the City.

1.10.2. APPLICABILITY.

(A) This article applies to all solicitations except:

(i) City social service funding;

(ii) City cultural arts funding;

(iii) federal, state or City block grant funding;

(iv) the sale or rental of real property;

(v) interlocal contracts or agreements; and

(vi) solicitations specifically exempted from this article by council.

(B) Absent an affirmative determination by the council, the purchasing officer has the discretion to apply this article to any other competitive process.

(C) City Code Section 1-1-99 (*Offenses; General Penalty*) does not apply to this article.

1.10.3. DEFINITIONS.

In this article:

(A) AGENT means a person authorized by a respondent to act for or in place of the respondent in order to communicate on behalf of that respondent. Each of the following is presumed to be an agent:

(i) a current full-time or part-time employee, owner, director, officer, member, or manager of a respondent;
(ii) a person related within the first degree of consanguinity or affinity to a current fulltime or part-time employee, owner, director, officer, member, or manager of a respondent;
(iii) a person related within the first degree of consanguinity or affinity to the respondent, if a respondent is an individual person; and Section 0200 V2, Solicitation Instructions 4 Rev. 06-26-2018
(iv) a lobbyist, attorney, or other legal representative of the respondent that has been retained by the respondent with respect to the subject matter of either the solicitation or the respondent's response to the solicitation.

(B) AUTHORIZED CONTACT PERSON means a City employee designated in a City solicitation as the point of contact for all purposes for that solicitation.
(C) CITY EMPLOYEE is defined in Section 2-7-2 (*Definitions*), and further includes an independent contractor hired by the City with respect to the solicitation.
(D) CITY OFFICIAL is defined in Section 2-7-2 (*Definitions*).
(E) NO-LOBBYING PERIOD means the period of time beginning at the date and time a solicitation is published and continuing through the earliest of the following:
(i) the date the last contract resulting from the solicitation is signed;
(ii) 60 days following council authorization of the last contract resulting from the solicitation; or
(iii) cancellation of the solicitation by the City
(F) PURCHASING OFFICER means the City employee authorized to carry out the purchasing and procurement functions and authority of the City.
(G) RESPONSE means a written offer or submission in reply to a solicitation.
(H) RESPONDENT means a person or entity that has timely submitted or subsequently timely submits a response to a City solicitation, even if that person subsequently withdraws its response or has been disqualified by the City for any reason. Respondent includes:
(i) a subsidiary or parent of a respondent;
(ii) a joint enterprise, joint venture, or partnership with an interest in a response and in which a respondent is a member or is otherwise involved, including any partner in such joint enterprise, joint venture, or partnership; and
(iii) a subcontractor to a respondent in connection with that respondent's response.
(I) SOLICITATION means an opportunity to compete to conduct business with the City that requires council approval under City Charter Article VII Section 15 (*Purchase Procedure*), and includes, without limitation:
(i) an invitation for bids;
(ii) a request for proposals;
(iii) a request for qualifications;
(iv) a notice of funding availability; and
(v) any other competitive solicitation process for which the purchasing officer, in the purchasing officer's sole discretion, affirmatively determines this article should apply in accordance with Section 2-B.

1.10.4. RESTRICTION ON LOBBYING.

Subject to the exclusions in Section 5 (*Permitted Communications*), during a no-lobbying period,

(A) a respondent or an agent shall not communicate directly with a City official or a City employee, or both in order to:

(i) provide substantive information about any respondent or response with respect to the solicitation to which the communication relates;

(ii) encourage the City to reject one or more of the responses to the solicitation to which the communication relates;

(iii) convey a complaint about the solicitation to which the communication relates; or

(iv) ask any City official or City employee to favor or oppose, recommend or not recommend, vote for or against, consider or not consider, or take action or refrain from taking action on any vote, decision, or agenda item regarding the solicitation to which the communication relates.

(B) a City official shall not contact or communicate with a respondent regarding a response or the solicitation to which the no-lobbying period applies;

(C) a City employee, other than the authorized contact person, shall not contact or communicate with a respondent regarding a response or the solicitation to which the no-lobbying period applies.

1.10.5. PERMITTED COMMUNICATIONS.

The following communications are permitted under this article at any time:

(A) any communication between a respondent or agent and any authorized contact person, including, without limitation and in accordance with regulation, any complaint concerning the solicitation;

(B) any communication between a respondent or agent and any person to the extent the communication relates solely to an existing contract between a respondent and the City, even when the scope, products, or services of the current contract are the same or similar to those contained in an active solicitation;

(C) any communication between a respondent or an agent and a City employee to the extent the communication relates solely to a non-substantive, procedural matter related to a response or solicitation;

(D) any communication required by or made during the course of a formal protest hearing related to a solicitation;

(E) any communication between a respondent or an agent and the City's Small & Minority Business Resources Department, that solely relates to compliance with Chapters 2-9A through 2-9D (*Minority-Owned and Women-Owned Business Enterprise Procurement Program*) of the City Code;

(F) any communication between an attorney representing a respondent and an attorney authorized to represent the City, to the extent the communication is permitted by the Texas Disciplinary Rules of Professional Conduct;

(G) any communication made by a respondent or an agent to the applicable governing body during the course of a meeting properly noticed and held under Texas Government Code Chapter 551 (*Open Meetings Act*);

(H) any communication between a respondent or an agent and a City employee whose official responsibility encompasses the setting of minimum insurance requirements for the solicitation to which the communication relates, to the extent the communication relates solely to the insurance requirements established by the City in the solicitation; and

(I) any contribution or expenditure as defined in Chapter 2-2 (*Campaign Finance*).

1.10.6. MODIFICATION OF RESTRICTION.

The purchasing officer may waive, modify, or reduce the requirements in Section 4 (*Restrictions on Lobbying*) in order to allow respondents to communicate with a City employee or a City official other than the authorized contact person when the purchasing officer determines, in writing, that the solicitation must be conducted in an expedited manner, including but not limited to a solicitation conducted for reasons of health or safety under the shortest schedule possible with no extensions. Any such modification authorized by the purchasing officer shall be stated in the solicitation.

1.10.7. NOTICE.

(A) Each solicitation shall include a notice advising respondents and prospective respondents:

(i) of the requirements of this article;

(ii) that any communication initiated by a City employee or City official, other than the authorized contact person, during the no-lobbying period regarding a response or the solicitation may result in a violation of Section 4(A) if the respondent subsequently lobbies that City employee or City official.

(B) The purchasing officer, or a City employee designated by the purchasing officer, shall provide weekly written notice, accessible to all City employees and City officials, of each solicitation for which the no-lobbying period is in effect.

1.10.8. DISCLOSURE OF VIOLATION.

A City official or a City employee other than the authorized contact person that becomes aware of a violation of Section 4 (*Restrictions on Lobbying*) shall notify the authorized contact person in writing as soon as practicable.

1.10.9. ENFORCEMENT.

(A) A respondent that has been disqualified pursuant to Section 10(A) (*Disqualification; Contract Voidable*) may appeal such disqualification to a subcommittee that is less than a quorum of the Ethics Review Commission established in Chapter 2-7, Article 2 (*Ethics Review Commission*), whose decision on appeal shall be final and binding. Any appeal must be filed in the manner prescribed by the Ethics Review Commission within 5 calendar days of the notice given by the purchasing officer pursuant to Section 10(B).

(B) The purchasing officer shall waive a violation of Section 4(A) if the violation was solely the result of communications initiated by a City official or a City employee other than the authorized contact person.

(C) The purchasing officer has the authority to enforce this article through rules promulgated in accordance with Chapter 1-2 (*Adoption of Rules*), which at a minimum shall include a notice and protest process for respondents disqualified pursuant to Section 10 (*Disqualification;Contract Voidable*), including:

(1) written notice of the disqualification imposed pursuant to Section 10 (*Disqualification;Contract Voidable*);

(2) written notice of the right to protest the disqualification imposed; and

(3) written notice of the right to request an impartial hearing process.

1.10.10. DISQUALIFICATION; CONTRACT VOIDABLE.

(A) If the purchasing officer finds that a respondent has violated Section 2-7-104(1), the respondent is disqualified from participating in the solicitation to which the violation related.

(B) The purchasing officer shall promptly provide written notice of disqualification to a disqualified respondent.

(C) If a respondent is disqualified from participating in a solicitation as a result of violating Section 2-7-104(1) and the solicitation is cancelled for any reason, that respondent is also disqualified from submitting a response to any reissue of the same or similar solicitation for the same or similar project. For the purposes of this section, the purchasing officer may determine whether any particular solicitation constitutes a "same or similar solicitation for the same or similar project".

(D) If a respondent violates Section 104(1) and is awarded a contract resulting from the solicitation to which the violation relates, the City may void that contract.

(E) Respondents that violate Section 2-7-104(1) three or more times during a five year period may be subject to debarment from participating in any new contracts with the City for a period of up to three years.

1.11 City's Minority-Owned and Women-Owned Business Enterprise / Disadvantaged Business Enterprise (MBE/WBE or DBE) Program Requirements. Good Faith Efforts. When a bidder cannot achieve the MBE/WBE or DBE goals or subgoals established for the project, the bidder must document its Good Faith Efforts to meet the goals or subgoals. Good Faith Effort evaluations will consider, at a minimum, the bidder's efforts to do the following:

1.11.1 Soliciting through at least two reasonable, available and verifiable means MBEs/WBEs within the Significant Local Business Presence boundaries at least seven (7) business days prior to the bid opening date to allow the MBEs/WBEs or DBEs to respond to the bid.

1.11.2 Providing interested MBEs/WBEs or DBEs adequate information about the bid documents and requirements, including addenda, in a timely manner to assist them in responding to the bid.

1.11.3 Negotiating in good faith with interested MBEs/WBEs DBEs that have submitted bids to the bidder.

1.11.4 Publishing notice in a local publication such as a newspaper, trade association publication or via electronic/social media.

1.11.5 Not rejecting MBEs/WBEs or DBEs as being unqualified without sound reasons based on a thorough investigation of their capabilities.

1.11.6 Making economically feasible portions of the work available to MBE/WBE or DBE subcontractors and suppliers and to select those portions of the work or material needs consistent with the available MBE/WBE or DBE subcontractors and suppliers, so as to facilitate meeting the goals or subgoals.

1.11.7 The ability or desire of the bidder to perform the project work with its own organization does not relieve the bidder of the responsibility to make Good Faith Efforts.

1.11.8 Bidders are not required to accept higher quotes in order to meet the goals or subgoals.

1.11.9 Effectively using the services of Minority Person/Women community organizations; Minority Person/Women Contractors groups; local, state and federal Minority Person/Women business assistance offices; and other organizations to provide assistance in solicitation and utilization of MBEs, WBEs and/or DBEs.

1.11.10 In assessing minimum Good Faith Efforts, the OWNER may consider (1) whether the bidder sought guidance from the City of Austin Small and Minority Business Resources Department (SMBR) on any question regarding compliance with these requirements; and (2) the performance of other bidders in meeting the goals.

For additional information, refer to the MBE/WBE or DBE Compliance Program Requirements Volume of the Project Manual.

Bid shopping is not allowed in conjunction with this solicitation and may result in the disqualification of prospective bidders and subcontractors.

2. ESTIMATES OF QUANTITIES (UNIT PRICE CONTRACTS ONLY)

Quantities listed in unit price Bid form are to be considered approximate quantities and will be used only for comparison of Bids. Payment to CONTRACTOR will be made only for actual quantities of Work performed or materials furnished in accordance with Contract and it is understood that quantities may be increased or decreased as provided in Section 00700, General Conditions, and as may be modified by Section 00810, Supplemental General Conditions.

3. DRAWINGS, PROJECT MANUAL AND SITE (S) OF WORK

Before submitting a Bid, the Bidder shall carefully examine the Bid Documents, site(s) of the proposed Work, soils, and other conditions that may affect the performance of the Work to satisfy the Bidder as to character, quality and quantities of Work to be performed and materials to be furnished. By submitting a Bid, the Bidder will be deemed to have certified that the Bidder has complied with these requirements. If, during preparation of the Bid, the Bidder discovers any suspected discrepancies or errors, the Bidder must immediately notify the Authorized Contact Person in writing of the suspected discrepancy or error. Failure to provide written notice of any suspected discrepancies or errors may be cause for rejection of the Bid.

4. BID GUARANTY

All Bids shall be accompanied by a Bid guaranty in an amount of not less than five percent (5%) of the total Bid. Bid guaranty will be a Bid bond with Power of Attorney attached, issued by a solvent surety authorized under laws of the State of Texas and acceptable to OWNER. For Bidders electing to submit Bids and Bid Guaranties electronically via Austin Finance Online, Bid Guaranties will be verified by the Owner prior to bid certification and electronic copies of Bid Guaranties will not be returned to Bidders.

The Bid guaranty accompanying the Bid of the three (3) apparent low Bidders will be retained until Contract is awarded and successful Bidder executes Contract and furnishes required bonds and insurance, after which Bid guaranties will be returned to the Bidders. All other

Bid guaranties will be returned after Bid certification. In the event that the Bidder to whom the Contract is awarded fails to execute the Contract within five (5) working days of receipt of a complete set of Contract Documents whether in electronic or hard copy form, the Bidder agrees that the OWNER in its discretion may rescind the initial award and award the Contract to the next lowest responsible Bidder.

5. PERFORMANCE AND PAYMENT BONDS

When performance and/or payment bonds are required, each shall be issued in an amount equal to the Contract Amount as security for the faithful performance and/or payment of all Contractor's obligations under the Contract Documents. Performance and payment bonds shall be issued by a solvent corporate surety authorized to do business in the State of Texas, and shall meet any other requirements established by law or by OWNER pursuant to applicable law.

6. CONSIDERATION OF BID AMOUNT

For purpose of award, after Bids are opened, reviewed, and certified, the total amount of the Bid, including accepted Bid alternates, will be considered the amount of the Bid. Certified Bid tabulations will be made available to the public through the City's Vendor Connection website, log on:
https://financeonline.austintexas.gov/afo/account_services/solicitation/solicitations.cfm.
OWNER reserves the right to reject any or all Bids and to waive any minor informality in any Bid or solicitation procedure (a minor informality is one that does not affect the competitiveness of the Bids).

7. SUBMISSION OF BID

Each Bid must be completed and signed by person(s) authorized to bind individual, partnership, firm, corporation, or any other legal entity submitting the Bid, and, shall include the following in one envelope or electronically via Austin Finance Online eResponse:

7.1 One copy of Bid form (Section 00300L or 00300U) completed and signed.

7.2 Acknowledgment of receipt of Addenda issued in spaces provided in Bid form.

7.3 Required Bid guaranty (copy of Bid guaranty if submitted electronically via Austin Finance Online).

7.4 Required Information indicated in Drawings or Project Manual as specified in Section 00820.

7.5 One copy of Total Bid Form if bid is submitted electronically via Austin Finance Online.

Bid must be accompanied by an MBE/WBE or DBE Compliance Plan. Compliance Plans will either be submitted separately, in a second envelope or electronically via Austin Finance Online, prior to the date and time set forth in Section 00020, Invitation for Bids. The Compliance Plan forms are included in the MBE/WBE Procurement Program Package or DBE Procurement Program Package (a separately bound volume).

Bid shall include all specified items in this section submitted electronically via Austin Finance Online, or may be submitted to the Capital Contracting Office in a sealed envelope, clearly identified on outside as a Bid to OWNER, with Bidder's company name and address, project

name, bid due date/time, signed acknowledgement of the number of Addenda received and authorized signature. Failure to submit Bid appropriately may subject Bidder to disqualification.

Sealed Bids may be mailed using the address below:

Address for US Mail (If mailed to the physical address, the proposal will be returned unopened)
City of Austin
Capital Contracting Office
P. O. Box 1088
Austin, Texas 78767-1088

NOTE: Bids must either be received and time stamped in the Capital Contracting Office prior to the Due Date and Time or submitted electronically via Austin Finance Online. The time of record for those electronically submitted is the time received in Austin Finance OnLine. It is the responsibility of the Offeror to ensure that their Bid arrives at the reception desk in the Capital Contracting Office or electronically prior to the time and date indicated. Arrival at the City's mailroom, mail terminal, or post office box will not constitute the Proposal arriving on time.

It is the sole responsibility of the Bidder to ensure timely delivery of Bid. OWNER will not be responsible for failure of service on the part of the U.S. Post Office, courier services, or any other form of delivery service chosen by the Bidder. (See Section 00820, Modifications to Bidding Requirements and Contract Forms, for modifications to solicitations without MBE/WBE or DBE goals.)

In submitting its Bid, Bidder certifies that it has not lobbied the City or its officials, managers, employees, consultants, or contractors in such a manner as to influence or to attempt to influence the bidding process. In the event it reasonably appears that the Bidder influenced or attempted to influence the bidding process, the City may, in its discretion, reject the Bid.

8. WITHDRAWAL OF BID

A Sealed Bid may be withdrawn by a Bidder, provided an authorized individual of the Bidder submits a written request to withdraw the Bid prior to the time set for opening the Bids. For withdrawal of electronic bids see eResponse Instructions.

9. REJECTION OF BIDS

9.1 The following **will** be cause to reject a Bid:

9.1.1 Failure to submit Section 00300 (Bid Form) and signed by an individual empowered to bind the Bidder.

9.1.2 Bids which are not accompanied by acceptable Bid guaranty, with Power of Attorney attached, or a letter certifying the Bidder's ability to be bonded, from a surety company, in accordance with Paragraph 4 above.

9.1.3 More than one Bid for same Work from an individual, firm, partnership or corporation.

9.1.4 Evidence of collusion among Bidders.

9.1.5 Sworn testimony or discovery in pending litigation with OWNER which discloses misconduct or willful refusal by contractor to comply with subject contract or instructions of OWNER.

9.1.6 Failure to submit MBE/WBE or DBE Compliance Plan in accordance with the separately bound volume titled MBE/WBE Procurement Program Package or DBE Procurement Program Package.

9.1.7 Failure to have an authorized agent of the Bidder attend the mandatory Pre-Bid Conference, if applicable.

9.1.8 Bids received from a Bidder who has been debarred or suspended by OWNER's Purchasing Officer.

9.1.9 Bids received from a Bidder when Bidder or principals are currently debarred or suspended by Federal, State or City governmental agencies. (Applicable for Bid amounts equal to or in excess of $25,000.00).

9.1.10 Bids received from a Bidder, who is identified on a list maintained by the Texas Comptroller of Public Accounts as a company known to have contracts with or provide supplies or services to a foreign terrorist organization, unless otherwise exempted from sanctions by the United States government.

9.2 The following **may** be cause to reject a Bid:

9.2.1 Poor performance in execution of work under a previous City of Austin contract.

9.2.2 Failure to achieve reasonable progress on an existing City of Austin contract.

9.2.3 Default on previous contracts or failure to execute Contract after award.

9.2.4 Evidence of failure to pay Subcontractors, Suppliers or employees in accordance with Contract requirements.

9.2.5 Bids containing omissions, alterations of form, additions, qualifications or conditions not called for by OWNER, or incomplete Bids may be rejected. In any case of ambiguity or lack of clarity in the Bid, OWNER reserves right to determine most advantageous Bid or to reject the Bid.

9.2.6 Failure to acknowledge receipt of Addenda.

9.2.7 Failure to submit any of the items specified below in paragraph 11, "Submission of Post Bid Information".

9.2.8 Failure to identify a dollar amount (price) of a unit price(s) in the 00300U including all Bid Alternates in the Bid Form 00300U or 00300L.

9.2.9 Failure to submit post-Bid information within the allotted time(s) (see paragraph 11 for post-Bid requirements).

9.2.10 Failure to timely execute Contract after award.

9.2.11 Previous environmental violations resulting in fines or citations by a governmental entity (i.e. U.S. Environmental Protection Agency, Texas Commission on Environmental Quality, etc.).

9.2.12 Safety record as set forth in Section 00410, Statement of Bidder's Safety Experience.

9.2.13 Failure of Bidder to demonstrate the minimum experience required as specified in Section 00400 if that Section is included in the bidding documents.

9.2.14 Evidence of Bidder's lack of sufficient resources, workforce, equipment or supervision, if required by inclusion of appropriate attachments in Section 00400.

9.2.15 Evidence of poor performance on previous Projects as documented in Owner's project performance evaluations.

9.2.16 Unbalanced Unit Price Bid: "Unbalanced Bid" means a Bid, which includes a Bid that is based on unit prices which are significantly less than cost for some Bid items and significantly more than cost for others. This may be evidenced by submission of unit price Bid items where the cost are significantly higher/lower than the cost of the same Bid items submitted by other Bidders on the project.

10. PROTEST PROCEDURES

The OWNER's Capital Contracting Officer has the authority to settle or resolve any claim of an alleged deficiency or protest. The procedures for notifying OWNER of an alleged deficiency or filing a protest are listed below. If you fail to comply with any of these requirements, the Capital Contracting Officer may dismiss your complaint or protest.

10.1 Prior to Bid opening: If you are a prospective Bidder and you become aware of the facts regarding what you believe is a deficiency in the solicitation process before the Bid is opened, you must notify OWNER in writing of the alleged deficiency before that date, giving OWNER an opportunity to resolve the situation prior to the Bid opening.

10.2 After Bid opening: If you submit a Bid to OWNER and (1) you have been found non-responsive, or (2) you believe that there has been a deficiency in the solicitation process or the award, you have the opportunity to protest the solicitation process or the recommended award as follows:

10.2.1 You must file written notice of your intent to protest within four (4) calendar days of the date that you know or should have known of the facts relating to the protest. If you do not file a written notice of intent within this time, you have waived all rights to protest the solicitation process or the award.

10.2.2 You must file your written protest within fourteen (14) calendar days of the date that you know or should have known of the facts relating to the protest unless you know of the facts before the Bid has been opened. If you know of the facts before that date, you must notify OWNER as stated above.

10.2.3 You must submit your protest in writing and must include the following information:

.1 your name, address, telephone, and email address;
.2 the solicitation number and the CIP number, if applicable;
.3 a detailed statement of the factual grounds for the protest, including copies of any relevant documents.

10.2.4 Your protest must be concise and presented logically and factually to help with OWNER's review.

10.2.5 When OWNER receives a timely written protest, the Capital Contracting Officer will determine whether the grounds for your protest are sufficient. If the Capital Contracting Officer decides that the grounds are sufficient, the Capital Contracting Office will schedule a protest hearing, usually within five (5) working days. If the Capital Contracting Officer determines that your grounds are insufficient, you will be notified of that decision in writing.

10.2.6 The protest hearing is informal and is not subject to the Open Meetings Act. The purpose of the hearing is to give you a chance to present your case; it is not an adversarial proceeding. Those who may attend from OWNER are: representatives from the department that requested the purchase, the Law Department, the Capital Contracting Office, and other appropriate City staff. You may bring a representative or anyone else that will present information to support the factual grounds for your protest with you to the hearing.

10.2.7 A decision will usually be made within fifteen (15) calendar days after the hearing.

10.2.8 The Capital Contracting Officer will send you a copy of the hearing decision after the appropriate City staff has reviewed the decision.

10.2.9 When a protest is filed, OWNER usually will not make an award until a decision on the protest is made. However, OWNER will not delay an award if the City Manager or the Capital Contracting Officer determines that:

.1 OWNER urgently requires the supplies or services to be purchased, or
.2 Failure to make an award promptly will unduly delay delivery or performance.

In those instances, the Capital Contracting Office will notify you and make every effort to resolve your protest before the award.

The protest or notice of intent and the protest shall be submitted in writing to:

Address for Delivery Service:
City of Austin
Capital Contracting Office
ATTN: Capital Contracting Officer
One Texas Center
505 Barton Springs Rd.
Suite 330
Austin, Texas 78704
PHONE: 512/974-7141

Address for US Mail:
City of Austin
Capital Contracting Office
ATTN: Capital Contracting Officer
P.O. Box 1088
Austin, Texas 78767-1088

11. SUBMISSION OF POST BID INFORMATION

11.1 Prior to determination of the certified low Bidder, the three (3) apparent low Bidders

must submit to OWNER the following information within three (3) business days of receipt of notice of apparent low Bidder status by the OWNER:

11.1.1 One copy of Attachments A-I and any other specifically designated Attachments of the Statement of Bidder's Experience (Section 00400), completed and signed. (Unless provided to the contrary in Section 00820 Modifications to Bidding Requirements and Contract Forms). (Note: OWNER reserves the right to solely determine whether the comparable experience documentation provided by the Bidder is sufficient and relevant to the Work described in the Contract Documents for the Bidder to be considered a responsible Bidder.)

11.1.2 One Copy of the Certificate of Non-Suspension or Debarment (Section 00405), completed and signed. (Applicable for Bid amounts equal to or in excess of $25,000.00.)

11.1.3 One copy of Section 00410, Statement of Bidder's Safety Experience, including required attachments, completed and signed.

11.1.4 One copy of the Title VI Assurances Appendix A (Section 00631), completed and signed.

11.1.5 One copy of the Title VI Assurance Appendix E (Section 00632), completed and signed.

11.1.6 One copy of Exhibit A Federal Provisions (Section 00810A) completed and signed. (Federal projects only)

11.1.7 Such other information as is required to evaluate Bid or Bidder.

11.2 Upon notification of status as certified low Bidder, Bidder shall submit the following information to OWNER within three (3) business days:

11.2.1 Confirmation Letters between Bidder and all subcontractor(s) and all supplier(s) identified in the MBE/WBE Compliance Plan.

11.2.2 Section 00425A, Insurance Cost Form. For ROCIP projects.

11.2.3 Section 00425B, Contractor Affidavit of Receipt and Provision of ROCIP Information, and Subcontractor Affidavit of Receipt and Provision of ROCIP Information (for Subcontractor(s) of all tiers identified in the MBE/WBE Compliance Plan). For ROCIP projects.

11.2.4 Such other information as required. In addition, the Bidder acknowledges and agrees that the failure to timely provide the additional information required by this section will result in a determination that, for the purposes of this solicitation, the Bidder has not provided sufficient information for the OWNER to be able to determine that the Bidder is a responsible Bidder.

12. AWARD AND EXECUTION OF CONTRACT

OWNER will process Bids expeditiously. Award of Contract will be to the lowest, responsible Bidder meeting all requirements of the Bid Documents. OWNER may not award Contract to a nonresident Bidder unless the nonresident underbids the lowest Bid submitted by a responsible resident Bidder by an amount that is not less than the amount by which a

resident Bidder would be required to underbid the nonresident Bidder to obtain a comparable contract in the state in which the nonresident's principal place of business is located.

Award of Contract will occur within the period identified on the Bid form, unless mutually agreed between the parties. Capital Contracting Officer shall submit recommendation for award to the City Council for those project awards requiring City Council action. Contract will be signed by City Manager or his/her designee after award and submission of required documentation by Bidder. Contract will not be binding upon OWNER until it has been executed by both parties. OWNER will process the Contract expeditiously. However, OWNER will not be liable for any delays prior to the award or execution of Contract.

Upon contract award, the selected Bidder must submit either their existing or an updated personnel policy (on letterhead) documenting conformity with City Code, Chapter 5-4, § 5-4-2. If the company does not submit a copy of their personnel policy incorporating the non-discrimination policy, the City of Austin Nondiscrimination Policy (Section 00630) will be considered the Bidder's nondiscrimination policy.

In any case of ambiguity or lack of clarity in the Bid, OWNER reserves the right to determine the most advantageous Bid or to reject the Bid.

Notwithstanding anything in this Section 00100 to the contrary, the OWNER may award a contract for construction services in an amount of less than $100,000 to a bidder whose principal place of business is in the City of Austin and whose bid is within 5% of the lowest bid price received from a bidder whose principal place of business is not within the City of Austin, if the City finds that the local bidder offers the City the best combination of contract price and additional economic development opportunities for the City created by the contract award including the employment of resident of the City and increased tax revenues to the City.

13. PARTNERING

In order to complete the Work in a manner that is most beneficial to the OWNER and CONTRACTOR, OWNER and CONTRACTOR may form a "Partnering Team", which will include the E/A, and any major Subcontractors. This partnering relationship will draw on the strength of all parties to identify and achieve mutual goals. The objectives of this partnering relationship are effective and efficient communication and Contract performance, which is intended to ensure that the Project is completed within budget, on schedule, and in accordance with the Drawings and Specifications and other Contract requirements. While the partnering relationship will be multilateral in makeup and participation will be totally voluntary, the OWNER and CONTRACTOR agree to cooperate and use reasonable good faith efforts to discuss and resolve any and all Project issues and disputes. Section 01100, Special Project Procedures and/or Section 01200, Project Meetings contain additional information regarding the intent of the partnering relationship and responsibilities of the entities entering into the partnering charter.

14. ROCIP REQUIREMENTS

If the insurance on this Project will be under the Rolling Owner Controlled Insurance Program (ROCIP), the Bidder is directed to Section 00810, Supplemental General Conditions, Section 00820, Modifications to Bidding Requirements and Contract Forms, and the Project Safety Manual included with these contract documents for information and bidding requirements.

The Insurance Cost Form, Section 00425A must be accurately completed and submitted with the Bid to indicate insurance removed from Base Bid and Alternates. CONTRACTOR shall remove from the Bid the cost of insurance for the CONTRACTOR and Subcontractors of all tiers working on site.

The Rolling Owner Controlled Insurance Program Information, Section 00425 B, Contractor Affidavit of Receipt and Provision of ROCIP Information and Subcontractor Affidavit of Receipt and Provision of ROCIP Information for subcontractor(s) of all tiers identified in the MBE/WBE Compliance Plan must be accurately completed and submitted by the certified low bidder as a post bid submittal. Subcontractor Affidavits must be submitted throughout the duration of the Contract as Subcontractor(s) are added.

15. SIGNATURE REQUIREMENTS

The Bid and any subsequent supporting Bid documents and Contract must be executed in the Bidder's full name and legal entity status by an authorized representative of the Bidder. Accordingly, a partnership/joint venture must file its partnership/joint venture agreement, a corporation must file its articles and bylaws, a limited liability company must file its certificate of organization and article of organization and regulations, and a limited partnership must file not only limited partnership agreement and the certificate of limited partnership, but also the documentation for its general partner, and any Bidder must file a copy of any assumed name certificate, or such limited portion of such documents reasonably establishing signature authority.

16. CONTRACTOR EVALUATION

The Owner will review and evaluate the Contractor's Work and performance on the Project and provide the Contractor with a written Contractor Evaluation Report in accordance with City of Austin Administrative Rule R161-13.37. Rule R161-13.37 provides an appeal process. http://austintexas.gov/department/cpe-program

17. TEXAS ETHICS COMMISSION CERTIFICATE OF INTERESTED PARTIES DISCLOSURE FORM

17.1 Definitions:

17.1.1 "Interested Party" – means a person who has a controlling interest in a Business Entity with whom the Owner contacts or who actively participates in facilitating the Contract or negotiating the terms of the Contract, including a broker, intermediary, adviser, or attorney for the Business Entity.

17.1.2 "Business entity" - includes an entity through which business is conducted with a governmental entity or state agency, regardless of whether the entity is a for-profit or nonprofit entity. The term does not include a governmental entity or state agency.

17.2 As a condition to entering the Contract, the Business Entity constituting the successful Bidder must provide a Texas Ethics Commission Certificate of Interested Parties Form to the Owner at the time the Business Entity/Bidder submits the signed Contract to the Owner in full compliance with the following requirements under which the successful Bidder shall:

17.2.1 Go to the Ethics Commission's website (www.ethics.state.tx.us),

17.2.2 Complete the "Interested Parties" information, in accordance with the requirements of the Texas Ethics Commission Rules published at Title 1, Part 2, Chapter 46, of the Texas Administrative Code and available on the referenced website,

17.2.3 Include the City's contract identification number,

17.2.4 Include a short description of the goods or services to be used by the City, and

17.2.5 Indicate whether each interested party has a controlling interest in the business entity, is an intermediary in the contract for which the disclosure is being filed, or both.

17.3 In accordance with the Commission Rules, the Certificate of Filing and completed Certificate of Interested Parties must be (i) printed, (ii) signed by an authorized agent of the business entity, and (iii) submitted to the City at the time of the submission of the signed contract to the City. The City then must notify the Ethics Commission in electronic format of receipt of the document within 30 days of contracting and the Commission will make the disclosure of interested parties available to the public on its website.

END

GEOTECHNICAL DATA
Section 00220

1. OVERVIEW

Depending on Project requirements, OWNER may have obtained geotechnical information, which may include laboratory test results and logs of borings from geotechnical consultants. That information will be included in this section and/or on the Drawings. The CONTRACTOR shall be familiar with the subsurface materials and conditions on the Project and shall be knowledgeable of how they will affect the Work. The following is a partial listing of sources of information available to the CONTRACTOR about subsurface materials and conditions: the geotechnical information provided by the OWNER; geologic maps, publications and reports available from the University of Texas Bureau of Economic Geology at the J.J. Pickle Research Center in Austin, Texas; subcontractors familiar with local ground conditions; and, local consulting geologists and geotechnical engineers. The CONTRACTOR may make their own subsurface investigations.

Geotechnical Engineering Report Davis Water Treatment Plant Expansion, dated February 1, 2017, prepared by HVJ Associates, is attached. The requirements in the Drawings and Specifications shall be used in the event of a conflict between this report and the Drawings and Specifications.

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GEOTECHNICAL ENGINEERING REPORT
DAVIS WATER TREATMENT PLANT EXPANSION
AUSTIN, TEXAS

SUBMITTED TO
AECOM
400 WEST 15th STREET, SUITE 500
AUSTIN, TX 78701

BY
HVJ ASSOCIATES®
JULY 10, 2017

HVJ REPORT NO. AG 12 14641.2

4201 Freidrich Lane, Suite 110
Austin, Texas 78744
512.447.9081 Ph
512.443.3442 Fax
www.hvj.com

July 10, 2017

Mr. Jake Balcom, PE
AECOM
400 West 15th Street, Suite 500
Austin, Texas 78701

Re: Geotechnical Engineering Report
Davis Water Treatment Plant Expansion
Austin, Texas
Owner: City of Austin
HVJ Project No. AG 12 14641.2

Dear Mr. Balcom:

Submitted herein is a Final Geotechnical Engineering Report of our geotechnical exploration for the above referenced project. The study was performed in conjunction with URS Project No. 60419267 dated February 19, 2016 and Work Authorization No. 305598.US dated March 6, 2014.

It has been a pleasure to work for you on this project and we appreciate the opportunity to be of service. Please notify us if there are questions or if we may be of further assistance.

Sincerely,

HVJ South Central Texas – M&J, Inc.
Texas Firm Registration No. F-18091

Leo Ruiz, PE
Project Manager

7/10/2017

Barry Miller, PG, EIT
Graduate Engineer

This seal appearing on this document was authorized by Leo Ruiz, P.E. 97291 on July 10, 2017. Alterations of a sealed document without proper notification to the responsible engineer is an offense under the Texas Engineering Practice Act.

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I. EXECUTIVE SUMMARY

HVJ Associates® (HVJ) was retained by AECOM to perform a geotechnical investigation for the Davis Water Treatment Plant Expansion project in Austin, Texas. The proposed project is located within the Albert R. Davis Water Treatment Plant facility which is located north of the intersection of West 35th Street and Mount Bonnell Road, east of the Colorado River, as shown on the Site Vicinity Map, Plate 1. The purpose of this project is to investigate the subsurface conditions for the design and construction of two (2) below surface concrete valve vaults (Vault 1 and Vault 2), a metal frame building, and a recommendations for a 36" buried pipeline at the proposed project site. A brief summary of the investigational findings and pertinent recommendations are as follows:

1. Four (4) borings were drilled at this project site to a depths ranging from approximately 23.5 to 25 feet below existing grade.

2. Borings B-1 and B-2 were drilled through pavement sections consisting of 10 and 2-inches of asphaltic concrete over 2 and 4-inches of tan flexible base, respectively.

3. Based on the subsurface investigation, fill at this project site was encountered in Borings B-1, B-2, B-3 and B-4 from the surface extending to depths of 10 - 25 feet below grade. A residual Sandy Lean Clay (CL) was encountered beneath the fill at Boring B-1 at 3 feet and extended to a depth of 10 feet. Fill at this project site was encountered in all four borings from the surface and pavement extending to depths of 3 to 25 feet and was classified as Lean Clay (CL), Clayey Gravel with Sand (GC), Clayey Gravel (GC), Clayey Sand (SC), Well-Graded Sand (SW), and Silty Clayey Gravel (GC-GM). The fill was described as brown to light brown, light brown to tan, light tan to gray to reddish brown, very stiff in consistency and loose to dense in relative density, and contained limestone fragments.

   Georgetown limestone formation was encountered at borings B-1, B-2 and B-4 below the fill and residual soils at depths ranging from 10 to 15 feet and extended to the termination depths of 23.5 to 24.5 feet below existing grade. The Georgetown limestone encountered at this site was light tan and tan to light gray, low to moderate hardness, and highly to moderately weathered.

4. Groundwater was not encountered in any of the boring locations during drilling activities. However, it should be noted the groundwater levels may fluctuate seasonally, in response to climatic conditions. In addition, perched groundwater conditions may also exist between soil and rock interface.

5. The metal frame building and Vault 2 structures are recommended to be founded on properly compacted select fill whereas the Vault 1 structure will be founded on highly weathered limestone. The table below gives bearing capacity values for the founding material. The bearing capacity has a safety factor of three.

Bearing Capacity Values

Structure	Soil/Rock Description	Allowable Bearing Capacity (psf)*
Metal Building/Vault 2	Select fill	3,000
Vault 1	Highly Weathered Limestone	5,000

6. The invert depths of the proposed line range from 9 to 15 feet below existing grade. The pipe should be installed in a bedding envelope in accordance with City of Austin (COA) Standard Specifications Item 510 and standard details No. 510S-3 and 510S-5. As per City of Austin Standard Specifications Item 510, pea gravel or uncrushed gravel can be used as standard bedding materials for the 36" water line. Trench backfill should conform to City of Austin Standard Specifications Item 510.3(25) and standard details No. 510S-3 and 510S-5.

Please note that this executive summary does not fully relate our findings and opinions. Those findings and opinions are only presented though our full report.

1 INTRODUCTION

1.1 General

HVJ Associates® (HVJ) was retained by AECOM to perform a geotechnical investigation for the Davis Water Treatment Plant Expansion project in Austin, Texas. The proposed project is located within the Albert R. Davis Water Treatment Plant facility which is located north of the intersection of West 35th Street and Mount Bonnell Road, east of the Colorado River. A Site Vicinity Map is provided on Plate 1.

HVJ understands that certain geotechnical information is needed to complete this project. This includes characterizing the relevant properties of the subsurface soil/rock to aid in the design and construction of the proposed facilities to be constructed at this project site.

1.2 Scope of Work

HVJ's scope of work for the Davis Water Treatment Plant Expansion project is to provide information on subsurface conditions for design and construction of two (2) below-grade concrete valve vaults, a 20' x 40' metal frame structure, and a general excavation and bedding recommendations for a 36" buried pipeline at the proposed project site.

The primary objective of this study was accomplished by:

1. Drilling a total of 4 borings to depths ranging from 23.5 to 25 feet below existing grade for a total of 96.5 feet,

2. Performing laboratory tests to supplement field classification and to determine physical and engineering characteristics of the soils and bedrock material encountered; and

3. Performing engineering analyses to develop design guidelines and recommendations for the proposed vaults, metal building, and waterline.

Subsequent sections of this report contain descriptions of the subsurface exploration, laboratory testing program, and general site and subsurface conditions.

2 FIELD EXPLORATION

2.1 General

The field exploration program undertaken for the project was conducted on September 27th, September 28th, and October 6th, 2016. A total of 4 borings, at locations selected by the Client, were completed to investigate subsurface conditions. On September 27th and 28th, the four borings were vacuum extracted to depths ranging from 3 to 9.5 feet below existing grade, as requested by the Client, to avoid penetrating below grade utilities. It should be noted Borings B-3 and B-4 were offset as needed to avoid underground utilities that were present during the vacuum extraction process. On October 6th, the borings were then completed by using a truck-mounted rig equipped with continuous flight augers as well as standard soil sampling equipment. The approximate boring locations are provided in the Plan of Borings, Plate 3.

Table 1 below depicts the northing, easting, station, elevation and depth the borings. The boring logs are presented in Plates 4-7, along with keys to terms and symbols used provided on Plate 8A-8B.

Table 1 – Bo ring Details

Boring	Northing	Easting	Elevation (ft)	Depth (ft)
B-1	10088279	3104449	538	24.5
B-2	10088195	3104464	538	23.5
B-3	10088137	3104331	537	25
B-4	10088135	3104309	537	23.5

Note: Borings were not professionally surveyed and were taken from handheld GPS device accurate to +/- 10 feet. Coordinates are based on the Texas State Plane Coordinate System, Central Zone, NAD 83(93). The elevations are approximate based on Google Earth 2016. Units: US Survey Feet.

2.2 Sampling Methods and Field Testing

Standard Penetration Tests (SPTs) were conducted in non-cohesive soils within the soil strata. The SPTs were performed in accordance with ASTM D 1586 – *Penetration Test and Split-Barrel Sampling of Soils*. The procedure performed in the field consisted of driving a standardized 1 5/8 –inch diameter split-spoon sampler into undisturbed soil with a 140-pound hammer falling 30 inches. The split-spoon sampler was first seated 6 inches to penetrate any loose cuttings and was then driven an additional 12 inches with blows from the hammer. The number of hammer blows required to drive the sampler each 6-inch increment was recorded. The penetration resistance, or "N-value", is defined as the number of hammer blows required to drive the sampler the final 12 inches and was used in the field to estimate the density of granular soils or the consistency of cohesive soils. In very dense material the SPT test was typically stopped after 50 blows from the hammer and the measurement was recorded as 50 blows per distance penetrated (e.g. 50 over 3 inches).

Classification and field test results for grab samples and split-spoon samples were recorded onto field logs, which included a visual description in accordance with ASTM D 2488 – *Description and Identification of Soils*. After field documentation and logging was complete, the individual soil samples were either wrapped in plastic or placed in sealed containers to prevent loss of moisture and were transported to our laboratory for further examination and testing.

2.3 Groundwater Conditions

Groundwater was not encountered in any of the borings during drilling activities. It should be noted that groundwater levels may fluctuate seasonally in response to climatic conditions. Perched groundwater conditions may exist at the interface between soil and rock surface. It should also be noted that groundwater levels may fluctuate seasonally in response to rainfall and climatic conditions, and that the groundwater in the project area will be consistent with the nearby Colorado River water level.

2.4 Borehole Completion

The borings were backfilled with soil cuttings and bentonite chips, as required. Borings drilled through the existing pavement section were topped with a single lift of cold mix asphalt patch to match the existing pavement surface upon completion of drilling.

3 LABORATORY TESTING

Soil samples transported to our laboratory were further examined and described, and a preliminary soil classification was assigned to each soil sample based on ASTM D 2487 – *Classification of Soil for Engineering Purposes.*

Classification testing, which included moisture contents, Atterberg limits, and percent passing the No. 200 sieve, was subsequently conducted on select samples. All testing was performed in accordance with the relevant ASTM and TxDOT Standards as required. The results of these tests were used to confirm or modify the preliminary soil classifications.

The sampling information obtained in the field was used in conjunction with the laboratory examination and testing to generate boring logs, provided in Plates 4-7. Keys to Terms and Symbols for the boring logs are provided on Plates 8A-8B. The laboratory test results are provided on the final borings logs, as well as tabulated in Appendix A. A brief description of the test procedures is given below.

3.1 Atterberg Limits

Select samples were tested to determine the Atterberg Limits in accordance with ASTM D4318-10 (Tex 104E, and 105E). The Atterberg Limit test is used to classify the soil using the Unified Soil Classification System (USCS). The Atterberg Limit test consists of two parts: a liquid limit test and a plastic limit test. The liquid limit equipment setup consists of a brass cup partially filled with soil which is grooved with a specialized grooving tool, and then dropped freely from a specified height to the rubber base below at a constant rate of 2 drops per second. The liquid limit test is performed on soil that has been sieved through the No. 40 sieve and brought to a moisture content that would close the ½-inch groove within 20 to 30 blows for two consecutive tests. The moisture content of the soil is then measured and recorded as the liquid limit. The second part of the test consists of a rolling a remolded sample between the tips of the fingers and a glass plate until transverse cracks appear at a rolled diameter of 1/8-inch. The moisture content of the rolled sample is taken and recorded as the plastic limit.

3.2 Moisture Content

Moisture content testing was performed on select soil samples to determine the in situ state of moisture of the soil. A fresh sample was weighed before being placed in an oven with a controlled temperature of 230°F and dried back to a constant mass. Upon the drying and reweighing of the sample, the total mass of water lost was recorded. The ratio of the water loss to the dried mass is recorded as the moisture content. This test was performed in accordance with ASTM D2216-10 (Tex 103E).

3.3 Percent Passing the No. 200 Sieve

Select soil samples were tested in accordance with ASTM D1140-00 (Tex 111E) to determine the amount of material finer than the No. 200 sieve for use in classification. An oven dried sample of material is weighed then washed over a 75-μm (No. 200) sieve, allowing clay and other particles to be dispersed and removed from the soil. The retained material is oven dried then reweighed. The loss in mass resulting from the washing is calculated as mass percent of the original sample and is reported as the percentage of material finer than a No. 200 sieve.

4 SITE CHARACTERIZATION

4.1 General Geology

Austin, Texas is bounded by the Edwards Plateau to the west and the Gulf Coastal Plains to the east and southeast. These physiographic provinces are separated by the Balcones Fault Zone (BFZ), a belt of inactive faults which trends generally southwest to northeast through central Austin. The project site is located within the BFZ and numerous mapped faults are within the vicinity of the project alignment. The faults generally trend in a north-south direction; however, there are no faults that appear to exist within the construction limits of this project. Though the BFZ is not considered

seismically active, it is important in that subsurface conditions may vary significantly across the fault boundaries. Highly plastic clays and limestone rock can be expected next to each other in faulted areas, and the fractures associated with faulting have the potential for increased permeability.

Based on review of available geological information[1], and the field exploration program, the project alignment lies within a complex geological area characterized by the Edwards Limestone (Ked) and the Georgetown Formation (Kgt) as shown on the Geology Map, Plate 2.

The Edwards (Ked) limestone consists of tan to gray, soft to hard, limestone and dolomite with extremely hard chert fragments and layers, and karst features including solution collapse zones and caves. Maximum thickness in the Austin area is about 300 feet.

The Georgetown (Kgt) formation typically consists of thin interbeds of gray to tan, richly fossiliferous, nodular, fine-grained limestone with some shale. Thickness typically ranges between 40 and 60 feet in the Austin area.

As previously mentioned, a fault does not lie within the construction limits of this project; however, a western fault is present approximately $1/10^{th}$ of a mile to the west of the project site extending southwest to northeast in direction; where the geology transitions from the Georgetown formation to the Glen Rose (Kgr) formation. In addition, there is an eastern fault that is present approximately $1/10^{th}$ of a mile east of the project site that traverses from the southeast to the northwest and terminates at the western fault forming a "Y" intersection north of the project site as shown in the aforementioned geology map.

The Glen Rose (Kgr) formation typically consists of light gray to yellowish gray, hard to soft and marly limestone. Marine fossils are abundant throughout this formation. Thickness typically ranges from 500 feet to 1,000 feet in the Austin area.

Based on the samples recovered in the borings and past experience at the site, the formation encountered has typical characteristics of the Georgetown formation.

4.2 Subsurface Stratigraphy

Soil and groundwater conditions along the project alignment described herein are based on information obtained at the boring locations only. Significant variations at areas not explored by the project borings may require re-evaluation of our findings and conclusions. Subsurface soils encountered along the project alignment are discussed, grouped by geologic formation, below.

Based on the exploration, the Georgetown limestone geologic unit was encountered at this site. The borings encountered surficial native sandy clay and fills overlying the limestone. The fills encountered at this site were associated with previous grading activities.

Borings B-1 and B-2 were drilled through pavement sections consisting of 10 and 2-inches of asphaltic concrete over 2 and 4-inches of tan flexible base, respectively, before encountering fill material.

Fill at this project site was encountered in all four borings from the surface and pavement extending to depths of 3 to 25 feet and was classified as Lean Clay (CL), Clayey Gravel with Sand (GC), Clayey Gravel (GC), Clayey Sand (SC), Well-Graded Sand (SW), and Silty Clayey Gravel (GC-GM). The fill was described as brown to light brown, light brown to tan, light tan to gray to reddish brown, very stiff in consistency and loose to dense in relative density, and contained limestone fragments.

[1] Garner, LE and Young, KP, Geologic Map of the Austin Area, Plate VII from "Environmental Geology of the Austin Area: An Aid to Urban Planning" (The University of Texas at Austin, Bureau of Economic Geology, 1976).

Residual soil was encountered at Boring B-1 beneath the fill at 3 feet and extended to a depth of 10 feet below existing grade. The residual soil was hard and classified as light tan, Sandy Lean Clay (CL) with trace limestone fragments.

Laboratory results for the fill and residual soils are presented in Table 2 below, as well as in the summary of lab results in Appendix A and on the boring logs, Plates 4 through 7.

Table 2 – Residual Soil and Fill

Laboratory Test	No. of Tests	Average	Maximum	Minimum
Moisture Content (%)	10	10.1	14.1	2.6
Liquid Limit (%)	9	31	54	23
Plasticity Index (%)	9	16	39	8
% Passing No. 200 Sieve	10	44	80	4
Classifications: CL, GC, SW, SC and GC-GM				

Georgetown limestone formation was encountered at borings B-1, B-2 and B-4 below the fill and residual soils at depths ranging from 10 to 15 feet and extended to the termination depths of 23.5 to 24.5 feet below existing grade. The Georgetown limestone encountered at this site was light tan and tan to light gray, low to moderate hardness, and highly to moderately weathered.

5 FOUNDATION RECOMMENDATIONS

5.1 General

It is understood that the project will consist of the construction of two below grade concrete valve vault structures, Vault 1 and Vault 2. Vault 1 will be situated approximately 12-feet below existing grade in the area of Boring B-1 and will occupy a 10 by 10-feet footprint while Vault 2 is planned to be situated approximately 18 to 20-feet below grade in the area of Borings B-3 and B-4 and will have a footprint of 17 by 25-feet. A metal frame building with footprint of 20 by 40-feet located at the vicinity of Boring B-2 is also planned to be constructed for this project near existing grade. In addition, a ductile iron metal 36-inch water line with invert depths ranging from 9 to 15-feet below existing grade is planned between the existing Surge Vault building and the existing High Service Pump Station.

5.2 Potential Vertical Rise

The shrink/swell movements can be estimated through the use of the Plasticity Index (PI). Generally, the higher the PI of a material is, the greater the potential for soil movements during moisture changes. Based on the soil conditions encountered in the borings drilled in this study, the soils at this site seem to have a low swell potential. Based on Texas Department of Transportation Method Tex-124-E, the upper cohesive soils at the site have a potential vertical rise (PVR) of less than 1-inch as a result of moisture induced volume changes.

Although the swell potential at this site is low due to the low PVR of the soils encountered at the boring locations, deep fills were encountered at this site and have a high potential for settlement. Existing fills are discussed in the next section.

5.3 Existing Fills

The site exploration program indicates the subsurface conditions encountered in the borings at this project site consist of sandy lean clay and fill materials that were comprised of clayey gravel, well graded sand, clayey sand, gravelly lean clay and lean clay overlaying layers of highly to moderately weathered limestone. As previously mentioned, deep fills were present in Borings B-2, B-3 and B-4 at depths ranging from the surface and below the pavement that extended to depths of 15 to 25-feet below existing grade. It should be noted Boring B-1 had a shallow fill zone of about 2 feet that was encountered below the pavement.

Field sampling on the in-situ fills, that were not extruded by the vacuum system, measured loose to medium dense relative density and hard consistency and free of significant voids. However, in the absence of documented density control, the possibility of under-compacted zones or voids exists. Removal and replacement of all the fills is the only method of eliminating the risk of unusual settlement.

At the time of our field activities, remnants of a concrete slabs and pavement were observed within the proposed building limits. These slabs could be the remains of previous structures that were present in this area in the past. It is recommended to remove all debris and remnants of any previous foundations within the construction limits of this project.

5.4 Foundation Selection

Based on the test borings performed at this project site and associated laboratory testing results we recommend the metal frame building be supported on shallow foundation system consisting of a slab-on-grade supported by a properly constructed non-expansive select fill pad. It is recommend Vault 1 be supported on the highly weathered limestone while Vault 2 be supported on a properly constructed non-expansive select fill pad situated below existing grade. The following sections will discuss the foundation subgrade preparation in more detail for the proposed structures at this site.

5.5 Slab-on-grade Foundation

Metal Frame Building

Consideration could be given to use of conventionally reinforced, monolithic, slab-on-grade foundation system for the proposed metal frame building at this site. The slab should also include integrated grade beams. Grade beams typically form a grid like pattern beneath the floor with the perimeter beam often used to support heavier wall and transfer loads.

It is our understanding the metal building is planned to be constructed near existing grade. Therefore, HVJ recommends to remove all the clayey gravel (GC), well-graded sand (SW), and clayey sand (SC) fill materials present at this site near Boring B-2 which were encountered from the surface to 15 feet below existing grade and replace with compacted and moisture-conditioned non-expansive select fill after the existing fill soils have been removed. As an alternative, the pad may consist of excavated, reworked, moisture conditioned and properly re-compacted onsite fill, or existing soils, with a minimum 12 inches of select fill cap. It should be noted that if this method is used, HVJ cannot determine the magnitude of settlement that will occur.

It should be noted that some risks are involved when a slab-on-grade floor is utilized. Some vertical movements of the floor slab are still possible during seasonal soil moisture variations. These movements could cause cracking of interior and exterior finishes, and operating problems such as doors which do not open and close freely. However, if the recommendations provided below are followed, we expect these movements to be less than 1 inch and not severe enough to cause serious damage to the slab.

The use of a vapor retarder should be considered beneath the concrete slab-on-grade foundation in floor areas that will be covered with wood, tile, or other moisture sensitive or impervious coverings, or when the slab will support equipment sensitive to moisture. When conditions warrant the use of a vapor retarder, the designer and contractor should refer to ACI 302 for procedures and cautions regarding the use and placement of a vapor retarder.

For any flatwork outside the metal frame building which will be sensitive to movement, subgrade preparation as discussed above and in Section 5.8 should be considered. This consideration will be particularly important on surrounding sidewalk and paving immediately adjacent to the structure. If these adjacent flatwork areas are not prepared as stated above for the building areas, there is a high potential for these structures shifting and causing issues with drainage. However, it should be noted that there is still potential movement when using excavated, reworked, moisture conditioned, and re-compacted onsite fill soils.

Vault 1

We understand Vault 1 is planned to be constructed approximately 12 feet below existing grade near Boring B-1. Therefore, we recommend to over-excavate to native material (~13 feet) and construct a minimum 12 inch pad consisting of a compacted and moisture conditioned non-expansive select fill.

Vault 2

It is our understanding Vault 2 is planned to be constructed approximately 18 to 20 feet below existing grade in the area of Boring B-3. Therefore, we recommend excavating all of the existing fills to a depth of 25 feet or possibly more, as encountered in the boring, and placing a 5 to 7 foot thick pad of compacted and moisture-conditioned non-expansive select fill after the existing fill soils have been removed. As an alternative, the pad may consist of an excavated, reworked, moisture conditioned and properly re-compacted onsite fill with a minimum 12 inches of select fill cap.

We recommend that the pads extend horizontally at least 3 feet beyond the edge of the new structures. The exposed surface should be checked and tested by qualified geotechnical professionals to identify any soft or weak areas, and debris or undesirable materials, which should be removed and replaced with select fill. Additional recommendations for preparation and construction of the structure pad are located in Section 5.8 below.

5.6 Bearing Capacity

As previously mentioned, the metal frame building and Vault 2 structures are recommended to be founded on properly compacted select fill as outlined in Section 5.9 whereas the Vault 1 structure will be founded on highly weathered limestone. Table 3 below, gives bearing capacity values for the founding material.

Table 3 – Bearing Capacity Values

Structure	Soil/Rock Description	Allowable Bearing Capacity (psf)*

Metal Building/Vault 2	Select fill	3,000
Vault 1	Highly Weathered Limestone	5,000

*The bearing capacity includes a safety factor of three.

5.7 Settlement

Post construction settlement for the shallow foundations described in this subsection should be approximately 1 inch or less, provided the site is prepared in accordance with the recommendations contained in this report. Settlement response of the foundation system will be influenced more by the quality of construction and fill placement than soil-structure interaction.

5.8 Building Pad and Subgrade Preparation

- As previously mentioned, all of the existing concrete slabs and pavement that are present within the limits of this project site should be removed.
- The existing fill material should be stripped and removed from the building area, including at least 3 feet beyond the building perimeter. This is a minimum recommendation and independent of finished floor elevations. However, in all cases, at least 12 inches of well compacted non-expansive select fill should be maintained below the new floor slabs.
- After stripping and removal have been completed, the exposed subgrade soils in the building areas should be carefully evaluated. Proof-rolling should be performed where possible with a heavy (minimum 20 ton) rubber-tired vehicle such as a loaded dump truck. Soils that are observed to rut or deflect excessively under the moving load should be under-cut and replaced with compacted select fill that meets the requirements indicated below. All proof-rolling and under-cutting activities should be performed during a period of dry weather.

- Just prior to placement of select fill, the proof-rolled subgrade should be scarified to a depth of six inches. The moisture should be adjusted to above the optimum moisture content before it is re-compacted to at least 95 percent of the Tex-114-E maximum dry density. The soil subgrade should not be allowed to dry out or become saturated prior to placement of select fill.

5.9 Select Fill

Non-expansive select fill should be utilized for all fill applications within the building limits. The select fill should consist of crushed limestone base material meeting the requirements of the TxDOT 2014 Standard Specification Item 247, Type A, Grade 3.

The select fill should be placed in horizontal loose lifts of not more than 8 inches in thickness. Each lift should be compacted to a minimum of 95 percent of the maximum dry density as determined by TxDOT Method TEX-113-E for coarse-grained soils or TxDOT Method TEX-114-E for fine grained soils. The moisture content of the select fill should be above the optimum moisture content at the time of compaction.

As previously stated, onsite lean clay fill soils encountered at this site may be used as fill material below the recommended 1 foot non-expansive select fill pad provided they meet the criteria set forth by TxDOT as indicated above. Further laboratory and field testing will need to be performed to confirm the approval of such materials.

6 CONSTRUCTION CONSIDERATIONS

6.1 Base Slabs

Exposure to the environment may weaken the soils at the slab and grade beam bearing level if the foundation excavations remain open for too long a time. Foundation concrete should be placed on the same day that excavations are completed or as soon as practical. If the bearing soils are softened by surface water intrusion or exposure, the softened soils must be removed from the foundation excavation bottom prior to concrete placement.

6.2 Earthwork

Clay soil is very sensitive to changes in moisture content and thus the subgrade support capacity will deteriorate when the moisture content increases. Effort should be made to keep fill, slab, and foundation subgrade areas properly drained and free of ponding water. Vehicle traffic on top of the subgrade should be avoided when the subgrade is visibly wet and should be kept to a minimum at other times.

Fill materials should not be placed upon soils that have been recently subjected to precipitation or saturation. All wet soils should be removed or allowed to dry prior to continuation of fill placement operations.

If construction is performed during seasons that experience frequent rainfall, the building pad area can be initially graded high or "crowned" to protect the subgrade from the excess rainfall. The additional fill can be removed when slab construction can begin.

Certain construction practices can reduce the magnitude of problems associated with moisture content increases in subgrade soils. For instance, the contractor can seal exposed subgrade areas at the end of the work day with a smooth drum roller to reduce the potential for infiltration of water into the subgrade.

6.3 Groundwater and Runoff Control

Groundwater was not encountered in any of the soil borings. Still, the contractor should be prepared to accommodate a certain amount of groundwater seepage from the exposed materials, either from unidentified perched groundwater or recent infiltration. If groundwater is encountered, levels should be maintained at least 2 feet below subgrade level before placing fill or concrete. Based on the soil types at the site, a conventional pump and sump arrangement should be adequate to control any groundwater that may enter site excavations.

The surface of the site should be kept properly graded to enhance drainage of precipitation away from the excavation(s). HVJ recommends that an attempt be made to enhance the natural drainage without interrupting its pattern. Site grades should be continuously evaluated to assure that surface runoff will drain away from the foundation/slab construction area. Surface water/precipitation that enters and ponds in the excavation(s) will need to be removed using a pump and sump method or equivalent.

Control of surface water or groundwater should be accomplished in a manner that will preserve the strength of the foundation soils, will not cause instability of the excavation, and will not result in damage to existing structures.

7 BELOW GRADE WALL RECOMENDATIONS

7.1 Backfill

The backfill will exert lateral pressures on the exterior walls of the vault structures. The magnitude of the pressure distribution will depend on the type of backfill and the construction technique. If the construction method encompasses backfilling along the exterior walls of the underground structure, then the lateral earth pressures become a function of the type of backfill and its method of placement.

Utilizing highly plastic, expansive clay as backfill will produce the highest lateral earth pressures on the exterior walls of the structure. For this reason, it is advised to avoid using highly plastic clays, e.g. Fat Clay (CH), as backfill material. Instead, it is recommended that either Class A or Class B borrow material, as defined in City of Austin Standard Specification 130S be used. It is further recommended that the Class A borrow contain less than 15% fines and the Class B material have a plastic index less than 20, and in both cases free of debris, vegetation, and clay lumps or balls greater than 4 inches in diameter. Existing on site soils and fill can be reused as backfill provided the material meets the criteria specified in section 5.9 Select Fill mentioned above.

Backfill materials should be placed in loose lifts not exceeding 8 inches and compacted to 95% of the maximum dry density as defined by Test Method Tex-113-E. Over-compaction of the backfill should be avoided to prevent an increase in lateral earth pressures on the walls of the structure. Compaction conducted within four feet of the structure should be performed with a manually propelled compactor (weighing less than 500 pounds) to reduce lateral pressure applied to the wall. Fill in this zone should be placed in six-inch loose lifts and compacted as described above.

7.2 Equivalent Fluid Pressures

The compacted backfill around the structures will impose at rest lateral earth pressures against the exterior walls of the structure. Design lateral pressures may be calculated for each of the recommended backfill types using the following equivalent fluid weights:

Table 4: Equivalent Fluid Density per Backfill Type

Backfill Type	Assumed Moist Unit Weight, (pcf)	Equivalent Fluid Weight, (pcf)	
		Above Water Table	Below Water Table
Class A (< 15% fines)	120	60	91
Class B (PI < 20)	120	65	94

The effects of traffic or general surcharge loads can be treated as an additional two feet of equivalent fluid extending above the top of the structure. The above equivalent fluid densities include backfill material both above and below the water table.

8 UTILITY DESIGN RECOMMENDATIONS

As previously discussed, it is proposed to install a ductile iron metal 36-inch water line that will extend between the existing Surge Vault building and the existing High Service Pump Station and

plan to have invert depths ranging from 9 to 15-feet below existing grade. As previously mentioned in this study, fills ranging from 3 to 25 feet were encountered at the boring locations at this site.

Therefore, it is a high possibility fills will be encountered in the water line alignment. If deep fills are encountered along the alignment, the most positive approach from a settlement standpoint is to remove all the existing fills and replace with non-expansive select fill. However, we understand there may be areas where this recommendation cannot be achieved. Therefore, we recommended the fills be over-excavated a minimum of three feet below the invert depth and replaced with suitable material. HVJ recommends using either flowable fill in accordance with City of Austin Standard Specification Item 402s (maximum 200 psi at 28 days) or pipe bedding stone conforming to Item 510.2(2)(a) as an alternative to or in combination with flex base. Both of these alternatives are considered self-compacting and may simplify the construction process. If pipe bedding stone is utilized, the material should be separated from flex base fill by filter fabric, to mitigate particle migration. In addition, it is recommended to use flexible connections due to possible differential settlement.

8.1 Pipe Bedding

Based on the preliminary design information provided by the Client, the waterline should be installed in a bedding envelope in accordance with City of Austin (COA) Standard Specifications Item 510 and standard details No. 510S-3 and 510S-5. As per City of Austin Standard Specifications Item 510, pea gravel or uncrushed gravel can be used as standard bedding materials for the 36" water line. The bedding envelope should extend the full trench width, to a depth of 6 inches below the pipe and rise at least 12 inches above the pipe. The bedding should be placed in lifts not exceeding 8 inches in loose thickness and compacted thoroughly to provide uniform support of the pipe barrel and to fill all voids around the pipe.

Item 510.3(14)c requires that the bedding material must be compatible with the materials in the trench bottom, walls, and backfill so that particle migration from, into, or through the bedding is reduced. Under the action of hydraulic gradient from groundwater flow, smaller particle may migrate into coarse materials or voids. Based on the project borings, the pipes will lie in native soils varying from Lean Clay (CL) to weathered limestone. Therefore, it is our opinion that particle migration will not be a problem for all areas that encounter cohesive lean clay soil or limestone.

8.2 Water Stops

Large changes in elevation allow migrating water to develop extreme head pressure in the pipe bedding material. Excessive head pressure accelerates particle migration and causes failure in asphalt and base due to large hydrostatic pressures. To help minimize the hydrostatic pressure and particle migration, HVJ recommends water stops be constructed in the trench. Water stops should be installed with elevation changes of 15 feet, when grades exceed 3%, or whenever sudden elevation changes occur. Unusual changes in soil stratigraphy such as sandy layers and highly fractured rock layers may warrant additional water stops. Water stops typically consist of a 24-in minimum section of concrete, bentonite clay, or controlled low strength concrete material. Water stops should extend a minimum of 12 inches above the pipe bedding and penetrate trench walls a minimum of 18 inches on all sides.

8.3 Trench Backfill

Trench backfill should conform to City of Austin Standard Specifications Item 510.3(25) and standard details No. 510S-3 and 510S-5. HVJ would like to note that Item 510 is somewhat inconsistent in relation to the use of cohesive backfill soils with a plasticity index (PI) greater than 20. Item 510.3(25)c allows select trench material among other materials. Item 510.2(6) defines select backfill or borrow as a soil with a plasticity index of less than 20, among other characteristics.

This would prohibit material with a PI greater than 20 to be used as backfill and necessitate removal and replacement of the materials.

However, HVJ understands it is common practice in the City of Austin to use the material excavated from the trench as backfill regardless of the plasticity index of the soil excavated from the trench. Item 510.3(25)d presents compaction requirements for swelling soil defined as soil with a PI greater than 20; therefore, the specification does provide for the use of such material to some extent. HVJ suggests that special provisions to Item 510 be provided to clarify this inconsistency. A special provision to Item 510.2(6) should be included to allow the use of soil with a PI greater than 20 as trench backfill so the specifications match standard practice.

The trench backfill should be compacted to 95% of the maximum dry density in the areas below a pavement or 95% of the maximum dry density at other areas, as determined by TxDOT Test Method Tex-114-E. Special emphasis should be placed upon the need to obtain uniform density throughout the backfill material. In addition, no heavy equipment, which might damage the water line, should be allowed over the area until sufficient cover has been placed and compacted.

At existing pipe crossings, flowable fill (or Controlled Low Strength Material (CLSM) conforming to City of Austin Standard Specifications Item 402S.1) should be used for trench backfill or where utilizing compaction equipment is impractical.

HVJ also suggests that a special provision to Item 510.3(25)g requiring a loose lift thickness for higher PI soils (i.e. greater than 20) be limited to a maximum of 8 inches. We further recommend the moisture content at the time of compaction be within 2 percent of optimum, as determined by the referenced test method. This should provide for better compaction of higher plasticity backfill. In addition, the maximum dry density should be limited to 102% for materials with a PI over 20.

9 OTHER CONSIDERATIONS

The proposed construction for this project will encompass a good portion of the site where existing structures will remain; therefore, precautions should be taken not to undermine the existing foundation when constructing and installation of the foundation system of the proposed building addition.

9.1 Trench Excavation

The topics addressed in this section include construction considerations for trench excavation. Prospective contractors for the project must evaluate potential construction problems on the basis of their review of the contract documents, their own knowledge of and experience in the local area, and on the basis of similar projects in other localities, taking into account their own proposed methods and procedures.

Excavations should satisfy two requirements. First, the soil above final grade must be removed without disturbing the soil below excavation grade, which will support constructed facilities. Second, the sides and base of the excavation must be stable to prevent damage to adjacent streets and facilities as a result of either vertical or lateral movements of the soil. In addition, a satisfactory excavation procedure must include an adequate construction dewatering system to lower and maintain the water level below the lowest excavation grade.

Based on the test borings, the anticipated soils to be encountered during trenching and excavation operations include hard sandy lean clay, very stiff to hard lean clay, medium dense clayey gravel, medium dense well graded sand, loose clay sand, medium dense gravelly clay, medium dense silty, clayey gravel, and highly to moderately weathered limestone. Therefore, trench excavations may be

carried out using standard earthmoving equipment such as backhoes and track excavators applicable to soil or soft rock, or using rock excavating means such as saw-cutting, jack-hammering, and hoe-ramming applicable to harder rock. However, the selection of suitable excavation equipment, as well as trench stability/safety should be identified as the contractor's responsibility.

We recommend the project specifications state that excavation and trenching operations be performed in accordance with 29 CFR Part 1926, subpart P, as amended; including rules published in the Federal Register, Vol. 54, No. 209, dated October 31, 1989, at a minimum. In addition, the provisions of legislation enacted by the Texas legislature and conformance to City of Austin Standard Specifications Item No. 509 should be satisfied. If required for the excavation, all sloping and benching configurations, and shoring systems must be designed by a licensed Professional Engineer. Soil types for use with OSHA tables are presented in Table 5 below:

Table 5 – Soil Types for use with OSHA Tables from 29CFR Part 1926

Boring No.	OSHA Soil Type			
	Depth of Trench (feet)			
	0-5	5-10	10-15	15-20
B-1	C	B	SR	SR
B-2	C	C	C	SR
B-3	C	C	C	C
B-4	C	C	C	C

SR=Stable Rock

9.2 Water Control

A certain amount of groundwater is expected to enter the trench excavations as well as precipitation and possible runoff. Also, it should be noted that the project alignment is in close vicinity to the Colorado River and therefore a groundwater table is expected to be consistent with the river. Depending on the water level of the river at the time of construction, water may be expected to enter the excavations. Trench subgrade that is allowed to become inundated will rapidly soften, potentially causing instability of the excavation or poor foundation support. Consequently, inundated subgrade materials may need to be removed and replaced with compacted fill.

To reduce the above concerns, we recommend the project specifications require the contractor to employ measures for controlling the amount of water that enters the excavation, as well as promptly remove any water that does enter the excavation. Based on the types of materials to be encountered during excavation, a pump and sump arrangement should be adequate to maintain dry conditions within the excavations.

10 DESIGN REVIEW

HVJ Associates® should review the design and construction plans and specifications prior to release to confirm the geotechnical recommendations presented herein have been properly interpreted. Our current scope of services does not include an allowance for such review.

11 LIMITATIONS

This report was prepared for the exclusive use of AECOM and the City of Austin for specific application to the Davis Water Treatment Plant Expansion Project in Austin, Texas. HVJ

Associates® has endeavored to comply with generally accepted geotechnical engineering practices common in the local area. HVJ Associates® makes no warranty, express or implied. The data presented in this report are based on factual information obtained from subsurface exploration and laboratory testing performed for this project.

The methods used indicate subsurface conditions only at the specific locations where samples were obtained, only at the time they were obtained, and only to the depths penetrated. Samples cannot be relied on to accurately reflect the strata variations that usually exist between sampling locations. Should any subsurface conditions other than those described in our boring logs be encountered, HVJ Associates® should be immediately notified so that further exploration and supplement exploration recommendations can be provided.

In the event that any changes in the nature, design, or location of the project are made, the data in this report should not be considered valid until the changes are reviewed and the geotechnical exploration modified or verified in writing by HVJ Associates®.

ILLUSTRATIONS

IMAGE SOURCE: GOOGLE MAPS

MAP LOCATION

H V J ASSOCIATES	SCALE: NTS		
	DATE: 8/16/2016		
	DRAWN BY: MM	PROJ. CHK: LR	APPRV. BY: JS

SITE VICINITY MAP
DAVIS WATER TREATMENT PLANT
AUSTIN, TEXAS
TRAVIS COUNTY

PROJECT NO.: AG 12 14641.2	FILENAME: VIC	PLATE 1

DATE: 9/24/2015 4:39 PM
FILE: P:\geo\Projects\2012\AG 12 14641.2 Davis Water Treatment Plant\CAD\VIC.dwg

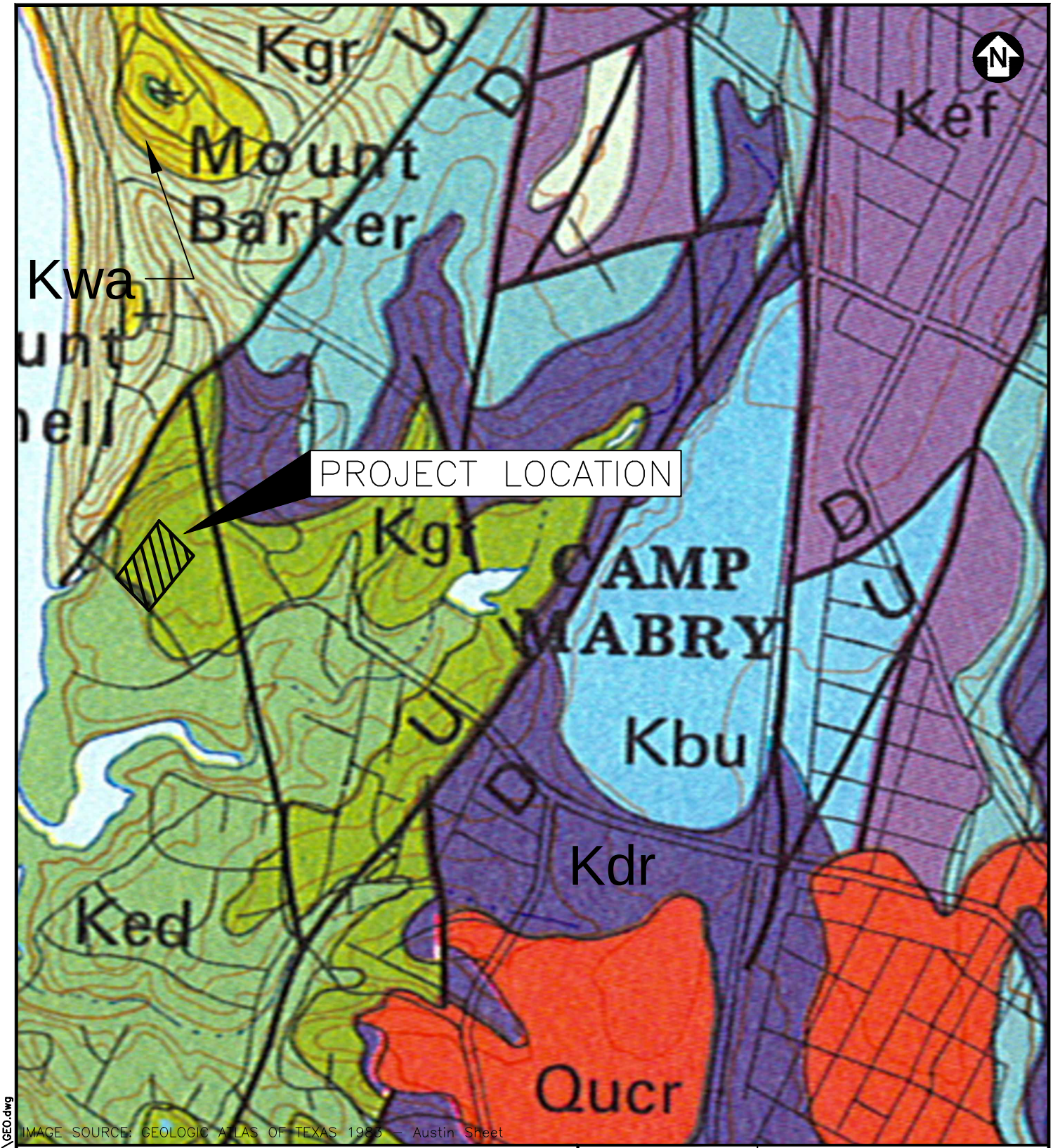

IMAGE SOURCE: GEOLOGIC ATLAS OF TEXAS 1986 – Austin Sheet

LEGEND

Kgt	Georgetown Formation
Qucr	Upper Colorado River Formation
Kbu	Buda Limestone
Kef	Eagle Ford group
Ked	Edwards Formation
Kdr	Del Rio Clay
Kwa	Walnut Formation
Kgr	Glen Rose Formation

MAP LOCATION

HVJ ASSOCIATES

SCALE: NTS		
DATE: 8/16/2016		
DRAWN BY: MM	PROJ. CHK: LR	APPRV. BY: JS

GEOLOGY MAP
DAVIS WATER TREATMENT PLANT
AUSTIN, TEXAS
TRAVIS COUNTY

PROJECT NO.: AG 12 14641.2	FILENAME: GEO	PLATE 2

DATE: 6/23/2015 4:55 PM
FILE: C:\Users\HVJ\Desktop\test walnut\GEO.dwg

Bore Location

MAP LOCATION

HVJ ASSOCIATES

SCALE: 1" = 50'		
DATE: 8/16/2016		
DRAWN BY: MM	PROJ. CHK: LR	APPRV. BY: JS

PLAN OF BORINGS
DAVIS WATER TREATMENT PLANT
AUSTIN, TEXAS
TRAVIS COUNTY

PROJECT NO.: AG 12 14641.2	FILENAME: POB	PLATE 3

DATE: 6/23/2015 4:55 PM
FILE: P:\geo\Projects\2012\AG 12 14641.2 Davis Water Treatment Plant\CAD\POB.dwg

LOG OF BORING

Project: Davis Water Treatment Plant
Project No.: AG 12 14641.2
Boring No.: B-1
Date: 10/6/2016
Elevation: 538 feet
Groundwater during drilling: ---
Northing: 10,088,279.0
Station: --
Groundwater 24 hours after drilling: ---
Easting: 3,104,449.0
Offset: --

ELEV. DEPTH, FEET	SOIL SYMBOLS SAMPLER SYMBOLS AND FIELD TEST DATA	SOIL/ROCK CLASSIFICATION	% PASSING NO. 200 SIEVE	DRY DENSITY PCF	SHEAR STRENGTH, TSF / MOISTURE CONTENT, %
0		**10" ASPHALTIC CONCRETE.**			
		2" TAN FLEXBASE.			
		Light tan, medium dense, **CLAYEY GRAVEL (GC),** moist. [Fill]			
535		-Boring was vacuumed to 3'			
		Light tan, hard, **SANDY LEAN CLAY (CL),** semi-moist, trace limestone fragments. [Residual]			
5	11-13-18		69		
530					
10	50/5"	Light tan, low hardness, **LIMESTONE,** highly weathered. [Georgetown Formation]			
525	22-25-36	-ferrous staining at 13.5-15'			
15					

SHEAR STRENGTH, TSF: 0.5 1.0 1.5 2.0
MOISTURE CONTENT, %: PLASTIC LIMIT — LIQUID LIMIT; 10 20 30 40 50 60 70 80 90

Shear Types: ● = Hand Penet. ■ = Torvane ▲ = Unconf. Comp. ✳ = UU Triaxial

See Plate 3 for boring location.

PLATE 4a

HVJ ASSOCIATES

LOG OF SOIL BORING AG 1214641.2.GPJ HVJ.GDT 1/18/17

LOG OF BORING

Project: Davis Water Treatment Plant
Project No.: AG 12 14641.2
Boring No.: B-1
Date: 10/6/2016
Elevation: 538 feet
Groundwater during drilling: ---
Northing: 10,088,279.0
Station: --
Groundwater 24 hours after drilling: ---
Easting: 3,104,449.0
Offset: --

ELEV. DEPTH, FEET	SOIL SYMBOLS SAMPLER SYMBOLS AND FIELD TEST DATA	SOIL/ROCK CLASSIFICATION	% PASSING NO. 200 SIEVE	DRY DENSITY PCF	SHEAR STRENGTH, TSF (0.5, 1.0, 1.5, 2.0) MOISTURE ○ CONTENT, % PLASTIC LIMIT — LIQUID LIMIT (10 20 30 40 50 60 70 80 90)
15		Light brown to tan, low hardness, **LIMESTONE,** highly weathered. [Georgetown Formation]			
520	25-46-45				
20					
515	30-50/5"	Tan to light gray, low hardness, **LIMESTONE,** moderately to highly weathered, with calcareous deposits and ferrous stains. [Georgetown]			
25					
510					
30					

Shear Types: ● = Hand Penet. ■ = Torvane ▲ = Unconf. Comp. ✳ = UU Triaxial

See Plate 3 for boring location.

PLATE 4b

HVJ ASSOCIATES

LOG OF SOIL BORING AG 1214641.2.GPJ HVJ.GDT 1/18/17

LOG OF BORING

Project: Davis Water Treatment Plant
Project No.: AG 12 14641.2
Boring No.: B-2
Date: 10/6/2016
Elevation: 538 feet
Groundwater during drilling: ---
Northing: 10,088,195.0
Station: --
Groundwater 24 hours after drilling: ---
Easting: 3,104,464.0
Offset: --

ELEV. DEPTH, FEET	SOIL SYMBOLS SAMPLER SYMBOLS AND FIELD TEST DATA	SOIL/ROCK CLASSIFICATION	% PASSING NO. 200 SIEVE	DRY DENSITY PCF
0		**2" ASPHALTIC CONCRETE.**		
		4" TAN FLEXBASE.		
		Light tan, medium dense, **CLAYEY GRAVEL (GC),** moist. [Fill]		
535				
5				
530				
		-Boring was vacuumed to 9.5'		
10	2-27-2	Tan, medium dense, **WELL-GRADED SAND (SW),** semi-moist. [Fill]	4	
		Light tan, loose, **CLAYEY SAND (SC),** semi-moist, with gravel and limestone fragments. [Fill]		
525	4-5-4		50	
15				

SHEAR STRENGTH, TSF
0.5 1.0 1.5 2.0
MOISTURE ○ CONTENT, %
PLASTIC LIMIT — LIQUID LIMIT
10 20 30 40 50 60 70 80 90

Shear Types: ● = Hand Penet. ■ = Torvane ▲ = Unconf. Comp. ✳ = UU Triaxial

See Plate 3 for boring location.

PLATE 5a

HVJ ASSOCIATES

LOG OF SOIL BORING AG 1214641.2.GPJ HVJ.GDT 1/18/17

LOG OF BORING

Project: Davis Water Treatment Plant
Project No.: AG 12 14641.2
Boring No.: B-2
Date: 10/6/2016
Elevation: 538 feet
Groundwater during drilling: ---
Northing: 10,088,195.0
Station: --
Groundwater 24 hours after drilling: ---
Easting: 3,104,464.0
Offset: --

ELEV. DEPTH, FEET	SOIL SYMBOLS SAMPLER SYMBOLS AND FIELD TEST DATA	SOIL/ROCK CLASSIFICATION	% PASSING NO. 200 SIEVE	DRY DENSITY PCF	SHEAR STRENGTH, TSF (0.5, 1.0, 1.5, 2.0) / MOISTURE ○ CONTENT, % PLASTIC LIMIT — LIQUID LIMIT (10 20 30 40 50 60 70 80 90)
15		Light tan, low hardness, **LIMESTONE,** highly weathered. [Georgetown Formation]			
520	50/3.25"				
		Light tan, moderate hardness, **LIMESTONE,** moderately weathered. [Georgetown Formation]			
20					
515	50/0"				
25					
510					
30					

Shear Types: ● = Hand Penet. ■ = Torvane ▲ = Unconf. Comp. ✳ = UU Triaxial

See Plate 3 for boring location.

PLATE 5b

HVJ ASSOCIATES

LOG OF SOIL BORING AG 1214641.2.GPJ HVJ.GDT 1/18/17

LOG OF BORING

Project: Davis Water Treatment Plant
Project No.: AG 12 14641.2
Boring No.: B-3
Date: 10/6/2016
Elevation: 537 feet
Groundwater during drilling: ---
Northing: 10,088,137.0
Station: --
Groundwater 24 hours after drilling: ---
Easting: 3,104,331.0
Offset: --

ELEV. DEPTH, FEET	SOIL SYMBOLS SAMPLER SYMBOLS AND FIELD TEST DATA	SOIL/ROCK CLASSIFICATION	% PASSING NO. 200 SIEVE	DRY DENSITY PCF
0		Brown, soft, **LEAN CLAY (CL),** moist, with organics. [Fill]		
		Light tan, medium dense, **CLAYEY GRAVEL (GC),** moist. [Fill]		
535		-Boring was vacuumed to 2.5'		
5	11-15-8	Light brown to tan, medium dense to dense, **CLAYEY GRAVEL WITH SAND (GC),** semi-moist, with calcareous nodules and limestone seams and fragments. [Fill]		
530	7-20-11		48	
	6-16-24			
10				
525	11-13-7	Light tan to gray to reddish brown, medium dense, **GRAVELLY LEAN CLAY (CL),** semi-moist, silty with hard limestone fragments and completely weathered limestone. [Fill]	50	
15				

SHEAR STRENGTH, TSF
0.5 1.0 1.5 2.0
MOISTURE ○ CONTENT, %
PLASTIC LIMIT — LIQUID LIMIT
10 20 30 40 50 60 70 80 90

Shear Types: ● = Hand Penet. ■ = Torvane ▲ = Unconf. Comp. ✳ = UU Triaxial

See Plate 3 for boring location.

PLATE 6a

HVJ ASSOCIATES

LOG OF SOIL BORING AG 1214641.2.GPJ HVJ.GDT 1/18/17

LOG OF BORING

Project: Davis Water Treatment Plant
Project No.: AG 12 14641.2
Boring No.: B-3
Date: 10/6/2016
Elevation: 537 feet
Groundwater during drilling: ---
Northing: 10,088,137.0
Station: --
Groundwater 24 hours after drilling: ---
Easting: 3,104,331.0
Offset: --

ELEV. DEPTH, FEET	SOIL SYMBOLS SAMPLER SYMBOLS AND FIELD TEST DATA	SOIL/ROCK CLASSIFICATION	% PASSING NO. 200 SIEVE	DRY DENSITY PCF
15	10-8-11	Light tan to gray to reddish brown, medium dense, **CLAYEY GRAVEL (GC),** semi-moist, silty with hard limestone fragments and completely weathered limestone. [Fill]	42	
520				
20				
515	3-6-12		36	
25				
510				
30				

SHEAR STRENGTH, TSF
0.5 1.0 1.5 2.0
MOISTURE ○ CONTENT, %
PLASTIC LIMIT — LIQUID LIMIT
10 20 30 40 50 60 70 80 90

Shear Types: ● = Hand Penet. ■ = Torvane ▲ = Unconf. Comp. ✳ = UU Triaxial

See Plate 3 for boring location.

PLATE 6b

HVJ ASSOCIATES

LOG OF SOIL BORING AG 1214641.2.GPJ HVJ.GDT 1/18/17

LOG OF BORING

Project: Davis Water Treatment Plant
Project No.: AG 12 14641.2
Boring No.: B-4
Date: 10/6/2016
Elevation: 537 feet
Groundwater during drilling: ---
Northing: 10,088,135.0
Station: --
Groundwater 24 hours after drilling: ---
Easting: 3,104,309.0
Offset: --

ELEV. DEPTH, FEET	SOIL SYMBOLS SAMPLER SYMBOLS AND FIELD TEST DATA	SOIL/ROCK CLASSIFICATION	% PASSING NO. 200 SIEVE	DRY DENSITY PCF	SHEAR STRENGTH, TSF / MOISTURE CONTENT, %
0		Brown to light brown, **LEAN CLAY (CL),** semi-moist, with limestone fragments. [Fill]			
535					
5					
530		-Boring was vacuumed to 9'			
10	11-13-15	Light brown to tan, medium dense, **SILTY, CLAYEY GRAVEL (GC-GM),** semi-moist, calcareous nodules, with limestone fragments. [Fill]	39		
525		-ferrous stains at 10-11.5'			
15	8-5-8				

SHEAR STRENGTH, TSF: 0.5, 1.0, 1.5, 2.0
MOISTURE ○ CONTENT, %
PLASTIC LIMIT — LIQUID LIMIT
10 20 30 40 50 60 70 80 90

Shear Types: ● = Hand Penet. ■ = Torvane ▲ = Unconf. Comp. ✳ = UU Triaxial

See Plate 3 for boring location.

PLATE 7a

HVJ ASSOCIATES

LOG OF SOIL BORING AG 1214641.2.GPJ HVJ.GDT 1/18/17

LOG OF BORING

Project: Davis Water Treatment Plant
Project No.: AG 12 14641.2
Boring No.: B-4
Date: 10/6/2016
Elevation: 537 feet
Groundwater during drilling: ---
Northing: 10,088,135.0
Station: --
Groundwater 24 hours after drilling: ---
Easting: 3,104,309.0
Offset: --

ELEV. DEPTH, FEET	SOIL SYMBOLS SAMPLER SYMBOLS AND FIELD TEST DATA	SOIL/ROCK CLASSIFICATION	% PASSING NO. 200 SIEVE	DRY DENSITY PCF	SHEAR STRENGTH, TSF / MOISTURE CONTENT, % / PLASTIC LIMIT / LIQUID LIMIT
15	8-8-8	Light brown to tan, medium dense, **SILTY, CLAYEY GRAVEL (GC-GM),** semi-moist, calcareous nodules, with limestone fragments. [Fill] (Continued..)	23		
520					
20					
515	50/1"	Light tan, low hardness, **LIMESTONE,** moderately weathered. [Georgetown Formation]			
25					
510					
30					

SHEAR STRENGTH, TSF: 0.5 1.0 1.5 2.0
MOISTURE ○ CONTENT, %
PLASTIC LIMIT — LIQUID LIMIT
10 20 30 40 50 60 70 80 90

Shear Types: ● = Hand Penet. ■ = Torvane ▲ = Unconf. Comp. ✳ = UU Triaxial

See Plate 3 for boring location.

PLATE 7b

HVJ ASSOCIATES

LOG OF SOIL BORING AG 1214641.2.GPJ HVJ.GDT 1/18/17

SOIL SYMBOLS

Soil Types

Clay

Silt

Sand

Fill

Modifiers

Clayey

Silty

Sandy Clay

Cemented

Construction Materials

Asphaltic Concrete

Stabilized Base

Fill or Debris

Base

SAMPLER TYPES

Thin Walled Shelby Tube

No Recovery

Split Barrel

Auger

THD Cone Penetration Test

Jar Sample

WATER LEVEL SYMBOLS

Groundwater level determined during drilling operations

Groundwater level after drilling in open borehole or piezometer

SOIL GRAIN SIZE

Classification	Particle Size	Particle Size or Sieve No. (U.S. Standard)
Clay	< 0.002 mm	< 0.002 mm
Silt	0.002 - 0.075 mm	0.002 mm - #200 sieve
Sand	0.075 - 4.75 mm	#200 sieve - #4 sieve
Gravel	4.75 - 75 mm	#4 sieve - 3 in.
Cobble	75 - 200 mm	3 in. - 8 in.
Boulder	> 200 mm	> 8 in.

DENSITY OF COHESIONLESS SOILS

Descriptive Term	Penetration Resistance "N" * Blows/Foot
Very Loose	0 - 4
Loose	4 - 10
Medium Dense	10 - 30
Dense	30 - 50
Very Dense	> 50

CONSISTENCY OF COHESIVE SOILS

Consistency	Undrained Shear Strength (tsf)
Very Soft	0 - 0.125
Soft	0.125 - 0.25
Firm	0.25 - 0.5
Stiff	0.5 - 1.0
Very Stiff	1.0 - 2.0
Hard	> 2.0

PENETRATION RESISTANCE

3/6	Blows required to penetrate each of three consecutive 6-inch increments per ASTM D-1586 *
50/4"	If more than 50 blows are required, driving is discontinued and penetration at 50 blows is noted
0/18"	Sampler penetrated full depth under weight of drill rods and hammer

* The N value is taken as the blows required to penetrate the final 12 inches

TERMS DESCRIBING SOIL STRUCTURE

Slickensided	Fracture planes appear polished or glossy, sometimes striated
Fissured	Breaks along definite planes of fracture with little resistance to fracturing
Inclusion	Small pockets of different soils, such as small lenses of sand scattered through a mass of clay
Parting	Inclusion less than 1/4 inch thick extending through the sample
Seam	Inclusion 1/4 inch to 3 inches thick extending through the sample
Layer	Inclusion greater than 3 inches thick extending through the sample
Laminated	Soil sample composed of alternating partings of different soil type
Stratified	Soil sample composed of alternating seams or layers of different soil type
Intermixed	Soil sample composed of pockets of different soil type and laminated or stratified structure is not evident
Calcareous	Having appreciable quantities of calcium carbonate
Ferrous	Having appreciable quantities of iron
Nodule	A small mass of irregular shape

HVJ ASSOCIATES

PROJECT NO.: AG 12 14641.2

DRAWING NO.: PLATE 8A

KEY TO TERMS AND SYMBOLS USED ON BORING LOGS FOR SOIL

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ROCK TYPES

Limestone

Shale

Sandstone

Highly Weathered Limestone

Weathered Shale

Weathered Sandstone

Weathered Limestone

Dolomite

Granite

SAMPLER TYPES

Thin-Walled Tube

Rock Core

Standard Penetration Test

Auger

THD Cone Penetration Test

Bag Sample

SOLUTION AND VOID CONDITIONS

Void	Interstice; a general term for pore space or other openings in rock.
Cavities	Small solutional concavities.
Vuggy	Containing small cavities, usually lined with a mineral of different composition from that of the surrounding rock.
Vesicular	Containing numerous small, unlined cavities, formed by expansion of gas bubbles or steam during solidification of the rock.
Porous	Containing pores, interstices, or other openings which may or may not interconnect.
Cavernous	Containing cavities or caverns, sometimes quite large. Most frequent in limestones and dolomites.

HARDNESS

Friable	Crumbles under hand pressure
Low Hardness	Can be carved with a knife, or UCS less than 100 tsf
Moderately Hard	Can be scratched easily with a knife, or UCS between 100 and 250 tsf
Hard	Can be scratched rarely with a knife, or UCS greater than 250 tsf
Very Hard	Cannot be scratched with a knife

WEATHERING GRADES OF ROCKMASS (1)

Slightly	Discoloration indicates weathering of rock material and discontinuity surfaces.
Moderately	Less than half of the rock material is decomposed or disintegrated to a soil.
Highly	More than half of the rock material is decomposed or disintegrated to a soil.
Completely	All rock material is decomposed and/or disintegrated into soil. The original mass structure is still largely intact.
Residual Soil	All rock material is converted to soil. The mass structure and material fabric are destroyed.

DISCONTINUITY DESCRIPTION

Quantity of Discontinuities per Length
D - number of discontinuities per length

Dip of Discontinuity: measured relative to a plane normal to the core axis
H - High Angle, 60-90 degrees
M - Medium Angle, 30-60 degrees
L - Low Angle, 0-30 degrees

Surface Shape of Discontinuity
P - Planar
U - Undulating

Aperture (inches)
Unless otherwise noted, all discontinuities noted were tight

Weathering
UW - Unweathered; surface in its natural state without visible decomposition or discoloration
SW - Slightly weathered; surface is slightly discolored with no visible decomposition
W - Weathered; surface is completely discolored with zone of decomposed rock

Roughness of Surface
SLK - Slickensided; surface has smooth, glassy finish with visual evidence of striations
S - Smooth; surface appears smooth and feels so to the touch
SR - Slightly Rough; asperities on the discontinuity surfaces are distinguishable and can be felt
R - Rough; some ridges and side-angle steps are evident; asperities are clearly visible, and discontinuity surface feels very abrasive
VR - Very Rough; near vertical steps and ridges occur on the discontinuity surface

REFERENCES:

(1) British Standard (1981) Code of Practice for Site Investigation, BS 5930.

(2) The Bridge Div., Tx. Highway Dept. Foundation Exploration & Design Manual, 2nd Division, revised June, 1974.

BEDDING THICKNESS (2)

Very Thick	>4'
Thick	2'-4'
Thin	2"-2'
Very Thin	1/2"-2"
Laminated	0.08"-1/2"
Thinly Laminated	<0.08"

Information on each boring log is a compilation of subsurface conditions and soil and rock classifications obtained from the field as well as from laboratory testing of samples. Strata have been interpreted by commonly accepted procedures. The stratum lines on the logs may be transitional and approximate in nature. Water level measurements refer only to those observed at the times and places indicated, and may vary with time, geologic condition or construction activity.

H V J ASSOCIATES

PROJECT NO.: AG 12 14641.2

DRAWING NO.: PLATE 8B

KEY TO TERMS AND SYMBOLS USED ON BORING LOGS FOR ROCK

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APPENDIX A

LABORATORY TEST RESULTS SUMMARY

LABORATORY TEST RESULTS SUMMARY
Project Name: Davis Water Treatment Plant Expansion
Project Number: AG 12 14641.2

Boring Number	Depth (ft)	% Passing No. 200 Sieve	Liquid Limit (%)	Plasticity Index (%)	Moisture Content (%)
B-1	5-6.5	69	23	8	10.5
B-2	10-11.5	4	N/P	N/P	2.6
	13.5-15	50	25	9	12
B-3	7-8.5	48	27	15	10
	13.5-15	50	30	16	10.7
	18.5-20	42	31	15	11.4
	23.5-25	36	54	39	14.3
B-4	10-11.5	39	26	13	9
	18.5-20	23	31	13	9.4

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LUMP SUM BID FORM
Section 00300L

City Manager
Austin, Texas

The undersigned, in compliance with the Invitation for Bids for construction of the following Project for the city of Austin, Texas:

Solicitation No.:	CLMC880
Project:	Davis WTP RWHEEI High Service Pump Station Area Improvements
CIP ID No.:	2015.017

Having examined the Project Manual, Drawings and Addenda, the site of the proposed Work and being familiar with all of the conditions surrounding construction of the proposed Project, having conducted all inquiries, tests and investigations deemed necessary and proper; hereby proposes to furnish all labor, permits, material, machinery, tools, supplies and equipment, and incidentals, and to perform all Work required for construction of the Project in accordance with the Project Manual, Drawings and Addenda within the time indicated.

BASE BID	Improvements to High Service Pump Station Area at Davis Water Treatment Plant, Complete in Place	**$**
Base Bid includes Trench Excavation Safety Systems		

- The "Base Bid" amount must be used in the MBE/WBE Compliance Plan Summary Page to determine subcontractor participation levels for the established MBE/WBE procurement goals.

- The "Base Bid" amount becomes the Bidder's "TOTAL BID" if allowances and/or alternates are not included.

TRENCH EXCAVATION SAFETY SYSTEMS UNIT PRICES: The undersigned Bidder agrees that the Base Bid Price for the Work, listed above, includes the following amounts in the Bid for excavation safety systems as specified in Item Number 509S of the Specifications and in case of an authorized adjustment to the scope of Work, the following unit price(s) will be used in adjusting the Contract Amount:

Item	Quantity	Unit	Item Description	Unit Price	Amount
509S-1	1,000	LF	Trench Excavation Safety Protection Systems (all depth)	$	$

ALLOWANCES:

Allowance No. 1:	Replacement of Impeller for Pump HSP-023	$78,000
Allowance No. 2:	Replacement of Impeller for Pump HSP-024	$78,000
Allowance No. 3:	Replacement of Impeller for Pump HSP-026	$78,000
Allowance No. 4:	Additional Pump Repair (Coating Pump Casing Waterways) Defined in Section 11210	$48,000
Allowance No. 5:	Additional Pump Repair (Restoring Babbitt Type Bearings) Defined in Section 11210	$36,000
Allowance No. 6:	Replacement of Gate Valve HSP-GV-003	$45,000

Allowance No. 7:	Replacement of Gate Valve HSP-GV-004	$45,000
Allowance No. 8:	Replacement of Gate Valve HSP-GV-005	$45,000
Allowance No. 9:	Additional Motor Rehabilitation	$150,000
SUBTOTAL ALLOWANCES		$

BASE BID PLUS ALLOWANCES:		$

In the event of a mathematical error, the correct product, determined by using the "Unit Price" and "Quantity", and the correct sum, determined by totaling the correct line item Amounts, will prevail over the amount entered by the Bidder. The unit prices shown above will be the unit prices used to tabulate the Bid and used in the Contract, if awarded by the City.

Notes:
1. For a more detailed explanation of Bid allowances, see Section 01020.
2. MINIMUM WAGES: Workers on Project shall be paid not less than wage rates, including fringe benefits, as published by the Department of Labor (DOL) for Building Construction and Heavy and Highway Trades "AS APPLICABLE" and/or the minimum wage required by City of Austin Ordinance No. 20160324-015, whichever is higher. The Total Minimum Wage required can be met using any combination of cash and non-cash qualified fringe benefits provided the cash component meets or exceeds the minimum wage required.

BID GUARANTY: A Bid guaranty must be enclosed with this Bid, as required in Section 00020, in the amount of not less than five percent (5%) of the total Bid. Following the Bid opening, submitted Bids may not be withdrawn for a period of one hundred twenty (120) Calendar Days. Award of Contract will occur within this period, unless mutually agreed between the parties. The Bid guaranty may become the property of the OWNER, or the OWNER may pursue any other action allowed by law, if:

- Bidder withdraws a submitted Bid within the period stated above;
- Bidder fails to submit the required post Bid information within the period specified in Section 00020 or 00100, or any mutually agreed extension of that period; or
- Bidder fails to execute the Contract and furnish the prescribed documentation (bonds, insurance, etc.) needed to complete execution of the Contract within five (5) Working Days after notice of award, or any mutually agreed extension of that period.

GEOTECHNICAL BASELINE ACKNOWLEDGEMENT: The undersigned Bidder certifies that the Bidder has read and understands the Geotechnical Baseline Report (GBR), the Geotechnical Data Report, the Reflection Survey Report, and all other geological and geotechnical information and data as provided in the Contract Documents, including all Addenda. ***The Bidder acknowledges and agrees that the GBR represents the contractual statement of the subsurface conditions reasonably anticipated to be encountered during construction. The GBR will be used to evaluate whether subsurface conditions differ materially from those indicated in the GBR.***

TIME OF COMPLETION: The undersigned Bidder agrees to commence work on the date specified in the written "Notice to Proceed" to be issued by the OWNER and to substantially complete construction of the improvements, as required by the Project Manual, Drawings and Addenda for the Work within **seven hundred and twelve (712)** Calendar Days.

If a Substantial Completion date has been specified, the Bidder further agrees to reach Final Completion within **sixty (60)** Calendar Days after Substantial Completion as required by the Project Manual, Drawings and Addenda for the work.

The Bidder further agrees that should the Bidder fail to substantially and finally complete the Work within the number of days indicated in the Bid or as subsequently adjusted, Bidder shall pay the liquidated damages for each consecutive day thereafter as provided below; unless the OWNER elects to pursue any other action allowed by law.

WAIVER OF ATTORNEY FEES: In submitting the Bid, in consideration for the waiver of the Bidder's right to attorney's fees by the OWNER, the Bidder knowingly and intentionally agrees to and shall waive the right to attorney's fees under Section 271.153 of the Texas Local Government Code in any administrative proceeding, alternative dispute resolution proceeding, or litigation arising out of or connected to any Contract awarded pursuant to this solicitation process.

LIQUIDATED DAMAGES: The Bidder understands and agrees that the timely completion of the described Work is of the essence. The Bidder and OWNER further agree that the OWNER's actual damages for delay caused by failure to timely complete the Project are difficult, if not impossible to measure. However, with respect to the additional administrative and consultant costs to be incurred by OWNER, the reasonable estimate of such damages has been calculated and agreed to by OWNER and Bidder.

Therefore, the Bidder and the OWNER agree that for each and every Calendar Day the Work or any portion thereof, remains incomplete after the Substantial Completion date as established by the above paragraph, "Time of Completion", payment will be due to the Owner in the amount of **one thousand seven hundred and sixty dollars ($1,760)** per Calendar Day as liquidated damages, not as a penalty, but for delay damages to the OWNER.

If both Substantial and Final Completion dates have been specified, the Bidder and the OWNER further agree that for each and every Calendar Day the Work or any portion thereof, remains incomplete after the Final Completion date as established by the above paragraph, "Time of Completion", payment will be due to the OWNER in the amount of **four hundred and fifty dollars ($450)** per Calendar Day as liquidated damages, not as a penalty, but for delay damages to the OWNER. Such amount shall be deducted by the OWNER from any Contract payment due.

In the event of a default or breach by the CONTRACTOR and demand is made upon the surety to complete the project, in accordance with the Contract Documents, the surety shall be liable for liquidated damages pursuant to the Contract Documents in the same manner as the CONTRACTOR would have been.

MINOR INFORMALITY: OWNER reserves the right to reject any or all Bids and to waive any minor informality in any Bid or solicitation procedure (a minor informality is one that does not affect the competitiveness of the Bidders).

ADDENDUM: The undersigned acknowledges receipt of the following addenda:

Addendum No. 1 dated		Received	
Addendum No. 2 dated		Received	
Addendum No. 3 dated		Received	
Addendum No. 4 dated		Received	

BID DOCUMENT EXECUTION AND ACKNOWLEDGEMENT: The undersigned Bidder certifies that the Bidder has read and understands Section 00020 Invitation for Bids, Section 00100 Instructions to Bidders, and all other requirements applicable to the Bidding process provided in the Bid and Contract Documents.

BIDDER's CERTIFICATION OF NON-COLLUSION, NON-CONFLICT OF INTEREST, AND ANTI-LOBBYING (Section 00440): The undersigned Bidder, by its signature, represents and certifies that it has read and can affirmatively swear and subscribe to the statements in Section 00440 Non-Collusion, Non-Conflict of Interest, and Anti-Lobbying Certification. If the Bidder cannot affirmatively swear and subscribe to any of the statements in Section 00440, Bidder represents and certifies that it has provided a detailed written explanation with its Bid on separate pages annexed hereto. The undersigned Bidder further certifies that it has not in any way directly or indirectly had communication restricted in the City Code Chapter 2-7, Article 6 (Anti-Lobbying and Procurement) during the No-Lobbying Period as defined in Chapter 2-7.

BIDDER's CERTIFICATION AS TO NONRESIDENT PROVISIONS: Bidder must provide the following information in accordance with Vernon's Texas Statutes and Codes Annotated Government Code § 2252.002, as amended. A Texas Resident Bidder is a bidder whose principal place of business is in Texas and includes a Contractor whose ultimate parent company or majority owner has its principal place of business in Texas. The undersigned **Bidder certifies that Bidder is a resident of _____** (*Bidder must write in the blank the state of which Bidder is a resident*).

Bidder will initial the blank set forth below to represent and certify that the Bidder has completed, executed, and enclosed the corresponding Bid Documents with the Bid.

_____ MBE/WBE Compliance Document

_____ One copy of Total Bid Form if Bid is submitted electronically via Austin Finance Online

_____ Bid Guaranty

The undersigned, by their signature, represents that they are submitting a binding offer and are authorized to bind the respondent to fully comply with the solicitation documents contained herein. The Respondent, by submitting and signing below, certifies that they have received and read all sections of the entire solicitation document including all revisions, addenda and documents incorporated by reference, and agree to be bound by the terms therein.

_____ _____

Corporate Secretary, *if Bidder is a Corporation Bidder

_____ _____

Email for Secretary Authorized Signature/Print Name

_____

(Seal) Title

_____

Date

_____

_____

Address

_____

Telephone Number / FAX Number

_____

Email for Person Signing Bid

_____

Email for Bidder's Primary Contact Person

END

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TOTAL BID FORM

Solicitation No.:	CLMC880
Project:	Davis WTP RWHEEI High Service Pump Station Area Improvements
Bidder:	
Total Bid Amount: (includes Base Bid plus any Allowances or Alternates shown in Section 00300)	

Notes:

1. This form will be displayed publicly in Austin Finance Online approximately one hour after the solicitation closes.
2. In the case of discrepancies between this form and Section 00300, Section 00300 takes precedence.

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Project Name:	Davis WTP RWHEEI High Service Pump Station Area Improvements
Name of Bidder:	
Solicitation Number:	CLMC880
CIP ID Number:	2015.017

Bidder must complete all Attachments to Section 00400 clearly and comprehensively. If necessary, responses may be continued on separately attached sheets.

To be considered a responsive and responsible bidder, the apparent three (3) low Bidders must complete and submit within three (3) working days of notification of low bidder status Attachments A through I in accordance with Article 11, Section 00100. Contractor Performance Evaluations for previous work with the City will be included in the assessment of the Bidder's experience. Any information in Attachments A through I and in the Contractor's Performance Evaluations that indicates the Bidder or a "Subcontractor" is not responsible or that might negatively impact a Bidder's ability to complete the Work within the Contract Time and for the Contract Price may result in the Bid being rejected.

The Bidder is responsible for the accuracy and completeness of all of the information provided by the Bidder or a proposed Subcontractor in response to this Invitation for Bids.

POST-BID SUBMITTALS

ATTACHMENT A – BIDDER'S INFORMATION

ATTACHMENT B – EXPERIENCE REQUIREMENTS (GENERAL CONTRACTOR)

ATTACHMENT C – PROJECT MANAGER AND SUPERINTENDENT EXPERIENCE

ATTACHMENT D – EXPERIENCE REQUIREMENTS (SPECIFIC CONSTRUCTION OR TECHNICAL EXPERIENCE)

ATTACHMENT E – AVAILABLE EQUIPMENT

ATTACHMENT F – AVAILABLE WORKFORCE

ATTACHMENT G – CURRENT PROJECTS

ATTACHMENT H – COMPLETED PROJECTS

ATTACHMENT I – BIDDER'S AUTHENTICATION

ATTACHMENT A
BIDDER'S INFORMATION

Attention Bidder: Complete and return within three (3) days of notification of the three (3) low bidders' status.

Solicitation Number:	CLMC880
CIP ID Number:	2015.017

A.	Name of Bidder:	
B.	Bidder's Permanent Address:	
C.	Bidder's Phone Number:	
D.	Number of years in business under current company name:	

(Note: Bidder must have been in existence for a minimum of one (1) year under its current company name. Changes in company name during the experience period are acceptable, if the continuity of the company can be demonstrated. Attach separate documentation, if applicable.)

If Bidder answers "Yes" for <u>any</u> of questions E through H, Bidder must attach separate sheets with a brief description or explanation of the answer and provide pertinent contact information (parties' names, addresses and telephone numbers).

E.	Has the Bidder ever defaulted on a contract?	❒ Yes	❒ No
F.	Are there currently any pending judgements, claims, or lawsuits against the Bidder?	❒ Yes	❒ No
G.	Does Bidder currently have any pending claims, judgements or lawsuits against any prior client?	❒ Yes	❒ No
H.	Is the Bidder or its principals involved in any bankruptcy or reorganization proceedings?	❒ Yes	❒ No

ATTACHMENT B
EXPERIENCE REQUIREMENTS (GENERAL CONTRACTOR)

Attention Bidder: Complete and return within three (3) days of notification of the three (3) low bidders' status.

Solicitation Number:	CLMC880
CIP ID Number:	2015.017

GENERAL CONTRACTOR EXPERIENCE:

Bidder must list and describe Bidder's (not proposed subcontractors') construction experience as a general contractor for a minimum of three (3) successfully completed projects of comparable size, scope and complexity to the Work described in the Contract Documents. Bidder should refer to the Section 01010 Summary of Work, subsection 1.2 Description of Work, to determine what is reasonably comparable. Decisions on "comparability" are at the complete discretion of the OWNER.

Bidder must have completed the projects within the past ten (10) years.

Attention Bidder: Duplicate this form for each of the three (3) projects.

PROJECT NO. _____		
Name of Project:		
Location:		
OWNER's Name and Address:		
OWNER's Contact Person (Print):		
Phone/Fax No.		
Initial Contract Price:		
Final Contract Price:		
Contract Start Date: *(Date of Notice to Proceed)*		
Contract Time:	Calendar Days:	Working Days:
Contract Substantial Completion Date:		
Actual Substantial Completion Date:		
If contract time extensions were added to the contract as a result of Bidder's responsibilities, provide a short explanation of each:		
Project Description and why it is comparable to this Contract:		

ATTACHMENT C
PROJECT MANAGER & SUPERINTENDENT EXPERIENCE

Attention Bidder: Complete and return within three (3) days of notification of the three (3) low bidders' status.

Name of Bidder:	
Solicitation Number:	CLMC880
CIP ID Number:	2015.017

Bidder must attach resumes for the Project Manager and Superintendent who will be assigned to this project. The resumes must demonstrate that these individuals have worked on at least three (3) similar, successfully completed projects in the capacity of Project Manager or Superintendent, or other responsible supervisory capacity, as applicable, during the last 10 years.

Project Manager (name): _____

Superintendent (name): _____

Note: Attach Resumes & Experience

Attention Bidder: Complete and return within three (3) days of notification of the three (3) low bidders' status.

SPECIFIC CONSTRUCTION EXPERIENCE (GENERAL CONTRACTOR OR SUBCONTRACTOR PERFORMING THE WORK)

Bidder must provide the following project history information for each Construction Experience requirement listed below. OWNER may in its reasonable discretion deem the provided experience information insufficient and reject the Bid.

For each Construction Experience item listed below, list and describe the applicable Construction Experience for a minimum of three (3) successfully completed projects of comparable size, scope, and complexity to the Work described for this project. Comparability requirements may be spread among the three (3) projects per item submitted, e.g. One Project may demonstrate comparable size, another Project may demonstrate comparable scope and another may demonstrate comparable complexity. Decisions on "comparability" are at the complete discretion of the OWNER.

The Work must have been performed within the past five (5) years.

Bidder must provide all requested information in a complete, clear, and accurate manner. If necessary, additional information may be provided on separate attached sheets. Failure to provide any requested information may cause the Bid to be rejected by OWNER as non-responsive.

If the Bidder proposes to fulfill any specific construction experience requirement with subcontracted resources, the applicable Subcontractor must be included in the Bidder's Original MBE/WBE Compliance Plan. Failure to include subcontractors on the MBE/WBE Compliance Plan may render your bid non-responsive.

SPECIFIC CONSTRUCTION EXPERIENCE ITEMS REQUIRED:

ITEM 1. Furnish and installation of large diameter pipelines (36" or larger)
ITEM 2. Rehabilitation and installation of large horizontal split case pumps (10 MGD or larger)
ITEM 3. Furnish and installation of large diameter valves (24" or larger)
ITEM 4. Furnish and installation of electrical and instrumentation systems
ITEM 5. Disinfection of water treatment pipelines and facilities
ITEM 6. Work in an operating facility with limited permitted number and periods of shutdowns

The Bidder shall complete and duplicate the following specific Construction Experience Form as required to provide the requested documentation for a minimum of three (3) successfully completed projects for each of the above specific Construction Experience requirements.

CONSTRUCTION EXPERIENCE DOCUMENTATION FORM		
EXPERIENCE ITEM NUMBER:		
Project Number:		
Does Bidder plan to self-perform this work?	☐ Yes	☐ No
If "NO", provide the following Subcontractor's information:		
Company's Address:		
Permanent Address:		
Phone No.		
# of years Subcontractor has been in business under current company name:		
Name of Project:		
Location:		
OWNER's Name:		
OWNER's Address:		
OWNER's Contact Person (Print):		
Phone/Fax No.:		
Initial Contract Price:		
Final Contract Price:		
Contract Start Date: *(Date of Notice to Proceed)*		
Contract Time:	Calendar Days:	Working Days:
Contract Substantial Completion Date:		
Actual Substantial Completion Date:		
If contract time extensions were added to the contract as a result of Bidder's responsibilities, provide a short explanation of each:		
Project Description and why it is comparable to this Contract:		

ATTACHMENT E
AVAILABLE EQUIPMENT LIST

Attention Bidder: Complete and return within three (3) days of notification of the three (3) low bidders' status.

Name of Bidder:	
Solicitation Number:	CLMC880
CIP ID Number:	2015.017

Provide a list of equipment that is available to the CONTRACTOR or its Subcontractor(s) and is specifically intended to be used on the Work under this Contract. Also indicate whether the equipment is owned or will be leased by the CONTRACTOR and/or Subcontractor(s).

EQUIPMENT	OWNED OR LEASED	COMMITTED TO ANOTHER PROJECT? (Yes / No)	AVAILABLE / RELEASE DATE

Use additional pages, as necessary

ATTACHMENT F
AVAILABLE WORKFORCE

Attention Bidder: Complete and return within three (3) days of notification of the three (3) low bidders' status.

Name of Bidder:	
Solicitation Number:	CLMC880
CIP ID Number:	2015.017

Provide a list of the available workforce for the various disciplines and crafts required for the Work on this Project, including the number of work crews, and number and worker classification for each equipment operator, mechanic, and laborer for that portion of the Work that Bidder will actually perform.

Number of Anticipated Work Crews: _____

DISCIPLINE OR CRAFT	NO. OF EMPLOYEES	COMMITTED TO ANOTHER PROJECT? (Yes / No)	AVAILABLE / RELEASE DATE
Professional (specify)			
Superintendent			
Technical (specify)			
Skilled Workers (specify)			
Semiskilled Workers (specify)			
Equipment Operators (list)			
Other			

Use additional pages, as necessary

ATTACHMENT G
CURRENT PROJECT LISTING (INCLUDING ALL CITY OF AUSTIN PROJECTS)

Attention Bidder: Complete and return within three (3) days of notification of the three (3) low bidders' status.

Name of Bidder:	
Solicitation Number:	CLMC880
CIP ID Number:	2015.017

Provide a list of all current projects, including *all City of Austin projects*. Include the following for all jobs that Bidder is currently committed to or has currently underway: brief statement regarding the job type; estimated project duration; project contact; and project description.

Name of Project:		
Location:		
Type of Job:		
City of Austin Job?	☐ Yes	☐ No
Project Start Date		
Estimated Completion Date:		
Project Contact:		
Brief Description:		

Name of Project:		
Location:		
Type of Job:		
City of Austin Job?	☐ Yes	☐ No
Project Start Date		
Estimated Completion Date:		
Project Contact:		
Brief Description:		

ATTACHMENT H
COMPLETED PROJECTS (INCLUDING ALL CITY OF AUSTIN PROJECTS)

Attention Bidder: Complete and return within three (3) days of notification of the three (3) low bidders' status.

Name of Bidder:	
Solicitation Number:	CLMC880
CIP ID Number:	2015.017

Provide a list of all completed projects, including all City of Austin projects that Bidder has completed in the past five (5) years by calendar year (or life of company if less than five (5) years). Include the following: a brief statement regarding the job type, the estimated project duration, project contact, and project description.

Calendar Year of _____

Name of Project:		
Location:		
Type of Job:		
City of Austin Job?	☐ Yes	☐ No
Project Duration:		
Project Contact:		
Brief Description:		

Name of Project:		
Location:		
Type of Job:		
City of Austin Job?	☐ Yes	☐ No
Project Duration:		
Project Contact:		
Brief Description:		

Use additional pages as necessary to achieve a representative listing covering 5 years

ATTACHMENT I
BIDDERS AUTHENTICATION

(Attention Bidder: Complete and return within three (3) days of notification of the three (3) low bidders' status)

Solicitation Number:	CLMC880
CIP ID Number:	2015.017

THE STATE OF TEXAS
COUNTY OF TRAVIS

I certify that my responses and the information provided in Attachments A-H are true and correct to the best of my personal knowledge and belief and that I have made no willful misrepresentations in this Section, nor have I withheld any relevant information in my statements and answers to questions. I am aware that any information given by me in this Section may be investigated and I hereby give my full permission for any such investigation and I fully acknowledge that any misrepresentations or omissions in my responses and information may cause my bid to be rejected.

Bidder's full name and entity status:

_____
Company's Name

_____
Signature, Authorized Representative of Bidder

_____
Title

_____
Date

END

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CERTIFICATE OF NON-SUSPENSION OR DEBARMENT

Section 00405

Solicitation Number: _____ (to be filled in by Contractor)

The City of Austin is prohibited from contracting with or making prime or sub-awards to parties that are suspended or debarred or whose principals are suspended or debarred from Federal, State, or City of Austin Contracts. Covered transactions include procurement contracts for goods or services equal to or in excess of $25,000.00 and all non-procurement transactions. This certification is required for all bidders on all City of Austin Contracts to be awarded with values equal to or in excess of $25,000.00 and all non-procurement transactions.

The CONTRACTOR hereby certifies that its firm and its principals are not currently suspended or debarred from bidding on any Federal, State, or City of Austin Contracts.

Contractor's full name and entity status:

_____

_____

(Name/Signature of Authorized Official)

_____

Title

_____

Date

END

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BIDDER'S SAFETY EXPERIENCE
(To Be Submitted Post-Bid)

Solicitation Number: _____ (to be filled in by Contractor)

NAME OF BIDDER: _____

Pursuant to Section 252.0435 of the Local Government Code, the OWNER will consider the safety records of bidders prior to awarding a City contract. Upon request, a bidder is required to provide information to demonstrate the safety and health performance of their company. The information obtained from a bidder or from other sources will be used to determine the bidder's safety record, and will not automatically be used to exclude the bidder from selection for this or any future procurement. The OWNER will consider the responses to this Section 00410 document separately when making a discretionary determination of whether to disqualify a bidder, and may also consider the cumulative impact of the information generated by the bidder's responses in making the determination. Bidders are responsible for reviewing the safety records of proposed subcontractors.

Upon notification from the OWNER, the three (3) apparent low bidders are required to provide the following information:

WORKERS' COMPENSATION EXPERIENCE MODIFICATION RATE DATA			
Provide bidder's Workers' Compensation **Experience Modification Rate (EMR)** Data using the loss experience that occurred within the past five years. Attach bidder's NCCI workers compensation experience rating sheets for the past five (5) years.		**Policy Year**	**EMR**
	Current EMR:		
	1 Year Ago:		
	2 Years Ago:		
	3 Years Ago:		
	4 Years Ago:		
Bidder's initialing here certifies that bidder does not have an EMR: _____ *(Submit a copy of bidder's Insurance Loss Run Reports for the last five years if bidder does not have an EMR.)*			
Bidder may include additional information explaining any circumstances that may have affected the company's EMR rate.			
Evaluation: Bidders with a 5-year EMR average that exceeds the 5-year industry average EMR by more than 25% may be deemed non-responsive.			

REGULATORY NOTICE AND CITATION HISTORY DATA	
Provide bidder's information regarding regulatory **OSHA and/or Environmental Protection Agency Notices and Citations** as follows: Describe federal, state, city/municipal or county OSHA notices of noncompliance or citations issued to or received by the bidder within the past three years or any notices from any environmental protection agency, including any notices or citations from any state agency or local government responsible for enforcing environmental protection or other health and safety laws or regulations of any state of the United States, received within the past three years.	**Provide a description of each on the OSHA/EPA form on the following page to include:** • Date of Citation/Notices • Issuing agency • Standard cited • Level of violation (i.e. serious, willful) • Dates and brief description(s) of the event(s) • Brief description(s) of actions taken to correct the violation(s) • Current status (Open, Closed, Contested) • If Closed, date of Closure • If Open, estimated date of Closure
Bidder may include additional information explaining any related circumstances.	
Evaluation: Information may be verified by referring to respective agency. More than two serious or more than one willful or repeated violation (investigation completed) within the past three years may deem the Bidder non-responsive.	

OSHA and/or Environmental Protection Agency Notices Within Past Three Years						
Date of Citation or Notice	**Issuing Agency**	**Violation Level (i.e. serious, willful)**	**Brief description of event**	**Brief description of actions taken to correct violation(s)**	**Current Status (Open, Closed, Contested)**	**Closed Date, or if Open, estimated Close Date**

INJURY AND ILLNESS INCIDENCE RATE DATA	
Provide bidder's ***Total Case Incidence Rate(s) (TCIR)** for the 3 most recent calendar years. Attach bidder's OSHA 300 and 300A logs for the past 3 years.	**TCIR Rates:** Current Rate: _____ 1 Year Ago: _____ 2 Years Ago: _____
DAYS AWAY, RESTRICTED, AND TRANSFER RATE DATA	
Provide bidder's ****Days Away, Restricted, and Transfer Rate(s) (DART)** for the three most recent calendar years.	**DART Rates:** Current Rate: _____ 1 Year Ago: _____ 2 Years Ago: _____
Bidder may include additional information explaining any circumstances that may have affected the submitted rates and/or their associated three year trends.	
Evaluation: Rates will be compared to the most recently published Bureau of Labor Statistics (BLS) national average for the Standard Industrial Classification code (SIC) or North American Industrial Classification Systems (NAICS) code for the construction industry. For consideration of another code within the construction industry, the Bidder must provide the code and justification. Bidders with a 3-year TCIR or DART average that exceeds the 3-year TCIR or DART industry average may be deemed non-responsive.	

**TCIR – To calculate the calendar year TCIR, determine the total number of all recordable injuries and illnesses that occurred during the year in question, divide that total by the total number of hours worked by all employees during that year, and multiply the result by 200,000.*

*** DART – To calculate the calendar year DART, determine the total number of recordable injuries and illnesses resulting in days away from work, restricted work activity, and/or job transfer that occurred during the year in question, divide that total by the total number of hours worked by all employees during that year, and multiply the result by 200,000.*

ACKNOWLEDGEMENT

THE STATE OF TEXAS

COUNTY OF TRAVIS

I certify that my responses and the information I have provided are true and correct to the best of my personal knowledge and belief and I have made no willful misrepresentations in this, or withheld any relevant information in my statements. I am aware that any information given by me in response to this Section 00410 may be investigated and I hereby give my full permission for any such investigations, and I fully acknowledge that any misrepresentations or omissions in my responses and information may cause my bid to be rejected or cause any contract based on misrepresentations to be cancelled.

Contractor's full name and entity status:

_____

_____
(Name/Signature of Authorized Official)

_____
Title

_____
Date

END

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NON-COLLUSION, NON-CONFLICT OF INTEREST AND ANTI-LOBBYING

State of Texas

County of Travis

The term **"Bidder"**, as used herein, includes the individual or business entity submitting the bid includes the directors, officers, partners, managers, members, principals, owners, agents, representatives, employees, other parties in interest of the Bidder, and anyone or any entity acting for or on behalf of the Bidder, including a subcontractor in connection with this bid.

The terms **"City"** and **"Owner"** are synonymous.

1. **Anti-Collusion Statement.** The Bidder has not and will not in any way directly or indirectly:

   a. colluded, conspired, or agreed with any other person, firm, corporation, bidder or potential bidder to the amount of this bid or the terms or conditions of this bid.
   b. paid or agreed to pay any other person, firm, corporation bidder or potential bidder any money or anything of value in return for assistance in procuring or attempting to procure a contract or in return for establishing the prices in the attached bid or the bid of any other bidder.

2. **Preparation of Invitation for Bid and Contract Documents**. The Bidder has not received any compensation or a promise of compensation for participating in the preparation or development of the underlying bid or contract documents., In addition, the Bidder has not otherwise participated in the preparation or development of the underlying bid or contract documents, except to the extent of any comments or questions and responses in the bidding process, which are available to all bidders, so as to have an unfair advantage over other bidders, provided that the Bidder may have provided relevant product or process information to a consultant in the normal course of its business.

3. **Participation in Decision Making Process.** The Bidder has not participated in the evaluation of bids or proposals or other decision making process for this solicitation, and, if Bidder is awarded a contract hereunder, no individual, agent, representative, consultant or sub contractor or consultant associated with Bidder, who may have been involved in the evaluation or other decision making process for this solicitation, will have any direct or indirect financial interest in the Contract, provided that the Bidder may have provided relevant product or process information to a consultant in the normal course of its business.

4. **Present Knowledge.** Bidder is not presently aware of any potential or actual conflicts of interest regarding this solicitation, which either enabled Bidder to obtain an advantage over other bidders or would prevent Bidder from advancing the best interests of OWNER in the course of the performance of the Contract.

5. **City Code.** As provided in Sections 2-7-61 through 2-7-65 of the City Code, no individual with a substantial interest in Bidder is a City official or employee or is related to any City official or employee within the first or second degree of consanguinity or affinity.

6. **Chapter 176 Conflict of Interest Disclosure.** In accordance with Chapter 176 of the Texas Local Government Code, the Bidder:

   a. does not have an employment or other business relationship with any local government officer of OWNER or a family member of that officer that results in the officer of family member receiving taxable income;
   b. has not given a local government officer of OWNER one or more gifts, other than gifts of food lodging transportation or entertainment accepted as a guest, that have an aggregate value of more than $100 in the twelve-month period preceding the date the officer becomes aware of the execution of the Contract or that OWNER is considering doing business with the Bidder; and
   c. does not have a family relationship with a local government officer of OWNER in the third degree of consanguinity or the second degree of affinity.

   As required by Chapter 176, Bidder must file the Conflicts of Interest Questionnaire with the Purchasing Department no later than the seventh business day after the commencement of contract discussions or negotiations with the City or the submission of a Bid, response to a request for proposals, or other writing related to a potential contract with OWNER. The questionnaire must be updated not later than the seventh day after the date of an event that would make a statement in the questionnaire inaccurate or incomplete. There are statutory penalties for failure to comply with Chapter 176.

7. **Anti-Lobbying Ordinance.** On June 14, 2018, the Austin City Council adopted Ordinance No. 20180614-056 replacing Chapter 2.7, Article 6 of the City Code relating to Anti-Lobbying and Procurement. The policy defined in this Code applies to Solicitations for goods and/or services requiring City Council approval under City Charter Article VII, Section 15 (Purchase Procedures). The City requires Offerors submitting Offers on this Solicitation to certify that the Offeror has not in any way directly or indirectly had communication restricted in the ordinance section 2-7-104 during the No-Lobbying Period as defined in the Ordinance. The text of the City Ordinance is included in Section 00100 of this solicitation and is also posted on the Internet at:

   https://assets.austintexas.gov/purchase/downloads/New_ALO_Ordinance_No_20180614-056.pdf

8. Pursuant to Texas Government Code §2271.002, the City is prohibited from contracting with any "company" for goods or services unless the following verification is included in this Contract.

   A. For the purposes of this Section only, the terms "company" and "boycott Israel" have the meaning assigned by Texas Government Code §2271.001.
   B. If the Bidder qualifies as a "company," then Bidder verifies that it:
      i. does not "boycott Israel"; and
      ii. will not "boycott Israel" during the term of this Contract.
   C. Bidder's obligations under this Section, if any exist, will automatically cease or be reduced to the extent that the requirements of Texas Government Code Chapter 2271 are subsequently repealed, reduced, or declared unenforceable

or invalid in whole or in part by any court or tribunal of competent jurisdiction or by the Texas Attorney General, without any further impact on the validity or continuity of this Contract.

9. Bidder certifies that it is aware of City Council Resolution No. 20191114-056, which prohibits the City from contracting with entities that engage in certain practices related to conversion therapy. By bidding and accepting this Contract, the Bidder agrees that: (1) its firm and its principals are not currently and will not during the term of the Contract engage in practicing LGBTQ+ conversion therapy; referring persons to a healthcare provider or other person or organization for LGBTQ+ conversion therapy; or contracting with another entity to conduct LGBTQ+ conversion therapy; and that (2) if the City determines in its sole discretion that Bidder has during the term of this Contract engaged in any such practices, the City may terminate this Contract without penalty to the City.

10. Pursuant to Texas Government Code Chapter 2274, Bidder certifies that if it has or will have remote or direct access to communication infrastructure systems, cybersecurity systems, the electric grid, hazardous waste treatment systems, or water treatment facilities as a result of any City contract, that Bidder is not:

A. owned by or the majority of stock or other ownership interest of its firm is not held or controlled by:

i. individuals who are citizens of China, Iran, North Korea, Russia, or a Governor-designated country; or
ii. a company or other entity, including a governmental entity, that is owned or controlled by citizens of or is directly controlled by the government of China, Iran, North Korea, Russia, or a Governor-designated country; or
iii. headquartered in China, Iran, North Korea, Russia, or a Governor-designated country.

11. Pursuant to Texas Government Code Chapter 2274, Bidder certifies that, if it has 10 or more full-time employees, Bidder: (1) it does not have a practice, policy, guidance, or directive that discriminates against a firearm entity or firearm trade association; and (2) will not discriminate during the term of the resulting contract against a firearm entity or firearm trade association.

12. Pursuant to Texas Government Code Chapter 2274, Bidder certifies that, if Bidder has 10 or more full-time employees, Bidder: (1) does not boycott energy companies; and (2) will not boycott energy companies during the term of the contract.

END

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STATE OF TEXAS
COUNTY OF TRAVIS

THIS AGREEMENT is made and entered into by and between the City of Austin, Texas, a municipal corporation, organized and existing under laws of State of Texas, acting through its City Manager or other duly authorized designee, hereinafter referred to as the "OWNER," and _____, of the City of _____, County of _____, and State of_____, hereinafter referred to as the "CONTRACTOR."

In consideration of the promises, performances, payments and agreements set forth herein CONTRACTOR hereby agrees to commence and complete the following Project:

_____

_____

and all Work in accordance with the Project Manual, Drawings and Addenda, which are incorporated herein by reference and made a part hereof and which have been prepared by _____ and approved by OWNER, and OWNER agrees to pay the CONTRACTOR the total amount of:

$	
(Figures)	**(Words)**

The CONTRACTOR hereby agrees to commence work on the date specified in the written "Notice to Proceed" to be issued by the OWNER and to <substantially> <finally> complete construction of the improvements, as required by the Project Manual, Drawings and Addenda for the Work within _____ (___) <Working> <Calendar> Days. <If a Substantial Completion date has been specified, the CONTRACTOR further agrees to reach Final Completion within <_____> (<___>) <Working> <Calendar> Days after Substantial Completion as required by the Project Manual, Drawings and Addenda for the work.> Waiver of any breach of this Contract shall not constitute waiver of any subsequent breach.

In consideration of the award and execution of this Contract, and in consideration of the waiver of its right to attorney's fees by the OWNER, the CONTRACTOR knowingly and intentionally waives its right to attorney's fees under Section 271.153 of the Texas Local Government Code in any administrative proceeding, alternative dispute resolution proceeding, or litigation arising out of or connected to this Contract.

OWNER agrees to pay CONTRACTOR from available funds for performance of the Contract in accordance with the Bid and the provisions of the Contract Documents, subject to additions and deductions, as provided therein.

The OWNER's payment obligations are payable only and solely from funds available for the purposes of this Agreement.

Although drafted by OWNER, this Agreement, in event of any disputes over its meaning or application, shall be interpreted fairly and reasonably, and neither more strongly for nor against either party.

This Agreement is executed to be effective upon the date of the last party to sign.

SAMPLE

The undersigned, by their signature, represents that they are authorized to bind the Contractor to fully comply with the Contract. The Contractor, by signing below, acknowledge that they have read the entire contract and agree to be bound by the terms contained herein.

OWNER

By: _____
(Signature)

_____
Date

_____
Title of Signatory

CONTRACTOR

By: _____
(Signature)

_____
Date

_____
Printed Name of Signatory

_____
Title of Signatory, Authorized Rep

ATTEST (as applicable)

_____
***Corporate Secretary of Corporate Bidder or Corporate General Partner**

END

SAMPLE

PERFORMANCE BOND
Section 00610

STATE OF TEXAS
COUNTY OF _____

Bond No. _____
C.I.P. ID No. _____

Project Name_____

Know All Men By These Presents: That _____ of the City of _____, County of _____, and State of _____, as Principal, and _____, a solvent company authorized under laws of the State of Texas to act as surety on bonds for principals, are held and firmly bound unto _____ (OWNER), in the penal sum of _____U.S. Dollars ($ _____U.S.) for payment whereof, well and truly to be made, said Principal and Surety bind themselves and their heirs, administrators, executors, successors and assigns, jointly and severally, by these presents:

Conditions of this Bond are such that, whereas, Principal has entered into a certain written contract with OWNER, dated the _____ day of _____, _____, which Agreement is hereby referred to and made a part hereof as fully and to the same extent as if copied at length herein.

Now, therefore, the condition of this obligation is such, that if said Principal shall faithfully perform said Agreement and shall in all respects duly and faithfully observe and perform all and singular covenants, conditions and agreements in and by said contract agreed and covenanted by Principal to be observed and performed, and according to true intent and meaning of said Agreement hereto annexed, then this obligation shall be void; otherwise to remain in full force and effect. If OWNER notifies Principal and Surety the OWNER is considering declaring Principal in default, Surety agrees to meet with OWNER and Principal no later than fifteen days after receipt of such notice to discuss methods of performing the Work of the Contract.

Provided, however, that this bond is executed pursuant to provisions of Chapter 2253, Texas Government Code as amended and all liabilities on this bond shall be determined in accordance with provisions of said Article to same extent as if it were copied at length herein.

Surety, for value received, stipulates and agrees that no change in Contract Time or Contract Amount shall in anywise affect its obligation on this bond, and it does hereby waive notice of any such change in Contract Time or Contract Amount.

In witness whereof, said Principal and Surety have signed and sealed this instrument this _____day of _____, _____.

_____	_____
Principal	Surety
By_____	By_____
(Signature)	(Signature)
Title_____	Title_____
Address_____	Address_____
_____	_____

SAMPLE

_____ _____

Telephone _____ Fax_____
E-Mail Address _____

Name and address of Resident Agent of Surety:

_____

_____

Note: Bond shall be issued by a solvent Surety company authorized to do business in Texas, and shall meet any other requirements established by law or by OWNER pursuant to applicable law. A copy of surety agent's "Power of Attorney" must be attached hereto.

END

SAMPLE

PAYMENT BOND
Section 00620

STATE OF TEXAS
COUNTY OF _____

Bond No. _____
C.I.P. ID No. _____

Project Name: _____

Know All Men By These Presents: That _____ of the City of _____, County of _____, and State of _____ as Principal, and _____, a solvent company authorized under laws of the State of Texas to act as surety on bonds for principals, are held and firmly bound unto _____ (OWNER), and all Subcontractors, workers, laborers, mechanics and suppliers as their interests may appear, all of whom shall have right to sue upon this bond in the penal sum of _____ U.S. Dollars ($_____ U.S.) for payment whereof, well and truly to be made, said Principal and Surety bind themselves and their heirs, administrators, executors, successors and assigns, jointly and severally, by these presents:

Conditions of this Bond are such that, whereas, Principal has entered into a certain written contract with OWNER, dated the _____ day of _____, _____, which Agreement is hereby referred to and made a part hereof as fully and to the same extent as if copied at length herein.

Now, therefore, condition of this obligation is such, that if the said Principal shall well and truly pay all Subcontractors, workers, laborers, mechanics, and suppliers, all monies to them owing by said Principals for subcontracts, work, labor, equipment, supplies and materials done and furnished for the construction of improvement of said Agreement, then this obligation shall be and become null and void; otherwise to remain in full force and effect.

Provided, however, that this bond is executed pursuant to provisions of Chapter 2253, Texas Government Code as amended and all liabilities on this bond shall be determined in accordance with provisions of said Article to same extent as if it were copied at length herein.

Surety, for value received, stipulates and agrees that no change in Contract Time or Contract Amount shall in anywise affect its obligation on this bond, and it does hereby waive notice of any such change in Contract Time or Contract Amount.

In witness whereof, said Principal and Surety have signed and sealed this instrument this _____day of _____, _____.

_____	_____
Principal	Surety
By_____	By_____
(Signature)	(Signature)
Title_____	Title_____

Address_____ Address_____
_____ _____
_____ _____

Telephone_____Fax_____

E-Mail Address _____

Name and address of Resident Agent of Surety:
_____
_____

Note: Bond shall be issued by a solvent Surety company authorized to do business in Texas, and shall meet any other requirements established by law or by OWNER pursuant to applicable law. A copy of surety agent's "Power of Attorney" must be attached hereto.

END

NON-DISCRIMINATION AND NON-RETALIATION CERTIFICATE

Section 00630

City of Austin, Texas
Equal Employment/Fair Housing Office

To: City of Austin, Texas, ("OWNER")

Our firm conforms to the Code of the City of Austin Section 5-4-2 as reiterated below:

Chapter 5-4. Discrimination in Employment by City Contractors.

Sec. 4-2 Discriminatory Employment Practices Prohibited. (B) As an Equal Employment Opportunity (EEO) employer, the Contractor will conduct its personnel activities in accordance with established federal, state and local EEO laws and regulations and agrees:

(1) Not to engage in any discriminatory employment practice defined in this chapter.
(2) To take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without discrimination being practiced against them as defined in this chapter. Such affirmative action shall include, but not be limited to: all aspects of employment, including hiring, placement, upgrading, transfer, demotion, recruitment, recruitment advertising; selection for training and apprenticeship, rates of pay or other forms of compensation, and layoff or termination.
(3) To post in conspicuous places, available to employees and applicants for employment, notices to be provided by OWNER setting forth the provisions of this chapter.
(4) To state in all solicitations or advertisements for employees placed by or on behalf of Contractor, that all qualified applicants will receive consideration for employment without regard to race, creed, color, religion, national origin, sexual orientation, gender identity, disability, veteran status, sex or age.
(5) To obtain a written statement from any labor union or labor organization furnishing labor or service to Contractors in which said union or organization has agreed not to engage in any discriminatory employment practices as defined in this chapter and to take affirmative action to implement policies and provisions of this chapter.
(6) To cooperate fully with OWNER's Equal Employment/Fair Housing Office in connection with any investigation or conciliation effort of said Equal Employment/Fair Housing Office to ensure that the purpose of the provisions against discriminatory employment practices are being carried out.
(7) To require compliance with provisions of this chapter by all subcontractors having fifteen or more employees who hold any subcontract providing for expenditure of $2,000.00 or more in connection with any contract with OWNER subject to the terms of this chapter.

For the purposes of this Bid and any resulting Contract, Contractor adopts the provisions of the City's Minimum Standard Nondiscrimination and Non-Retaliation Policy as set forth below.

City of Austin
Minimum Standard Non-Discrimination and Non-Retaliation in Employment Policy

As an Equal Employment Opportunity (EEO) employer, the Contractor will conduct its personnel activities in accordance with established federal, state and local EEO laws and regulations.

The Contractor will not discriminate against any applicant or employee based on race, creed, color, national origin, sex, age, religion, veteran status, gender identity, disability, or sexual orientation. This policy covers all aspects of employment, including hiring, placement, upgrading, transfer, demotion, recruitment, recruitment advertising, selection for training and apprenticeship, rates of pay or other forms of compensation, and layoff or termination.

The Contractor agrees to prohibit retaliation, discharge or otherwise discrimination against any employee or applicant for employment who has inquired about, discussed or disclosed their compensation.

Further, employees who experience discrimination, sexual harassment, or another form of harassment should immediately report it to their supervisor. If this is not a suitable avenue for addressing their complaint, employees are advised to contact another member of management or their human resources representative. No employee shall be discriminated against, harassed, intimidated, nor suffer any reprisal as a result of reporting a violation of this policy. Furthermore, any employee, supervisor, or manager who becomes aware of any such discrimination or harassment should immediately report it to executive management or the human resources office to ensure that such conduct does not continue.

Contractor agrees that to the extent of any inconsistency, omission, or conflict with its current non-discrimination and non- retaliation employment policy, the Contractor has expressly adopted the provisions of the City's Minimum Non-Discrimination Policy contained in Section 5-4-2 of the City Code as set forth above and the City's Non-Retaliation Policy, as the Contractor's Non-Discrimination and Non-Retaliation Policy or as an amendment to such Policy and such provisions are intended to not only supplement the Contractor's policy, but will also supersede the Contractor's policy to the extent of any conflict.

UPON CONTRACT AWARD, THE CONTRACTOR SHALL PROVIDE A COPY TO THE CITY OF THE CONTRACTOR'S NON-DISCRIMINATION AND NON-RETALIAITON POLICY ON COMPANY LETTERHEAD, WHICH CONFORMS IN FORM, SCOPE, AND CONTENT TO THE CITY'S MINIMUM NON-DISCRIMINATION AND NON-RETALIATION POLICY, AS SET FORTH HEREIN, ***OR*** *THIS NON-DISCRIMINATION AND NON-RETALIATION POLICY, WHICH HAS BEEN ADOPTED BY THE CONTRACTOR FOR ALL PURPOSES (THE FORM OF WHICH HAS BEEN APPROVED BY THE CITY'S EQUAL EMPLOYMENT/FAIR HOUSING OFFICE), WILL BE CONSIDERED THE CONTRACTOR'S NON-DISCRIMINATION AND NON-RETALIATION POLICY WITHOUT THE REQUIREMENT OF A SEPARATE SUBMITTAL.*
(http://austintexas.gov/page/bid-docs).

Sanctions:
Our firm understands that non-compliance with Chapter 5-4 may result in sanctions, including termination of the contract and suspension or debarment from participation in future City contracts until deemed compliant with the requirements of Chapter 5-4.

Term:
The Contractor agrees that this Section 00630 Non-Discrimination and Non-Retaliation Certificate or the Contractor's separate conforming policy, which the Contractor has executed and filed with the Owner, will remain in force and effect for one year from the date of filing. The Contractor further agrees that, in consideration of the receipt of continued Contract payments, the Contractor's Non-Discrimination Policy will automatically renew from year-to-year for the term of the underlying Contract.

END

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TITLE VI ASSURANCES APPENDIX A
Section 00631

Solicitation Number: _____ (to be filled in by Contractor)

During the performance of this contract, the contractor, for itself, its assignees and successors in interest (hereinafter referred to as the "contractor") agrees as follows:

1. Compliance with Regulations: The contractor (hereinafter includes consultants) will comply with the Acts and the Regulations relative to Nondiscrimination in Federally-assisted programs of the U.S. Department of Transportation, the Federal Highway Administration, as they may be amended from time to time, which are herein incorporated by reference and made a part of this contract.

2. Nondiscrimination: The contractor, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The contractor will not participate either directly or indirectly in the discrimination prohibited by the Acts and the Regulations, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 C.F.R. part 21.

3. Solicitations for Subcontracts, Including Procurements of Materials and Equipment: In all solicitations, either by competitive bidding or negotiation made by the contract for work to be performed under a subcontract, including procurements of materials or leases of equipment, each potential subcontractor or supplier shall be notified by the contractor of the contractor's obligations under this contract and the Acts and the Regulations relative to Nondiscrimination on the grounds of race, color, or national origin.

4. Information and Reports: The contractor shall provide all information and reports required by the Acts, the Regulations, and directives issued pursuant thereto and will permit access to its book, records, accounts, other sources of information, and its facilities as may be determined by the Recipient or the Federal Highway Administration to be pertinent to ascertain compliance with such Acts, Regulations, and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish this information the contractor will so certify to the Recipient, or the Federal Highway Administration, as appropriate, and will set forth what efforts it has made to obtain the information.

5. Sanctions for Noncompliance: In the event of the contractor's noncompliance with the Nondiscrimination provisions of this contract, the Recipient will impose such contract sanctions as it or the Federal Highway Administration may determine to be appropriate, including, but not limited to:

    (a) withholding of payments to the contractor under the contract until the contractor complies, and or
    (b) cancelling, terminating or suspending a contract, in whole or in part.

6. Incorporation of Provisions: The contractor will include the provisions of paragraphs one through six in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Acts, the Regulations and directives issued pursuant thereto. The contractor will take action with respect to any subcontract or procurement as the Recipient or the Federal Highway Administration may direct as a means of enforcing such provisions including sanctions for non-compliance: Provided, that if a

contractor becomes involved in, or is threatened with, litigation with a subcontractor or supplier because of such direction, the contractor may request the Recipient to enter into any litigation to protect the interests of the Recipient. In addition, the contractor may request the United States to enter into the litigation to protect the interests of the United States.

(Source: DOT 1050.2A, Updated DOT Standard Title VI Assurances and Non-Discrimination Provisions 10/22/2013. Must be inserted into every contract/agreement regardless of funding sources.)

Contractor's full name and entity status:

_____

_____

(Name/Signature of Authorized Official)

_____

Title

_____

Date

END

TITLE VI ASSURANCES APPENDIX E
Section 00632

Solicitation Number: _____ (to be filled in by Contractor)

During the performance of this contract, the contractor (hereinafter includes consultants), for itself, its assignees, and successors in interest (hereinafter referred to as the "contractor") agrees to comply with the following nondiscrimination statutes and authorities; including but not limited to:

Pertinent Nondiscrimination Authorities:

1. Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq., 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin); and 49 C.F.R. Part 21.

2. The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C.§ 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);

3. Federal-Aid Highway Act of 1973, (23 U.S.C. § 324 et seq.), (prohibits discrimination on the basis of sex);

4. Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. § 794 et seq.), as amended, (prohibits discrimination on the basis of disability); and 49 C.F.R. Part 27;

5. The Age Discrimination Act of 1975, as amended, (42 U.S.C. § 6101 et seq.), (prohibits discrimination on the basis of age);

6. Airport and Airway Improvement Act of 1982, (49 U.S.C. § 4 71, Section 4 7123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex);

7. The Civil Rights Restoration Act of 1987, (PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal-aid recipients, subrecipients and contractors, whether such programs or activities are Federally funded or not);

8. Titles II and III of the Americans with Disabilities Act, which prohibit discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. §§ 12131-12189) as implemented by Department of Transportation regulations at 49 C.F.R. parts 37 and 38;

9. The Federal Aviation Administration's Nondiscrimination statute (49 U.S.C. § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex);

10. Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures nondiscrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations;

11. Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP).

12. To ensure compliance with Title VI, you must take reasonable steps to ensure that LEP persons have meaningful access to your programs (70 Fed. Reg. at 74087 to 74100);

13. Title IX of the Education Amendments of 1972, as amended, which prohibits you from discriminating because of sex in education programs or activities (20 U.S.C. 1681 et seq).

(Source: DOT 1050.2A, Updated DOT Standard Title VI Assurances and Non-Discrimination Provisions 10/22/2013. Must be inserted into every contract/agreement regardless of funding sources.)

Contractor's full name and entity status:

_____

_____
(Name/Signature of Authorized Official)

_____
Title

_____
Date

END

CERTIFICATE OF INSURANCE

Section 00650

This Certificate shall be completed by a licensed insurance agent:

Name and Address of Agency:

_____

_____

_____

Phone: _____ / _____

Name and Address of Insured:

_____

_____

_____

Phone: _____/ _____

Prime or Sub-Contractor?: _____

Name of Prime Contractor, if different from Insured: _____

City of Austin Reference:
Project Name:
C.I.P. No.:
Project Location:

Managing Dept.:

Contract No.:
Project Mgr.:

Insurers Affording Coverages:

Insurer A: _____

Insurer B: _____

Insurer C: _____

Insurer D: _____

INSR LTR	TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFE-CTIVE DATE (MM/DD/YYYY)	POLICY EXPIR-ATION DATE (MM/DD/YYYY)	LIMITS OF LIABILITY	
	Commercial General Liability Policy As defined in the Policy, does the Policy provide:				Each Occurrence	$
					General Aggregate	$
	☐ Yes ☐ No -- Completed Operations/Products				Completed Operations /Products Aggregate	$
	☐ Yes ☐ No -- Contractual Liability				Personal & Advertising Injury	$
	☐ Yes ☐ No -- Explosion				Deductible or Self Insured Retention	$
	☐ Yes ☐ No -- Collapse					
	☐ Yes ☐ No -- Underground					
	☐ Yes ☐ No -- Contractors/ Subcontractors Work					
	☐ Yes ☐ No -- Aggregate Limits per Project Form CG 2503					
	☐ Yes ☐ No -- Additional Insured Form – CG 2010 and CG2037					
	☐ Yes ☐ No -- 30 Day Notice of Cancellation Form – CG 0205					
	☐ Yes ☐ No -- Waiver of Subrogation Form – CG 2404					
	Pollution/ Environmental Impairment Policy				Occurrence	$
					Aggregate	$

INSR LTR	TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFE-CTIVE DATE (MM/DD/YYYY)	POLICY EXPIR-ATION DATE (MM/DD/YYYY)	LIMITS OF LIABILITY	
	Auto Liability Policy As defined in the Policy, does the Policy provide:				CSL	$
					Bodily Injury (Per Accident)	$
	☐ Yes ☐ No -- Any Auto				Bodily Injury (Per Person)	$
	☐ Yes ☐ No -- All Owned Autos				Property Damage (Per Accident)	$
	☐ Yes ☐ No -- Non-Owned Autos					
	☐ Yes ☐ No -- Hired Autos					
	☐ Yes ☐ No -- Waiver of Subrogation – CA0444					
	☐ Yes ☐ No -- 30 Day Notice of Cancellation – CA0244					
	☐ Yes ☐ No -- Additional Insured – CA2048					
	☐ Yes ☐ No -- MCS 90					
	Excess Liability ☐ Umbrella Form ☐ Excess Liability Follow Form				Occurrence	$
					Aggregate	$
	Workers Compensation and Employers Liability As defined in the Policy, does the Policy provide:				☐ Statutory	
					Each Accident	$
	☐ Yes ☐ No -- Waiver of Subrogation – WC420304				Disease – Policy Limit	$
	☐ Yes ☐ No -- 30 Day Notice of Cancellation – WC420601				Disease – Each Employee	$
	Is a Builders Risk or Installation Insurance Policy provided? ☐ Yes ☐ No					$
	☐ Yes ☐ No -- Is the City shown as loss payee/mortgagee?					
	Professional Liability As defined in the Policy, does the Policy provide:				Each Claim	$
	☐ Yes ☐ No -- 30 Day Notice of Cancellation Retroactive Date: _____				Deductible or Self Insured Retention	$

This form is for informational purposes only and certifies that policies of insurance listed above have been issued to insured named above and are in force at this time. Not withstanding any requirements, term or condition of any contract or other document with respect to which this certificate may be issued or may pertain, insurance afforded by policies described herein is subject to all terms, exclusions and conditions of such policies.

CERTIFICATE HOLDER:

City of Austin
Capital Contracting Office
P.O. Box 1088
Austin, Texas 78767

DATE ISSUED: _____

_____
AUTHORIZED REPRESENTATIVE SIGNATURE
Licensed Insurance Agent

END

TEXAS SALES AND USE TAX EXEMPTION CERTIFICATE

Section 00670

City of Austin, Texas
P.O. Box 1088
Austin, Texas 78767

CONTRACTOR/PURCHASER:

Street Address:

City, State, ZIP Code:

Project:

Project Manager:

FDU No.:

CIP ID No.:

Description of items to be purchased or as described on the attached order or invoice:

_____

_____

The Contractor may purchase all labor, materials, supplies, and equipment to be incorporated in the City of Austin realty, including easements, or completely consumed at the Project jobsite and services required by or integral to the performance of the contract for the Project without paying sales or use tax in accordance with State Comptroller Rule 3.291.

Contractor/Purchaser claims this exemption for the following reason: This contract is to be performed for the City of Austin, a tax exempt entity under the Texas Tax Code.

I understand that I will be liable for payment of sales and use taxes which may become due for failure to comply with the provisions of the Tax Code. I also understand that it is a criminal offense to give an exemption certificate to the contractor for taxable items that I know, at the time of purchase, will be used in a manner other than that expressed in this certificate and depending on the amount of tax evaded, the offense may range from a Class B misdemeanor to a felony of the second degree.

City of Austin, Texas	Title	
Elaine Hart	Chief Financial Officer	2/6/2020

CONTRACTOR/PURCHASER: _____

By: _____

Title: _____

Date: _____

NOTE: This certificate cannot be issued for the purchase, lease, or rental of a motor vehicle.

THIS CERTIFICATE DOES NOT REQUIRE A NUMBER TO BE VALID. Sales and Use Tax "Exemption Numbers" or "Tax Exempt" Numbers do not exist. This certificate should be furnished to the supplier. Do not send the completed certificate to the Comptroller of Public Accounts.

End

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NON-USE OF ASBESTOS AFFIDAVIT (CONTRACTOR PRIOR TO CONSTRUCTION)

Section 00680

STATE OF TEXAS
COUNTY OF TRAVIS

BEFORE ME, the undersigned authority, personally appeared the Affiant who, being by me first duly sworn, upon oath deposed and stated:

"My name is _____, hereinafter known as Affiant. "I am over the age of 18 years and I have never been convicted of a crime. I am the _____of_____ hereinafter known as CONTRACTOR.

"I am fully competent to make this affidavit. I have personal knowledge of the facts set forth below and they are all true and correct.

"WHEREAS CONTRACTOR has submitted a bid to the City of Austin as the Prime CONTRACTOR and anticipates being awarded a contract for the construction of _____located at_____, Austin, Texas, hereinafter known as Project, for the City of Austin, Texas, hereinafter known as OWNER, and "WHEREAS asbestos in a dust form is a recognized health hazard, and "WHEREAS the OWNER desires not to have any asbestos containing materials used or incorporated into the construction of the Project; "THEREFORE the CONTRACTOR affirms and understands the following:

1. The CONTRACTOR, any person, firm or organization representing or represented by the CONTRACTOR, or employed by the CONTRACTOR shall not cause or allow any material to be incorporated into the construction of the project, or allow any building material on the project site that is an asbestos containing material or any other material defined as containing asbestos by any laws, rules or regulations promulgated by the United States Government, the State of Texas or any governmental organization or agency operating under the authority of either of those entities.

2. Realizing that there might be some materials in which a satisfactory non-asbestos containing material could not be obtained, the Consultant has received prior approval from the OWNER before specifying any such asbestos containing material. Those approved materials are the only asbestos containing materials that are exempt from the above prohibition.

3. The CONTRACTOR certifies and affirms their understanding that if any asbestos containing materials not approved by the City of Austin for inclusion into the Project, are determined, as a result of any inspection and sample analysis performed by an individual(s) and/or firm(s) certified and/or licensed to perform such inspection by the United States Government and/or the State of Texas, to have been incorporated into the construction of the Project, or brought onto the site of the Project, the OWNER shall look to the CONTRACTOR for reimbursement of any and all costs incurred in the removal and/or other abatement of said asbestos containing materials.

4. CONTRACTOR further understands that OWNER shall also look to the CONTRACTOR for any and all damages to OWNER which result from the inability of the OWNER to use any portion or all of the Project due to the incorporation of asbestos containing materials that have not been approved by OWNER.

5. CONTRACTOR further understands that OWNER will pursue reimbursement of any said cost and compensation for any said damages from the CONTRACTOR by any and every means within OWNER's right and power.

Signature of Affiant: _____

STATE OF TEXAS
COUNTY OF TRAVIS

ON _____, 20___, personally appeared_____ and been duly sworn by me, subscribed to the foregoing affidavit and has stated that the facts stated therein are true and correct.

_____
Notary Public, State of Texas

_____
Printed Name of Notary

My Commission Expires:_____

END

NON-USE OF ASBESTOS AFFIDAVIT (CONTRACTOR AFTER CONSTRUCTION)

Section 00681

STATE OF TEXAS
COUNTY OF TRAVIS

BEFORE ME, the undersigned authority, personally appeared the Affiant who, being by me first duly sworn, upon oath deposed and stated:

"My name is _____, hereinafter known as Affiant. "I am over the age of 18 years and I have never been convicted of a crime. I am the _____of_____ hereinafter known as CONTRACTOR.

"I am fully competent to make this affidavit. I have personal knowledge of the facts set forth below and they are all true and correct.

"WHEREAS CONTRACTOR was awarded a Contract for, and was the Prime CONTRACTOR for the construction of _____ located at _____, Austin, Texas, hereinafter known as Project, for the City of Austin, Texas, hereinafter known as OWNER, and "WHEREAS asbestos in a dust form is a recognized health hazard, and "WHEREAS the OWNER desires not to have any asbestos containing materials used or incorporated into the construction of the Project; "THEREFORE the CONTRACTOR affirms and understands the following:

1. The CONTRACTOR, any person, firm or organization representing or represented by the CONTRACTOR, or employed by the CONTRACTOR has not caused or allowed any material to be incorporated into the construction of the project, or allowed any building material on the project site that is an asbestos containing material or any other material defined as containing asbestos by any laws, rules or regulation promulgated by the United States Government, the State of Texas or any governmental organization or agency operating under the authority of either of those entities.

2. Realizing that there were some materials in which a satisfactory non-asbestos containing material could not be obtained, the Consultant received prior approval from the OWNER before specifying any such asbestos containing material. Those approved materials were the only asbestos containing materials incorporated into the construction of the Project and are listed below, with their locations:

_____

_____

_____

3. The CONTRACTOR certifies and affirms their understanding that if any asbestos containing materials not approved by the City of Austin for inclusion into the Project, are determined, as a result of any inspection and sample analysis performed by an individual(s) and/or firm(s) certified and/or licensed to perform such inspection by the United States Government and/or the State of Texas, to have been incorporated into the construction of the Project, or brought onto the site of the Project, the OWNER shall look to the CONTRACTOR for reimbursement of any and all costs incurred in the removal and/or other abatement of said asbestos containing materials.

4. CONTRACTOR further understands that OWNER shall also look to the CONTRACTOR for any and all damages to OWNER which result from the inability of the OWNER to use any portion or all of the Project due to the incorporation of asbestos containing materials that have not been approved by OWNER.

5. CONTRACTOR further understands that OWNER will pursue reimbursement of any said cost and compensation for any said damages from the CONTRACTOR by any and every means within OWNER's right and power.

Signature of Affiant:_____

STATE OF TEXAS
COUNTY OF TRAVIS

On _____, 20___, personally appeared_____ and been duly sworn by me, subscribed to the foregoing affidavit and has stated that the facts stated therein are true and correct.

_____
Notary Public, State of Texas

_____
Printed Name of Notary

My Commission Expires: _____

END

Bidding Requirements, Contract Forms and Conditions of the Contract

GENERAL CONDITIONS OF THE CONTRACT

Section 00700

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ARTICLE 1 – DEFINITIONS

Whenever used in these General Conditions or in the other Contract Documents the following terms have the meanings indicated which are applicable to both the singular and plural thereof:

1.1 Addendum - Written instruments issued by the Contract Awarding Authority which clarify, correct or change the bidding requirements or the Contract Documents prior to the Due Date. "Addenda" is the plural form of Addendum.

1.2 Agreement - Prescribed form, Section 00500.

1.3 Alternative Dispute Resolution - The process by which a disputed Claim may be settled if the OWNER and the CONTRACTOR cannot reach an agreement between themselves, as an alternative to litigation.

1.4 Bid - A complete, properly signed response to an Invitation for Bid that, if accepted, would bind the Bidder to perform the resultant Contract.

1.5 Bidder - A person, firm, or entity that submits a Bid in response to a Solicitation. Any Bidder may be represented by an agent after submitting evidence demonstrating the agent's authority. The agent cannot certify as to his own agency status.

1.6 Bid Documents - The advertisement or Invitation for Bids, instructions to Bidders, the Bid form, the Contract Documents and Addenda.

1.7 Calendar Day - Any day of the week; no days being excepted. Work on Saturdays, Sundays, and/or Legal Holidays shall be coordinated with OWNER.

1.8 Change Directive - A written directive to CONTRACTOR, signed by OWNER, ordering a change in the Work and stating a proposed basis for adjustment, if any, in the Contract Amount or Contract Time, or both. A Change Directive may be used in the absence of total agreement on the terms of a Change Order. A Change Directive does not change the Contract Amount or Contract Time, but is evidence that the parties expect that the change directed or documented by a Change Directive will be incorporated in a subsequently issued Change Order.

1.9 Change Orders - Written agreements entered into between CONTRACTOR and OWNER authorizing an addition, deletion, or revision to the Contract, issued on or after the Execution Date of the Agreement.

1.10 Claim - A written demand seeking, as a matter of right, adjustment or interpretation of Contract terms, payment of money, extension of time or other relief with respect to the terms of the Contract.

1.11 Contract - The binding legal agreement between the OWNER and the CONTRACTOR. The Contract represents the entire and integrated agreement between OWNER and CONTRACTOR for performance of the Work, as evidenced by the Contract Documents.

1.12 Contract Amount - The moneys payable by OWNER to CONTRACTOR for completion of the Work in accordance with the Contract Documents.

1.13 Contract Awarding Authority - A City department authorized to enter into Contracts on behalf of the City.

1.14 Contract Documents - Project Manual, Drawings, Addenda and Change Orders.

1.15 Contract Time - The number of days allowed for completion of the Work as defined by the Contract. When any period is referred to in days, it will be computed to exclude the first and include the last day of such period. A day of twenty-four hours measured from midnight to the next midnight will constitute a day.

1.16 CONTRACTOR - The individual, firm, corporation, or other business entity with whom OWNER has entered into the Contract for performance of the Work.

1.17 Critical Path - The longest series of tasks that runs consecutively from the beginning to the end of the project, as determined by duration and workflow sequence. This longest path sets the managerial standard for how quickly a project can be completed, given appropriate resources.

1.18 Drawings - Those portions of the Contract Documents which are graphic representations of the scope, extent and character of the Work to be furnished and performed by CONTRACTOR and which have been approved by OWNER. Drawings may include plans, elevations, sections, details, schedules and diagrams. Shop Drawings are not Drawings as so defined.

1.19 Due Date - The date and time specified for receipt of Bids.

1.20 Engineer/Architect (E/A) - The OWNER's design professional identified as such in the Contract. The titles of "Architect/Engineer," "Architect" and "Engineer" used in the Contract Documents shall read the same as Engineer/Architect (E/A). Nothing contained in the Contract Documents shall create any contractual or agency relationship between E/A and CONTRACTOR.

1.21 Equal - The terms "equal" or "approved equal" shall have the same meaning.

1.22 Execution Date - Date of last signature of the parties to the Agreement.

1.23 Field Order - A written order issued by Owner's Representative which orders minor changes in the Work and which does not involve a change in the Contract Amount or the Contract Time.

1.24 Final Completion - The point in time when OWNER determines that all Work has been completed and final payment to CONTRACTOR will be made in accordance with the Contract Documents.

1.25 Force Account - a basis of payment for the direct performance of Work with payment based on the actual cost of the labor, equipment and materials furnished and consideration for overhead and profit as set forth in Section 11.5.

1.26 Inspector - The authorized representative of any regulatory agency that has jurisdiction over any portion of the Work.

1.27 Invitation for Bid (IFB) - a Solicitation requesting pricing for a specified Good or Service which has been advertised for Bid in a newspaper and/or the Internet.

1.28 Legal Holidays

1.28.1 The following are recognized by the OWNER:

Holiday	Date Observed
New Year's Day	January 1
Martin Luther King, Jr.'s Birthday	Third Monday in January
President's Day	Third Monday in February
Memorial Day	Last Monday in May
Juneteenth	June 19
Independence Day	July 4
Labor Day	First Monday in September
Veteran's Day	November 11
Thanksgiving Day	Fourth Thursday in November
Friday after Thanksgiving	Friday after Thanksgiving

Christmas Eve	December 24
Christmas Day	December 25

1.28.2 If a Legal Holiday falls on Saturday, it will be observed on the preceding Friday. If a Legal Holiday falls on Sunday, it will be observed on the following Monday.

1.28.3 Christmas Eve is observed only if it falls on a Monday through Thursday. If Christmas Eve falls on a Friday, that day is observed as the Christmas Day holiday.

1.29 Milestones - A significant event specified in the Contract Documents relating to an intermediate completion date or time prior to Substantial Completion of all the Work.

1.30 Notice to Proceed - A Written Notice given by OWNER to CONTRACTOR fixing the date on which the Contract Times will commence to run and on which CONTRACTOR shall start to perform CONTRACTOR's obligations under the Contract Documents.

1.31 OWNER - City of Austin, Texas, a municipal corporation, home rule city and political subdivision organized and existing under the laws of the State of Texas, acting through the City Manager or his/her designee, officers, agents or employees to administer design and construction of the Project.

1.32 Owner's Representative - The designated representative of the OWNER. The Owner's Representative will be identified at the pre-construction conference.

1.33 Partial Occupancy or Use - Use by OWNER of a substantially completed part of the Work for the purpose for which it is intended (or a related purpose) prior to Substantial Completion of all the Work, provided OWNER and CONTRACTOR have accepted in writing the responsibilities assigned to each of them for payments, retainage if any, security, maintenance, utilities, corrective work, insurance and warranties.

1.34 Project - The subject of the Work and its intended result.

1.35 Project Manual - That portion of the Contract Documents which may include the following: introductory information; bidding requirements, Contract forms and General and Supplemental General Conditions; General Requirements; Specifications; Drawings; MBE/WBE or DBE Procurement Program Package; Project Safety Manual; and Addenda.

1.36 Resident Project Representative - The authorized representative of E/A who may be assigned to the site or any part thereof.

1.37 Shop Drawings - All drawings, diagrams, illustrations, schedules and other data or information which are specifically prepared or assembled by or for CONTRACTOR and submitted by CONTRACTOR as required by the Contract Documents.

1.38 Specifications - Those portions of the Contract Documents consisting of written technical descriptions as applied to the Work, which set forth to CONTRACTOR, in detail, the requirements which must be met by all materials, equipment, construction systems, standards, workmanship, equipment and services in order to render a completed and useful project.

1.39 Solicitation - Solicitation means, as applicable, an Invitation for Bid or a Request for Proposal.

1.40 Substantial Completion - The stage in the progress of the Work when the Work, or designated portion thereof, is sufficiently complete in accordance with the Contract Documents so OWNER can occupy or utilize the Work for its intended use, as evidenced by a Certificate of Substantial Completion approved by OWNER.

1.41 Subcontractor - An individual, firm, corporation, or other business entity having a direct contract with CONTRACTOR for the performance of a portion of the Work under the Contract.

1.42 Sub-Subcontractor - A person or entity who has a direct or indirect contract with a Subcontractor to perform a portion of the work.

1.43 Superintendent - The representative of CONTRACTOR authorized in writing to receive and fulfill instructions from the Owner's Representative, and who shall supervise and direct construction of the Work.

1.44 Supplemental General Conditions - The part of the Contract Documents which amends or supplements the General Conditions. All General Conditions which are not so amended or supplemented remain in full force and effect.

1.45 Supplier - An individual or entity having a direct contract with CONTRACTOR or with any Subcontractor to furnish materials or equipment to be incorporated in the Work by CONTRACTOR or any Subcontractor.

1.46 Time Extension Request - An approved request for time extension on a form acceptable to OWNER.

1.47 Work - The entire completed construction, or the various separately identifiable parts thereof, required to be furnished under the Contract Documents.

1.48 Working Day - Any day of the week, not including Saturdays, Sundays, or Legal Holidays in which conditions under the CONTRACTOR's control will permit work for a continuous period of not less than seven (7) hours between 7:00 a.m. and 6:00 p.m. If other contract documents reduce the continuous period available for work to less than seven (7) hours, those reduced hours shall be considered a Working Day. Upon agreement with Owner's Representative, work on Saturdays, Sundays, and/or Legal Holidays may be allowed and will be considered a Working Day.

1.49 Working Hours

1.49.1 Working Day Contract: All Work shall be done between 7:00 a.m. and 6:00 p.m. unless otherwise authorized by Owner's Representative. However, emergency work may be done without prior permission as indicated in paragraph 6.11.5. If night Work is authorized and conditions under CONTRACTOR's control will permit Work for a continuous period of not less than seven (7) hours between 12:00 a.m. and 11:59 p.m. it will be considered a Working Day. Night Work may be revoked at any time by OWNER if CONTRACTOR fails to maintain adequate equipment and supervision for the prosecution and control of the night Work.

1.49.2 Calendar Day Contract: All Work shall be done between 7:00 a.m. and 6:00 p.m. unless authorized by Owner’s Representative. However, emergency work may be done without prior permission as indicated in paragraph 6.11.5. Night Work may be revoked at any time by OWNER if CONTRACTOR fails to maintain adequate equipment and supervision for the prosecution and control of the night Work.

1.50 Written Notice - Written communication between OWNER and CONTRACTOR. Written Notice shall be deemed to have been duly served if delivered in person to Owner's Representative or CONTRACTOR's duly authorized representative, or if delivered at or sent by registered or certified mail to the attention of Owner's Representative or CONTRACTOR's duly authorized representative at the last business address known to the party giving notice.

ARTICLE 2 - PRELIMINARY MATTERS

2.1 Delivery of Agreement, Bonds, Insurance, etc.: Within five (5) Working Days after written notification of award of Contract, CONTRACTOR shall deliver to OWNER signed Agreement, Bond(s), Insurance Certificate(s) and other documentation required for execution of Contract.

2.2 Copies of Documents: OWNER shall furnish to CONTRACTOR (1) copy of the executed Project Manual, one (1) set of Drawings and one (1) copy of the Contract Documents in .pdf format. Additional copies will be furnished, upon request, at the cost specified in the Supplemental General Conditions."

2.3 Commencement of Contract Times; Notice to Proceed: The Contract Time(s) will begin to run on the day indicated in the Notice to Proceed. Notice to Proceed will be given at any time within sixty (60) calendar days after the Execution Date of the Agreement, unless extended by written agreement of the parties.

2.4 Before Starting Construction:

2.4.1 No Work shall be done at the site prior to the preconstruction conference without OWNER's approval. Before undertaking each part of the Work, CONTRACTOR shall carefully study the Contract Documents to check and verify pertinent figures shown thereon compare accurately to all applicable field measurements. CONTRACTOR shall promptly report in writing to Owner's Representative any conflict, error, ambiguity or discrepancy which CONTRACTOR may discover and shall obtain a written interpretation or clarification from Owner's Representative before proceeding with any Work affected thereby. CONTRACTOR shall be liable to OWNER for failure to report any conflict, error, ambiguity or discrepancy in the Contract Documents of which CONTRACTOR knew or reasonably should have known.

2.4.2 It is mutually agreed between CONTRACTOR and OWNER that successful completion of the Work within the Contract completion date is of primary importance. Therefore, the CONTRACTOR hereby agrees to submit to the Owner's Representative for review and approval, or acceptance, as appropriate, all information requested within this section, including a Baseline Schedule, no later than five working days prior to the preconstruction conference. The Owner's Representative will schedule the preconstruction conference upon the timely submittal of the required documents, unless time is extended by written mutual agreement. CONTRACTOR will submit the following:

.1 A proposed Baseline Schedule developed using Microsoft Project software, unless otherwise approved by Owner's Representative ("Baseline Schedule") to confirm that all Work will be completed within the Contract time. The Baseline Schedule must (i) indicate the times (number of days or dates) for starting and completing the various stages of the Work, including any Milestones specified in the Contract Documents, (ii) identify the Critical Path for completing the Work, (iii) identify when all Subcontractors will be utilized, and (iv) take into consideration any limitations on Working Hours, including baseline Rain Days on Calendar Day Contracts, and (v) be prepared accordance with Section 01310, Schedules and Reports, if applicable; otherwise in accordance with Section 01300, Submittals. This Baseline Schedule, a copy of which shall be made available at the job site(s), must contain sufficient detail to indicate that the CONTRACTOR has properly identified required Work elements and tasks, has provided for a sufficient and proper workforce and integration of Subcontractors, has provided sufficient

resources and has considered the proper sequencing of the Work required to result in a successful Project that can be completed within the Contract time;

.2 An organizational chart showing the principals and management personnel who will be involved with the Work, including each one's responsibilities for the Work;

.3 To the extent not set forth in the Section 00400 Statement of Contractor's Experience, a complete listing of the CONTRACTOR's employees proposed for the Work. List each one by name and job title, and show length of employment with CONTRACTOR;

.4 To the extent not set forth in the Section 00410 Statement of Bidder's Safety Experience, a discussion and confirmation of the CONTRACTOR's commitment to safety by providing a copy of its employee's safety handbook and the safety records for the past three years of CONTRACTOR's proposed project manager and Superintendent;

.5 A preliminary schedule of Shop Drawing and sample submittals;

.6 A preliminary schedule of values for all of the Work, subdivided into component parts in sufficient detail to serve as the basis for progress payments during construction. Such prices will be deemed to include an appropriate amount of overhead and profit applicable to each item of Work;

.7 To the extent not set forth in the Section 00400 Statement of Contractor's Experience, a letter designating CONTRACTOR's Superintendent and project manager, and a confirmation of past project experience for the CONTRACTOR's Superintendent and project manager specifically intended for the Work;

.8 A letter from CONTRACTOR and Subcontractor(s) listing salaried specialists. A salaried specialist is anyone except an hourly worker whose wage rate is governed by Section 00830 of this agreement;

.9 A letter designating the project's Safety Representative along with a copy of their Department of Labor-issued OSHA card proving completion of the OSHA 30-hour Construction Safety and Health training class in the OSHA Outreach Training Program;

.10 If applicable, an excavation safety system plan;

.11 If applicable, a plan illustrating proposed locations of temporary facilities;

.12 A completed Non-Use of Asbestos Affidavit (Prior to Construction);

.13 A letter designating the Texas Registered Professional Land Surveyor for layout of the Work, if the Work requires the services of a surveyor; and

.14 Copies of the Department of Labor-issued OSHA cards proving completion of the OSHA 10-hour Construction Safety and Health training class in the OSHA Outreach Training Program for each worker (defined as a person covered by a prevailing wage determination) that will initially be on site. Note that workers must possess other OSHA-required training as the work dictates in accordance with the OSHA Act; and specifically, the contractor must meet the required provisions in 509S Excavation Safety Systems required prior to commencing excavation;

.15 A certificate of worker's compensation insurance coverage for all persons providing services on the Project (refer to 5.2.1.3 in Section 00700 for definition of persons providing services on the Project);

.16 A Construction Equipment Emissions Reduction Plan.

2.4.3 Neither the acceptance nor the approval of any of the submittals required in paragraph 2.4.2, above, will constitute the adoption, affirmation, or direction of the CONTRACTOR'S means and methods.

2.5 Preconstruction Conference: Prior to commencement of Work at the site, CONTRACTOR must attend a preconstruction conference with Owner's Representative and others, as set forth in Division 1. Additionally, prior to commencement of work, the CONTRACTOR shall host a preconstruction conference for the Subcontractors identified on the originally approved compliance plan, Owner's Representative and others, as set forth in Division 1. The CONTRACTOR shall notify all Subcontractors five (5) working days prior to the preconstruction conference. If the CONTRACTOR has included Subcontractors in the initial preconstruction conference, the additional Subcontractor preconstruction conference will not be required.

2.6 Initially Acceptable Schedules: Unless otherwise provided in the Contract Documents, CONTRACTOR shall obtain approval of Owner's Representative on the Baseline Schedule submitted in accordance with paragraph 2.4.2.1 and Division 1 before the first progress payment will be made to CONTRACTOR. The Baseline Schedule must provide for an orderly progression of the designated portion of the Work to completion within any specified Milestones and Contract Times. Acceptance of the schedule by Owner's Representative will neither impose on Owner's Representative responsibility or liability for the sequencing, scheduling or progress of the Work nor interfere with or relieve CONTRACTOR from CONTRACTOR's full responsibility for such Work. CONTRACTOR's schedule of Shop Drawings and sample submissions must provide an acceptable basis for reviewing and processing the required submittals. CONTRACTOR's schedule of values must conform to the requirements set forth in Division 1.

ARTICLE 3 - CONTRACT DOCUMENTS: INTENT, AMENDING, REUSE

3.1 Intent:

3.1.1 The intent of the Contract Documents is to include all information necessary for the proper execution and timely completion of the Work by CONTRACTOR. The CONTRACTOR will execute the Work described in and reasonably inferable from the Contract Documents as necessary to produce the results indicated by the Contract Documents. The Contract Documents are complementary, and what is required by one shall be as binding as if required by all. In cases of disagreement, the following order of precedence shall generally govern (top item receiving priority of interpretation):

Signed Agreement
Addendum to the Contract Documents, including approved changes
Supplemental General Conditions
General Conditions
Other Bidding Requirements and Contract Forms
Special Provisions to the Standard Technical Specifications
Special Specifications
Standard Technical Specifications

Drawings (figured dimensions shall govern over scaled dimensions)
Project Safety Manual (if applicable),

with the understanding that a common sense approach will be utilized as necessary so that the Contract Documents produce the intended response.

3.1.2 Unless otherwise stated in the Contract Documents, words that have well-known technical or construction industry meanings are used in the Contract Documents in accordance with such recognized meanings.

3.2 Reporting and Resolving Discrepancies: If, during the performance of the Work, CONTRACTOR discovers any conflict, error, ambiguity or discrepancy within the Contract Documents or between the Contract Documents and any provisions of any such law or regulation applicable to the performance of the Work or of any such standard, specification, manual or code or instructions of any Supplier, CONTRACTOR shall report it to Owner's Representative in writing at once, and CONTRACTOR shall not proceed with the Work affected thereby until an amendment or supplement to the Contract Documents has been issued by one of the methods indicated in paragraph 3.3.1 or 3.3.2. CONTRACTOR shall be liable to OWNER for failure to report any such conflict, error, ambiguity or discrepancy of which CONTRACTOR knew or reasonably should have known.

3.3 Modifying and Supplementing Contract Documents:

3.3.1 The Contract Documents may be modified to provide for additions, deletions, and revisions in the Work or to modify the terms and conditions by change order or contract amendment.

3.3.2 In addition, the requirements of the Contract Documents may be supplemented, and minor variations and deviations in the Work may be authorized, in one or more of the following ways:

.1 Field Order.

.2 Review of a Shop Drawing or sample.

.3 Written interpretation or clarification.

3.4 Reuse of Documents Prohibited: CONTRACTOR and any Subcontractor or Supplier or other person or organization performing or furnishing any of the Work under a direct or indirect contract with OWNER: (i) shall not have or acquire any title to or ownership rights in any of the Drawings, Specifications or other documents (or copies of any thereof) prepared by or bearing the seal of E/A or E/A's consultant, and (ii) shall not reuse any of such Drawings, Specifications, other documents or copies on extensions of the Project or any other project without written consent of OWNER and E/A.

3.5 In the event of the breach by the OWNER or CONTRACTOR of any of its obligations under the Contract, so as to support a claim by the other party, the provisions of this Contract will be equitably construed to allow the resolution of such a claim and all of the other provisions of this Contract shall continue in full force and effect as to the rights, responsibilities, and remedies of the OWNER and CONTRACTOR.

ARTICLE 4 - AVAILABILITY OF LANDS; SUBSURFACE AND PHYSICAL CONDITIONS; REFERENCE POINTS

4.1 Availability of Lands: The OWNER will provide access to all land and interests in land required for the Work and will notify CONTRACTOR of any restrictions in such access. CONTRACTOR may make a claim if OWNER fails to provide timely access to the Work.

CONTRACTOR must obtain any additional temporary construction facilities, stockpiling or storage sites not otherwise provided.

4.2 Subsurface and Physical Conditions:

4.2.1 CONTRACTOR specifically represents that it has carefully examined the plans, the geotechnical report, if any, and the site of the proposed Work and is thoroughly familiar with all of the conditions surrounding construction of the Project, having had the opportunity to conduct any and all additional inquiry, tests and investigation that he/she deems necessary and proper. CONTRACTOR acknowledges the receipt of the geotechnical report, if any, and agrees that the report, while it is an accurate record of the geotechnical conditions at the boring locations, is not a guarantee of specific site conditions which may vary between boring locations.

4.2.2 CONTRACTOR must notify OWNER in writing as soon as reasonably possible, but no later than three (3) calendar days, if unforeseen conditions are encountered at the site which are (i) subsurface or otherwise concealed physical conditions that differ materially from those indicated in the Contract Documents or (ii) unknown physical conditions of an unusual nature, that differ materially from those normally encountered in the type of work being performed under this Contract. CONTRACTOR may not disturb the conditions until OWNER conducts an investigation. Owner's Representative and E/A will promptly investigate such conditions with E/A. If it is determined that such conditions differ materially and cause an increase or decrease in the CONTRACTOR's cost of or time required for performance of any part of the Work, Owner's Representative will recommend an equitable adjustment in the Contract Amount or Contract Time, or both. If it is determined that such conditions are not materially different from those indicated in the Contract Documents, Owner's Representative will notify CONTRACTOR in writing of such findings and the Contract will not be adjusted. CONTRACTOR may dispute such a determination in accordance with Article 16.

4.2.3 Notwithstanding any other provision of this Contract, CONTRACTOR is solely responsible for the location and protection of any and all public utility lines and utility customer service lines in the Work area. "Public utility lines" means the utility distribution and supply system, and "utility customer service lines" means the utility lines connecting customers to the utility distribution and collection system. Generally, existing utility customer service line connections are not shown on the Drawings. CONTRACTOR shall notify "One Call" and exercise due care to locate, mark, uncover and otherwise protect all such lines in the construction zone and any of CONTRACTOR's work or storage areas. CONTRACTOR's responsibility for the location and protection of utilities is primary and nondelegable. **CONTRACTOR shall indemnify or reimburse such expenses or costs (including fines that may be levied against OWNER) that may result from unauthorized or accidental damage to all public lines and utility customer service lines in the work area. OWNER reserves the right to repair any damage CONTRACTOR causes to such utilities at CONTRACTOR's expense. If a public line and/or customer service line is damaged by CONTRACTOR, CONTRACTOR shall give verbal notice within one (1) hour and written notice within twenty-four (24) hours to the Owner's Representative.**

4.2.4 CONTRACTOR shall take reasonable precaution to avoid disturbing primitive records and antiquities of archaeological, paleontological or historical significance. No objects of this nature shall be disturbed without written permission of OWNER and Texas Historical Commission. When such objects are uncovered unexpectedly, CONTRACTOR shall stop all Work in close proximity and notify Owner's Representative and Texas Historical Commission of their presence and shall not disturb them until written permission and permit to do so is granted. All primitive rights and antiquities uncovered on OWNER's property shall remain property of State of Texas, Texas Historical Commission conforming to Texas Natural Resources Code. If it is determined by OWNER, in consultation with Texas Historical Commission, that exploration or excavation of primitive records or antiquities on Project site is necessary to avoid loss, CONTRACTOR shall cooperate in salvage work attendant to preservation. If the Work stoppage or salvage work causes an increase in CONTRACTOR's cost of, or time required for, performance of the Work, the Contract Amount and/or Contract Time will be equitably adjusted.

4.3 Reference Points: Unless otherwise specified, all control lines and bench marks suitable for use in layout will be furnished by OWNER. Lay out of the Work shall be performed in accordance with Division 1. Controls, bench marks and property boundary markers shall be carefully preserved by CONTRACTOR by use of flags, staffs or other visible devices and in case of destruction or removal by CONTRACTOR or its employees, such controls and bench marks shall be replaced by a Registered Professional Land Surveyor at CONTRACTOR's expense. City of Austin survey monuments damaged by CONTRACTOR will be reestablished by OWNER at CONTRACTOR's expense.

4.4 Hazardous Materials:

4.4.1 To the extent provided by applicable law, OWNER shall be responsible for any hazardous material uncovered or revealed at the site which was not shown, indicated or identified in the Contract Documents to be within the scope of the Work and which may present a substantial danger to persons or property exposed thereto in connection with the Work at the site. CONTRACTOR shall immediately notify Owner's Representative of any suspected hazardous materials encountered before or during performance of the Work and shall take all necessary precautions to avoid further disturbance of the materials.

4.4.2 CONTRACTOR shall be responsible for any hazardous materials brought to the site by CONTRACTOR, Subcontractor, Suppliers or anyone else for whom CONTRACTOR is responsible.

4.4.3 No asbestos-containing materials shall be incorporated into the Work or brought on Project site without prior approval of OWNER. The CONTRACTOR shall not knowingly use, specify, request or approve for use any asbestos containing materials or lead-based paint without the OWNER'S written approval. When a specific product is specified, the CONTRACTOR shall endeavor to verify that the product does not include asbestos containing material.

4.4.4 Refer to Division 1 for hazardous material definitions and procedures.

.1 Unless otherwise expressly provided in the Contract Documents to be part of the Work, CONTRACTOR is not responsible for any unexpected Hazardous Materials encountered at the site. Upon encountering any Hazardous Conditions, CONTRACTOR must stop Work immediately in the affected area and duly notify OWNER and, if required by applicable law or regulations, all government or quasi-government entities with jurisdiction over the Project or site.

.2 Upon receiving notice of the presence of suspected Hazardous Materials, OWNER shall take the necessary measures required to ensure that the Hazardous Materials are remediated or rendered harmless. Such necessary measures shall include OWNER retaining qualified independent experts to (i) ascertain whether Hazardous Materials have actually been encountered, and, if they have been encountered, (ii) prescribe the remedial measures that OWNER must take either to remove the Hazardous Materials or render the Hazardous Materials harmless.

.3 CONTRACTOR shall be obligated to resume Work at the affected area of the Project only after OWNER's Representative provides written certification that (i) the Hazardous Materials have been removed or rendered harmless and (ii) all necessary approvals have been obtained from all government and quasi-government entities having jurisdiction over the Project or site. The CONTRACTOR shall be responsible for continuing the Work in the unaffected portion of the Project and site.

.4 CONTRACTOR will be entitled, in accordance with these General Conditions, to an adjustment in its Contract Amount and/or Contract Time(s) to the extent CONTRACTOR's cost and/or time of performance have been adversely impacted by the presence of Hazardous Materials.

.5 Notwithstanding the preceding provisions of this Section 4.1, OWNER is not responsible for Hazardous Materials introduced to the Site by CONTRACTOR, Subcontractors or anyone for whose acts they may be liable. **CONTRACTOR shall indemnify, defend and hold harmless OWNER and OWNER's officers, directors, employees and agents from and against all claims, losses, damages, liabilities and expenses, including attorneys' fees and expenses, arising out of or resulting from those hazardous materials introduced to the site by CONTRACTOR, Subcontractors or anyone for whose acts they may be liable.**

4.4.5 CONTRACTOR shall be responsible for use, storage and remediation of any hazardous materials brought to the Site by CONTRACTOR, Subcontractors, Suppliers or anyone else for whom CONTRACTOR is responsible.

ARTICLE 5 - BONDS AND INSURANCE

5.1 Surety and Insurance Companies: All bonds and insurance required by the Contract Documents shall be obtained from solvent surety or insurance companies that are duly licensed by the State of Texas and authorized to issue bonds or insurance policies for the limits and coverages required by the Contract Documents. The bonds shall be in a form acceptable to OWNER and shall be issued by a surety which complies with the requirements of Texas Insurance Code, Title 12, Chapter 3503. The surety must obtain reinsurance for any portion of the risk that exceeds 10% of the surety's capital and surplus. For bonds exceeding $100,000, the surety must also hold a certificate of authority from the U.S. Secretary of the Treasury or have obtained reinsurance for any liability in excess of $1,000,000 from a reinsurer that is authorized as a reinsurer in Texas or holds a certificate of authority from the U.S. Secretary of the Treasury. In the event that the proposed surety for a contract award in excess of $100,000 does not hold a certificate of authority from the

U.S. Secretary of the Treasury and/or its proposed reinsurer does not hold a certificate of authority from the U.S. Secretary of the Treasury, the OWNER may require additional financial solvency information from the Bidder/Contractor and the proposed surety company and/or reinsurer as part of the 00400 Statement of Bidders Experience and determination of bidder responsibility in the award of the Contract.

5.2 Workers' Compensation Insurance Coverage:

5.2.1 Definitions:

.1 Certificate of coverage ("certificate") - A copy of a certificate of insurance, a certificate of authority to self-insure issued by the commission, or a coverage agreement (DWC-81, DCW-82, DCW-83, or DCW84), showing statutory workers' compensation insurance coverage for the person's or entity's employees providing services on the Project, for the duration of the Project.

.2 Duration of the Project - includes the time from the beginning of the Work on the Project until the CONTRACTOR's/ person's Work on the Project has been completed and accepted by OWNER.

.3 Persons providing services on the Project ("subcontractor" in Texas Labor Code, Section 406.096) - includes all persons or entities performing all or part of the services the CONTRACTOR has undertaken to perform on the Project, regardless of whether that person contracted directly with the CONTRACTOR and regardless of whether that person has employees. This includes, without limitation, independent contractors, Subcontractors, leasing companies, motor carriers, owner-operators, employees of any such entity, or employees of any entity which furnishes persons to provide services on the Project. "Services" include, without limitation, providing, hauling, or delivering equipment or materials, or providing labor, transportation, or other service related to a project. "Services" does not include activities unrelated to the Project, such as food/beverage vendors, office supply deliveries, and delivery of portable toilets.

5.2.2 CONTRACTOR shall provide coverage, based on proper reporting of classification codes and payroll amounts and filing of any coverage agreements, which meets the statutory requirements of Texas Labor Code, Section 401.011(44) for all employees of the CONTRACTOR providing services on the Project, for the duration of the Project.

5.2.3 CONTRACTOR must provide a certificate of coverage to OWNER prior to being awarded the Contract.

5.2.4 If the coverage period shown on the CONTRACTOR's current certificate of coverage ends during the duration of the Project, the CONTRACTOR must, prior to the end of the coverage period, file a new certificate of coverage with OWNER showing that coverage has been extended.

5.2.5 CONTRACTOR shall obtain from each person providing services on the Project, and provide to OWNER:

.1 A certificate of coverage, prior to that person beginning Work on the Project, so OWNER will have on file certificates of coverage showing coverage for all persons providing services on the Project; and

.2 No later than seven (7) days after receipt by CONTRACTOR, a new certificate of coverage showing extension of coverage, if the coverage period shown on the current certificate of coverage ends during the duration of the Project.

5.2.6 CONTRACTOR shall retain all required certificates of coverage for the duration of the Project and for one (1) year thereafter.

5.2.7 CONTRACTOR shall notify OWNER in writing by certified mail or personal delivery, within ten (10) days after CONTRACTOR knew or should have known, of any change that materially affects the provision of coverage of any person providing services on the Project.

5.2.8 CONTRACTOR shall post on each Project site a notice, in the text, form and manner prescribed by the Texas Workers' Compensation Commission, informing all persons providing services on the Project that they are required to be covered, and stating how a person may verify coverage and report lack of coverage.

5.2.9 CONTRACTOR shall contractually require each person with whom it contracts to provide services on a Project, to:

.1 Provide coverage, based on proper reporting of classification codes and payroll amounts and filing of any coverage agreements, which meets the statutory requirements of Texas Labor Code, Section 401.011(44) for all of its employees providing services on the Project, for the duration of the Project;

.2 Provide to CONTRACTOR, prior to that person beginning Work on the Project, a certificate of coverage showing that coverage is being provided for all employees of the person providing services on the Project, for the duration of the Project;

.3 Provide CONTRACTOR, prior to the end of the coverage period, a new certificate of coverage showing extension of coverage, if the coverage period shown on the current certificate of coverage ends during the duration of the Project;

.4 Obtain from each other person with whom it contracts, and provide to CONTRACTOR: a) a certificate of coverage, prior to the other person beginning Work on the Project; and b) a new certificate of coverage showing extension of coverage, prior to the end of the coverage period, if the coverage period shown on the current certificate of coverage ends during the duration of the Project;

.5 Retain all required certificates of coverage on file for the duration of the Project and for one (1) year thereafter;

.6 Notify OWNER in writing by certified mail or personal delivery, within ten (10) days after the person knew or should have known, of any change that materially affects the provision of coverage of any person providing services on the Project; and

.7 Contractually require each person with whom it contracts, to perform as required by paragraphs 5.2.9.1 - 5.2.9.7, with the certificates of coverage to be provided to the person for whom they are providing services.

5.2.10 By signing this Contract or providing or causing to be provided a certificate of coverage, CONTRACTOR is representing to OWNER that all employees of the CONTRACTOR who will provide services on the Project will be covered by workers' compensation coverage for the duration of the Project, that the coverage will be based on proper reporting of classification codes and payroll amounts, and that all coverage agreements will be filed with the appropriate insurance carrier or, in the case of a self-insured, with the Texas Worker’s Compensation Commission's Division of Self- Insurance Regulation. Providing false or misleading information

may subject CONTRACTOR to administrative penalties, criminal penalties, civil penalties, or other civil actions.

5.2.11 CONTRACTOR's failure to comply with any of these provisions is a breach of Contract by CONTRACTOR which entitles OWNER to declare the Contract void if CONTRACTOR does not remedy the breach within ten (10) days after receipt of notice of breach from OWNER.

5.3 Other Bond and Insurance Requirements: For additional insurance requirements, refer to the Supplemental General Conditions.

5.4 Bonds:

5.4.1 General.

.1 Bonds, when required, shall be executed on forms furnished by or acceptable to OWNER. All bonds signed by an agent must be accompanied by a certified copy of such agent's authority to act.

.2 If the surety on any bond furnished by CONTRACTOR is declared bankrupt or becomes insolvent or its right to do business is terminated in the State of Texas or it ceases to meet the requirements of the preceding paragraph, CONTRACTOR shall within ten (10) days thereafter substitute another bond and surety, both of which must be acceptable to OWNER.

.3 When Performance Bonds and/or Payment Bonds are required, each shall be issued in an amount of one hundred percent (100%) of the Contract Amount as security for the faithful performance and/or payment of all CONTRACTOR's obligations under the Contract Documents. Performance Bonds and Payment Bonds shall be issued by a solvent surety company authorized to do business in the State of Texas, and shall meet any other requirements established by law or by OWNER pursuant to applicable law. Any surety duly authorized to do business in Texas may write Performance and Payment Bonds on a project without reinsurance to the limit of ten percent (10%) of its capital and surplus. Such a surety must reinsure any obligations over ten percent (10%).

5.4.2 Performance Bond.

.1 If the Contract Amount exceeds $100,000, CONTRACTOR shall furnish OWNER with a Performance Bond in the form set out in Section 00610.

.2 If the Contract Amount exceeds $25,000 but is less than or equal to $100,000, CONTRACTOR shall furnish OWNER with a Performance Bond in the form set out in Section 00610, unless the original Contract Time is 60 Calendar Days/40 Working Days or less, in which case CONTRACTOR can agree to the following terms and conditions for payment in lieu of providing a Performance Bond: no moneys will be paid to CONTRACTOR until completion and acceptance of the Work by OWNER; CONTRACTOR shall be entitled to receive 95% of the Contract Amount following Final Completion, and the remaining 5% of the Contract Amount following the one (1) year warranty period.

.3 If the Contract Amount is less than or equal to $25,000, CONTRACTOR will not be required to furnish a Performance Bond; provided that no moneys will be paid to CONTRACTOR until completion and acceptance of the Work by OWNER under the following terms and conditions: CONTRACTOR shall be entitled to receive 95% of the Contract Amount following Final Completion, and the remaining 5% of the Contract Amount following the one (1) year warranty period.

.4 If a Performance Bond is required to be furnished, it shall extend for the one (1) year warranty period.

5.4.3 Payment Bond.

.1 If the Contract Amount exceeds $50,000, CONTRACTOR shall furnish OWNER with a Payment Bond in the form set out in Section 00620.

.2 If the Contract Amount is less than or equal to $50,000, CONTRACTOR will not be required to furnish a Payment Bond; provided that no moneys will be paid to CONTRACTOR until completion and acceptance of the Work by OWNER under the terms and conditions specified in paragraph 5.4.2.3.

5.4.4 **Maintenance Bond:** If the Contract Documents contemplate a period of maintenance beyond the one (1) year contractual warranty period, OWNER agrees that any bond to be required for such maintenance work will be in the amount of the maintenance work during any extended maintenance period.

ARTICLE 6 - CONTRACTOR'S RESPONSIBILITIES

6.1 Supervision and Superintendence:

6.1.1 CONTRACTOR shall supervise, inspect and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents. CONTRACTOR shall be solely responsible for the means, methods, techniques, sequences and procedures of construction. CONTRACTOR shall be responsible to see that the completed Work complies accurately with the Contract Documents.

6.1.2 CONTRACTOR shall have a competent, qualified Superintendent on the Work at all times that work is in progress. To be qualified, at a minimum, the Superintendent must be effective at (a) communicating both verbally and in writing with the OWNER's representative; (b) receiving and fulfilling instructions from the Owner's Representative; (c) supervising and directing the construction of the Work; (d) reading and interpreting the plans and specifications; (e) writing, preparing and submitting necessary paperwork; and (f) understanding work sequencing and scheduling. The Superintendent will be CONTRACTOR's representative on the Work and shall have the authority to act on the behalf of CONTRACTOR. All communications given to the Superintendent shall be as binding as if given to CONTRACTOR. Either CONTRACTOR or the Superintendent shall provide a cellular telephone number and an emergency and home telephone number at which one or the other may be reached if necessary when work is not in progress. The Superintendent must be an employee of the CONTRACTOR, unless such requirement is waived in writing by the Owner's Representative. If the CONTRACTOR proposes a management structure with a Project Manager supervising, directing, and managing construction of the work in addition to or in substitution of a Superintendent, the requirements of these Construction Documents with respect to the Superintendent shall likewise apply to any such Project Manager.

.1 CONTRACTOR shall present the resume of the proposed Superintendent to the Owner's Representative showing evidence of experience and successful superintendence and direction of work of a similar scale and complexity. If, in the opinion of the Owner's Representative, the proposed Superintendent does

not indicate sufficient experience in line with the Work, he/she will not be allowed to be the designated Superintendent for the Work.

.2 The Superintendent shall not be replaced without Written Notice to Owner's Representative. If CONTRACTOR deems it necessary to replace the Superintendent, CONTRACTOR shall provide the necessary information for approval, as stated above, on the proposed new Superintendent.

.3 A qualified substitute Superintendent may be designated in the event that the designated Superintendent is temporarily away from the Work, but not to exceed a time limit acceptable to the Owner's Representative. CONTRACTOR shall replace the Superintendent upon OWNER's request in the event the Superintendent is unable to perform to OWNER's satisfaction.

6.2 Labor, Materials and Equipment:

6.2.1 CONTRACTOR shall maintain a work force adequate to accomplish the Work within the Contract Time. CONTRACTOR agrees to employ only orderly and competent workers, skillful in performance of the type of Work required under this Contract. CONTRACTOR, Subcontractors, Sub-subcontractors, and their employees may not use or possess any alcoholic or other intoxicating beverages, illegal drugs or controlled substances while on the job or on OWNER's property, nor may such workers be intoxicated, or under the influence of alcohol or drugs, on the job. Subject to the applicable provisions of Texas law, CONTRACTOR, Subcontractors, Sub-subcontractors, and their employees may not use or possess any firearms or other weapons while on the job or on OWNER'S property. If OWNER or Owner's Representative notifies CONTRACTOR that any worker or representative of Contractor is incompetent, disorderly, abusive, or disobedient, has knowingly or repeatedly violated safety regulations, has possessed any firearms in contravention of the applicable provisions of Texas law, or has possessed or was under the influence of alcohol or drugs on the job, CONTRACTOR shall immediately remove such worker or representative, including an officer or owner of CONTRACTOR, from performing Contract Work, and may not employ such worker or representative again on Contract Work without OWNER's prior written consent. CONTRACTOR shall at all times maintain good discipline and order on or off the site in all matters pertaining to the Project. Workers on Project shall be paid not less than wage rates, including fringe benefits, as published by the Department of Labor (DOL) for Building Construction and Heavy and Highway Trades "AS APPLICABLE" and/or the minimum wage required by City of Austin Ordinance No. 20160324-015, whichever is higher. The Total Minimum Wage required can be met using any combination of cash and non-cash qualified fringe benefits provided the cash component meets or exceeds the minimum wage required.

6.2.2 Unless otherwise specified in Division 1, CONTRACTOR shall provide and pay for all materials, equipment, labor, transportation, construction equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, temporary facilities and all other facilities and incidentals necessary for the furnishing, performance, testing, start-up and completion of the Work.

6.2.3 All materials and equipment shall be of good quality and new (including new products made of recycled materials, pursuant to Section 361.426 of the Texas Health & Safety Code), except as otherwise provided in the Contract Documents. If required by Owner's Representative, CONTRACTOR shall furnish satisfactory evidence (reports of required tests, manufacturer's certificates of compliance with material requirements, mill reports, etc.) as to the kind and quality of materials and equipment. All materials and equipment shall be applied, installed, connected,

erected, used, cleaned and conditioned in accordance with instructions of the applicable Supplier, except as otherwise provided in the Contract Documents.

6.2.4 Substitutes and "Approved Equal" Items:

.1 Whenever an item of material or equipment is specified or described in the Contract Documents by using the name of a proprietary item or the name of a particular Supplier, the specification or description is intended to establish the type, function and quality required. Unless the specification or description contains words reading that no like, equivalent or "approved equal" item or no substitution is permitted, other items of material or equipment of other Suppliers may be submitted by CONTRACTOR, at CONTRACTOR'S sole risk, including disruptions to the Critical Path of the Progress Schedule, to E/A through Owner's Representative under the following circumstances:

.1.1 "Approved Equal": If in E/A's sole discretion an item of material or equipment proposed by CONTRACTOR is functionally equal to that named and sufficiently similar so that no change in related Work will be required, it may be considered by E/A as an "approved equal" item, in which case review of the proposed item may, in E/A's sole discretion, be accomplished without compliance with some or all of the requirements for evaluation of proposed substitute items. CONTRACTOR shall provide E/A with the documentation required for E/A to make its determination.

.1.2 Substitute Items: If in E/A's sole discretion an item of material or equipment proposed by CONTRACTOR does not qualify as an "approved equal" item under subparagraph 6.2.4.1.1, it will be considered a proposed substitute item. CONTRACTOR shall submit sufficient information as provided in Division 1 to allow E/A to determine that the item of material or equipment proposed is essentially equivalent to that named and a substitute therefor.

.2 Substitute Construction Methods and Procedures: If a specific means, method, technique, sequence or procedure of construction is shown or indicated in and expressly required by the Contract Documents, CONTRACTOR may, at CONTRACTOR'S sole risk, including disruptions to the Critical Path of the Progress Schedule, with prior approval of E/A furnish or utilize a substitute means, method, technique, sequence, or procedure of construction. CONTRACTOR shall submit sufficient information to Owner's Representative to allow E/A, in E/A's sole discretion, to determine that the substitute proposed is equivalent to that expressly called for by the Contract Documents. The procedure for review by E/A will be same as that provided for substitute items in Division 1.

.3 E/A's Evaluation: E/A will be allowed a reasonable time within which to evaluate each proposal or submittal made pursuant to subparagraphs 6.2.4.1.1 and 6.2.4.1.2. E/A will be the sole judge of acceptability. No "approved equal" or substitute shall be ordered, installed, or utilized until E/A's review is complete, which will be evidenced by either a Change Order or completion of the Shop Drawing review procedure. OWNER may require CONTRACTOR to furnish at CONTRACTOR's expense a special performance guarantee or other surety bond with respect to any "approved equal" or substitute or for any other delay or disruption to the Critical Path of the Project Schedule attributable to any such substitution. OWNER shall not be responsible for any delay due to review time for any "approved equal" or substitute.

.4 CONTRACTOR's Expense: All data and documentation to be provided by CONTRACTOR in support of any proposed "approved equal" or substitute item will be at CONTRACTOR's expense.

.5 The approval of the E/A will not relieve the CONTRACTOR from primary responsibility and liability for the suitability and performance of any proposed substitute item, method or procedure and will not relieve CONTRACTOR from its primary responsibility and liability for curing defective Work and performing warranty work, which the CONTRACTOR shall cure and perform, regardless of any claim the CONTRACTOR may choose to advance against the E/A or manufacturer.

6.2.5 CONTRACTOR agrees to assign to OWNER any rights it may have to bring antitrust suits against its Suppliers for overcharges on materials incorporated in the Project growing out of illegal price fixing agreements. CONTRACTOR further agrees to cooperate with OWNER should OWNER wish to prosecute suits against Suppliers for illegal price fixing.

6.3 Progress Schedule: Unless otherwise provided in Division 1, CONTRACTOR shall adhere to the Baseline Schedule established in accordance with paragraph 2.6 as it may be adjusted from time to time as provided below:

6.3.1 CONTRACTOR shall submit to Owner's Representative for review and approval any proposed adjustments in the Progress Schedule that will not change the Contract Times or Milestones on a monthly basis. Any such proposed adjustments must be substantiated with documentation of any changes to the underlying logic of the Progress Schedule. CONTRACTOR's Progress Schedule must show how the CONTRACTOR will consistently advance the progress of the Work in accordance with the Critical Path of the Work and the Contract Time or Milestones. Such adjustments will conform generally to the Progress Schedule then in effect and additionally will comply with any provisions of Division 1 applicable thereto.

6.3.2 Proposed adjustments in the Progress Schedule that will change the Contract Times or Milestones shall be submitted in accordance with the requirements of Article 12. Any such proposed adjustments must be substantiated with documentation of any changes to the underlying logic of the Progress Schedule. Such adjustments may only be made by a Change Order or Time Extension Request in accordance with Article 12.

6.4 Concerning Subcontractors, Suppliers and Others:

6.4.1 Assignment: CONTRACTOR agrees to retain direct control of and give direct attention to the fulfillment of this Contract. CONTRACTOR agrees not to, by Power of Attorney, or otherwise, assign said Contract without the prior written consent of OWNER. In addition, without OWNER'S written consent, the CONTRACTOR will not subcontract the performance of the entire Work or the supervision and direction of the Work.

6.4.2 Award of Subcontracts for Portions of the Work: CONTRACTOR shall not employ any Subcontractor, Supplier or other person or organization, whether initially or as a substitute, against whom OWNER may have reasonable objection. OWNER will communicate such objections by Written Notice. If OWNER requires a change without good cause of any Subcontractor, person or organization previously accepted by OWNER, the Contract Amount shall be increased or decreased by the difference in the cost occasioned by any such change, and appropriate Change Order shall be issued. CONTRACTOR shall not substitute any Subcontractor, person or

organization that has been accepted by OWNER, unless the substitute has been accepted in writing by OWNER. No acceptance by OWNER of any Subcontractor, Supplier or other person or organization shall constitute a waiver of any right of OWNER to reject defective Work.

6.4.3 CONTRACTOR shall enter into written agreements with all Subcontractors and Suppliers which specifically binds the Subcontractors or Suppliers to the applicable terms and conditions of the Contract Documents for the benefit of OWNER and E/A. The OWNER reserves the right to specify that certain requirements shall be adhered to by all Subcontractors and Sub-subcontractors as indicated in other portions of the Contract Documents and these requirements shall be made a part of the agreement between CONTRACTOR and Subcontractor or Supplier. Subject to and in accordance with the above requirements, the CONTRACTOR must provide and will be deemed for all purposes to have provided in its contracts with major Subcontractors or Suppliers on the Project (those contracts of more than $10,000) the following specific provision: alternative dispute resolution (paragraphs 16.2 and 16.3), which shall be mandatory in the event of a subcontractor or supplier claim and a prerequisite for the submission of any derivative claim. The CONTRACTOR's standard subcontract form is subject to the OWNER's review and approval. The OWNER may request and the CONTRACTOR will provide within five (5) working days a copy of any subcontract requested by the OWNER.

6.4.4 CONTRACTOR shall be fully responsible to OWNER for all acts and omissions of the Subcontractors, Suppliers and other persons and organizations performing or furnishing any of the Work under a direct or indirect contract with CONTRACTOR just as CONTRACTOR is responsible for CONTRACTOR's own acts and omissions. Nothing in the Contract Documents shall create for the benefit of any such Subcontractor, Supplier or other person or organization any contractual relationship between OWNER and any such Subcontractor, Supplier or other person or organization, nor shall it create any obligation on the part of OWNER or E/A to pay or to see to the payment of any moneys due any such Subcontractor, Supplier or other person or organization except as may otherwise be required by laws and regulations.

6.4.5 CONTRACTOR shall be solely responsible for efficiently scheduling and coordinating the Work of Subcontractors, Suppliers and other persons and organizations performing or furnishing any of the Work under a direct or indirect contract with CONTRACTOR in order to avoid any delays or inefficiencies in the prosecution of the Work. CONTRACTOR shall require all Subcontractors, Suppliers and such other persons and organizations performing or furnishing any of the Work to communicate with Owner's Representative through CONTRACTOR.

6.4.6 The divisions and sections of the Specifications and the identifications of any Drawings shall not control CONTRACTOR in dividing or delineating the Work to be performed by any specific trade.

6.4.7 CONTRACTOR shall pay each Subcontractor and Supplier their appropriate share of payments made to CONTRACTOR not later than ten (10) Calendar Days of CONTRACTOR's receipt of payment from OWNER. Upon request from Owner, the CONTRACTOR has two (2) Working Days to provide documentation verifying Payment to Subcontractor(s). The CONTRACTOR is required to notify the Subcontractor(s) in writing of rejection of Application for Payment within two (2) Working Days following notification by Owner. Failure of CONTRACTOR to make payments to Subcontractors or for labor, materials or equipment in accordance to this contract, may be cause to reject future Bids by the CONTRACTOR in accordance

with Section 00100 9.2.4 and may be cause to reject payment in accordance with 00700 14.4.1.3.

6.4.8 To the extent allowed by Texas law, the OWNER shall be deemed to be a third party beneficiary to each subcontract and may, if OWNER elects, following a termination of the CONTRACTOR, require that the Subcontractor(s) perform all or a portion of unperformed duties and obligations under its subcontract(s) for the benefit of the OWNER, rather than the CONTRACTOR; however, if the OWNER requires any such performance by a Subcontractor for the OWNER's direct benefit, then the OWNER shall be bound and obligated to pay such Subcontractor the reasonable value for all Work performed by such Subcontractor to the date of the termination of the CONTRACTOR, less previous payments, and for all Work performed thereafter. In the event that the OWNER elects to invoke its right under this section, OWNER will provide notice of such election to the CONTRACTOR and the affected Subcontractor(s).

6.5 Patent Fees and Royalties:

6.5.1 CONTRACTOR shall be responsible at all times for compliance with applicable patents or copyrights encompassing, in whole or in part, any design, device, material, or process utilized, directly or indirectly, in the performance of the Work or the formulation or presentation of its Bid.

6.5.2 CONTRACTOR shall pay all royalties and license fees and shall provide, prior to commencement of Work hereunder and at all times during the performance of same, for lawful use of any design, device, material or process covered by letters, patent or copyright by suitable legal agreement with the patentee, copyright holder, or their duly authorized representative whether or not a particular design, device, material, or process is specified by OWNER.

6.5.3 CONTRACTOR shall defend all suits or claims for infringement of any patent or copyright and shall save OWNER harmless from any loss or liability, direct or indirect, arising with respect to CONTRACTOR's process in the formulation of its Bid or the performance of the Work or otherwise arising in connection therewith. OWNER reserves the right to provide its own defense to any suit or claim of infringement of any patent or copyright in which event CONTRACTOR shall indemnify and save harmless OWNER from all costs and expenses of such defense as well as satisfaction of all judgments entered against OWNER.

6.5.4 OWNER shall have the right to stop the Work and/or terminate this Agreement at any time in the event CONTRACTOR fails to disclose to OWNER that CONTRACTOR's work methodology includes the use of any infringing design, device, material or process.

6.6 Permits, Fees: Unless otherwise provided in the Supplemental General Conditions, CONTRACTOR shall obtain and pay for all construction permits, licenses and fees required for prosecution of the Work.

6.7 Laws and Regulations:

6.7.1 CONTRACTOR shall give all notices and comply with all laws and regulations applicable to furnishing and performing the Work, including arranging for and

obtaining any required inspections, tests, approvals or certifications from any public body having jurisdiction over the Work or any part thereof. Except where otherwise expressly required by applicable laws and regulations, neither OWNER nor E/A shall be responsible for monitoring CONTRACTOR's compliance with any laws and regulations.

6.7.2 Maintaining clean water, air and earth or improving thereon shall be regarded as of prime importance. CONTRACTOR shall plan and execute its operations in compliance with all applicable Federal, State and local laws and regulations concerning control and abatement of water pollution and prevention and control of air pollution.

6.7.3 If CONTRACTOR performs any Work knowing or having reason to know that it is contrary to laws or regulations, CONTRACTOR shall bear all claims, costs, losses and damages arising therefrom; however, it shall not be CONTRACTOR's primary responsibility to make certain that the Specifications and Drawings are in accordance with laws and regulations, but this does not relieve CONTRACTOR of CONTRACTOR's obligations under Article 3.

6.8 Taxes:

6.8.1 CONTRACTOR shall pay only those sales, consumer, use and other similar taxes required to be paid by CONTRACTOR in accordance with the laws and regulations of the State of Texas in the performance of this public works contract.

6.8.2 OWNER is an exempt organization as defined by Chapter 11 of the Property Tax Code of Texas and is thereby exempt from payment of Sales Tax under Chapter 151, Limited Use Sales, Excise and Use Tax, Texas Tax Code, and Article 1066 (C), Local Sales and Use Tax Act, Revised Civil Statutes of Texas.

6.9 Use of Premises:

6.9.1 CONTRACTOR shall confine construction equipment, the storage of materials and equipment and the operations of workers to the site and land and areas identified in and permitted by the Contract Documents and other land and areas permitted by laws and regulations, right-of-way, permits and easements, and shall not unreasonably encumber the premises with construction equipment or other materials or equipment. CONTRACTOR shall assume full responsibility for any damage to any such land or area, or to the owner or occupant thereof or of any adjacent land or areas, resulting from the performance of the Work. Should any claim be made by any such owner or occupant because of or in connection with the performance of the Work, CONTRACTOR shall promptly settle with such other party by negotiation or otherwise resolve the claim by arbitration or other dispute resolution proceeding or at law. **CONTRACTOR shall indemnify, defend and hold harmless OWNER, E/A, E/A'S Consultants and anyone directly or indirectly employed by any of them from and against all claims, costs, losses and damages (including court costs and reasonable attorney's fees) arising out of or resulting from any claim or action, legal or equitable, brought by any such owner or occupant against OWNER, E/A or any other party indemnified hereunder to the extent caused by or based upon performance of the work or failure to perform the Work.**

6.9.2 During the progress of the Work and on a daily basis, CONTRACTOR shall keep the premises free from accumulations of waste materials, rubbish and other debris resulting from the Work. At the completion of the Work, CONTRACTOR shall remove all waste materials, rubbish and debris from and about the premises as well as all tools, appliances, construction equipment and machinery and surplus materials. CONTRACTOR shall leave the site clean and ready for occupancy by OWNER at Substantial Completion of the Work. CONTRACTOR shall, at a minimum, restore to original condition all property not designated for alteration by the Contract Documents. If the CONTRACTOR fails to clean up at the completion of the Work, OWNER may do so and the cost thereof will be charged against the CONTRACTOR.

6.9.3 CONTRACTOR shall not load nor permit any part of any structure to be loaded in any manner that will endanger the structure, nor shall CONTRACTOR subject any part of the Work or adjacent property to stresses or pressures that will endanger it.

6.10 Record Documents: CONTRACTOR shall maintain in a safe place at the site, or other location acceptable to OWNER, one (1) record copy of all Drawings, Specifications, Addenda, Change Orders, Change Directives, Field Orders and written interpretations and clarifications (issued pursuant to paragraph 9.5) in good order and annotated to show all changes made during construction. These record documents together with all final samples and all final Shop Drawings will be available to OWNER and E/A for reference during performance of the Work. Upon Substantial Completion of the Work, these record documents, samples and Shop Drawings shall be promptly delivered to Owner's Representative.

6.11 Safety and Protection:

6.11.1 CONTRACTOR shall be responsible for initiating, maintaining and supervising all safety precautions and programs in connection with the Work. Upon request, and prior to installation of measures, CONTRACTOR shall submit a site security plan for approval by OWNER. By reviewing the plan or making recommendations or comments, OWNER will not assume liability nor will CONTRACTOR be relieved of liability for damage, injury or loss. CONTRACTOR shall take all necessary precautions for the safety of and shall provide the necessary protection to prevent damage, injury or loss to:

.1 all persons on the Work site or who may be affected by the Work;

.2 all the Work and materials and equipment to be incorporated therein, whether in storage on or off the site; and

.3 other property at the site or adjacent thereto, including, but not limited to, trees, shrubs, lawns, walks, pavements, roadways, structures, utilities and underground facilities not designated for removal, relocation or replacement in the course of construction.

6.11.2 CONTRACTOR shall comply with all applicable laws and regulations of any public body having jurisdiction for safety of persons or property or to protect them from damage, injury or loss; and shall erect and maintain all necessary safeguards for such safety and protection. CONTRACTOR shall notify owners of adjacent property and of underground facilities, and utility owners when prosecution of the Work may affect them, and shall cooperate with them in the protection, removal, relocation and replacement of their property. All damage, injury or loss to any property referred to in paragraph 6.11.1.2 and 6.11.1.3 caused, directly or indirectly, in whole or in part, by CONTRACTOR, Subcontractor, Supplier or any person or organization directly or indirectly employed by any of them to perform or furnish any of the Work or anyone for whose acts any of them may be liable, shall be remedied by CONTRACTOR (except damage or loss attributable to the fault of Drawings or

Specifications or to the acts or omissions of OWNER, or E/A, or E/A's consultant or anyone employed by any of them or anyone for whose acts any of them may be liable, and not attributable, directly or indirectly, in whole or in part, to the faults or negligence of CONTRACTOR or any Subcontractor, Supplier or other person or organization directly or indirectly employed by any of them). CONTRACTOR's duties and responsibilities for safety and protection of the Work shall continue until such time as all the Work is completed and Owner's Representative has issued a notice to OWNER and CONTRACTOR in accordance with Article 14 that the Work is acceptable (except as otherwise expressly provided in connection with Substantial Completion). Without limitation, CONTRACTOR shall comply with the following specific provisions:

It shall be the duty and responsibility of CONTRACTOR and all of its subcontractors to be familiar with and comply with 29 USC Section 651, et seq., the Occupational Safety and Health Act of 1970, as amended ("OSHA") and to enforce and comply with all provisions of this Act.

The CONTRACTOR and all of its subcontractors shall comply with all applicable requirements of Subpart P of Part 1926 of 29 C.F.R, OSHA Safety and Health Standards, Texas Health and Safety Code Section 756.023, as amended, and shall submit a unit price for the particular excavation safety systems to be utilized by the Contractor for all excavations which exceed a depth of five feet (5').

Before commencing any excavation which will exceed a depth of five feet (5'), the CONTRACTOR shall provide the Owner with detailed plans and specifications regarding the safety systems to be utilized. Said plans and specifications shall include a certification from a Texas licensed professional engineer indicating full compliance with the OSHA provisions cited above.

6.11.3 Safety Representative: CONTRACTOR shall designate in writing a qualified and experienced safety representative (the "Safety Representative") at the site whose duties and responsibilities shall include safety training; identifying and mitigating hazardous conditions and unsafe work practices; and developing, maintaining and supervising the implementation of safe work practices and safety programs as deemed necessary and appropriate for the Project. The term "Safety Representative" includes any designated Safety Supervisor, Superintendent or Safety Manager. The Safety Representative shall exercise due diligence in the execution of all Project related safety duties. Upon request of OWNER, CONTRACTOR shall provide certifications or other acceptable documentation of the Safety Representative's qualifications. The following requirements will be effective as of September 1, 2010:

.1 The Safety Representative shall present certification of completion of the OSHA 30-hour Construction Industry Training Outreach Program described at: http://www.osha.gov/dte/outreach/construction_generalindustry/construction.html

.2 The Safety Representative shall verify that all construction workers (defined as persons covered by a prevailing wage determination) on the job site, whether employed by the CONTRACTOR or subcontractors, have completed the OSHA 10-hour Construction Industry Training Outreach Program described at: http://www.osha.gov/dte/outreach/construction_generalindustry/construction.html. The Safety Representative must receive a certificate of training completion before allowing a worker on site and shall have all such certificates available for inspection by the OWNER.

.3 The Safety Representative shall ensure that workers, including designated competent persons, have completed all applicable OSHA specific or other training needed to perform their job assignments. Training topics applicable

to the scope of the current Project may include, but are not limited to, scaffolds, fall protection, cranes, excavations, electrical safety, tools, concrete and masonry construction, steel erection, operation of motor vehicles and mechanized equipment.

.4 The Safety Representative shall post notice on the site of the Work stating that all workers shall have completed OSHA Construction Industry Training. The Owner may require, and the Safety Representative should consider providing a means of readily identifying workers who have completed the required training to monitor compliance with these requirements.

.5 The Safety Representative shall ensure that all required OSHA and Workers Compensation notices to workers are posted in English and Spanish at one or more conspicuous locations on the work site.

6.11.4 Hazard Communication Programs: CONTRACTOR shall be responsible for coordinating any exchange of material safety data sheets or other hazard communication information required to be made available to or exchanged between or among employers at the site in accordance with laws and regulations.

6.11.5 Emergencies:

.1 In emergencies affecting the safety or protection of persons or the Work at the site or adjacent thereto, CONTRACTOR, without special instruction or authorization from OWNER or E/A, is obligated to act reasonably to prevent threatened damage, injury or loss and to mitigate damage or loss to the Work. CONTRACTOR shall give Owner's Representative telephone notification as soon as reasonably practical and a prompt written notice if CONTRACTOR believes that any significant changes in the Work or variations from the Contract Documents have been caused thereby. If Owner's Representative determines that a change in the Contract Documents is required because of the action taken by CONTRACTOR in response to such an emergency, a Change Directive or Change Order will be issued to document the consequences of such action; otherwise OWNER will not be responsible for CONTRACTOR's emergency action.

.2 Authorized agents of CONTRACTOR shall respond immediately to call-out at any time of any day or night when circumstances warrant the presence on Project site of CONTRACTOR or his agent to protect the Work or adjacent property from damage, restriction or limitation or to take such action or measures pertaining to the Work as may be necessary to provide for the safety of the public. Should CONTRACTOR and/or their agent fail to respond and take action to alleviate such an emergency situation, OWNER may direct other forces to take action as necessary to remedy the emergency condition, and OWNER will deduct any cost of such remedial action from the funds due CONTRACTOR under this Contract.

.3 In the event there is an accident involving injury to any individual or damage to any property on or near the Work, CONTRACTOR shall provide to Owner's Representative verbal notification within one (1) hour and written notification within twenty-four (24) hours of the event and shall be responsible for recording the location of the event and the circumstances surrounding the event through photographs, interviewing witnesses, obtaining medical reports, police accident reports and other documentation that describes the event. Copies of such documentation shall be provided to Owner's Representative, for OWNER's and E/A's records, within forty-eight (48) hours of the event.

Contractor shall cooperate with OWNER on any OWNER investigation of any such incident.

6.11.6 Rest Breaks:

.1 Except as provided in subsection 6.11.6.2 below, an employee performing construction activity at a construction site is entitled to a rest break of not less than ten (10) minutes for every four (4) hours worked. No employee may be required to work more than 3.5 hours without a rest break. A rest break means a break from work within working hours, excluding meal breaks, during which an employee may not work. A rest break shall be scheduled as near as possible to the midpoint of the work period.

.2 An employee is not entitled to a rest break under subsection 6.11.6.1 on any day the employee works less than 3.5 hours or spends more than half of his or her work time engaged in non-strenuous labor in a climate controlled environment.

.3 A sign describing the requirements of this Section 6.11.6 in English and Spanish shall be posted by the employer in each establishment subject to the requirement of a rest break in a conspicuous place or places where notices to employees are customarily posted, in accordance with the OWNER's then current rules for size, content, and location of such signage.

.4 The violation of Ordinance No. 20100729-047, enacted July 29, 2010, which establishes the rest break requirements set forth above, may be enforced with criminal penalties and civil remedies, as set forth in the Ordinance.

6.11.7 If the Contractor fails to carry out the Work in accordance with the Contract Documents so that a safety violation has occurred, the Owner may order the Contractor to stop the Work or any portion thereof, until the cause for such order has been eliminated. However, the right of the Owner to stop the Work under this paragraph shall not give rise to a duty on the part of the Owner to supervise the Contractor's Work or to control the Contractor's means and methods or to exercise this right for the benefit of the Contractor or any other person or entity. All time lost due to Project shut down will be the Contractor's sole responsibility, will be charged against the Contract Time, and the Contractor will be responsible for any and all expenses incurred. This provision is in addition to and supplemental to the applicable provisions of the Project's ROCIP Safety Manual.

6.11.8 Confined Space Program

.1 Contractor acknowledges and agrees that the Owner is temporarily transferring management and control of the site of the Work to the Contractor for the purpose of constructing the Project. The Contractor's responsibilities to manage the Work includes the responsibility to manage the property for purposes of compliance with 29 CFR 1926 subpart AA. To the best of Owner's knowledge and belief, Owner has provided the following information in the plans and specifications and other Contract Documents: (i) the location of each known permit space, (ii) the hazards or potential hazards in each space or the reason it is a permit space; and (iii) any precautions that the Owner or any previous contractor has implemented for the protection of employees in the permit space. This transfer will result in the Contractor being both the host employer and the controlling contractor for this portion of the Work.

6.12 Continuing the Work: CONTRACTOR shall carry on the Work and adhere to the Progress Schedule during all disputes or disagreements with OWNER. No Work shall be delayed or postponed pending resolution of any disputes or disagreements, except as OWNER and CONTRACTOR may otherwise agree in writing.

6.13 CONTRACTOR's General Warranty and Guarantee:

6.13.1 CONTRACTOR warrants and guarantees to OWNER that all Work will conform to the plans and specifications, be performed in a good and workmanlike manner in accordance with the Contract Documents and will not be defective. This warranty will survive the termination or expiration of the Contract. CONTRACTOR's warranty and guarantee hereunder excludes defects or damage caused by:

.1 abuse, modification or improper maintenance or operation by persons other than CONTRACTOR, Subcontractors or Suppliers; or

.2 normal wear and tear under normal usage.

6.13.2 CONTRACTOR's obligation to perform and complete the Work in a good and workmanlike manner in accordance with the Contract Documents shall be absolute. None of the following will constitute an acceptance of Work that is not in accordance with the Contract Documents or a release of CONTRACTOR's obligation to perform the Work in accordance with the Contract Documents:

.1 observations by Owner's Representative and/or E/A;

.2 recommendation of any progress or final payment by Owner's Representative;

.3 the issuance of a certificate of Substantial Completion or any payment by OWNER to CONTRACTOR under the Contract Documents;

.4 use or occupancy of the Work or any part thereof by OWNER;

.5 any acceptance by OWNER or any failure to do so;

.6 any review of a Shop Drawing or sample submittal;

.7 any inspection, test or approval by others; or

.8 any correction of defective Work by OWNER.

6.14 INDEMNIFICATION:

6.14.1 CONTRACTOR shall defend, indemnify and hold harmless OWNER, E/A, E/A'S Consultants and Sub consultants and their respective officers, directors, partners, employees, agents and other Consultants and any of them (the "INDEMNIFIED PARTIES") from and against all claims, costs, losses and damages (including but not limited to all fees and charges of engineers, architects, attorneys and other professionals and all court or arbitration or other dispute resolution costs) arising out of or resulting from the performance of the Work, provided that any such claim, cost, loss or damage:

.1 Is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the work itself), including the loss of use resulting therefrom, and

.2 Is caused in whole or in part by any negligent act or omission of CONTRACTOR, any Subcontractor, any Supplier, any person or organization directly or indirectly employed by any of them to perform or furnish any of the work or anyone for whose acts any of them may be liable, regardless of whether or not caused in part by any negligence or omission of the INDEMNIFIED PARTIES hereunder or whether liability is imposed upon such INDEMNIFIED PARTY by laws and regulations regardless of the negligence of any such person or entity.

In the event that indemnification of the INDEMNIFIED PARTIES is prohibited by law, CONTRACTOR shall nonetheless be solely responsible for any liability arising out of or resulting from the performance of the Work, subject to the limitations set forth above, and shall indemnify and hold harmless the remaining INDEMNIFIED PARTIES, who may be legally indemnified, from such liability of the CONTRACTOR and the associated costs described above.

6.14.2 The indemnification obligation under paragraph 6.14.1 shall not be limited in any way by any limitation on the amount or type of damages, or compensation or benefits payable by or for CONTRACTOR or any such Subcontractor, Supplier or other person or organization under workers' compensation acts, disability benefit acts or other employee benefit acts.

6.14.3 The obligations of CONTRACTOR under paragraph 6.14.1 shall not extend to the liability of OWNER, E/A, E/A's consultants, and their officers, directors, partners, employees or agents caused primarily by negligent preparation of maps, drawings, surveys, designs or specifications upon which is placed the applicable state-authorized design professional seal of OWNER's, E/A's or E/A's consultant's officers, directors, partners, employees or agents.

6.14.4 In the event CONTRACTOR fails to follow OWNER's directives concerning use of the site, scheduling or course of construction, or engages in other conduct which proximately causes damage to property based on inverse condemnation or otherwise, then and in that event, CONTRACTOR shall indemnify OWNER against all costs resulting from such claims.

6.14.5 In the event CONTRACTOR unreasonably delays progress of the work being done by others on the site so as to cause loss for

which OWNER becomes liable, then CONTRACTOR shall indemnify OWNER from and reimburse OWNER for such loss.

6.15 Survival of Obligations: All representations, indemnifications, warranties and guarantees made in, required by or given in accordance with the Contract Documents, as well as all continuing obligations indicated in the Contract Documents, will survive final payment, completion and acceptance of the Work and termination or completion of the Agreement.

6.16 **Losses from Natural Causes:** Unless otherwise specified, all loss or damage to CONTRACTOR arising out of the nature of the Work to be done or from action of the elements, floods or from unforeseeable circumstances in prosecution of the Work or from unusual obstructions or difficulties which may be encountered in prosecution of the Work, shall be sustained and borne by CONTRACTOR at its own cost and expense.

6.17 **Notice of Claim:** Should CONTRACTOR suffer injury or damage to person or property because of any error, omission or act of OWNER or of any of OWNER's employees or agents or others for whose acts OWNER is liable, a Claim must be made to the other party within thirty (30) calendar days of the event giving rise to such injury or damage. The provisions of this paragraph 6.17 shall not be construed as a substitute for or a waiver of the provisions of any applicable statute of limitations or statute of repose.

6.18 **Liquidated Damages:** CONTRACTOR or its Surety shall be liable for liquidated damages for the failure of the CONTRACTOR to timely complete the Work or any portion thereof within the Contract Time.

ARTICLE 7 - OTHER WORK

7.1 OWNER may perform other work related to the Project at the site by OWNER's own forces, or let other contracts therefor, or have other work performed by utility owners. CONTRACTOR and OWNER agree to and shall use best efforts to cooperate and coordinate the Work with others performing work and other work related to the Project in order to avoid conflicts and delays in the Work. If CONTRACTOR believes that delay or additional cost is involved because of such action by OWNER, CONTRACTOR may make a Claim as provided in Article 11 or 12.

7.2 CONTRACTOR shall afford other contractors who are in a contract with OWNER and each utility owner (and OWNER, if OWNER is performing the additional work with OWNER's employees) proper and safe access to the site and a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such other work and shall properly connect and coordinate the Work with theirs. Unless otherwise provided in the Contract Documents, CONTRACTOR shall do all cutting, fitting and patching of the Work that may be required to make its several parts come together properly and integrate with such other work. CONTRACTOR shall not endanger any work of others by cutting, excavating or otherwise altering their work and will only cut or alter their work with the written consent of Owner's Representative and the other contractors whose work will be affected. CONTRACTOR shall promptly remedy damage wrongfully caused by CONTRACTOR to completed or partially completed construction or to property of the OWNER or separate contractors.

7.3 If the proper execution or results of any part of CONTRACTOR's Work depends upon work performed by others under this Article 7, CONTRACTOR shall inspect such other work and promptly report to Owner's Representative in writing any delays, defects or deficiencies in

such other work that render it unavailable or unsuitable for the proper execution and results of CONTRACTOR's Work. CONTRACTOR's failure to report will constitute an acceptance of such other work as fit and proper for integration with CONTRACTOR's Work except for latent or non-apparent defects and deficiencies in such other work.

7.4 OWNER shall provide for coordination of the activities of the OWNER's own forces and of each separate contractor with the Work of CONTRACTOR, who shall cooperate with them. CONTRACTOR shall participate with other separate contractors and Owner's Representative in reviewing their construction Progress Schedules when directed to do so. On the basis of such review, CONTRACTOR shall make any revisions to the construction Progress Schedule deemed necessary after a joint review and mutual agreement. The agreed upon construction Progress Schedules shall then constitute the Progress Schedules to be used by CONTRACTOR, separate contractors and OWNER until subsequently revised.

7.5 Costs caused by delays or by improperly timed activities or defective construction shall be borne by the party responsible therefor.

ARTICLE 8 - OWNER'S RESPONSIBILITIES

8.1 Prior to the start of construction, OWNER will designate in writing a person or entity to act as Owner's Representative during construction. Except as otherwise provided in these General Conditions, OWNER shall issue all communications to CONTRACTOR through Owner's Representative.

8.2 OWNER will not supervise, direct, control or have authority over or be responsible for CONTRACTOR's means, methods, techniques, sequences or procedures of construction or the safety precautions and programs incident thereto. OWNER is not responsible for any failure of CONTRACTOR to comply with laws and regulations applicable to furnishing or performing the Work. OWNER is not responsible for CONTRACTOR's failure to perform or furnish the Work in accordance with the Contract Documents. Failure or omission of OWNER to discover, or object to or condemn any defective Work or material shall not release CONTRACTOR from the obligation to properly and fully perform the Contract.

8.3 OWNER is not responsible for the acts or omissions of CONTRACTOR, or of any Subcontractor, any Supplier, or of any other person or organization performing or furnishing any of the Work. CONTRACTOR acknowledges and agrees that OWNER'S direction to perform Work in accordance with the approved Progress Schedule is not a demand for acceleration or a dictation of CONTRACTOR'S means or methods.

8.4 Information or services under the OWNER's control shall be furnished by the OWNER with reasonable promptness to avoid delay in orderly progress of the Work. The OWNER shall have a reasonable amount of time to investigate site conditions, review submittals, analyze requests for changes, and to make other decisions in the orderly administration of the Contract. CONTRACTOR must notify the OWNER in writing, if the time for the investigation, review, analysis of any submittals, required for changes or otherwise required for OWNER'S decision, impacts in any way the Critical Path of the approved Progress Schedule.

8.5 The foregoing are in addition to other duties and responsibilities of the OWNER enumerated herein and especially those in respect to Article 4 (Availability of Lands; Subsurface and Physical Conditions; Reference Points), Article 7 (Other Work) and Article 14 (Payments to CONTRACTOR and Completion).

8.6 **Notice of Claim:** Should OWNER suffer injury or damage to person or property because of any error, omission or act of CONTRACTOR or of any of CONTRACTOR's employees or agents or others for whose acts CONTRACTOR is liable, a Claim will be made to the other

party within thirty (30) calendar days of receipt of actual or constructive notice of the event giving rise to such injury or damage. The provisions of this paragraph 8.6 shall not be construed as a substitute for or a waiver of the provisions of any applicable statute of limitations or statute of repose.

ARTICLE 9 - ENGINEER/ARCHITECT'S STATUS DURING CONSTRUCTION

9.1 E/A's Authority and Responsibilities:

9.1.1 The duties and responsibilities and the limitations of authority of E/A during construction, as set forth in the Contract Documents, may be assigned or assumed by the OWNER, but shall not be extended without written consent of OWNER and/or E/A. The assignment of any authority, duties or responsibilities to E/A under the Contract Documents, or under any agreement between OWNER and E/A, or any undertaking, exercise or performance thereof by E/A, is intended to be for the sole and exclusive benefit of OWNER and not for the benefit of CONTRACTOR, Subcontractor, Supplier, or any other person or organization, or for any surety or employee or agent of any of them.

9.1.2 E/A will not supervise, direct, control or have authority over or be responsible for CONTRACTOR's means, methods, techniques, sequences or procedures of construction, or the safety precautions and programs incident thereto. E/A is not responsible for any failure of CONTRACTOR to comply with laws and regulations applicable to the furnishing or performing the Work. E/A is not responsible for CONTRACTOR's failure to perform or furnish the Work in accordance with the Contract Documents. Failure or omission of E/A to discover, or object to or condemn any defective Work or material shall not release CONTRACTOR from the obligation to properly and fully perform the Contract.

9.1.3 E/A is not responsible for the acts or omissions of CONTRACTOR, or of any Subcontractor, any Supplier, or of any other person or organization performing or furnishing any of the Work.

9.1.4 If OWNER and E/A agree, E/A will review the final Application for Payment and accompanying documentation and all maintenance and operating instructions, schedules, guarantees, bonds and certificates of inspection, tests and approvals and other documentation required to be delivered by Article 14, but only to determine generally that their content complies with the requirements of, and in the case of certificates of inspections, tests and approvals that the results certified indicate compliance with, the Contract Documents.

9.1.5 The limitations upon authority and responsibility set forth in this paragraph 9.1 shall also apply to E/A's Consultants, Resident Project Representative and assistants.

9.2 E/A as Owner's Representative: E/A may be designated as the Owner's Representative under paragraph 8.1.

9.3 Visits to Site: If OWNER and E/A agree, E/A will make visits to the site at intervals appropriate to the various stages of construction as E/A deems necessary in order to observe as an experienced and qualified design professional the progress that has been made and the quality of the various aspects of CONTRACTOR's executed Work. Based on information obtained during such visits and observations, E/A will endeavor for the benefit of OWNER to determine, in general, if the Work is proceeding in accordance with the Contract Documents. E/A will not be required to make exhaustive or continuous on-site

inspections to check the quality or quantity of the Work. E/A's efforts will be directed toward providing for OWNER a greater degree of confidence that the completed Work will conform generally to the Contract Documents. On the basis of such visits and on-site observations, E/A will keep OWNER informed of the progress of the Work and will endeavor to guard OWNER against defective Work. E/A's visits and on-site observations are subject to all the limitations on E/A's authority and responsibility set forth in paragraph 9.1.

9.4 Resident Project Representative: If OWNER and E/A agree, E/A will furnish a Resident Project Representative to assist E/A in providing more continuous observation of the Work. The responsibilities and authority and limitations of any such Resident Project Representative and assistants will be as provided in paragraph 9.1 and in the Supplemental General Conditions. OWNER may designate another representative or agent to represent OWNER at the site who is not E/A, E/A's consultant, agent or employee.

9.5 Clarifications and Interpretations: E/A may determine that written clarifications or interpretations of the requirements of the Contract Documents (in the form of drawings or otherwise) are necessary. Such written clarifications or interpretations will be consistent with the intent of and reasonably inferable from the Contract Documents, will be issued with reasonable promptness by Owner's Representative and will be binding on OWNER and CONTRACTOR. If OWNER or CONTRACTOR believes that a written clarification or interpretation justifies an adjustment in the Contract Amount or the Contract Times, OWNER or CONTRACTOR may make a Claim therefor as provided in Article 11 or 12.

9.6 Rejecting Defective Work: E/A will recommend that OWNER disapprove or reject Work which E/A believes to be defective, or believes will not produce a completed Project that conforms to the Contract Documents or will prejudice the integrity of the design concept of the completed Project as a functioning whole as indicated by the Contract Documents.

9.7 Shop Drawings: Refer to Division 1 for E/A's authority concerning Shop Drawings.

ARTICLE 10 - CHANGES IN THE WORK

10.1 Changes:

10.1.1 Without invalidating the Contract and without notice to any surety, OWNER may, at any time or from time to time, order additions, deletions or revisions in the Work. Such changes in the Work will be authorized by Change Order, Change Directive or Field Order. In the event that the OWNER and the CONRACTOR are unable to negotiate the terms of a Change Order for the performance of additional Work, the OWNER may, at its election, perform such additional Work with its own forces or with another contractor and such work will be considered "Other Work" in accordance with Article 7.

10.1.2 Changes in the Work shall be performed under applicable provisions of the Contract Documents, and CONTRACTOR shall proceed promptly, unless otherwise provided in the Change Order, Change Directive or Field Order. CONTRACTOR’s proposals for changes in the Contract Amount and/or Contract Time shall be submitted within ten (10) Calendar Days of request by Owner’s Representative, including impacts to the approved Progress Schedule, unless Owner’s Representative grants an extension. OWNER will review each proposal and respond to CONTRACTOR within ten (10) Calendar Days. After review by OWNER, CONTRACTOR shall provide any supporting data requested by Owner’s Representative within seven (7) Calendar Days, unless Owner’s Representative grants an extension. OWNER will determine within seven (7) Calendar Days whether to pursue the change in Work.

10.1.3 CONTRACTOR shall not be entitled to an increase in the Contract Amount or an extension of the Contract Times with respect to any Work performed that is not required by the Contract Documents as amended, modified and supplemented as provided in paragraphs 3.3.1 and 3.3.2, except in the case of an emergency as provided in paragraph 6.11.5 or in the case of uncovering Work as provided in paragraph 13.4.

10.1.4 Except in the case of an emergency as provided in paragraph 6.11.5, a Change Order or Change Directive is required before CONTRACTOR commences any activities associated with a change in the Work which, in CONTRACTOR 's opinion, will result in a change in the Contract Amount and/or Contract Times.

10.1.5 If notice of any change affecting the general scope of the Work or the provisions of the Contract Documents (including, but not limited to, Contract Amount or Contract Times) is required by the provisions of any Bond to be given to a surety, the giving of any such notice will be CONTRACTOR's responsibility, and the amount of each applicable Bond will be adjusted accordingly.

10.2 Change Orders:

10.2.1 OWNER and CONTRACTOR shall execute appropriate written Change Orders covering:

.1 a change in the Work;

.2 the amount of the adjustment in the Contract Amount, if any; and

.3 the extent of the adjustment in the Contract Time, if any.

10.2.2 An executed Change Order shall represent the complete, equitable, and final amount of adjustment in the Contract Amount and/or Contract Time owed to CONTRACTOR or OWNER as a result of the occurrence or event causing the change in the Work encompassed by the Change Order.

10.3 Change Directives:

10.3.1 Without invalidating the Contract, OWNER may, by written Change Directive, using the Force Account method, order changes in the Work within the general scope of the Contract consisting of additions, deletions or other revisions, the Contract Amount and Contract Time being adjusted as necessary. "Force Account" means a basis of payment for the direct performance of Work with payment based on the actual cost of the labor, equipment and materials furnished and consideration for overhead and profit as set forth in Section 11.5, below. A Change Directive shall be used in the absence of complete and prompt agreement on the terms of a Change Order. Where practicable, any items of Work that may be agreed upon, prior to the performance of Work under this Section, will be included in a separate Change Order. For example, the cost of the installation of additional asphalt may be agreed upon based on the unit prices in the Bid.

10.3.2 If the Change Directive provides for an adjustment to the Contract Amount, the adjustment shall be based on the method provided in paragraph 11.5.

10.3.3 A Change Directive shall be effective immediately and shall be recorded later by preparation and execution of an appropriate Change Order.

10.3.4 Upon receipt of a Change Directive, CONTRACTOR shall promptly proceed with the change in the Work involved, provided, prior to the commencement of any Work under this section, the CONTRACTOR must submit its proposed Work plan, anticipated schedule, and a list of its work force and equipment proposed to be

used in the Work for OWNER'S approval. Upon such approval, CONTRACTOR must promptly commence and make continuous progress in the Work. The OWNER reserves the right to withhold payment for low production or lack of progress.

10.4 Field Order:

10.4.1 Owner's Representative may authorize minor variations in the Work from the requirements of the Contract Documents which do not involve an adjustment in the Contract Amount or the Contract Times and are compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents. These shall be accomplished by written Field Order and shall be binding on OWNER and on CONTRACTOR who shall perform the Work involved promptly.

10.4.2 If CONTRACTOR believes that a Field Order would require an adjustment in the Contract Amount and/or Contract Times, CONTRACTOR shall make a prompt written request to Owner's Representative for a Change Order. Any request by CONTRACTOR for an adjustment in Contract Amount and/or Contract Times must be made in writing prior to beginning the work covered by the Field Order.

10.5 No Damages for Delay: CONTRACTOR shall receive no compensation for delays or hindrances to the Work, except when direct and unavoidable extra cost to CONTRACTOR is caused by failure of OWNER to provide information or material, if any, which is to be furnished by OWNER or access to the Work and only to the extent that such acts continue after the CONTRACTOR furnishes OWNER with written notice of such failure. When such extra compensation is claimed a written statement thereof shall be presented by CONTRACTOR to OWNER and if by OWNER found correct shall be approved. If delay is caused by specific orders given by OWNER to stop work or by performance of extra Work or by failure of OWNER to provide material or necessary instructions for carrying on the Work, then such delay will entitle CONTRACTOR to an equivalent extension of time, CONTRACTOR's application for which shall, however, be subject to approval of OWNER. No such extension of time shall release CONTRACTOR or surety on its performance bond from all CONTRACTOR's obligations hereunder which shall remain in full force until discharge of the Contract. In no event shall the CONTRACTOR be entitled to any compensation or recovery of any special damages in connection with any delays, including without limitation: consequential damages, lost opportunity costs, impact damages, or other similar damages. The OWNER'S exercise of any of its rights or remedies under the Contract Documents (including without limitation ordering changes in the Work, or directing suspension, rescheduling, or correction of the Work), regardless of the extent or frequency of the OWNER'S exercise of such rights or remedies, shall not be construed as active interference in the CONTRACTOR'S performance of the Work. Except as otherwise provided herein, an extension of Contract Time, to the extent permitted under Article 12, shall be the sole remedy of the CONTRACTOR for any acknowledged delays.

ARTICLE 11 - CHANGE OF CONTRACT AMOUNT

11.1 The Contract Amount is stated in the Agreement and, including authorized adjustments, is the total amount payable by OWNER to CONTRACTOR for performance of the Work under the Contract Documents.

11.2 The original Contract Amount may not be increased by more than twenty-five percent (25%) and it may not be decreased more than twenty-five percent (25%) without the consent of the CONTRACTOR to such decrease, except in the event of a termination for convenience under paragraph 15.2 or the failure of the City Council to appropriate sufficient

funding for the Project, in which events it is agreed that the consent of the CONTRACTOR will not be required.

11.3 The Contract Amount shall only be changed by a Change Order. Any claim for an adjustment in the Contract Amount shall be made by Written Notice delivered by the party making the Claim to the other party promptly (but in no event later than thirty (30) calendar days) after the start of the occurrence or event giving rise to the Claim and stating the general nature of the Claim. Notice of the amount of the Claim with supporting data shall be delivered within thirty (30) calendar days after Written Notice of Claim is delivered by claimant, and shall represent that the adjustment claimed covers all known amounts to which claimant is entitled as a result of said occurrence or event. If OWNER and CONTRACTOR cannot otherwise agree, all Claims for adjustment in the Contract Amount shall be determined as set out in Article 16.

11.4 Determination of Value of Work:

11.4.1 The value of any Work covered by a Change Order for an adjustment in the Contract Amount will be determined by one or more of the following methods:

.1 by application of unit prices contained in the Contract Documents to the quantities of the items involved.

.2 by a mutually agreed unit price, or lump sum properly itemized and supported by sufficient substantiating data, including documentation by subcontractors performing the work, to permit evaluation.

.3 by cost of Work plus CONTRACTOR's fee for all overhead costs and profit (determined as provided in paragraph 11.5).

.4 No cost will be included in the change order for time spent preparing the change order, nor will costs be included for an estimate of time to negotiate the change order costs for machinery, tools, or equipment as described in subparagraph 11.5.3

11.4.2 Before using the method described in paragraph 11.4.1.3, OWNER and CONTRACTOR agree to negotiate a Change Order using the methods identified in paragraphs 11.4.1.1 and 11.4.1.2, as appropriate, to determine the adjustment in the Contract Amount.

11.5 Cost of Work: If neither of the methods defined in paragraphs 11.4.1.1 nor 11.4.1.2 can be agreed upon before a change in the Work is commenced which will result in an adjustment in the Contract Amount, then the change in the Work will be performed by Change Directive, using the Force Account method, and payment will be made as follows:

11.5.1 For all personnel, CONTRACTOR will receive actual field cost wage rates for each hour that said personnel are actually engaged in such Work, as substantiated by its certified payroll, to which will be added an amount equal to twenty-five percent (25%) of the sum thereof as compensation for CONTRACTOR's total overhead, profit, and small tools. No separate charge will be made by CONTRACTOR or its Subcontractor(s) for organization or overhead expenses. In no case will the rate of wage be less than the minimum shown in the Contract for a particular category. CONTRACTOR will also receive an amount equal to 55% of the wages paid personnel, excluding the 25% compensation provided above, for CONTRACTOR's and any effected Subcontractor's cost of premiums on public liability insurance, workers' compensation insurance, social security and unemployment insurance. The cost for superintendence, project management, and other salaried employees are considered as included in the twenty five percent (25%) total overhead, profit, and small tools mark-up unless considered necessary and ordered by Owner.

11.5.2 CONTRACTOR will receive the actual cost, including freight charges, of the materials used and installed on such Work, to which costs will be added a sum equal to twenty-five percent (25%) thereof as compensation for CONTRACTOR's and any affected Subcontractor's total overhead and profit. In case material invoices indicate a discount may be taken, the actual cost will be the invoice price minus the discount.

11.5.3 For machinery, trucks, power tools, or other similar equipment (the "equipment") agreed to be necessary by OWNER and CONTRACTOR, OWNER will allow CONTRACTOR the Regional and Model Year adjusted Monthly Ownership Cost divided by 176 plus the Hourly Estimated Operating Costs as given in the latest edition of the "Rental Rate Blue Book" as published by EquipmentWatch (1-800-669-3282) for each hour that said equipment is in use on such work. The established equipment rates will be paid for each hour that the equipment is utilized in the Work. In the event that the equipment is used intermittently during the Work, full payment for an eight-hour day will be made if the equipment is not idle more than four (4) hours of the day. If the equipment is idle more than four (4) hours in a day, then payment will be made only for the actual hours worked. No additional compensation will be allowed on the equipment for CONTRACTOR's or any affected Subcontractor's overhead and profit. OWNER may accept an actual rental invoice in lieu of the method of calculation set forth in paragraph 11.5.3 for equipment rented exclusively for Force Account Work or for equipment not included in the Rental Rate Blue Book.

11.5.4 For Subcontractors, CONTRACTOR will receive the approved actual invoice cost plus 5% as compensation for CONTRACTOR's total overhead and profit.

11.5.5 CONTRACTOR will receive an additional 1% of the total of 11.5.1, 11.5.2, 11.5.3, and 11.5.4 as compensation for increased bond costs.

11.5.6 The compensation, as herein provided for, shall be received by CONTRACTOR and any affected Subcontractor as payment in full for work done by Change Directive and will include use of small tools, and total overhead expense and profit. CONTRACTOR and Owner's Representative shall compare records of work done by Change Directive at the end of each day. Copies of these records will be made upon forms provided for this purpose by OWNER and signed by both Owner's Representative and CONTRACTOR, with one copy being retained by OWNER and one by CONTRACTOR. Refusal by CONTRACTOR to sign these records within two (2) working days of presentation does not invalidate the accuracy of the record.

11.6 Unit Price Work:

11.6.1 Where the Contract Documents provide that all or part of the Work is to be unit price Work, initially the Contract Amount will be deemed to include for all unit price work an amount equal to the sum of the established unit price for each separately identified item of unit price work times the estimated quantity of each item as indicated in the Bid. The estimated quantities of items of unit price work are not guaranteed and are solely for the purpose of comparison of Bids and determining an initial Contract Amount. Determinations of the actual quantities and classifications of unit price work performed by CONTRACTOR will be made by Owner's Representative. Owner's Representative will review with CONTRACTOR the preliminary determinations on such matters before rendering a written decision thereon (by recommendation of an Application for Payment or otherwise).

11.6.2 When "plan quantity" is indicated for a Bid item, CONTRACTOR shall be paid amount specified in the Contract Documents without any measurements.

11.6.3 Each unit price will be deemed to include an amount considered by CONTRACTOR to be adequate to cover CONTRACTOR's overhead and profit for each separately identified item.

11.6.4 A Major Item is any individual Bid item in the Bid that has a total cost equal to or greater than five percent (5%) of the original Contract Amount or $50,000, whichever is greater, computed on the basis of Bid quantities and Contract unit prices.

11.6.5 OWNER or CONTRACTOR may make a Claim for an adjustment in the Contract Amount in accordance with Article 11 if:

.1 the actual quantity of any Major Item should become as much as twenty percent (20%) more than or twenty percent (20%) less than that in the Bid; or

.2 CONTRACTOR presents documentation contesting accuracy of "plan quantity" and Owner's Representative verifies quantity and determines original value is in error by five percent (5%) or more;

Provided, however, in the event a Major Item is reduced by twenty percent (20%) or more of the amount in the Bid, no additional Article 11 profit or overhead will be added, if, due to other additions in the Work, the net value of the Contract Amount is not reduced.

ARTICLE 12 - CHANGE OF CONTRACT TIMES

12.1 Working Day and Calendar Day Contracts:

12.1.1 The Contract Times (or Milestones) may only be changed by Change Order or Time Extension Request duly executed by both CONTRACTOR and Owner's Representative. Any claim for an adjustment of the Contract Times (or Milestones) shall be made by Written Notice delivered by the party making the Claim to the other party promptly (but in no event later than thirty (30) calendar days after the start of the occurrence or event giving rise to the delay) and stating the general nature of the delay. Notice of the extent of the delay with supporting data shall be delivered within thirty (30) calendar days after Written Notice of Claim is delivered by claimant, and shall represent that the adjustment claimed is the entire adjustment to which claimant is entitled as a result of said occurrence or event. If OWNER and CONTRACTOR cannot otherwise agree, all Claims for adjustment in the Contract Times (or Milestones) shall be determined as set out in Article 16. No Claim for an adjustment in the Contract Times (or Milestones) will be valid if not submitted in accordance with the requirements of this paragraph.

12.1.2 When CONTRACTOR is at fault and OWNER stops the Work, so that corrections in the Work can be made by CONTRACTOR, no extension in time will be allowed.

12.1.3 When CONTRACTOR is prevented from completing any part of the Work within the Contract Times (or Milestones) due to delay beyond the control of both OWNER and CONTRACTOR, an extension of the Contract Times (or Milestones) in an amount equal to the time lost due to such delay shall be CONTRACTOR's sole and exclusive remedy for such delay. If performance by the CONTRACTOR or OWNER is interrupted by any occurrence not occasioned by its own conduct, whether such occurrence be an act of god or the result of war, riot, civil commotion, sovereign conduct, or the conduct of a third party, then such performance will be excused for a period of time necessary to remedy its effects, provided, however, in such an

event, a conference will be held within three (3) business days to establish a proposed new Progress Schedule for the Project.

12.1.4 OWNER will consider time extension requests and may grant CONTRACTOR an extension of time because of:

.1 Changes ordered in the work which justify additional time.

.2 Failure of materials or products being at the Project site due to delays in transportation or failures of Suppliers, which are not the result of CONTRACTOR's, Subcontractor's or Supplier's negligence. The request for an extension of time shall be supported by a citation of acts demonstrating that the delays are beyond CONTRACTOR's control, including, but not limited to, CONTRACTOR's efforts to overcome such delays documented as follows:

a) Copy of purchase order for delayed item(s) indicating date ordered by CONTRACTOR/ Subcontractor and date purchase order received by Supplier.

b) If item(s) require Shop Drawings or other submittal information in accordance with the Contract Documents, provide record of date submittal(s) forwarded to Owner's Representative, date submittal(s) returned to CONTRACTOR, and date submittal(s) forwarded to Supplier.

c) Copy of document(s) from Supplier, on Supplier's letterhead, indicating date(s) item(s) would be ready for shipment and/or actual shipment date(s).

d) Copies of all correspondence between CONTRACTOR / Subcontractor and Supplier indicating CONTRACTOR / Subcontractor's efforts to expedite item(s).

e) If item(s) are being purchased by a Subcontractor, provide correspondence, meeting notes, etc., that reflect CONTRACTOR's efforts with the Subcontractor to expedite delivery of the item(s).

.3 When acts of OWNER, E/A, utility owners or other contractors employed by OWNER delay progress of work through no fault of CONTRACTOR. The CONTRACTOR will only be entitled to an extension of time for delays that affect the Critical Path of the Work and that are not caused by the CONTRACTOR.

.4 When CONTRACTOR is delayed by strikes, lockouts, fires, losses from natural causes, or other unavoidable cause or causes beyond CONTRACTOR's control.

12.2 Calendar Day Contracts:

12.2.1 Under a Calendar Day Contract, CONTRACTOR may be granted an extension of time because of unusual inclement weather, including but not limited to unusual rainfall events, which are beyond the normal rainfall recorded and expected for Austin, Texas. However, the CONTRACTOR will not be granted an extension of time for "normal rainfall", as described below.

12.2.2 "Unusual Inclement Weather" is defined as a rain event or other weather related event which occurs at the site and is of sufficient magnitude to prevent CONTRACTOR from performing units of Work critical to maintaining the Progress Schedule.

12.2.3 Baseline Rain Day Determination. "Normal rainfall" compiled by the State climatologist, based on U.S. Weather Bureau Records for Austin, Texas, is considered a part of the Calendar Day Contract, and is not a justification for an extension of time. Listed below are the number of days in each month for which no compensatory days for rainfall events ("Rain Days") in such months may be claimed:

January..... 8 days

February..... 8 days

March..... 7 days

April..... 7 days

May..... 9 days

June..... 6 days

July..... 5 days

August..... 5 days

September..... 7 days

October..... 7 days

November..... 7 days

December..... 7 days

Rain Days in addition to the baseline Rain Day determination described above will be measured with the Owner's Representative's approval at the nearest operational public weather data collection facility to the site, including but not limited to the OWNER's early warning flood gauge system.

12.2.4 CONTRACTOR may receive credit in any month for Unusual Inclement Weather, and specifically for any Rain Days in that month which exceed the number of Rain Days allocated to that month, if a Claim is made in accordance with paragraph 12.1.1 and the weather event meets the definition for "Unusual Inclement Weather", and as applicable, "Rain Day" and such claimed day is a day on which Work critical to maintaining the Progress Schedule is scheduled to be performed and is otherwise capable of being performed.

ARTICLE 13 - TESTS AND INSPECTIONS; CORRECTION, REMOVAL OR ACCEPTANCE OF DEFECTIVE WORK

13.1 **Notice of Defects:** Prompt notice of all defective Work of which OWNER or E/A has actual knowledge will be given to CONTRACTOR. All defective Work may be rejected, corrected or accepted as provided in Article 13. CONTRACTOR must give OWNER and E/A prompt notice of any defective Work of which CONTRACTOR has actual knowledge.

13.2 **Access to Work:** OWNER, E/A, E/A's Consultants, other representatives and personnel of OWNER, independent testing laboratories and governmental agencies having jurisdiction will have access to the Work at reasonable times for observing, inspecting and testing. CONTRACTOR shall provide them proper and safe conditions for such access, and advise them of CONTRACTOR's site safety procedures and programs so that they may comply therewith as applicable.

13.3 Tests and Inspections:

13.3.1 CONTRACTOR shall give timely notice of readiness of the Work for all required inspections, tests or approvals, and shall cooperate with inspection and testing personnel to facilitate required inspections or tests.

13.3.2 OWNER shall employ and pay for services of an independent testing laboratory to perform all inspections, tests or approvals required by the Contract Documents except:

.1 for inspections, tests or approvals covered by paragraphs 13.3.3 and 13.3.4 below;

.2 that costs incurred for tests or inspections conducted pursuant to paragraph 13.4.3 shall be paid as provided in paragraph 13.4.3;

.3 for reinspecting or retesting defective Work, including any associated costs incurred by the testing laboratory for cancelled tests or standby time; and

.4 as otherwise specifically provided in the Contract Documents.

13.3.3 If laws or regulations of any public body having jurisdiction require any Work (or part thereof) specifically to be inspected, tested or approved by an employee or other representative of such public body, CONTRACTOR shall assume full responsibility for arranging and obtaining such inspections, tests or approvals, pay all costs in connection therewith and furnish Owner's Representative the required certificates of inspection or approval.

13.3.4 CONTRACTOR shall also be responsible for arranging and obtaining and shall pay all costs in connection with any inspections, tests or approvals required for OWNER's and E/A's review of submittals covering materials, equipment, and mix designs to be incorporated in the Work.

13.3.5 All testing laboratories shall meet the requirements of ASTM E-329.

13.4 Uncovering Work:

13.4.1 If any Work (or the work of others) that is to be inspected, tested or approved is covered by CONTRACTOR without written concurrence of Owner's Representative, or if any Work is covered contrary to the written request of Owner's Representative, it must, if requested by Owner's Representative, be uncovered and recovered at CONTRACTOR's expense.

13.4.2 Uncovering Work as provided in paragraph 13.4.1 shall be at CONTRACTOR's expense unless CONTRACTOR has given Owner's Representative timely notice of CONTRACTOR's intention to cover the same and Owner's Representative has not acted within five (5) working days to such notice.

13.4.3 If Owner's Representative considers it necessary or advisable that covered Work be observed, inspected or tested, CONTRACTOR shall uncover, expose or otherwise make available for observation, inspection or testing that portion of the Work in question, furnishing all necessary labor, material and equipment. If it is found that such Work is defective, CONTRACTOR shall pay all claims, costs, losses and damages caused by, arising out of or resulting from such uncovering, exposure, observation, inspection and testing and of satisfactory replacement or reconstruction (including but not limited to all costs of repair or replacement of work of others); and OWNER shall be entitled to an appropriate decrease in the Contract Amount, and may make a Claim therefor as provided in Article 11. If,

however, such Work is not found to be defective, CONTRACTOR shall be allowed an increase in the Contract Amount or an extension of the Contract Times (or Milestones), or both, directly attributable to such uncovering, exposure, observation, inspection, testing, replacement and reconstruction; and CONTRACTOR may make a Claim therefor as provided in Articles 11 and 12.

13.5 OWNER May Stop the Work:

13.5.1 If the Work is defective, or CONTRACTOR fails to supply sufficient skilled workers, suitable materials, and/or equipment; or fails to furnish or perform the Work in such a way that the Work in progress or the completed Work will conform to the Contract Documents, OWNER may order CONTRACTOR to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, this right of OWNER to stop the Work shall not give rise to any duty on the part of OWNER to exercise this right for the benefit of CONTRACTOR or any surety or other party.

13.5.2 If CONTRACTOR fails to correct defective Work or submit a satisfactory plan to take corrective action, with procedure and time schedule, OWNER may order CONTRACTOR to stop the Work, or any portion thereof, until cause for such order has been eliminated, or take any other action permitted by this Contract. A notice to stop the Work, based on defects, shall not stop calendar or working days charged to the Project.

13.6 Correction or Removal of Defective Work: If required by OWNER, CONTRACTOR shall promptly, as directed, either correct all defective Work, whether or not fabricated, installed or completed, or, if the Work has been rejected by Owner's Representative, remove it from the site and replace it with Work that is not defective. CONTRACTOR shall correct or remove and replace defective Work, or submit a plan of action detailing how the deficiency will be corrected, within the time frame identified in the notice of defective Work. CONTRACTOR shall pay all claims, costs, losses and damages caused by or resulting from such correction or removal (including but not limited to all costs of repair or replacement of work of others).

13.7 Warranty period:

13.7.1 If within one year after the date of Substantial Completion or such longer period of time as may be prescribed by laws or regulations or by the terms of any applicable special guarantee required by the Contract Documents or by any specific provision of the Contract Documents (e.g. paragraph 14.11.2), any Work, including work performed after the Substantial Completion date, is found to be defective, CONTRACTOR shall promptly, without cost to OWNER and in accordance with OWNER's written instructions:

(i) correct such defective Work, or, if it has been rejected by OWNER, remove it from the site and replace it with Work that is not defective, and

(ii) satisfactorily correct or remove and replace any damage to other Work or the work of others resulting therefrom.

If CONTRACTOR does not promptly comply with the terms of such instructions, or in an emergency where delay would cause serious risk of loss or damage, OWNER may have the defective Work corrected or the rejected Work removed and replaced, and all claims, costs, losses and damages caused by or resulting from such removal and replacement (including but not limited to all costs of repair or replacement of work of others) will be paid by CONTRACTOR. The warranty period will be deemed to be renewed and recommenced in connection with the completed items of Work requiring correction.

13.7.2 In special circumstances where a particular item of equipment is placed in continuous service before Substantial Completion of all the Work, the warranty period for that item may start to run from an earlier date if so provided in the Contract Documents.

13.7.3 If correction of defective Work will affect the function or use of the facility CONTRACTOR shall not proceed with correction of defective Work without prior coordination and approval of OWNER.

13.7.4 The obligations of the CONTRACTOR to perform warranty work will survive the acceptance of the Work and any termination of the Contract.

13.8 Acceptance of Defective Work: If, instead of requiring correction or removal and replacement of defective Work, OWNER decides to accept it, OWNER may do so. CONTRACTOR shall pay all claims, costs, losses and damages attributable to OWNER's evaluation of and determination to accept such defective Work. If any such acceptance occurs prior to recommendation of final payment, a Change Order will be issued incorporating the necessary revisions in the Contract Documents and compensating OWNER for the diminished value of the defective Work. If the acceptance occurs after such recommendation, an appropriate amount will be paid by CONTRACTOR to OWNER after a calculation by OWNER of the diminution in value of the defective Work.

13.9 OWNER May Correct Defective Work: If CONTRACTOR fails within a reasonable time after Written Notice of OWNER to correct defective Work, or to remove and replace rejected Work, or if CONTRACTOR fails to perform the Work in accordance with the Contract Documents, or if CONTRACTOR fails to comply with any other provision of the Contract Documents, OWNER may, after seven (7) calendar days' Written Notice to CONTRACTOR, correct and remedy any such deficiency. If, in the opinion of the Owner's Representative, significant progress has not been made during this seven (7) calendar day period to correct the deficiency, the OWNER may exercise any actions necessary to remedy the deficiency. In exercising the rights and remedies under this paragraph, OWNER shall proceed expeditiously. In connection with such corrective and remedial action, OWNER may exclude CONTRACTOR from all or part of the site, take possession of all or part of the Work, and suspend CONTRACTOR's services related thereto, and incorporate in the Work all materials and equipment stored at the site or for which OWNER has paid CONTRACTOR but which are stored elsewhere. CONTRACTOR shall allow OWNER, its agents and employees, OWNER's other contractors, E/A and E/A's consultants access to the site to enable OWNER to exercise the rights and remedies under this paragraph. All claims, costs, losses and damages incurred or sustained by OWNER in exercising such rights and remedies will be charged against CONTRACTOR and a Change Order will be issued incorporating the necessary revisions in the Contract Documents with respect to the Work. Such claims, costs, losses and damages will include but not be limited to all costs of repair or replacement of work of others destroyed or damaged by correction, removal or replacement of CONTRACTOR's defective Work. CONTRACTOR shall not be allowed an extension of the Contract Times (or Milestones), or claims of damage because of any delay in the performance of the Work attributable to the exercise by OWNER of OWNER's rights and remedies hereunder.

ARTICLE 14 - PAYMENTS TO CONTRACTOR AND COMPLETION

14.1 Application for Progress Payment:

14.1.1 Within 45 days from when the work was performed by the Contractor and Subcontractors, but not more often than once a month, CONTRACTOR shall submit to Owner's Representative for review an Application for Payment, in a form

acceptable to OWNER, filled out and signed by CONTRACTOR covering the Work completed as of the date of the Application and accompanied by such supporting documentation as is required by the Contract Documents.

14.1.2 Such applications shall not include requests for payment on account of changes in the Work which have been properly authorized by Change Directives but not yet included in Change Orders.

14.1.3 Such applications shall not include requests for payment of amounts the CONTRACTOR does not intend to pay to a Subcontractor or Supplier because of a dispute or other reason.

14.1.4 If payment is requested on the basis of materials or equipment not incorporated in the Work but delivered and suitably stored at the site or at another location agreed to in writing, the Application for Payment shall be accompanied by such bills of sale, data and other procedures satisfactory to OWNER substantiating OWNER's title to such materials or equipment or otherwise protecting OWNER's interest. Payment on account of such materials or equipment will not include any amount for CONTRACTOR's overhead or profit or relieve CONTRACTOR of its obligation to protect and install such materials or equipment in accordance with the requirements of the Contract and to restore damaged or defective Work. If materials or equipment are stored at another location, at the direction of the OWNER they shall be stored in a bonded and insured facility, accessible to E/A and OWNER, and shall be clearly marked as property of OWNER. Title to materials delivered to the site of the Work or a staging area will pass to OWNER upon payment by OWNER without the necessity for further documentation. Risk of loss will not pass to OWNER until acceptance.

14.1.5 Where the original Contract Amount is less than $400,000, OWNER will pay CONTRACTOR total amount of approved Application for Payment, less ten percent (10%) of amount thereof, which ten percent (10%) will be retained until final payment, less all previous payments and less all other sums that may be retained by OWNER under the terms of this Agreement. Where the original Contract Amount is $400,000 or more, OWNER will pay CONTRACTOR total amount of approved Application for Payment, less five percent (5%) of amount thereof, which five percent (5%) will be retained until final payment, less all previous payments and less all other sums that may be retained by OWNER under the terms of this Agreement. In either case, if the Work is near completion and delay occurs due to no fault or neglect of CONTRACTOR, OWNER may pay a portion of the retained amount to CONTRACTOR. CONTRACTOR, at OWNER's option, may be relieved of the obligation to complete the Work and, thereupon, CONTRACTOR shall receive payment of the balance due under the Contract subject to the conditions stated under paragraph 15.2. A Subcontractor may submit a written request to the CONTRACTOR and Project Manager requesting release of retainage for work by the Subcontractor that has been completed and approved. The Project Manager will evaluate the request and if it is approved, the Project Manager will request the CONTRACTOR to include the request for release of an appropriate amount of retainage in the next Pay Application.

14.1.6 Applications for Payment shall include the following documentation:

.1 updated Progress Schedule;

.2 monthly subcontractor report;

.3 any other documentation required under the Supplemental General Conditions.

14.2 CONTRACTOR's Warranty of Title: CONTRACTOR warrants and guarantees that title to all Work, materials and equipment covered by any Application for Payment, whether incorporated in the Project or not, will pass to OWNER free and clear of all Liens no later than the time of payment to CONTRACTOR.

14.3 Review of Applications for Progress Payment:

14.3.1 Owner's Representative will, within seven (7) calendar days after receipt of each Application for Payment, either indicate a recommendation for payment and forward the Application for processing by OWNER, or return the Application to CONTRACTOR indicating Owner's Representative's reasons for refusing to recommend payment. In the latter case, CONTRACTOR shall make the necessary corrections and resubmit the Application.

14.3.2 Owner's Representative's recommendation of any payment requested in an Application for Payment will constitute a representation by Owner's Representative, based upon Owner's Representative's on-site observations of the executed Work and on Owner's Representative's review of the Application for Payment and the accompanying data and schedules, that to the best of Owner's Representative's knowledge, information and belief:

.1 the Work has progressed to the point indicated; and

.2 the quality of the Work is generally in accordance with the Contract Documents (subject to an evaluation of the Work as a functioning whole prior to or upon Substantial Completion, to the results of any subsequent tests called for in the Contract Documents, to a final determination of quantities and classifications for unit price Work, and to any other qualifications stated in the recommendation).

14.3.3 By recommending any such payment, Owner's Representative will not thereby be deemed to have represented that:

.1 exhaustive or continuous on-site inspections have been made to check the quality or the quantity of the Work;

.2 examination has been made to ascertain how or for what purpose CONTRACTOR has used money previously paid on account of the Contract Amount;

.3 CONTRACTOR's construction means, methods, techniques, sequences or procedures have been reviewed; or

.4 that there may not be other matters or issues between the parties that might entitle CONTRACTOR to be paid additionally by OWNER or entitle OWNER to withhold payment to CONTRACTOR.

14.4 Decisions to Withhold Payment:

14.4.1 OWNER may withhold or nullify the whole or part of any payment to such extent as may be necessary on account of:

.1 defective Work not remedied;

.2 third party Claims filed or reasonable evidence indicating probable filing of such Claims;

.3 failure of CONTRACTOR to make payments properly to Subcontractors for labor, materials or equipment;

.4 reasonable evidence that the Work cannot be completed for the unpaid balance of the Contract Amount;

.5 damage to OWNER or another contractor;

.6 reasonable evidence that the Work will not be completed within the Contract Time, and that the unpaid balance would not be adequate to cover actual or liquidated damages for the anticipated delay;

.7 failure of CONTRACTOR to submit a schedule of values in accordance with the Contract Documents;

.8 failure of CONTRACTOR to submit a submittal schedule in accordance with the Contract Documents;

.9 failure of CONTRACTOR to submit and update a construction Progress Schedule in accordance with the Contract Documents;

.10 failure of CONTRACTOR to maintain a record of changes on drawings and documents;

.11 failure of CONTRACTOR to maintain weekly payroll reports and, as applicable, provide copies of reports in a timely manner upon request of OWNER;

.12 failure of CONTRACTOR to submit monthly subcontractor reports;

.13 CONTRACTOR's neglect or unsatisfactory prosecution of the Work, including failure to clean up;

.14 failure of CONTRACTOR to comply with the Austin City Code, Chapter 2-9-A, as amended, "Minority-Owned and Women-Owned Business Enterprise Procurement Program;" or

.15 failure of CONTRACTOR to comply with any provision of the Contract Documents.

14.4.2 When the above reasons for withholding payment are removed, CONTRACTOR shall resubmit a statement for the value of Work performed. Payment will be made within thirty (30) calendar days of receipt of approved Application for Payment.

14.4.3 Subcontractors may request Partial Payment when the OWNER withholds payment of an invoice to the CONTRACTOR for any reason listed in Section 14.4.1. If payment is withheld by the OWNER, the CONTRACTOR shall notify all affected Subcontractors within two (2) working days of notice that payment is being withheld. Upon notification, Subcontractors may submit a formal written request for Partial Payment to the CONTRACTOR and OWNER. If directed by the OWNER, the CONTRACTOR shall within three (3) working days resubmit to the OWNER an invoice for the same period that includes only the work performed by the requesting Subcontractors during this period. The OWNER will review this resubmitted invoice in accordance with Section 14.3.1. Upon receipt of payment for the resubmitted invoice, CONTRACTOR shall pay the subcontractor within ten (10) Calendar Days in accordance with Section 6.4.7.

14.5 Delayed Payments: Should OWNER fail to make payment to CONTRACTOR of sum named in any Application for Payment within thirty (30) calendar days after the day on which OWNER received the mutually acceptable Application for Payment, then OWNER will pay to CONTRACTOR, in addition to sum shown as due by such Application for Payment, interest thereon at the rate specified in Government Code, Section 2251.025(b) from date due until fully paid, which shall fully liquidate any injury to CONTRACTOR growing out of such delay in payment.

14.6 Arrears: No money shall be paid by OWNER upon any claim, debt, demand or account whatsoever, to any person, firm or corporation who is in arrears to City for taxes; and City shall be entitled to counterclaim and automatically offset against any such debt, claim, demand or account in the amount of taxes so in arrears and no assignment or transfer of such debt, claim, demand or account after said taxes are due, shall affect the right of OWNER to so offset said taxes, and associated penalties and interest if applicable, against the same.

14.7 Substantial Completion:

14.7.1 When the CONTRACTOR considers that the Work, or a portion thereof which the OWNER agrees to accept separately, is substantially complete, the CONTRACTOR shall notify Owner's Representative and request a determination as to whether the Work or designated portion thereof is substantially complete. If Owner's Representative does not consider the Work substantially complete, Owner's Representative will notify CONTRACTOR giving reasons therefor. After performing any required Work, CONTRACTOR shall then submit another request for Owner's Representative to determine Substantial Completion. If Owner's Representative considers the Work substantially complete, Owner's Representative will prepare and deliver a certificate of Substantial Completion which shall establish the date of Substantial Completion, shall include a punch list of items to be completed or corrected before final payment, shall establish the time within which CONTRACTOR shall finish the punch list, and shall establish responsibilities of the OWNER and CONTRACTOR for security, maintenance, heat, utilities, damage to the Work, warranty and insurance. Failure to include an item on the punch list does not alter the responsibility of CONTRACTOR to complete all Work in accordance with the Contract Documents. If a Certificate of Occupancy is required by public authorities having jurisdiction over the Work, said certificate shall be issued before the Work or any portion thereof is considered substantially complete. The certificate of Substantial Completion shall be signed by OWNER and CONTRACTOR to evidence acceptance of the responsibilities assigned to them in such certificate.

14.7.2 OWNER shall have the right to exclude CONTRACTOR from the Work after the date of Substantial Completion, but OWNER will allow CONTRACTOR reasonable access to complete or correct items on the punch list and complete warranty work.

14.8 Partial Utilization: Use by OWNER, at OWNER's option, of any substantially completed part of the Work which: (i) has specifically been identified in the Contract Documents, or (ii) OWNER and CONTRACTOR agree constitutes a separately functioning and usable part of the Work that can be used by OWNER for its intended purpose without significant interference with CONTRACTOR's performance of the remainder of the Work, may be accomplished prior to Substantial Completion of all the Work in accordance with the following:

14.8.1 OWNER at any time may request CONTRACTOR to permit OWNER to use any such part of the Work which OWNER believes to be ready for its intended use and substantially complete. If CONTRACTOR agrees that such part of the Work is substantially complete, CONTRACTOR shall certify to Owner's Representative that such part of the Work is substantially complete and request Owner's Representative to issue a certificate of substantial Completion for that part of the Work. CONTRACTOR at any time may notify Owner's Representative that CONTRACTOR considers any such part of the Work ready for its intended use and substantially complete and request Owner's Representative to issue a certificate of Substantial Completion for that part of the Work. The provisions of paragraphs 14.7.1 and 14.7.2 will apply with respect to certification of Substantial Completion

of that part of the Work and the division of responsibility in respect thereof and access thereto.

14.8.2 Such partial utilization is authorized by public authorities having jurisdiction over the Work.

14.9 Final Inspection: Upon Written Notice from CONTRACTOR that the entire Work or an agreed portion thereof is complete, Owner's Representative will make a final inspection with CONTRACTOR and provide Written Notice of all particulars in which this inspection reveals that the Work is incomplete or defective. CONTRACTOR shall immediately take such measures as are necessary to complete such Work or remedy such deficiencies.

14.10 Final Application for Payment: CONTRACTOR may make application for final payment following the procedure for progress payments after CONTRACTOR has completed all such corrections to the satisfaction of Owner's Representative and delivered the following documents:

14.10.1 Affidavit by CONTRACTOR certifying the payment of all debts and claims;

14.10.2 Three (3) complete operating and maintenance manuals, each containing maintenance and operating instructions, schedules, guarantees, and other documentation required by the Contract Documents;

14.10.3 Record documents (as provided in paragraph 6.10);

14.10.4 Consent of surety, if any, to final payment. If surety is not provided, complete and legally effective releases or waivers (satisfactory to OWNER) of all claims arising out of or filed in connection with the Work;

14.10.5 Certificate evidencing that insurance required by the Supplemental General Conditions will remain in force after final payment and through the warranty period;

14.10.6 Non-Use of Asbestos Affidavit (After Construction);

14.10.7 Subcontractor report and all other documentation necessary for evaluation of CONTRACTOR’s fulfillment of the Contract MBE/WBE or DBE goals;

14.10.8 Documentation of notice to claimants, to the extent applicable and subject to subparagraph 14.11.4;

14.10.9 Proof of performance Bond extension through warranty period, if a performance Bond was required; and

14.10.10 Any other documentation called for in the Contract Documents.

14.11 Final Payment and Acceptance:

14.11.1 If, on the basis of observation of the Work during construction, final inspection, and review of the final Application for Payment and accompanying documentation as required by the Contract Documents, Owner's Representative is satisfied that the Work has been completed and CONTRACTOR's other obligations under the Contract Documents have been fulfilled and there are no outstanding claims, Owner's Representative will recommend the final Application for Payment and thereby notify the OWNER, who will pay to CONTRACTOR the balance due CONTRACTOR under the terms of the Contract. If the sole remaining unfinished item to complete the Work is the reestablishment of vegetation, CONTRACTOR may execute a revegetation letter with fiscal posted (letter of credit) to ensure completion of this item. This Work must be accomplished within one hundred twenty (120) Calendar Days of the date of Final Completion of the Work. When

the permanent erosion control has been established, OWNER will initiate an inspection for final acceptance of the erosion controls. If the revegetation is not completed within the one hundred twenty (120) Calendar Days, OWNER, at its option, may complete the Work using the posted fiscal.

14.11.2 If the Contract measures Contract Time to Final Completion, rather than Substantial Completion, Owner's Representative will issue a letter of final acceptance to CONTRACTOR which establishes the Final Completion date and initiates the one-year warranty period. If the sole remaining unfinished item to complete the Work is the reestablishment of vegetation and CONTRACTOR has executed a revegetation letter with fiscal posted (letter of credit) to ensure completion of this item, the Owner's Representative will issue a letter of conditional acceptance to CONTRACTOR which established the Final Completion date and initiates the one-year warranty period.

14.11.3 Final payment is considered to have taken place when CONTRACTOR or any of its representatives negotiates OWNER's final payment check, whether labeled final or not, for cash or deposits check in any financial institution for its monetary return.

14.11.4 The OWNER will withhold funds sufficient to cover the amount of any unresolved contract claims from final payment for six months under the following limited conditions:

.1 CONTRACTOR must provide written notice to the claimant (via certified mail or hand delivery) that (i) OWNER will hold funds in the amount of the disputed claim for six (6) months from the date of the receipt of the notice and (ii) CONTRACTOR and the claimant have certain alternative dispute resolution rights; and

.2 CONTRACTOR must provide OWNER with a copy of the receipted notice.

Provided the claimant has received notice under this section, OWNER will release the withheld funds, if the CONTRACTOR provides a bond in substantial compliance with the provisions of Section 52.231 of the Texas Property Code; when the OWNER receives a settlement or release of the claim with accompanying instructions regarding payment; upon resolution of the claim in litigation, if suit is filed within such six (6) month period and the OWNER receives written notice of such filing; or when such six (6) month period has passed, if no such bond, settlement, release, or notice of filing of suit have been received. The above provisions notwithstanding, if efforts to timely resolve a disputed claim are not being made to OWNER'S reasonable satisfaction, OWNER may, in its complete discretion, file an interpleader action and deposit the withheld funds in the registry of a court of competent jurisdiction. In addition, CONTRACTOR must include a provision in each of its subcontracts that the prevailing party in any litigation arising thereunder will be entitled to recover its costs of court and reasonable attorney's fees.

14.12 Waiver of Claims: The making and acceptance of final payment will constitute:

14.12.1 a waiver of claims by OWNER against CONTRACTOR, except claims arising from unsettled claims, from defective Work appearing after final inspection, from failure to comply with the Contract Documents or the terms of any warranty specified therein, or from CONTRACTOR's continuing obligations under the Contract Documents; and

14.12.2 a waiver of all claims by CONTRACTOR against OWNER other than those previously made in writing and still unsettled.

ARTICLE 15 - SUSPENSION OF WORK AND TERMINATION

15.1 OWNER May Suspend Work Without Cause: At any time and without cause, OWNER may suspend the Work or any portion thereof for a period of not more than ninety (90) calendar days by Written Notice to CONTRACTOR which will fix the date on which the Work will be resumed. CONTRACTOR shall resume the Work on the date so fixed. CONTRACTOR shall be allowed an adjustment in the Contract Amount or an extension of the Contract Times, or both, directly attributable to any such suspension if CONTRACTOR makes an approved Claim therefor as provided in Articles 11 and 12.

15.2 OWNER May Terminate Without Cause: Upon seven (7) calendar days' Written Notice to CONTRACTOR, OWNER may, without cause and without prejudice to any right or remedy of OWNER, elect to terminate the Agreement. In such case, CONTRACTOR shall be paid (without duplication of any items):

15.2.1 for completed and acceptable Work executed in accordance with the Contract Documents prior to the effective date of termination;

15.2.2 for reasonable demobilization costs; and

15.2.3 for anticipated profits on completed and accepted Work not previously paid and not included in separate pay items calculated to date of termination but not for anticipated profit on the entire Contract not previously paid, unabsorbed overhead, or lost opportunity.

15.3 OWNER May Terminate With Cause:

15.3.1 Upon the occurrence of any one or more of the following events:

.1 if CONTRACTOR persistently fails to perform the Work in accordance with the Contract Documents;

.2 if CONTRACTOR disregards laws or regulations of any public body having jurisdiction;

.3 if CONTRACTOR disregards the authority of Owner's Representative;

.4 if CONTRACTOR makes fraudulent statements;

.5 if CONTRACTOR fails to maintain a work force adequate to accomplish the Work within the Contract Time;

.6 if CONTRACTOR fails to make adequate progress and endangers successful completion of the Contract; or

.7 if CONTRACTOR otherwise violates in any substantial way any provisions of the Contract Documents;

OWNER may, after giving CONTRACTOR (and the surety, if any) seven (7) calendar days Written Notice terminate the services of CONTRACTOR. OWNER, at its option, may proceed with negotiation with surety for completion of the Work. Alternatively, OWNER may under these circumstances exclude CONTRACTOR from the site and take possession of the Work (without liability to CONTRACTOR for trespass or conversion), incorporate in the Work all materials and equipment stored at the site or for which OWNER has paid CONTRACTOR but which are stored elsewhere, and finish the Work as OWNER may deem expedient. In such case CONTRACTOR shall not be entitled to receive any further payment until the Work is finished. If the unpaid balance of the Contract Amount exceeds all claims, costs,

losses and damages sustained by OWNER arising out of or resulting from completing the Work, such excess will be paid to CONTRACTOR. If such claims, costs, losses and damage exceed such unpaid balance, CONTRACTOR or surety shall pay the difference to OWNER. In the event that a termination for cause is found to be wrongful, the termination shall be converted to a termination without cause as set forth in Section 15.2 and CONTRACTOR'S remedy for wrongful termination is limited to the recovery of the payments permitted for termination without cause as set forth in Section 15.2.

15.3.2 Where CONTRACTOR's services have been so terminated by OWNER, the termination will not affect any rights or remedies of OWNER against CONTRACTOR and surety then existing or which may thereafter accrue. Any retention or payment of moneys due CONTRACTOR by OWNER will not release CONTRACTOR from liability. In the event OWNER terminates Contract with cause, OWNER may reject any and all Bids submitted by CONTRACTOR for up to three (3) years after the date of such termination. These sanctions will be administered in accordance with the City of Austin Purchasing Office Probation, Suspension, and Debarment Procedures for Vendors, which include notice and an opportunity for a hearing.

15.4 CONTRACTOR May Stop Work or Terminate: If through no act or fault of CONTRACTOR, the Work is suspended for a period of more than ninety (90) calendar days by OWNER or under an order of court or other public authority, or (except during disputes) Owner's Representative fails to forward for processing any mutually acceptable Application for Payment within thirty (30) calendar days after it is submitted, or (except during disputes) OWNER fails for sixty (60) calendar days after it is submitted to pay CONTRACTOR any sum finally determined by OWNER to be due, then CONTRACTOR may, upon seven (7) calendar days' Written Notice to OWNER, and provided OWNER does not remedy such suspension or failure within that time, terminate the Agreement and recover from OWNER payment on the same terms as provided in paragraph 15.2. In lieu of terminating the Agreement and without prejudice to any other right or remedy, if (except during disputes) Owner's Representative has failed to forward for processing any mutually acceptable Application for Payment within thirty (30) calendar days after it is submitted, or (except during disputes) OWNER has failed for sixty (60) calendar days after it is submitted to pay CONTRACTOR any sum finally determined by OWNER to be due, CONTRACTOR may upon seven (7) calendar days' Written Notice to OWNER stop the Work until payment of all such amounts due CONTRACTOR, including interest thereon. The provisions of this paragraph 15.4 are not intended to preclude CONTRACTOR from making a Claim under Articles 11 and 12 for an increase in Contract Amount or Contract Times or otherwise for expenses or damage directly attributable to CONTRACTOR's stopping Work as permitted by this paragraph.

15.5 Discretionary Notice to Cure: In its complete discretion, OWNER may, but is not required to, provide a Notice to Cure to CONTRACTOR and its surety to cure an event of default described above and/or an anticipatory breach of contract and, if required by OWNER, to attend a meeting with OWNER, regarding the Notice to Cure, the event of default, and/or the anticipatory breach of contract. The Notice to Cure will set forth the time limit in which the cure is to be completed or commenced and diligently prosecuted. Upon receipt of any Notice to Cure, CONTRACTOR shall prepare a report describing its program and measures to affect the cure of the event of default and/or anticipatory breach of contract within the time required by the Notice to Cure. The CONTRACTOR'S report must be delivered to OWNER at least three (3) days prior to any requested meeting with the OWNER and surety.

15.6 Bankruptcy: If CONTRACTOR declares bankruptcy or is adjudged bankrupt or makes an assignment for the benefit of creditors or if a receiver is appointed for the benefit of creditors or if a receiver is appointed by reason of CONTRACTOR'S insolvency, CONTRACTOR may be unable to perform this Contract in accordance with the Contract

requirements. In such an event, OWNER may demand CONTRACTOR or its successor in interest provide OWNER with adequate assurance of CONTRACTOR'S future performance in accordance with the terms and conditions of the Contract. If CONTRACTOR fails to provide adequate assurance of future performance to OWNER'S reasonable satisfaction within ten (10) days of such a request, OWNER may terminate the CONTRACTOR'S services for cause or without cause, as set forth above. If CONTRACTOR fails to provide timely adequate assurance of its performance and actual performance, OWNER may prosecute the Work with its own forces or with other contractors on a time and material or other appropriate basis and the cost of which will be charged against the Contract balance.

15.7 Duty to Mitigate: In the event of any termination or suspension under this Contract, the CONTRACTOR agrees to and shall take all reasonable actions to mitigate its damages and any and all claims which may be asserted against the OWNER.

15.8 Responsibility during Demobilization: While demobilizing, the CONTRACTOR will take all necessary and reasonable actions to preserve and protect the Work, the site and other property of the OWNER or others at the site.

ARTICLE 16 - DISPUTE RESOLUTION

16.1 Filing of Claims:

16.1.1 Claims arising from the circumstances identified in paragraphs 3.2, 4.1, 4.2.2, 4.2.4, 6.4.2, 6.11.5.2, 6.17, 7.5, 8.6, 9.5, 10.4.2, 13.4.3, 13.8, 13.9, 15.1, 15.2, 15.3, or 15.4, or other occurrences or events, shall be made by Written Notice delivered by the party making the Claim to the other party within thirty (30) calendar days after the start of the occurrence or event giving rise to the Claim and stating the general nature of the Claim. Notice of the amount of the Claim with supporting data shall be delivered in writing within thirty (30) calendar days after Written Notice of Claim is delivered by claimant and shall represent that the adjustment claimed covers all known amounts and/or extensions of time to which claimant is entitled.

16.1.2 Within thirty (30) calendar days of receipt of notice of the amount of the Claim with supporting data, Owner's Representative and CONTRACTOR shall meet to discuss the Claim, after which an offer of settlement or notification of no settlement offer will be made to claimant. If claimant is not satisfied with the proposal presented, claimant shall have thirty (30) calendar days in which to: (i) submit additional supporting data requested by the other party; (ii) modify the initial Claim; or (iii) request Alternative Dispute Resolution.

16.2 Alternative Dispute Resolution:

16.2.1 If a dispute exists concerning a Claim, the parties agree to use the following procedure prior to pursuing any other available remedies. OWNER reserves the right to include the E/A as a party.

16.2.2 Negotiating with Previously Uninvolved Personnel: Either party may make a written request for a meeting to be held between representatives of each party within fourteen (14) Calendar Days of the request or such later period that the parties may agree to. Each party shall endeavor to include, at a minimum, one (1) previously uninvolved senior level decision maker (an owner, officer, or employee of each organization) empowered to negotiate on behalf of their organization. If a previously uninvolved senior level decision maker is unavailable due to the size of the CONTRACTOR'S organization or any other reason, the

CONTRACTOR shall nonetheless provide an appropriate senior level decision maker for the meeting. The purpose of this and any subsequent meetings will be good faith negotiations of the matters constituting the dispute. Negotiations shall be concluded within thirty (30) Calendar Days of the first meeting, unless mutually agreed otherwise. This step may be waived by a written agreement signed by both parties, in which event the parties may proceed directly to mediation as described below.

16.2.3 Mediation:

.1 If the procedure described in 16.2.2 proves unsuccessful or is waived pursuant to its terms, the parties shall initiate the mediation process. OWNER and CONTRACTOR agree to select within thirty (30) calendar days a mediator trained in mediation skills, to assist with resolution of the dispute. OWNER and CONTRACTOR agree to act in good faith in the selection of the mediator and to give consideration to qualified individuals nominated to act as mediator. Nothing in this agreement prevents the parties from relying on the skills of a person who also is trained in the subject matter of the dispute and/or a contract interpretation expert. Should the parties fail to agree on a mediator within thirty (30) calendar days of initiation of the mediation process, the parties agree to ask the Travis County Dispute Resolution Center to select a qualified individual, which selection shall be binding on the parties.

.2 Mediation is a forum in which an impartial person, the mediator, facilitates communication between parties to promote reconciliation, settlement, or understanding among them. The parties hereby agree that mediation, at a minimum, shall provide for (i) conducting an on-site investigation, if appropriate, by the mediator for fact gathering purposes, (ii) a meeting of all parties for the exchange of points of view and (iii) separate meetings between the mediator and each party to the dispute for the formulation of resolution alternatives. The parties agree to participate in mediation in good faith for up to thirty (30) calendar days from the date of the first mediation session, unless mutually agreed otherwise. Should the parties fail to reach a resolution of the dispute through mediation, then each party is released to pursue other remedies available to them.

16.3 Resolution of Disputes between Contractor and Subcontractor or Supplier: If a dispute exists concerning a claim between a CONTRACTOR and a Subcontractor or Supplier, the CONTRACTOR agrees to participate with such Subcontractor and/or Supplier in a process substantially paralleling the steps set out in paragraphs 16.1 and 16.2 above, including the delivery of written notices, submission of supporting data, negotiation with previously uninvolved personnel, and, if such alternative dispute resolution process is unsuccessful, mediation between the parties to the claim. If the CONTRACTOR and Subcontractor or Supplier agreement provides an alternative dispute resolution process, which provides substantially equivalent rights to those set forth herein, it may be followed, unless the CONTRACTOR and affected Subcontractor or Supplier agree to follow the process outlined above. The OWNER is not a party to the alternative dispute resolution process between the CONTRACTOR and Subcontractor or Supplier and will not pay any costs incurred in the process. Each party will be responsible for its own expenses incurred in the process, which will include an equal share of the mediation expenses, unless otherwise determined by the mediator. NOTICE: THE PROCESS SET FORTH HEREIN IS NOT A SUBSTITUTE FOR THE STATUTORY PAYMENT BOND CLAIM PROCESS.

16.4 Claim Calculation:

16.4.1 Delay Claims: The intent of paying for delay damages is to reimburse the CONTRACTOR for actual expense arising out of a compensable delay. No profit or force account markups, other than labor burden, will be allowed for delay claims by the CONTRACTOR seeking reimbursement for expenses arising out of an alleged event of delay. No consequential damages will be allowed to the CONTRACTOR in connection with any claimed delays. If the CONTRACTOR requests compensation for delay damages and the delay is determined to be compensable, then standby equipment costs and project overhead compensation will be based on the duration of the compensable delay and the following:

.1 Standby equipment costs will not be allowed during periods when the equipment would have otherwise been idle. Standby equipment time will not exceed more than eight (8) hours per twenty-four (24) hour day, forty (40) hours per week, and one hundred seventy-six (176) hours per month. Standby equipment costs will be paid at 50 percent (50%) of the applicable Rental Rate Blue Book rates and calculated by dividing the monthly rate by one hundred seventy-six (176), multiplying the result by the number of standby hours and multiplying that number by the regional adjustment factor and the rate adjustment factor contained in the Blue Book. Operating costs will not be allowed.

.2 Project overhead will be determined from actual costs that the CONTRACTOR will be required to document. Project overhead is defined as the administrative and supervisory expenses incurred at the work site and will not include home office overhead.

16.4.2 General: Except as limited with respect to delay claims, as set forth above, the criteria set forth in Section 11.4.1 may be used as a basis to calculate an adjustment in the Contract Amount in the resolution of a claim, provided that there will be no compensation for home office overhead.

16.5 MBE/WBE Program Progressive Sanctions: CONTRACTOR is subject to progressive sanctions for failure of CONTRACTOR to comply with Austin City Code, Chapter 2-9A, as amended: "Minority-owned and Women-owned Business Enterprise Procurement Program." Available sanctions for Program violations are set forth in Program rules adopted by the Small and Minority Business Resources Department (SMBR), as amended, and may include the following progressive sanctions for Program violations within a rolling 24-month period: (i) a period of probation for up to six (6) months for the first violation (ii) a period of suspension from bidding for up to 24 months for the second violation, and (iii) a period of debarment for up to five (5) years for the third violation. If the CONTRACTOR engages in more than one of the violations listed below at any given time, OWNER has the discretion to determine whether such actions should be counted as multiple violations of the MBE/WBE Ordinance. Program violations include:

.1 providing false or misleading information to the OWNER in connection with the submission of a Bid, responses to request for qualifications or Proposals, Good Faith Efforts documentation, post award compliance or other Program operations;

.2 substituting M/WBE Subcontractors without first receiving approval for such substitutions;

.3 failure to comply with the approved Compliance Plan without an approved request for a change, an approved Change Order or other approved change to the Contract;

.4 violation of any other provision of the "Minority-owned and Women-owned Business Enterprise Procurement Program";

.5 providing false or misleading information to the OWNER in connection with an application for or challenge to certification, recertification or decertification as a MBE/WBE; and

.6 bid shopping.

The Progressive Sanctions will be administered in accordance with the City of Austin Purchasing Office Probation, Suspension, and Debarment Procedures for Vendors, which includes notice and an opportunity for a hearing.

ARTICLE 17 – MISCELLANEOUS

17.1 Venue: In the event of any suit at law or in equity involving the Contract, venue shall be exclusively in Travis County, Texas and the laws of the State of Texas shall apply to the interpretation and enforcement of the Contract.

17.2 Extent of Agreement: This Contract represents the entire and integrated agreement between the OWNER and CONTRACTOR with respect to the subject matter hereof and supersedes all prior negotiations, representations or agreements, either written or oral.

17.3 Cumulative Remedies: The rights and remedies available to the parties are not to be construed in any way as a limitation of any rights and remedies available to any or all of them which are otherwise imposed or available by laws or regulations, by special warranty or guarantees or by other provisions of the Contract Documents, and the provisions of this paragraph will be as effective as if repeated specifically in the Contract Documents in connection with each particular duty, obligation, right and remedy to which they apply. Specifically, the OWNER is not required to only assess liquidated damages, and OWNER may elect to pursue its actual damages resulting from the failure of the CONTRACTOR to complete the Work in accordance with the requirements of the Contract Documents.

17.4 Severability: If any word, phrase, clause, sentence or provision of the Contract, or the application of same to any person or set of circumstances is for any reason held to be unconstitutional, invalid or unenforceable, that finding shall only effect such word, phrase, clause, sentence or provision, and such finding shall not affect the remaining portions of this Contract; this being the intent of the parties in entering into the Contract; and all provisions of the Contract are declared to be severable for this purpose.

17.5 Independent Contractor: The Contract shall not be construed as creating an employer/employee relationship, a partnership, or a joint venture. CONTRACTOR is an independent contractor and CONTRACTOR's services shall be those of an independent contractor. CONTRACTOR agrees and understands that the Contract does not grant any rights or privileges established for employees of OWNER.

17.6 Prohibition of Gratuities: OWNER may, by Written Notice to CONTRACTOR, terminate the Contract without liability if is determined by OWNER that gratuities were offered or given by CONTRACTOR or any agent or representative of CONTRACTOR to any officer or employee of OWNER with a view toward securing the Contract or securing favorable treatment with respect to the awarding or amending or the making of any determinations with respect to the performing of such Contract. In the event the Contract is terminated by OWNER pursuant to this provision, OWNER shall be entitled, in addition to any other rights

and remedies, to recover or withhold the amount of the cost incurred by CONTRACTOR in providing such gratuities.

17.7 Prohibition Against Personal Interest in Contracts: No officer, employee, independent consultant, or elected official of OWNER who is involved in the development, evaluation, or decision-making process of the performance of any solicitation shall have a financial interest, direct or indirect, in the Contract resulting from that solicitation. Any violation of this provision, with the knowledge, expressed or implied, of CONTRACTOR shall render the Contract voidable by OWNER.

17.8 OWNER'S Right to Audit:

17.8.1 Records means all records generated by or on behalf of CONTRACTOR and each Subcontractor and Supplier of CONTRACTOR, whether paper, electronic, or other media, which are in any way related to performance of or compliance with this Contract, including, without limitation:

.1 accounting records;

.2 written policies and procedures;

.3 subcontract files (including proposals of successful and unsuccessful Bidders, Bid recaps, etc.);

.4 original estimates and estimating work sheets;

.5 correspondence;

.6 Change Order files (including documentation covering negotiated settlements);

.7 back charge logs and supporting documentation;

.8 general ledger entries detailing cash and trade discounts earned, insurance rebates and dividends;

.9 lump sum agreements between CONTRACTOR and any Subcontractor or Supplier;

.10 records necessary to evaluate: Contract compliance, Change Order pricing, and any Claim submitted by CONTRACTOR or any of its payees; and

.11 any other CONTRACTOR record that may substantiate any charge related to this Contract.

17.8.2 CONTRACTOR shall allow OWNER'S agent or its authorized representative to inspect, audit, and/or reproduce, or all three, all Records generated by or on behalf of CONTRACTOR and each Subcontractor and Supplier, upon OWNER'S written request. Further, CONTRACTOR shall allow OWNER'S agent or authorized representative to interview any of CONTRACTOR'S employees, all Subcontractors and all Suppliers, and all their respective employees.

17.8.3 CONTRACTOR shall retain all its Records, and require all its Subcontractors and Suppliers to retain their respective Records, during this Contract and for three (3) years after final payment, until all audit and litigation matters that OWNER has brought to the attention of CONTRACTOR are resolved, or as otherwise required by law, whichever is longer. OWNER'S right to inspect, audit, or reproduce Records, or interview employees of CONTRACTOR or its respective Subcontractors or Suppliers exists during this Contract, and for three (3) years after final payment, until all audit and litigation matters that OWNER has brought to CONTRACTOR'S attention are resolved, or as otherwise required by law, whichever is longer, and at

no cost to OWNER, either from CONTRACTOR or any of its Subcontractors or Suppliers that may furnish Records or make employees available for interviewing.

17.8.4 CONTRACTOR must provide sufficient and accessible facilities during its normal business hours for OWNER to inspect, audit, or reproduce Records, or all three, and to interview any person about the Records.

17.8.5 CONTRACTOR shall insert these requirements in each written contract between CONTRACTOR and any Subcontractor or Supplier and require each Subcontractor and Supplier to comply with these provisions.

17.9 Survival: The terms and conditions of this Contract, which contemplate a period of time beyond completion or termination will survive such completion or termination and not be merged therein or otherwise terminated.

17.10 No Waiver: The waiver of any provision of this Contract will not be deemed to be a waiver of any other provision of this Contract. No waiver of any provision of this Contract will be deemed to constitute a continuing waiver unless expressly provided in writing, nor will a waiver of any default be deemed a waiver of any subsequent defaults of the same type. The failure at any time to enforce this Contract, whether the default is known or not, shall not constitute a waiver or estoppel of the right to do so.

17.11 Conditions Precedent to Right to Sue: Notwithstanding anything herein to the contrary, the CONTRACTOR will have at least 90 days to give notice of a claim for damages as a condition precedent to the right to sue on the Contract, subject to the contractual claim and alternative dispute resolution processes set forth herein.

17.12 Waiver of Trial by Jury: OWNER and CONTRACTOR agree that they have knowingly waived the right to trial by jury and have instead agreed that, in the event of any litigation arising out of or connected to this Contract, to proceed with a trial before the court, unless both parties subsequently agree otherwise in writing.

17.13 Contractor Evaluation: The Owner will review and evaluate the Contractor's Work and performance on the Project and provide the Contractor with a written Contractor Evaluation Report in accordance with City of Austin Administrative Rule R161-13.37. Rule R161-13.37 provides an appeal process.

http://www.austintexas.gov/department/contract-management

End

The Supplemental General Conditions contained herein amend or supplement the General Conditions, Section 00700.

ARTICLE 1 – DEFINITIONS

Add to the following definition:

1.20 Engineer/Architect (E/A): The OWNER's design professional for this contract is:

Name: Xiaohong He, PhD, PE, AECOM Technical Services, Inc.
Address: 13640 Briarwick Drive, Suite 200, Austin, TX 78729

Add the following definition:

1.51 Allowance - Allowance is defined as "a not-to-be-exceeded amount", either individually or in the aggregate, which is established between the Owner and the Contractor as part of its Bid Proposal when the precise scope of a particular line item(s) has not been defined to a level which is adequate for the Contractor to provide a definitive line item pricing for that particular scope of Work. The use of any Allowances by the Contractor will be subject to the Owner's sole approval and it is the Owner's intent to minimize the use of Allowances to the fullest extent possible. For any Allowances which the Owner allows the Contractor to use, the following rules shall apply: (i) Allowances shall cover the cost to the Contractor of the Cost of Work; (ii) Contractor's overhead and profit associated with the stated Allowance shall be included in the Allowance; and (iii) upon completion of the portion of the Work subject to an Allowance, the Contract Amount for that portion of the Work will be adjusted based upon the approved actual cost of the Work, which will not exceed the approved aggregate amount of the Allowances.

Add the following definition:

1.52 Mobilization Prompt Payment Program - The Owner's Mobilization Prompt Payment Program, will allow bimonthly payments during "critical mobilization stages" as specified in the Contract Documents by the Prime Contractor. The Mobilization Prompt Payment Program will only apply to projects with a construction cost greater than $2,000,000.

ARTICLE 2 - PRELIMINARY MATTERS

Add the following modification to the end of 2.4.2.1:

.**1** The Baseline Schedule and schedule submittals for Projects in the Mobilization Prompt Payment Program must identify periods of 'critical mobilization.' The periods of critical mobilization will include the first two months of the Contract Time and additional periods identified by the Contractor and approved by Owner when peak Subcontractor mobilization will occur.

2.4 Before Starting Construction:

Delete 2.4.2.6 and replace with the following (changes to the original text are identified by underlining):

.6 A preliminary schedule of values for all of the Work, subdivided into component parts in sufficient detail to serve as the basis for progress payments during construction. At a minimum, the schedule of values shall be broken out by trade and split between materials and labor. Prices will include an appropriate amount of overhead and profit applicable to easement in order to complete the Work. This delay shall be considered to be any period of time required by the CONTRACTOR to fully and satisfactorily execute the Work that is in addition to the original Contract duration, as modified by any executed Contract change orders.

ARTICLE 5 - BONDS AND INSURANCE

5.3 Other Bond and Insurance Requirements:

5.3.1 CONTRACTOR Provided Insurance

5.3.1.1 General Requirements.

.1 CONTRACTOR shall carry insurance in the types and amounts indicated below for the duration of the Contract, which shall include items owned by OWNER in the care, custody and control of CONTRACTOR prior to and during construction and warranty period.

.2 CONTRACTOR must complete and forward the Certificate of Insurance, Section 00650, to OWNER before the Contract is executed as verification of coverage required below. CONTRACTOR shall not commence Work until the required insurance is obtained and until such insurance has been reviewed by OWNER. Approval of insurance by OWNER shall not relieve or decrease the liability of CONTRACTOR hereunder and shall not be construed to be a limitation of liability on the part of CONTRACTOR. CONTRACTOR must also complete and forward the Certificate of Insurance, Section 00650, to OWNER whenever a previously identified policy period has expired as verification of continuing coverage.

.3 CONTRACTOR's insurance coverage is to be written by companies authorized to do business in the State of Texas at the time the policies are issued and shall be written by companies with A.M. Best ratings of B+VII or better, except for hazardous material insurance which shall be written by companies with A.M. Best ratings of A- or better.

.4 All endorsements naming the OWNER as additional insured, waivers, and notices of cancellation endorsements as well as the Certificate of Insurance shall indicate: City of Austin, Capital Contracting Office, P.O. Box 1088, Austin, Texas 78767.

.5 The "other" insurance clause shall not apply to the OWNER where the OWNER is an additional insured shown on any policy. It is intended that policies required in the Contract, covering both OWNER and CONTRACTOR, shall be considered primary coverage as applicable.

.6 If insurance policies are not written for amounts specified below, CONTRACTOR shall carry Umbrella or Excess Liability Insurance for any differences in amounts specified. If Excess Liability Insurance is provided, it shall follow the form of the primary coverage.

.7 OWNER shall be entitled, upon request and without expense, to receive certified copies of policies and endorsements thereto and may make any reasonable requests for deletion or revision or modification of particular policy terms, conditions, limitations, or exclusions except where policy provisions are established by law or regulations binding upon either of the parties hereto or the underwriter on any such policies.

.8 OWNER reserves the right to review the insurance requirements set forth during the effective period of this Contract and to make reasonable adjustments to insurance coverage, limits, and exclusions when deemed necessary and prudent by OWNER based upon changes in statutory law, court decisions, the claims history of the industry or financial condition of the insurance company as well as CONTRACTOR.

.9 CONTRACTOR shall not cause any insurance to be canceled nor permit any insurance to lapse during the term of the Contract or as required in the Contract.

.10 CONTRACTOR shall be responsible for premiums, deductibles and self-insured retentions, if any, stated in policies. All deductibles or self-insured retentions shall be disclosed on the Certificate of Insurance.

.11 CONTRACTOR shall provide OWNER thirty (30) days written notice of erosion of the aggregate limits below occurrence limits for all applicable coverages indicated within the Contract.

.12 If OWNER owned property is being transported or stored off-site by CONTRACTOR, then the appropriate property policy will be endorsed for transit and storage in an amount sufficient to protect OWNER's property.

.13 The insurance coverages required under this contract are required minimums and are not intended to limit the responsibility or liability of CONTRACTOR.

5.3.1.2 Business Automobile Liability Insurance. Provide coverage for all owned, non-owned and hired vehicles. The policy shall contain the following endorsements in favor of OWNER:

a) Waiver of Subrogation endorsement CA 0444;
b) 30 day Notice of Cancellation endorsement CA 0244; and
c) Additional Insured endorsement CA 2048.

Provide coverage in the following types and amounts:

.1 A minimum combined single limit of $500,000 per occurrence for bodily injury and property damage. Alternate acceptable limits are $250,000 bodily injury per person, $500,000 bodily injury per occurrence and at least $100,000 property damage liability each accident.

5.3.1.3 Workers' Compensation And Employers' Liability Insurance. Coverage shall be consistent with statutory benefits outlined in the Texas Workers' Compensation Act (Section 401). CONTRACTOR shall assure compliance with this Statute by submitting two (2) copies of a standard certificate of coverage (e.g. ACCORD form) to Owner's Representative for every person providing services on the Project as acceptable proof of coverage. The Certificate of Insurance, Section 00650, must be presented as evidence of coverage for CONTRACTOR. CONTRACTOR's policy shall apply to the State of Texas and include these endorsements in favor of OWNER:

a) Waiver of Subrogation, form WC 420304; and
b) 30 day Notice of Cancellation, form WC 420601.

The minimum policy limits for Employers' Liability Insurance coverage shall be as follows:

.1 $100,000 bodily injury per accident, $500,000 bodily injury by disease policy limit and $100,000 bodily injury by disease each employee.

5.3.1.4 Commercial General Liability Insurance. The Policy shall contain the following provisions:

a) Contractual liability coverage for liability assumed under the Contract and all contracts relative to this Project.
b) Completed Operations/Products Liability for the duration of the warranty period.
c) Explosion, Collapse and Underground (X, C & U) coverage.
d) Independent Contractors coverage (Contractors/ Subcontractors work).
e) Aggregate limits of insurance per project, endorsement CG 2503.
f) OWNER listed as an additional insured, endorsements CG 2010 and CG 2037 or equivalent.
g) 30 day notice of cancellation in favor of OWNER, endorsement CG 0205.
h) Waiver of Transfer of Recovery Against Others in favor of OWNER, endorsement CG 2404.

Provide coverages A&B with minimum limits as follows:

.1 A combined bodily injury and property damage limit of $500,000 per occurrence.

5.3.1.5 Builders' Risk Insurance. CONTRACTOR shall maintain Builders' Risk Insurance or Installation Insurance on an all risk physical loss form in the Contract Amount. Coverage shall continue until the Work is accepted by OWNER. OWNER shall be a loss payee on the policy. If off-site storage is permitted, coverage shall include transit and storage in an amount sufficient to protect property being transported or stored.

5.3.1.6 Professional Liability Insurance. For Work which requires professional engineering or professional survey services to meet the requirements of the Contract, including but not limited to excavation safety systems, traffic control plans, and construction surveying, the CONTRACTOR or Subcontractors, responsible for performing the professional services shall provide Professional Liability Insurance with a minimum limit of $500,000 per claim and in the aggregate to pay on behalf of the assured all sums which the assured shall become legally obligated to pay as damages by reason of any negligent act, error, or omission committed with respect to all professional services provided in due course of the Work of this Contract. CONTRACTOR's policy shall include the following endorsement in favor of the OWNER:

a) 30 day Notice of Cancellation endorsement CA 0244

5.4.2 Performance Bond: Substitute all instances of “(1) year warranty period” with “(2) year warranty period”.

5.4.4 Maintenance Bond: Substitute all instances of “(1) year warranty period” with “(2) year warranty period”.

ARTICLE 6 - CONTRACTOR'S RESPONSIBILITIES

6.6 Permits, Fees: *Add the following*:

OWNER will obtain and pay for the following permits, licenses and/or fees:

6.6.1 Site Development Permit.

6.6.2 Building Permit(s). OWNER's responsibility for obtaining and paying for the Building Permit(s) shall be limited to the following where applicable: the required Electrical Service (Aid of Construction) Fee, Water and Wastewater Tap Fees, Water and Wastewater Capital Recovery Fees, and Septic Permit Fee. The OWNER's responsibility for obtaining and paying for the Building Permit(s) excludes securing and paying for the following where applicable: Driveway Permit (Concrete) Fee, Electrical Permit, Mechanical Permit, Plumbing Permit, Water Engineering Inspection Fee, Temporary Use of Right-of Way Permit, the gas company's Gas Yard Line Contribution Fee, and any other permits/fees not listed above.

ARTICLE 13 - TESTS AND INSPECTIONS; CORRECTION, REMOVAL OR ACCEPTANCE OF DEFECTIVE WORK

13.7 Warranty Period: *Delete 13.7.1 and replace with the following*:

13.7.1 If within two years after the date of Substantial Completion or such longer period of time as may be prescribed by laws or regulations or by the terms of any applicable special guarantee required by the Contract Documents or by any specific provision of the Contract Documents (e.g. paragraph 14.11.2), any Work, Installation, Equipment, including items performed after the Substantial Completion date, is found to be defective, CONTRACTOR shall promptly, without cost to OWNER and in accordance with OWNER's written instructions:

(i) Correct such defective Work, or, if it has been rejected by OWNER, remove it from the site and replace it with Work that is not defective, and (ii) satisfactorily correct or remove and replace any damage to other Work or the work of others resulting thereform.

If CONTRACTOR does not promptly comply with the terms of such instructions, or in an emergency where delay would cause serious risk of loss or damage, OWNER may have the defective Work corrected or rejected Work removed and replaced, and all claims, costs, loss es and damages caused by or resulting from such removal and replacement (including losses and damages caused by or resulting from such removal and replacement of work by others) will be paid by CONTRACTOR. The warranty period will be deemed to be renewed and recommenced in connection with the completed items of Work requiring correction.

Add the following:

13.7.5 OWNER will utilize a "Warranty Item Form" (attached at the end of this Section) for the purpose of providing Written Notice of warranty defects to CONTRACTOR. CONTRACTOR shall date, sign, complete and return the form to OWNER when the defect is corrected, including such information on or attached to the form to describe the nature of the repairs or corrections that were made. If the defect cannot be corrected in seven (7) Calendar Days, CONTRACTOR shall provide a written explanation to the Owner's Representative describing the repairs needed and the time required to complete the repairs.

ARTICLE 14 - PAYMENTS TO CONTRACTOR AND COMPLETION

14.1 Application for Progress Payment: *Delete 14.1.1 and replace with the following (changes to the original text are identified by underlining)*:

14.1.1 No more often than once a month, unless authorized as part of the Mobilization Prompt Payment Program, CONTRACTOR shall submit to Owner's Representative for review a completed and executed Application for Payment, in a form acceptable to OWNER,

covering the Work completed as of the date of the Application and not previously paid and accompanied by such supporting documentation as required by the Contract Documents.

Add the following paragraph to 14.1.1:

.1 Mobilization Prompt Payment Program. During critical mobilization periods, as identified by the CONTRACTOR and as approved by OWNER in accordance with 00700 2.4.2.1 of this Contract, CONTRACTOR shall submit bi-monthly Applications for Payment. The additional Pay Applications will include any costs accrued during the periods of critical mobilization. The Program will allow the CONTRACTOR and Subcontractors to invoice for costs as they are accrued during periods of critical mobilization. The CONTRACTOR shall submit bimonthly invoices to the OWNER for such costs. The CONTRACTOR shall pay Subcontractors for costs within 10 days of receipt of payment from OWNER.

14.1 Application for Progress Payment: *Delete 14.1.5 and replace with the following*:

.3 Contract time statement form signed by CONTRACTOR and Owner's Representative. If CONTRACTOR does not agree with the number of accumulated days charged, CONTRACTOR shall file a Claim in accordance with Article 16.1, Filing of Claims.

14.7 Substantial Completion: *Reference 14.7.1, and add the following*:

14.7.1.1 For water and wastewater lines construction, Substantial Completion means that the Work, including all testing and disinfection, has been completed and accepted and the line(s) placed into service. A certificate of Substantial Completion will not be issued. Work that remains after Substantial Completion could include the final pavement of roadways, adjustment of structures to final grade and revegetation. Owner's Representative will issue a notice specifying what portion of the Work is partially completed for the purpose of payment and what Work remains to be done on the portion being accepted as Substantially Complete. This subsection 14.7.1.1 changes the 00700 General Conditions definition of Substantial Completion.

Add the following:

14.7.1.2 The project will not be considered substantially complete if any of the following components is incomplete:

a. All high service pumps that are subject to rehabilitation have been properly rehabilitated, re-installed, tested and accepted by OWNER.

b. All valves that are subject to rehabilitation or replacement have been properly rehabilitated/replaced, re-installed, tested and accepted by OWNER.

c. The new surge tank and associated piping and valves, including both check valves and surge relief valves, have been installed and accepted by OWNER.

d. Installation and tie-in of the drain line from high service pump station to crosstown tunnel shaft.

e. Installation of the 8-inch water line from high service pump station and tie-in to the existing 6-inch water line feeding the low service pump station.

14.8 Partial Utilization: *Delete 14.8.1 and replace with the following (changes to the original text are identified by underlining)*:

14.8.1 OWNER at any time may request CONTRACTOR to permit OWNER to use any such part of the Work which OWNER believes to be ready for its intended use and substantially complete. If CONTRACTOR agrees that such part of the Work is substantially complete, CONTRACTOR will certify to Owner's Representative that such part of the Work is substantially complete and request Owner's Representative to issue a notice specifying what portion of the Work is substantially complete for the purpose of payment and what Work remains to be done on the portion being accepted. CONTRACTOR at any time may notify Owner's Representative that CONTRACTOR considers any such part of the Work ready for its intended use and substantially complete and request Owner's Representative to issue a notice specifying what portion of the Work is partially completed for the purpose of payment and what Work remains to be done on the portion being accepted. The provisions of paragraphs 14.7.1 and 14.7.2 will apply with respect to the notice specifying what portion of the Work is partially completed for the purpose of payment and what Work remains to be done on the portion being accepted."

14.11 Final Payment and Acceptance:

Add the following to paragraph 14.11.1:

If the sole remaining, unfinished item of the Work is revegetation or other permanent erosion control, including, if applicable, tree mitigation, (collectively, the "revegetation"), the CONTRACTOR may execute a zero-cost "Revegetation Change Order" for such Work and post fiscal security acceptable to Owner to ensure completion of the revegetation.

The fiscal security will be a bond, letter of credit, or cash escrow in a form promulgated by OWNER and posted with OWNER's Watershed Protection Department.

Upon receipt of the executed Revegetation Change Order and fiscal security, the Owner's Representative will issue a conditional letter of final acceptance to the CONTRACTOR for the Work, excluding the revegetation, which establishes the Final Completion Date for that Work and initiates the one year warranty period.

This revegetation must be accomplished within 120 Calendar Days of the date of Final Completion of the balance of the Work or such other stipulated time for completion directed in the Change Order. When the revegetation has been established, OWNER will inspect for final acceptance of that portion of the Work and, as applicable, initiate the one year warranty period for that Work.

If the revegetation is not completed within 120 Calendar Days or such other time set forth in the Change Order, the OWNER, at its option, may complete the Work using the posted fiscal security.

WARRANTY ITEM NO. _____ (PROJECT NAME)

The General Conditions of the Contract require that Warranty Defects be corrected within 7 days after written notice is received.

TO: _____
contractor name address / telephone / fax / email

ATTENTION OF: _____

FROM: _____
project manager name / address / telephone / fax / email

PROJECT: _____
name / location / CIP ID number

END OF ONE YEAR WARRANTY:_____

SUBJECT:_____
[ ] If checked, the damage requires immediate attention. The Contractor has been called.
[ ] If checked, the Consultant has been asked to consult with the Contractor on the problem.

PLEASE CORRECT OR REPAIR THE FOLLOWING ITEM(S):

DATE OF REQUEST_____ **SIGNATURE** _____
Project Manager

xc:
[ ] _____Phone No._____
[ ] _____Phone No._____
[ ] _____Phone No._____
[ ] _____Phone No._____

RESPONSE FROM CONTRACTOR: DATE CORRECTION WAS MADE:_____

The Contractor must endeavor to correct the defect within 7 calendar days after written notice is given. If the defect cannot be corrected in that time, Contractor shall provide a written explanation to the Owner's Representative describing the repairs needed and the time required to complete the repairs.

Description of corrections made:

DATE OF REPLY_____SIGNATURE_____
When the repair is complete, the contractor should return a copy to each of the following:
[ ] _____Phone No._____
[ ] _____Phone No._____
[ ] _____Phone No._____
[ ] _____Phone No._____
END

PART 1 – GENERAL

1.1 SECURITY POLICY

Paramount to Austin Water are 1) the production and delivery of an adequate supply of safe drinking water to all customers, 2) uninterrupted collection of wastewater, without spills, and 3) and adequate processing of wastewater to safely return to the environment . The Utility shall provide high quality physical security at all its facilities and shall initiate, implement, enforce, and update as needed, specific rules and procedures to protect property, personnel, facilities, and material against unauthorized entry, trespass, damage, sabotage, or other acts that might threaten the security of these facilities, the quality of the drinking water, or the quality of treated wastewater.

1.2 RELATED DOCUMENTS

The most current version of the Austin Water's "Facility Security Procedures for Contractors, SP-1070" shall be considered a part of this Specification Section.

1.3 SECURITY PROCEDURE

The CONTRACTOR shall become familiar with this Specification Section and the most current version of the Austin Water's "Facility Security Procedure for Contractors, SP-1070", and shall assure that all SUBCONTRACTORS do likewise. The CONTRACTOR and each SUBCONTRACTOR shall sign an affidavit attesting to the fact that they have read, understood, and will abide by this procedure. The CONTRACTOR's signed affidavit shall be delivered to the Utility no later than the Pre-construction Conference and before any access is allowed to the work site.

1.4 SUBMITTALS

The CONTRACTOR shall submit a "Contractor's Acknowledgement" form (Appendix A of "Facility Security Procedure for Contractors, SP-1070") signed by the Contractor's Project Manager and Site Superintendent no later than the Pre-Construction Conference. This submittal shall be an original document, with original signatures. Copies or facsimiles will not be accepted.

The CONTRACTOR shall submit a "Contractor's Acknowledgement" form (Appendix A of "Facility Security Procedure for Contractors, SP-1070") signed by each Subcontractor's Project Manager and Site Supervisor no later than two weeks prior to the date the Subcontractor wishes to enter the secured area. Each submittal shall be an original document, with original signatures. Copies or facsimiles will not be accepted.

The CONTRACTOR shall submit an "Application for Authorization to Enter Secured Austin Water Utility Facilities" form (Appendix B of "Facility Security Procedure for Contractors, SP-1070") for every Contractor's and Subcontractors' employee that will need to enter the secured area. Each application shall be accompanied by a complete "Criminal History Records Check Disqualifying Criminal Offenses" (Appendix C) and a "Background Security Check" , performed by a governmental law enforcement agency, as described in the "Facility Security

Procedure for Contractors, SP-1070". These submittals shall each be an original document, with original signatures. Copies or facsimiles will not be accepted.

The CONTRACTOR shall submit a sample of their company's Security Identification Badge, sized and formatted as described in the "Facility Security Procedure for Contractors, SP-1070".

PART 2 – EXECUTION

2.0 WORK SCHEDULE

Normal work schedule is between the hours of 7.A.M thru 3.30 P.M. Contractor will be allowed to work from Monday thru Friday from the hours of 7:00 A.M. to 6: P .M. with permission from the project Engineer. Contractor intending to work night and weekends should get special clearance from the Project Engineer, Project Manager and the plant superintendent or manager.

2.1 SITE SECURITY

The CONTRACTOR shall be responsible for maintaining absolute site security and for following all provisions of the UTILITY's "Facility Security Procedures for Contractors, SP-1070" in good faith. Failure to follow any of the provisions of this procedure shall be considered a breach of this CONTRACT.

2.1.1 FENCES AND GATES

All existing fences and gates shall be maintained secure. If existing fences or gates must be moved or removed, equally secure temporary fencing shall be erected to maintain site security before any removal is initiated. If there is no existing fencing, temporary fencing and gates, as identified in another section of these specifications, shall be erected before any other work is performed. Gates shall be maintained closed and locked at all times. If necessary for convenient access, a guard, fluent in speaking and reading English, may be stationed at the gate to open and close it. In addition, the guard shall notify the Contractor's Site Superintendent of the arrival of all deliveries and shall examine the Identification Badges of all personnel seeking to enter the site, to assure that only persons with proper Security Identification Badges are allowed to enter.

2.1.2 BUILDINGS

All existing buildings shall be maintained secure. If access to an existing building is controlled by an existing security system, the CONTRACTOR, all SUBCONTRACTORS, and their respective employees shall follow the procedures for access as described in the "Facility Security Procedures for Contractors, SP-1070"

2.2 PERSONNEL

Personnel access to the construction site shall be limited to those identified in the "Facility Security Procedures for Contractors, SP-1070", and access will be controlled by the use of Security Identification Badges. The CONTRACTOR shall be responsible for assuring that all personnel allowed to enter the work site have proper Security Identification Badges. A proper Security Identification Badge is a picture badge, as described in SP-1070, either issued by the CONTRACTOR or SUBCONTRACTOR with the proper Utility-applied authorization decal, or

a badge issued by the UTILITY. The CONTRACTOR shall deny access to any person lacking a proper Security Identification Badge. Any person discovered on the work site without a proper identification badge will be escorted off the site and may be subject to arrest by law enforcement authorities.

2.2.1 ACCESS AUTHORIZATION

The CONTRACTOR shall provide an original "Application for Authorization to Enter Secured Austin Water Utility Facilities" (including Background Security Check) for each person who will be working on the site at least **five (5) working days** prior to the date that person is scheduled to begin work on the site. The UTILITY shall determine whether or not to grant each person access to the work site based upon the results of the Background Security Check. Random audits shall be performed by the UTILITY on the results of the Background Security Checks.

The original "Application for Authorization to Enter Secured Austin Water Utility Facilities" shall be kept on file by the Utility's Security Manager or Project Manager. A copy of this document will be returned to the CONTRACTOR with an indication of the approval or denial of access for the named employee. The Background Security Check shall be returned to the CONTRACTOR also, but must be kept available for audit until one year following Final Completion.

2.2.2 IDENTIFICATION BADGES

The CONTRACTOR shall provide Security Identification Badges for each of their employees and their SUBCONTRACTORS' employees. The badges shall be picture badges conforming to all the requirements of the "Facility Security Procedure for Contractors, SP-1070". The badge must be worn by all persons at all times while present on the work site, and must be worn above the waist and be clearly visible from the front. Following a satisfactory review of each person's Background Security Check, and presentation of government-issued picture identification, the UTILITY will apply a permanent access authorization decal to the badge.

3.0 PARKING

Due to limited parking spaces, contractors and their subcontractors are expected to park their vehicles outside the facility. If there are spaces for parking inside the facility, the AWU superintendent will notify the contractors through their project manager or superintendents.

--- End of Section 00819 ---

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WAGE RATES AND PAYROLL REPORTING
Section 00830

1. PAYMENT

1.1 Classification Definitions, Building and Heavy and Highway

1.1.1 Definitions for Building Construction and Heavy and Highway classifications shall conform to the current "Occupational Information Network (O*NET)" as approved by the U.S. Department of Labor. For interpretive guidance, the Core Task list in O*NET will be used to make prevailing wage determinations. Final classification of workers will be made by the OWNER.

1.2 Minimum Wages

1.2.1 Workers on Project shall be paid not less than wage rates, including fringe benefits, as published by the Department of Labor (DOL) or the $15.00 minimum wage required by City of Austin Ordinance No. 20160324-015, whichever is higher. The Total Minimum Wage required can be met using any combination of cash and non-cash qualified fringe benefits provided the cash component meets or exceeds the $15.00 minimum wage required.

1.2.2 Such wage rates shall be used throughout the Contract. If a classification is to be used, which is not listed in the attached wage rates, CONTRACTOR shall submit to OWNER rates and classification proposed for use, for approval, prior to performance of the Work.

1.2.3 All laborers and mechanics working upon the Work for this Project shall be paid unconditionally and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by Secretary of Labor under the Copeland Act, Title 29 CFR, Part 3) full wages accrued and when due, computed at rates not less than wage rates bound herein pertaining to type of Work being performed. When Work is of such a nature that both Building and Heavy and Highway wage scales are incorporated into contract, CONTRACTOR shall pay wage rates to mechanics or laborers performing Work in more than one classification at the rate indicated for each classification for time actually worked as determined by area practice applicable to type (Site Construction Crafts or Building Construction Crafts) of Work being performed without regards to skill. Salaried specialists (project superintendent and administrative personnel only) in the permanent employment of CONTRACTOR do not fall under any Wage Classification. A supervisor/foreman who is not exempt under 29 CFR Part 541 and who spends more than a substantial amount of time (20 percent) in a given workweek as a laborer or mechanic must be paid the applicable Wage Rate for the classification of work performed for all hours engaged in such work as a laborer or mechanic.

1.2.4 Wage rates shall be posted by CONTRACTOR at site(s) of Work in prominent, easily accessible places where they can be seen by all workers. The following shall also be posted by the CONTRACTOR: City of Austin wage contact posters (English and Spanish), City of Austin Equal Employment Opportunity posters (English and Spanish), Workers' Compensation Notice (English and Spanish), Texas Payday Law (English and Spanish), City Rest Break Ordinance

(English and Spanish), City of Austin Non-Discrimination Statement (related to Title VI of the Civil Rights Act), and Federal Notices, as appropriate.

1.3 Overtime Requirements

1.3.1 No CONTRACTOR, Subcontractor, or Sub-subcontractor contracting for any part of contract Work which may require or involve the employment of laborers or mechanics shall require or permit any laborer or mechanic in any workweek in which he is employed on such Work, to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times their basic rate of pay for all hours in excess of forty hours in such workweek.

1.3.2 Overtime wages must be calculated using the Adjusted Wage Rate specified in the Wage Rate Determination or the actual basic rate of pay, whichever is higher.

2. APPRENTICES

2.1 Locally and Federally Funded Projects

2.1.1 The terms journeyman and apprentice apply to both union and independent workers, and are not intended to imply that these positions are union workers only.

2.1.2 Apprentices and Trainees will be permitted to work as such only when they are registered, individually, under a bonafide Apprenticeship or Trainee program registered with the Bureau of Apprenticeship and Training, United States Department of Labor. The allowable ratio of Apprentices or Trainees to journeymen in any craft classification shall not be greater than the ratio permitted to CONTRACTOR as stated in the registered apprenticeship program standards. Any employee listed on a payroll at an Apprentice or Trainee wage rate, who is not registered as above, shall be paid the wage rate provided in Contract for Work employee actually performed. CONTRACTOR, Subcontractor, or Subsubcontractor shall furnish to OWNER written evidence of registration of his program for Apprentices and Trainees as well as of the appropriate ratios and wage rates, for the area of construction prior to using any Apprentices or Trainees on this Contract.

3. WITHHOLDING PAYMENTS

3.1 OWNER may withhold or cause to be withheld from CONTRACTOR as much of the accrued payments as necessary to pay laborers and mechanics employed by CONTRACTOR, Subcontractors, or Sub-subcontractors the amount of wages required to comply with the Contract. In the event of nonpayment of wages to laborers or mechanics working on the site of the Work of this Contract, OWNER may, after Written Notice to CONTRACTOR, take such action as may be necessary to cause suspension of any further payments or advance of funds to CONTRACTOR until such violations have ceased and until restitution has been made. Payments may also be withheld if CONTRACTOR fails to maintain weekly payroll reports or fails to provide copies in a timely manner upon request of Owner.

4. PAYROLLS

4.1 CONTRACTOR shall keep records showing:

4.1.1 The name, address and occupation of each worker employed by the CONTRACTOR or subcontractor(s) in the construction of the public work.

4.1.2 The actual per diem wages paid to each worker

4.1.3 Employee Certification. CONTRACTOR, all levels of Subcontractors shall identify in writing, the classification agreed to by all laborers and mechanics employed by them in the execution of the Contract, and pay not less than rates specified in the attached Wage Rate Determination(s). Contractor shall prepare a completed form for the signature of Employee and a witness shall sign the form in the presence of Employee. If work performed by worker is different than the trade classification agreed upon, the worker shall be paid for that work no less than the minimum prevailing wage for that specified trade.

4.1.4 Payroll Deduction Authorization Form. CONTRACTOR, Subcontractor, and Sub subcontractor shall prepare for employee signature a payroll deduction authorization form to identify all payroll deductions excluding those required by statute, such as federal income taxes, Medicare and social security.

4.2 The record shall be open at all reasonable hours to inspection by the officers and agents of the Owner as requested. CONTRACTOR will be responsible to provide copies of records as requested by the Owner within two (2) working days. Payrolls relating to this Work shall be maintained during term of Contract and preserved for a period of three (3) years thereafter by CONTRACTOR for all laborers and mechanics working on the Work.

4.3 A Statement of Compliance, a letter signed and dated by party responsible for supervising the payment of persons employed by CONTRACTOR or subcontractor shall accompany payrolls required by Owner. The Statement of Compliance letter shall identify but is not limited to:

4.3.1 Name of signatory party and title

4.3.2 Name of project, payroll period and

4.3.3 Name of CONTRACTOR or Subcontractor

4.4 The signed letter attests that the payroll complies with 29CFR issued by the Secretary of Labor.

4.5 Federal Funding. In the event that federal funding is used:

4.5.1 Contractor and all levels of Subcontractors shall submit weekly certified payroll reports and signed wage compliance statements to the Owner's designated office no later than seven (7) calendar days after the scheduled payday.

4.5.2 Contractors and all levels of Subcontractors shall pay all "mechanics and laborers" not less often than once per week, for work performed the previous week.

4.5.3 Submit to the Owner's designated office Standard Form 1413, Statement and Acknowledgement, from each subcontractor prior to the subcontractor performing work on the project.

5. NONCOMPLIANCE

5.1 According to Chapter 2258 Texas Government Code Title 10A, a CONTRACTOR or subcontractor(s) who violates this section shall pay to the political subdivision on whose behalf the contract is made, $60 for each worker employed for each calendar day or part of the day that the worker is paid less than the wage rates stipulated in the contract. A public body shall use any money collected under this section to offset the costs incurred in the administration of this chapter.

5.2 Confirmed Disciplinary action taken by CONTRACTOR against employees who provide information during an interview or investigation by the Owner on wages received, may result in suspension or debarment from consideration of award of City contracts.

6. AREA PRACTICE

6.1 Heavy and Highway Construction Rates shall be used on this Project, unless the Project consists primarily of Building Construction and Building Construction Rates are to be used.

6.1.1 Building Construction consists generally of all aspects of construction of buildings, which are sheltered enclosures with walk-in access for the purpose of housing persons, machinery, equipment or supplies, including without limitation the installation of utilities and equipment, both above and below grade level, as well as incidental demolition, grading, utilities, paving and other site work. Buildings need not be "habitable" to be classified as Building Construction and the installation of heavy machinery and/or equipment will not generally change a Building Construction project's classification.

6.1.2 The determination of Building Construction Wage Rates includes all construction trades and work necessary to complete a building, regardless of the number of contracts involved, so long as all such contracts are closely related in purpose, time and place.

6.2 For projects that involve both Building Construction and Heavy and Highway trades, the following classifications shall be used:

6.2.1 A multiple classification shall be used if Building Construction items are more than 20% of the Heavy and Highway project cost.

6.2.2 A multiple classification shall be used if Heavy and Highway Construction items are more than 20% of the Building Construction Project cost.

6.3 Split classifications/multiple wage rate schedules: When construction work requires that an employee perform work under multiple classifications or multiple wage scales, the employer must pay that worker (at least) the highest prevailing wage or the employer payroll records must accurately set forth the times spent performing the work of each classification and under each scale. For those projects that involve both Building Construction and Heavy and Highway trades, the Heavy and Highway wage rates may only be applied to workers when engaged in site work at least five (5) feet beyond the building.

7. TEXAS OPEN RECORDS ACT

7.1 Unless covered by an exception to mandatory disclosure under the Texas Public Information Act, Chapter 552, Texas Government Code, any and all documents submitted to the City of Austin become Public Records and are, therefore, subject to public disclosure**.**

Wage Rates for This Project Are Attached

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WAGE RATE DETERMINATION

Building Construction Type
County Name: TRAVIS

Wages based on DOL Prevailing Wage Rate General Decision: TX20200271 07/09/2021 TX271 and City of Austin Ordinance #20160324-015

DOL Rate column is for information only. The Total Minimum Wage Rate is derived from the Adjusted Wage Rate Required pursuant to City Ordinance plus the DOL Fringes and can be met using any combination of cash and non-cash qualified fringe benefits, provided the cash component is at least $15.00 / hour.

Classification	DOL Rate For info Only	Adjusted Wage Rate Required Pursuant to City Ordinance	DOL Fringes	Total Minimum Wage Rate Required
Asbestos Worker/Heat & Frost Insulator (Duct, Pipe, and Mechanical System Insulation)	$ 23.97	$ 23.97	$ 10.79	$ 34.76
Boilermaker	$ 28.00	$ 28.00	$ 22.35	$ 50.35
Bricklayer	$ 20.07	$ 20.07	$ -	$ 20.07
Carpenter	$ 21.96	$ 21.96	$ 7.90	$ 29.86
Carpenter (Acoustical Ceiling Installation only)	$ 14.00	$ 15.00	$ -	$ 15.00
Carpenter (Form Work Only)	$ 15.62	$ 15.62	$ 0.05	$ 15.67
Cement Mason/Concrete Finisher	$ 15.71	$ 15.71	$ -	$ 15.71
Drywall Finisher/Taper	$ 17.06	$ 17.06	$ 4.43	$ 21.49
Drywall Hanger and Metal Stud Installer	$ 17.47	$ 17.47	$ 3.45	$ 20.92
Electrical Installer (Sound and Communication Systems, Excluding Wiring)	$ 18.00	$ 18.00	$ 2.30	$ 20.30
** Electrician (Excludes Installation of Sound and Communication Systems	$ 29.44	$ 29.44	$ 8.97	$ 38.41
***Elevator Mechanic <5 years' experience	$ 43.72	$ 43.72	$ 36.85	$ 80.57
***Elevator Mechanic >5 years' experience	$ 43.72	$ 43.72	$ 38.43	$ 82.15
Floor Layer (Carpet)	$ 21.88	$ 21.88	$ -	$ 21.88
Glazier	$ 12.83	$ 15.00	$ -	$ 15.00
HVAC Mechanic (HVAC Unit Installation Only)	$ 23.78	$ 23.78	$ 6.89	$ 30.67
Ironworker, Ornamental	$ 25.26	$ 25.26	$ 7.13	$ 32.39
Ironworker, Reinforcing	$ 12.27	$ 15.00	$ -	$ 15.00
Ironworker, Structural	$ 20.73	$ 20.73	$ 5.24	$ 25.97
*Lead Paint or Asbestos Abatement Worker	*	$ 15.00	$ -	$ 15.00
Laborer, Common or General	$ 11.44	$ 15.00	$ -	$ 15.00
Laborer, Mason Tender - Brick	$ 12.22	$ 15.00	$ -	$ 15.00
Laborer, Mason Tender - Cement/Concrete	$ 11.85	$ 15.00	$ -	$ 15.00

Laborer, Pipelayer	$ 12.45	$ 15.00	$ -	$ 15.00
Laborer, Roof Tearoff	$ 11.28	$ 15.00	$ -	$ 15.00
Operator, Backhoe/Excavator/Trackhoe	$ 19.43	$ 19.43	$ 3.49	$ 22.92
Operator, Bobcat/Skid Steer/Skid Loader	$ 13.00	$ 15.00	$ -	$ 15.00
Operator, Bulldozer	$ 14.00	$ 15.00	$ -	$ 15.00
Operator, Crane	$ 34.85	$ 34.85	$ 9.85	$ 44.70
Operator, Drill	$ 14.50	$ 15.00	-	$ 15.00
Operator, Forklift	$ 16.64	$ 16.64	$ 6.26	$ 22.90
Operator, Grader/Blade	$ 19.30	$ 19.30	$ -	$ 19.30
Operator, Loader	$ 14.00	$ 15.00	$ -	$ 15.00
Operator, Mechanic	$ 18.75	$ 18.75	$ 5.12	$ 23.87
Operator, Paver (Asphalt, Aggregate, and	$ 16.03	$ 16.03	$ -	$ 16.03
Operator, Roller	$ 11.25	$ 15.00	$ -	$ 15.00
Painter (Brush, Roller, and Spray, Excludes	$ 18.76	$ 18.76	$ 6.35	$ 25.11
Pipefitter (Including HVAC Pipe Installation)	$ 32.05	$ 32.05	$ 14.92	$ 46.97
Plumber, Excludes HVAC Pipe Installation	$ 23.57	$ 23.57	$ 6.37	$ 29.94
Roofer	$ 12.00	$ 15.00	$ -	$ 15.00
*Roofer, Metal	$ 14.05	$ 15.00	$ -	$ 15.00
Sheet Metal Worker (Excluding HVAC, Including HVAC Duct Installation)	$ 27.58	$ 27.58	$ 15.76	$ 43.34
HVAC Duct Installation Only	$ 27.29	$ 27.29	$ 15.08	$ 42.37
Sprinkler Fitter (Fire Sprinklers)	$ 31.68	$ 31.68	$ 22.50	$ 54.18
Tile Finisher	$ 11.32	$ 15.00	$ -	$ 15.00
Tile Setter	$ 16.35	$ 16.35	$ -	$ 16.35
Truck Driver, Dump Truck	$ 12.39	$ 15.00	$ 1.18	$ 16.18
Truck Driver, Flatbed Truck	$ 19.65	$ 19.65	$ 8.57	$ 28.22
Truck Driver, Semi-Trailer Truck	$ 12.50	$ 15.00	-	$ 15.00
Truck Driver, Water Truck	$ 12.00	$ 15.00	$ 4.11	$ 19.11
Waterproofer	$ 16.30	$ 16.30	$ 0.06	$ 16.36

http://www.wdol.gov/wdol/scafiles/davisbacon/tx.html

See below for Additional Wage Information.

Note: *Lead Paint & Asbestos Abatement and Roofer, Metal Classifications have been added to this Prevailing Wage Rate Determination pursuant to a City of Austin Prevailing Wage Survey (trades absent from DOL).

The Wage Compliance information detailed below was excerpted from DOL General Decision TX180323 or other sources.

1. ADDITIONAL TRADE INFORMATION

**Electricians - Including low voltage wiring for computers, fire/smoke alarms.
***Elevator Mechanics - also must be paid for 7 holidays - New Year's Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day, the Friday after Thanksgiving Day, Christmas Day, and Veterans Day.
Welders - Receive rate prescribed for craft performing operation to which welding is incidental.

Unlisted classifications needed for work not included within the scope of the classifications

listed may be added upon the advance approval of City of Austin Contract Administration. CONTRACTOR shall submit to City of Austin Contract Administration for review the classification, a bona fide definition of work to be performed and a proposed wage with sample payrolls conforming to area practice **prior** to the start of the job for that type of work.

2. WAGES

The Total Wage may be met by any combination of cash wages and credible "bona fide" fringe benefits paid for by the employer. Overtime wages must be calculated using the Adjusted Wage Rate specified in the Wage Rate Determination or the actual basic rate of pay, whichever is higher. City of Austin Ordinance No. 20160324-015 requires that construction workers are paid a Minimum Wage of at least $15.00/hour. The cash portion of their compensation must meet or exceed this amount.

3. CREDITING FRINGE BENEFIT CONTRIBUTIONS TO MEET DBA/DBRA AND CITY OF AUSTIN REQUIREMENTS

The Davis-Bacon Act (and 29 CFR 5.23), list fringe benefits to be considered. Examples are:

> Life Insurance
> Health Insurance
> Pension
> Vacation
> Holidays
> Sick Leave

Note: The use of a truck is not a fringe benefit; a Thanksgiving turkey or Christmas bonus is not a fringe benefit. No credit may be taken for any benefit required by federal, state, or local law such as: workers compensation, unemployment compensation; or social security contributions.

Contributions to fringe benefit plans must be made regularly, e.g. daily, weekly, etc. They must be more frequent than quarterly. (See 29 CFR 5.5 (a)(1)(I)) A periodic bonus may not be counted as a fringe benefit.

4. ANNUALIZATION OF BENEFIT COSTS

If a firm provides an electrician with $200 per month medical insurance, to calculate allowable fringe benefit credit contributions per hour, the formula ([$200 x 12 months] divided by 2080 hours = $1.15 per hour) should be used.

5. PROPER DESIGNATION OF TRADE

A work classification on the wage decision for each worker must be made based on the actual type of work he/she performed and each worker must be paid no less than the wage rate on the wage decision for that classification **regardless** of his or her level of skill.

6. SPLIT CLASSIFICATION

If a firm has employees that perform work in more than one classification, it can pay the wage rates specified for each classification ONLY if it maintains accurate time records showing the amount of time spent in each classification. If accurate time records are not

maintained, these employees must be paid the highest wage rate of all the classifications of work performed by each worker. Accurate time records tracking how many hours a worker performed the work of one trade and then switched to another trade must be accounted for on a daily basis and reflected on Employer Certified Payroll accordingly.

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

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Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of "identifiers" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

6.1 Union Rate Identifiers

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than "SU" or "UAVG" denotes that the union classification and rate were prevailing for that classification in the survey. Example: **PLUM0198-005 07/01/2014**. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014. Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

6.2 Survey Rate Identifiers

Classifications listed under the "SU" identifier indicate that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: **SULA2012-007 5/13/2014**. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier. Survey wage rates are not updated and remain in effect until a new survey is conducted.

6.3 Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: **UAVG-OH-0010 08/29/2014**. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

7. WAGE DETERMINATION APPEALS PROCESS

7.1 Has there been an initial decision in the matter? This can be:

- **.1** An existing published wage determination
- **.2** A survey underlying a wage determination
- **.3** A Wage and Hour Division letter setting forth a position on a wage determination matter
- **.4** A conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in .**2** and **.3** should be followed.

7.2 With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determination
Wage and Hour Division
U.S. Department of Labor
200 Constitution Avenue, N.W. Washington, DC 20210

7.3 If the answer to the question in .**1** is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator
U.S. Department of Labor
200 Constitution Avenue, N.W. Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

7.3 If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board
U.S. Department of Labor
200 Constitution Avenue, N.W. Washington, DC 20210

7.4 All decisions by the Administrative Review Board are final.

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CONSTRUCTION TRAINING PROGRAM REQUIREMENTS
Section 00840

The **City of Austin Construction Training Program (the "Program")** is intended to train workers on City of Austin's construction projects in order to develop a pool of qualified, ready-to-work skilled and semi-skilled construction workers. This training commitment is not intended and shall not be used to discriminate against any applicant.

Training Requirement. Establishment of the Construction Training Requirement for each project will be based on project scope, dollar amount, and opportunities available to achieve the training requirements. The requirements will be established either prior to solicitation, or during contract negotiations, using the criteria in Charts A and B. Program requirements will be subject to availability of Trainees and Graduates.

Chart A - Evaluation Criteria
1. Material cost vs labor cost
2. Scope of work
3. Schedule of values (a comprehensive list of work for a particular project)
4. Project duration and schedule
5. Unique aspects of the project
6. Available training programs for the specific training plan
7. Workforce determined by the number of workers that will be on the project enough days to establish a training program.

Chart B - CTP Training Requirement

Construction Budget	Trainee Requirement	Trainees must be a Current Enrollee, or Graduate* of a COA-Approved:
$500K - $2.5M	2	• **Pipeline Organization**[1] **or** • **DOL-Registered/Approved/Certified**[2] Apprenticeship, OJT, or Bilingual Training Program
Over $2.5M - $5M	4	• **Pipeline Organization**[1] **or** • **DOL-Registered/Approved/Certified**[2] Apprenticeship, OJT, or Bilingual Training Program
Over $5M - $10M	6	• **Pipeline Organization**[1] **or** • **DOL-Registered/Approved/Certified**[2] Apprenticeship, OJT, or Bilingual Training Program
Over $10M	15% of Total Workforce	• **DOL-Registered/Certified**[2] Apprenticeship or Bilingual Training Program for **Vertical (BC) Projects** • **DOL-Approved/Certified**[2] OJT or Bilingual Training Program for **Horizontal (HH) Projects**

* Graduates cannot satisfy more than half of the training requirement; current employees of a company may also be enrolled in a COA-Approved DOL Registered/ Approved/Certified Program to meet the requirement.

[1] Click link to view COA-Approved list of Pipeline Organizations for Construction Ready Trainees.

[2] Click link to view COA-Approved list of DOL-Registered/Approved/Certified Apprenticeship, OJT, or Bilingual Training Programs.

1. **DEFINITIONS**

1.1 *Capital Contracting Office (CCO)* – The City of Austin's Office responsible for administering the Construction Training Program

1.2 *City's Approved Minimum Wage Rate* – a minimum wage rate established by the City of Austin for workers performing construction activities on City of Austin contracts

1.3 *Construction-Ready Trainee* - a graduate or current trainee of a Pipeline training program, enrollee or graduate of a DOL-registered Apprenticeship Program, enrollee or graduate of a DOL-approved Training Program, and/or an enrollee or graduate of a DOL-certified Bilingual Training Program.

1.4 *Pipeline* - group of organizations that provide pre-employment, pre-apprentice, or apprentice readiness training related to construction

1.5 *Training Plan:* a plan identifying how a contractor intends to meet its training requirement, subject to OWNER's review and approval

1.6 *Construction Training Program Training Report* – a form provided by the OWNER which documents the contractor's training activities and trainee status

2. **TRAINING REQUIREMENT**

2.1 The Construction Ready Trainee requirement for this project is four (4).

3. **CONTRACTOR'S RESPONSIBILITIES**

3.1 No later than 10 business days after Council Award, the CONTRACTOR shall provide a Training Plan for OWNER's approval, specifying how the CONTRACTOR intends to satisfy the contract requirement. The CONTRACTOR will have fulfilled its responsibilities under Section 00840 of the contract by having complied with the CONTRACTOR's Training Plan approved by the OWNER.

3.2 In the event that a CONTRACTOR subcontracts a portion of the contract work, CONTRACTOR shall determine if the requirements of the program will be assumed by the subcontractor(s). The CONTRACTOR should ensure that this training provision is made applicable to such subcontract; however, the CONTRACTOR shall retain the responsibility for meeting the training requirements imposed by this provision.

3.3 Trainee must be paid at least the City's Approved Minimum Wage Rate.

3.4 OWNER will provide a list of available Pipeline organizations. The CONTRACTOR shall contact those Pipeline organizations as needed in order to recruit workers for the program.

3.5 The CONTRACTOR shall submit a Training Report to OWNER for each Trainee no later than 30 calendar days after the Notice-to-Proceed. This Training Report must be submitted monthly

thereafter for the duration of the project. This shall indicate work classification and graduation details, as well as training status changes. If a trainee is terminated or resigns, the CONTRACTOR is required to make a reasonable effort to replace the trainee within 30 calendar days.

3.6 For each trainee performing work on the project, the CONTRACTOR must submit to CCO a completed Employee Certification Form for each Trainee.

3.7 CONTRACTOR's **Reasonable Efforts to Comply.** The CONTRACTOR will be responsible for demonstrating the steps taken to meet the trainee requirement. If CONTRACTOR has fewer trainees employed on the project than specified in the Training Plan, the CONTRACTOR must submit evidence of recruitment efforts, including:

3.7.1 contacts made to OWNER for a current list of approved training providers
3.7.2 log of applicants contacted
3.7.3 log of training organizations contacted
3.7.4 documented outreach efforts made to all available training organizations (per current OWNER list) to satisfy the requirement
3.7.5 documentation of CONTRACTOR's recruitment efforts performed until program requirements are met or project is complete as part of the Construction Training Program Training Report

4. **NON-COMPLIANCE**

4.1 Lack of demonstrated reasonable effort to comply with the Construction Training Program will be reflected in the Contractor's Performance Evaluation and may impact the receipt of future business with the City of Austin.

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Notice to Bidders: This form, Addendum, Section 00900, is included for your information only. If an actual Addendum is issued for this project, the format shown below will be used. Additionally, issued addenda will be bound at the beginning of the Project Manual following the Table of Contents at the time of contract execution.

ADDENDUM NO. _____

Date _____, _____

City of Austin

Project Name _____

C.I.P. No. _____ IFB No.: _____

This Addendum forms a part of the Contract and corrects or modifies original Bid Documents, issued on _____, ____. Acknowledge receipt of this addendum in space provided on bid form. Failure to do so may subject bidder to disqualification.

A. Project Manual Revisions:

B. Drawing Revisions:

This addendum consists of ____ page(s)/sheet(s).

_____
Approved by OWNER

_____
Approved by ENGINEER/ARCHITECT (as applicable per license requirements)

END

SAMPLE

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The Work of this Contract includes sustainability requirements as shown in the Division 1 Sections 01352 and/or 01505 and all other applicable specification sections. It is the intent of the Owner to work in partnership with the Contractor in implementing sustainable construction practices to the greatest extent possible.

PART 1 - GENERAL

1.1 Related Documents:

Drawings and general provisions of Contract, including General Conditions, Section 00700, and Supplemental General Conditions, Section 00810, and Division 1 requirements.

1.2 DESCRIPTION OF WORK

1.21 Scope of Work

A. This section describes the Project in general and provides an overview of the extent of the Work to be performed by the CONTRACTOR. Detailed requirements and extent of Work is stated in the applicable Specification Sections and shown on the Drawings. CONTRACTOR shall, except as otherwise specifically stated herein or in any applicable part of these Contract Documents, provide and pay for all labor, materials, equipment, tools, construction equipment, and other facilities and services necessary for proper execution, testing, and completion of the Work.

B. Any part or item of the Work which is reasonably implied or normally required to make the installation satisfactorily operable shall be performed by the CONTRACTOR and the expense thereof shall be included in the applicable unit prices or lump sum prices bid for the Work. It is the intent of these Specifications to provide the OWNER with the complete system. All miscellaneous appurtenances and other items of Work that are incidental to meeting the intent of the Specifications shall be considered as having been included in the applicable unit prices or lump sum prices bid for the Work even though these appurtenances and items may not be specifically called for in the Bid Documents.

C. The Work shall include furnishing all tools, labor, materials, equipment, and miscellaneous items necessary for the complete construction of the following tasks:

1. Replace the existing surge tank with a bladder type surge vessel of 30,000 gallons.
2. Provide two (2) 30”/36” pipes to connect the proposed bladder type surge vessel and the HSPS discharge header.
3. Install a 30” check valve with a 12” bypass line on each of the 36” connection pipe; a new valve vault will be provided.
4. Install a 10” surge anticipation valve and a new valve vault. Install 16” pipe between the valve vault and the discharge location.
5. Replace the 16” butterfly valve and 16” check valve inside the Recycle Basin No. 1 Overflow Valve Box.
6. Re-route the existing 16” HSPS drain pipe to the cross town sanitary sewer tunnel. The drain pipe currently discharges to a storm sewer.
7. Install an 8” water line from the High Service Pump Station to convey potable water flow to the Low Service Pump Station. The 8” water line will be tied into a 6 inch line that is already installed.

8. Rehabilitate High Service Pumps HSPS-HSP-023, 024, and 026.
9. Rehabilitate motors associated with High Service Pumps HSPS-HSP-023, 024, and 026.
10. Rehabilitate pump control valves HSPS-BV-023, 024, 025 and 026.
11. Replace ball valves HSPS-BV-029, 030, 031 and 032 with gate valves.
12. Rehabilitate or replace gate valves HSPS-GV-003, 004 and 005, upon inspection of valves.
13. Blast and re-paint all exposed piping on discharge side of the high service pumps inside the High Service Pump Station.
14. All remaining and associated items of work contained in the Contract Documents as shown on PLANS.

1.22 Sequence of work

A. Specific sequences of construction are defined in Section 01045, “Sequence of Construction”. This section provides general requirements and does not supercede the requirements in Section 01045, “Sequence of Construction”.

B. The activities identified in Section 01045, “Sequence of Construction” are critical to the overall completion of the Work and are subject to liquidated damages as provided in the Agreement. Include milestones and sequences of Work specified as part of the proposed final baseline progress schedule required under Sections 00700, “General Conditions”, 00810, “Supplemental General Conditions” and 01310, “Progress Schedules”.

C. The Work will be conducted in an operating water treatment plant. Construct Work that potentially interferes with OWNER’s operations in stages to allow for OWNER’s continuous occupancy and for uninterrupted, unimpeded operation during construction. Coordinate construction schedule and operation with the OWNER.

D. Portions of certain units can be taken out of service for discrete, but limited portions of time. CONTRACTOR is to identify outage requirements as part of the Project’s proposed final baseline progress schedule. See Sections 00700, “General Conditions”, 00810, “Supplemental General Conditions” and 01310, “Progress Schedules” for details. Outage requirements will be reviewed during progress meetings with the OWNER.

E. CONTRACTOR is responsible for facilities and temporary connections required to maintain OWNER’s operations. ENGINEER will consider sequences other than those specified, provided they afford equivalent continuity of operations.

F. Any required power outages will be closely coordinated with the OWNER and Engineer in considerable advance of the need for the outage. Required power outages will be notified to the OWNER at a minimum of two weeks prior to the need of the outage through normal progress meetings, to the extent possible, and shall be considered formally upon 48 hours written request to OWNER and Engineer. CONTRACTOR shall describe the reason, anticipated length of time, and areas affected by the outage within the written request. CONTRACTOR shall provide temporary provisions for continuous power supply to critical existing facility components if required by OWNER and Engineer.

G. Perform Work continuously to completion during critical connections and changeovers, and as required to prevent interruption of OWNER’s operations.

H. Coordinate proposed Work with the OWNER and Engineer before effecting unit shutdowns. All proposed shutdowns shall be identified in the CONTRACTOR's proposed final baseline progress schedule to the full extent possible and shall be confirmed at least two weeks prior to the need for the shutdown, unless otherwise approved by the OWNER. Under no circumstances shall the CONTRACTOR cease Work at the end of a normal working day if such actions may inadvertently cause a cessation or interference of any facility operating process. In such case the CONTRACTOR shall remain onsite until necessary repairs are complete.

1.23 Location of Project

A. The Project begins at Davis Water Treatment Plant. The project location/route is shown on the Drawings.

1.24 Contractor's Responsibilities

A. Execute all Work, including excavation, installing pipe, backfill, miscellaneous concrete and testing. The Work of this Contract is specified in the City of Austin Standard Specifications, Special Provisions and Special Specifications listed in the Table of Contents.

B. Secure all construction-related permits, other than those provided by OWNER as described in paragraph 6.6 of Section 00810, Supplemental General Conditions, and pay for the same.

C. Arrange for the necessary temporary water and electric service and pay for these services and all water and electricity consumed during the construction Work.

D. Provide adequate temporary sanitary facilities.

1.25 Easements and Rights-Of-Way

CONTRACTOR shall confine his construction operations within the limits indicated on the Drawings, and shall use due care in placing construction tools, equipment, excavated materials, and pipeline materials and supplies so as to cause the least possible damage to property and interference with traffic. If the CONTRACTOR requires additional easement for his operations, the CONTRACTOR is solely responsible for acquisition and maintenance of the easement. No additional compensation will be provided by the OWNER.

A. Easements - Easements across private property are indicated on the Drawings. CONTRACTOR shall set stakes to mark the boundaries of construction easement across private property. The stakes shall be protected and maintained until completion of construction and cleanup.

B. Rights-of-Way - Permits for Work in rights-of-way shall be obtained by the CONTRACTOR. All Work performed and all operations of CONTRACTOR, his employees, or subcontractors, within the limits of railroad and highway rights-of-way, shall be in conformity with the requirements and be under the control (through OWNER) of the railroad or highway authority owning, or having jurisdiction over and control of, the right-of-way in each case.

1.26 Operation of Existing Facilities

Existing water and wastewater facilities shall be kept in continuous operation throughout the construction period. No interruption will be permitted which adversely affects the degree of service provided. Provided permission is obtained from OWNER in advance, portions of the existing facilities may be taken out of service for short periods corresponding with periods of minimum service demands.

CONTRACTOR shall provide temporary facilities and make temporary modifications as necessary to keep the existing facilities in operation during the construction period.

1.27 Connections to Existing Facilities

Unless otherwise specified or indicated, CONTRACTOR shall make all necessary connections to existing facilities including structures, drain lines, and utilities. In each case, CONTRACTOR shall receive permission from OWNER or the owning utility prior to undertaking connections. CONTRACTOR shall protect facilities against deleterious substances and damage.

Connections to existing facilities which are in service shall be thoroughly planned in advance, and all required equipment, materials and labor shall be on hand at the time of undertaking the connection. Work shall proceed continuously (around the clock) if necessary to complete connections in the minimum time. Operation of valves or other appurtenances on existing utilities, when required, shall be by or under the direct supervision of the owning utility.

1.28 Unfavorable Construction Conditions

No portion of the Work shall be constructed under conditions which adversely affect the quality or efficiency thereof, unless special means or precautions are taken by CONTRACTOR to perform the Work in a proper and satisfactory manner.

END

PART 1 – GENERAL

1.1 RELATED DOCUMENTS

Applicable portions of the Project Manual including but not limited to the Drawings and Specifications.

1.2 SUMMARY

This Section includes administrative and procedural requirements governing allowances.

Definition:

Allowances. "'Allowance' is defined as "a not-to-be-exceeded amount", either individually or in the aggregate, which is established between the Owner and the CONTRACTOR as part of the bid documents when the precise scope of a particular line item(s) has not been defined to a level which is adequate for the CONTRACTOR to provide a definitive line item pricing for that particular scope of Work.

1.3 COORDINATION

At the earliest practical date after award of the Contract, the Contractor shall advise Owner of the date when final selection and purchase of each product or Work described by an Allowance must be completed to avoid delaying the Work.

Coordinate Allowance items with other portions of the Work. Furnish templates as required to coordinate installation.

1.4 PROCEDURES

Submit cost proposals for purchase of products or work included in Allowances in the form specified for Change Orders.

Coordinate and process submittals for Allowance items in accordance with Section 01300 as for other portions of the Work.

The use of any Allowances by the CONTRACTOR will be subject to the Owner's sole approval and it is the Owner's intent to minimize the use of Allowances to the fullest extent possible.

For any Allowances which the Owner allows the CONTRACTOR to use, the following rules shall apply: (i) the Allowance shall cover the cost to the CONTRACTOR of the cost of Work, as defined in the Agreement and the CONTRACTOR's portion of overhead and profit associated with the stated Allowance; and (iii) upon completion of the portion of the Work subject to an Allowance, the Contract Amount for that portion of the Work will be adjusted based upon the approved actual Cost of the Work, including the proportionate overhead and profit, provided however that the total amount of payments under the Allowances will not exceed the approved aggregate amount of the Allowances.

PART 2 – PRODUCTS

NOT USED

PART 3 – EXECUTION

3.1 SCHEDULE OF ALLOWANCES

Allowance No.1 - Replacement of Impeller for Pump HSP-023
This is for furnishing and replacing the impeller of Pump HSP-023, as defined in Specification Section 11210/3.03/C

Allowance No.2 - Replacement of Impeller for Pump HSP-024
This is for furnishing and replacing the impeller of Pump HSP-024, as defined in Specification Section 11210/3.03/C

Allowance No.3 - Replacement of Impeller for Pump HSP-026
This is for furnishing and replacing the impeller of Pump HSP-026, as defined in Specification Section 11210/3.03/C

Allowance No. 4 - Additional Pump Repair (Coating Pump Casing Waterways) Defined in Section 11210
This is for implementing additional pump repair work as defined in Specification Section 11210/3.03/E/1.

Allowance No. 5 - Additional Pump Repair (Restoring Babbitt Type Bearings) Defined in Section 11210
This is for implementing additional pump repair work as defined in Specification Section 11210/3.03/E/2.

Allowance No. 6 – Replacement of Gate Valve HSPS-GV-003
This is for replacement of the existing gate valve inside HSPS as per Specification Section 15115/2.01/B.

Allowance No. 7 – Replacement of Gate Valve HSPS-GV-004
This is for replacement of the existing gate valve inside HSPS as per Specification Section 15115/2.01/B.

Allowance No. 8 – Replacement of Gate Valve HSPS-GV-005
This is for replacement of the existing gate valve inside HSPS as per Specification Section 15115/2.01/B.

Allowance No. 9 – Additional Motor Rehabilitation
This is for additional motor rehabilitation work upon inspecting and testing the existing motors for HSP-023, 024 and 026 as per Specification Section 16825.

3.2 PAYMENT

Once the cost proposal for the Allowance has been incorporated into the Contract by Change Order, Payment for the Allowance will be based upon either the unit prices or a schedule of values provided with the proposal and incorporated in the Change Order.

END

MEASUREMENT AND PAYMENT LUMP SUM CONTRACTS
Section 01025

For this Project, all measurement and payment sections, including standard technical specifications and special specifications, are modified by this Section.

All work items installed, provided, constructed, etc. with the exception of the unit price items listed in the 300L, shall not be paid for separately but shall be considered subsidiary to the lump sum bid price for the Project. The cost of all work materials, labor, overhead, insurance, equipment, etc. necessary to finish the work complete in place shall be included in the lump sum bid price for the project.

End

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PART 1 - GENERAL

The Albert R. Davis Water Treatment Plant is an operational potable (drinking) water treatment plant that must remain in service throughout construction and must comply at all times with the Texas Commission on Environmental Quality (TCEQ) Rules and Regulations and the U.S.E.P.A. Safe Drinking Water Act requirements, and must continue to meet customer potable water demands. The OWNER and Engineer have attempted within the Contract Documents to define operational constraints, to provide parameters to consider in the CONTRACTOR developed proposed final baseline progress schedule and to provide the CONTRACTOR with sufficient information to best ensure completion in a structured progression to allow sufficient time to complete its Work. The CONTRACTOR hereby understands and agrees that continuous and unimpeded Plant operations to meet regulatory and customer demand requirements is of paramount priority and importance. Therefore, the OWNER reserves the right to halt construction and/or require the CONTRACTOR to place existing facilities, which are out of service for construction, back into service, if necessary, to maintain regulatory compliance and / or ensure sufficient capacity to provide customer demands required of the OWNER.

1.01 COORDINATION WITH OWNER

A. For all Work which will require a temporary shutdown of all or part of Plant operations, the CONTRACTOR shall have the shutdown identified in its approved baseline progress schedule, must begin coordinating with the Engineer and Owner's Plant personnel at least two weeks prior to the start of the shutdown and shall submit a proposed work plan, which indicates the manpower, tools, equipment, materials and construction procedure to be used, at least seven days prior to the requested start of the shutdown period. The Owner must approve all temporary shutdowns. Prior to starting any approved shutdown, Contractor shall verify to the Engineer that all manpower, tools, equipment and materials required to complete all Work requiring such shutdown is on-site and available

B. Coordination of OWNER's Work by Others: Reference Contract General Conditions for coordination of OWNER's Work by others, if any, and coordinate CONTRACTOR's Work with OWNER or OWNER's designated coordinator.

C. Other Work may be performed at site by others during scheduled performance of Work under these Contract Documents.

D. Other Contractors:
   1. Other Contractor and Contact Person: To be provided prior to Notice to Proceed or when available.

E. Coordination with plant operations:
   1. Normal operating day for plant operation and maintenance personnel is 7:00 a.m. to 3:30 p.m. Monday through Friday. CONTRACTOR's routine request for operational changes related to the Work shall occur during the normal operating day.
   2. CONTRACTOR is not to operate valves, gates, or plant equipment without prior permission and direction of OWNER. The OWNER will operate its equipment upon close coordination and request by CONTRACTOR per paragraph 1.09.B.5 below.
   3. Under no circumstances shall the CONTRACTOR cease Work at the end of a normal working day if such actions may inadvertently cause a cessation or interference of any facility operating process. In such case the CONTRACTOR shall remain onsite until necessary repairs are complete

1.02 UTILITIES

A. Coordinate Work with various utilities within Project limits in accordance with the General Conditions. Notify applicable utilities prior to commencing Work, and if damage occurs, or if conflicts or emergencies arise during Work.

1.03 PROJECT MEETINGS

A. Reference Section 01200, “Project Meetings”.

1.04 OTHER CONTRACTS

A. The OWNER may have other construction contracts being performed simultaneously with this project at the site. Limits of construction and project work area for this contract are indicated on the PLANS. Afford utility companies and other contractors reasonable opportunity for introduction and storage of their materials and execution of their work. Work under this contract connecting to work in other contracts must be carefully coordinated with that construction by others.

1.05 ACCESS FOR OTHERS

A. Access to all roads is to be maintained throughout construction period. Under no circumstances will access to roads to be blocked or denied to traffic during construction of this Contract, unless noted on the PLANS.

B. CONTRACTOR’s Employee Parking: Parking within and around the Plant is extremely limited. The OWNER may have other construction projects being performed simultaneously with this project at the site. CONTRACTOR’s employee parking shall be allowed immediately in front of the Plant, along 35th Street only, on a first come first available basis and immediately in front of its construction trailer. Parking will not be allowed in the neighborhoods adjacent to the Plant or at Mayfield Park. Therefore, the Contractor may need to shuttle some of its employees to the site or otherwise encourage carpooling. Upon leaving the site, workers shall be courteous to the Plant’s neighbors and shall minimize noise. Loitering in front of the Plant shall not be allowed. Sidewalks shall not be obstructed. Parking in these unallowed areas, provoking or being discourteous to the neighbors or loitering in front of the Plant may result in revocation of parking privileges in front of the Plant for one or all, and / or removal from the project of the employees not complying with these requirements.

1.06 OPERATION OF AND CONNECTION TO EXISTING FACILITIES

A. Existing water and wastewater facilities shall be kept in continuous operation throughout the construction period. No interruption will be permitted which adversely affects the degree of service provided.

B. Provided permission is obtained from OWNER in advance, portions of the existing facilities may be taken out of service for short periods corresponding with periods of minimum service demands.

C. CONTRACTOR to provide temporary facilities and make temporary modifications as necessary to keep the existing facilities in operation during the construction period.

D. The CONTRACTOR is to submit a construction plan and schedule, which details the methods, means, techniques, and sequences to be used to accomplish the work, to the ENGINEER for review and approval by the OWNER at least two weeks prior to any connections to existing pipes or structures. One week prior to connections being made to existing structures or pipes, a coordination meeting is to be held between the

CONTRACTOR, ENGINEER, and OWNER to discuss the construction plan previously submitted by the CONTRACTOR.

E. Schedule of construction, interconnecting details, and other revisions necessary for proper interfacing of the Work to be subsequently modified by CONTRACTOR accounting for results of said coordination meeting. The ENGINEER and OWNER are to be notified 24 hours prior to any actual interruptions or connections being made. Begin no work prior to securing OWNER's approval of respective connection plan and work schedule.

1.07 OWNER'S OCCUPANCY

A. OWNER may occupy the premises during the period of construction for the conduct of its normal operations. Cooperate with OWNER in all construction operations to minimize conflict and to facilitate OWNER usage.

1.08 PARTIAL UTILIZATION BY THE OWNER

A. Reference the General Conditions for requirements regarding OWNER's utilization of a portion of the Work. Unless agreed in writing prior to OWNER's use, the following conditions to apply:
   1. CONTRACTOR's Responsibilities:
      a. Allow access for OWNER's personnel.

B. Operation and Shutdown of Existing Facilities:
   1. Continuous operation of OWNER's facilities is of critical importance. CONTRACTOR shall schedule and conduct activities to enable existing facilities to operate continuously and unimpeded, unless otherwise specified.
   2. Conduct Work outside regular working hours may be performed with prior written consent of OWNER in order to meet Project schedule and avoid undesirable conditions.
   3. Do not proceed with Work affecting a facility's operation without obtaining OWNER's advance approval of the need for and duration of such Work.
   4. Provide 14 calendar days' advance request for approval to OWNER of need to shut down a process or facility.
   5. All Plant operations shall be performed and OWNER equipment operated by City of Austin A. R. Davis Water Treatment Plant personnel only. Any need by the Contractor for Plant personnel to operate any treatment function or Owner equipment must be coordinated through the OWNER and Engineer, preferably at least two weeks prior to the time needed for such operation or, if that is not possible, in sufficient time to allow Plant operations to conveniently schedule the work of its operators.

1.09 PHYSICAL CONDITIONS

A. Exercise care to verify locations of existing subsurface structures and underground facilities.

B. Thoroughly check immediate and adjacent areas subject to excavation by visual examination (and by electronic metal and pipe detection equipment, as necessary) for indications of subsurface structures and underground facilities.

C. Make exploratory excavations where existing underground facilities or structures may potentially conflict with proposed underground facilities or structures. Conduct exploratory excavations in presence of ENGINEER and sufficiently ahead of construction to avoid possible delays to CONTRACTOR's Work. Notify ENGINEER at least 24-hours prior to excavation.

PART 2 - PRODUCTS (NOT USED)

PART 3 - EXECUTION

3.01 CUTTING, FITTING AND PATCHING

A. General: Reference the General Conditions.

1. Cut, fit, adjust, or patch Work and work by others, including excavation and backfill as required, to make Work complete.
2. Obtain prior written authorization of ENGINEER before commencing Work to cut or otherwise alter:
   a. Structural or reinforcing steel, structural columns or beams, elevated slabs, trusses, or any other structural member.
   b. Weather- or moisture-resistant elements.
   c. Efficiency, maintenance, or safety of element.
   d. Work of others.
3. Refinish surfaces to provide an even finish.
   a. Refinish continuous surfaces to nearest intersection.
   b. Refinish entire assemblies.
   c. Finish restored surfaces to such planes, shapes, and textures that no transition between existing work and proposed Work or proposed Work and work by others is evident in finished surfaces.
4. Restore existing work, underground facilities, and surfaces that are to remain in completed Work including concrete-embedded piping, conduit, and other utilities as specified and as shown.
5. Make restorations with new materials and appropriate methods as specified for new Work of similar nature; if not specified, use best recommended practice of manufacturer or appropriate trade association.
6. Fit Work airtight to pipes, sleeves, ducts, conduit, and other penetrations through surfaces and fill voids.
7. Remove specimens of installed Work for testing when requested by ENGINEER.

3.02 CHEMICALS FOR START-UP (NOT USED)

3.03 - 3.10 (NOT USED)

3.11 MEASUREMENT AND PAYMENT

No separate measurement or payment for Work performed under this Section. Include cost of same in Contract price bid for Work of which this is a component part.

END OF SECTION

1. PART 1 GENERAL

1.01 SUMMARY

A. This document is a supplement to the PLANS and Specifications. It establishes a proposed sequence of construction activities and defines constraints that are to be accommodated and specific time restraints associated with the construction activities. CONTRACTOR to review this proposed Sequence of Construction and thoroughly familiarize himself with all aspects of this requirement. CONTRACTOR is to propose a Sequence of Construction incorporating these constraints and secure concurrence of OWNER prior to starting work. Should the CONTRACTOR decide to adopt this proposed Sequence of Construction as his proposed Sequence of Construction, he does so with the understanding that this in no way relieves him from his obligations for preparation of a workable Sequence of Construction and assuming full responsibility for same.

B. This project consists of replacements and improvements to the surge protection system of the High Service Pump Station (HSPS) of the Davis Water Treatment Plant (WTP), in accordance with the PLANS and Specifications. The Project consists of the following components:

1. Replace the existing surge tank with a bladder type surge vessel of 30,000 gallons.
2. Provide two (2) 30”/36” pipes to connect the proposed bladder type surge vessel and the HSPS discharge header.
3. Install a 30” check valve with a 12” bypass line on each of the 36” connection pipe; a new valve vault will be provided.
4. Install a 10” surge anticipation valve and a new valve vault. Install 16” pipe between the valve vault and the discharge location.
5. Replace the 16” butterfly valve and 16” check valve inside the Recycle Basin No. 1 Overflow Valve Box.
6. Re-route the existing 16” HSPS drain pipe to the cross town sanitary sewer tunnel. The drain pipe currently discharges to a storm sewer.
7. Install an 8” water line from the High Service Pump Station to convey potable water flow to the Low Service Pump Station. The 8” water line will be tied into a 6 inch line that is already installed.
8. Rehabilitate High Service Pumps HSPS-HSP-023, 024, and 026.
9. Rehabilitate motors associated with High Service Pumps HSPS-HSP-023, 024, and 026.
10. Rehabilitate pump control valves HSPS-BV-023, 024, 025 and 026.
11. Replace ball valves HSPS-BV-029, 030, 031 and 032 with gate valves.
12. Rehabilitate or replace gate valves HSPS-GV-003, 004 and 005, upon inspection of valves.
13. Blast and re-paint all exposed piping on discharge side of the high service pumps inside the High Service Pump Station.
14. All remaining and associated items of work contained in the Contract Documents as shown on PLANS.

C. This sequence of construction only mentions components of work that are sequence-sensitive, not all portions of work required by contract. CONTRACTOR is responsible for developing additional construction sequencing required for completion of the work.

1.02 RELATED REQUIREMENTS

A. Related work as called for on PLANS or specified in this or other TECHNICAL SPECIFICATION Sections.

B. Work must be performed within/to operating plant and must be scheduled and performed to have minimal impact on plant operations, and must maintain continuous, unimpeded plant operations at all times, unless noted otherwise.

C. Although it is the OWNER's intent to accommodate the needs of the CONTRACTOR, plant operational requirements take precedence over CONTRACTOR's activities. Therefore, any interruption of site operations must be planned and coordinated with the OWNER at least two weeks prior to any required interruption and is subject to the operational requirements and constraints of the Low Service Pump Station and the water treatment plant.

D. All electrical power transfers to be performed under unloaded conditions.

1.03 REFERENCES

A. The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION (OSHA)

29 CFR 1926.62	OSHA Lead Standard for Construction
29 CFR 1910.1025	OSHA Lead Standard for General Industry

ENVIRONMENTAL PROTECTION AGENCY (EPA)

40 CFR 260-272	EPA Hazardous Waste Regulations

1.04 DEFINITIONS

A. "Interruption of site operations" is defined as any activity that will result in a change in the current method of site operation. CONTRACTOR to request such "interruption of site operations" from the OWNER no later than two weeks prior to such need for "interruption of site operations" and shall confirm OWNER's approval for such interruption. Request to be submitted in accordance with paragraph 1.06.

B. The terms "open, close, start, stop, operate, verify, energize, de-energize, transfer, Switch-over, etc" when used in conjunction with the facilities that are in-service or about to be placed in-service are understood to mean: OWNER's operation and maintenance staff is to perform that operational function of OWNER's equipment unless otherwise specifically approved and authorized by OWNER for CONTRACTOR to perform the operation under the direct supervision of the OWNER's operation or maintenance staff. CONTRACTOR is to not abuse OWNER's equipment during these operations.

C. The term "operational test" refers to the period of specified duration that the installed system is tested to verify operational integrity of a system prior to having the system placed in-service. Operational testing requires that representatives of all major components for the systems be on-site for timely identification and resolution of system issues.

1.05 SYSTEM DESCRIPTION

A. Background: The Davis WTP is one of the three water treatment plants (Ullrich WTP, Handcox WTP) owned by the City of Austin. The Davis WTP has a rated capacity of 120 million gallons per day (MGD). The HSPS consists of six horizontal split case pumps, with a firm capacity of 73.2 MGD. All pumps have a rated capacity of 16.4 MGD, except Pump No. 22 (7.6 MGD). The treated water from the Davis WTP is pumped to the distribution network by two pump stations, the medium service pump station (MSPS) and the HSPS. The MSPS primarily serves the medium pressure zone, and the HSPS primarily serves the high pressure zone. The treated water from the HSPS is pumped to the distribution network through two transmission mains, a 48/66-inch transmission main and a West Austin 48-inch transmission main.

B. Project Scope: The hydraulic transient protection of the HSPS consists of a hydropneumatic surge tank and miscellaneous air/vacuum valves. The surge tank was installed approximately 40 years ago. One major scope of this project is to upgrade the surge protection system by replacing this surge vessel with a bladder type surge tank, including associated piping and valve equipment, and adding a surge relief valve between the HSPS and the proposed surge tank. The proposed surge tank and surge relief valve will be tied into the existing transmission mains. Other major scope items include a) rehabilitation of three high service pumps/motors and rehabilitation/replacement of multiple valves inside of the HSPS; b) re-routing the drain pipe of HSPS; 3) installation of a water line from HSPS towards the low service pump station; and 4) other miscellaneous improvements.

C. Critical Constraints:

1. The demolition of the existing surge tank and installation of the new surge control system shall be scheduled in the season when the pumping demand is generally low, typically between November and April in the following year. All high service pumps and valves shall be operational prior to testing of the new surge protection system.

2. Surge relief valve vault, valves and discharge pipelines shall be constructed, tested and operational prior to demolition of any existing surge piping or the existing surge tank.

3. Upon completion of above, coordinate plant shutdown to allow demolition/removal of existing surge pipe and installation of a section of proposed 36-inch surge line and connection to surge relief pipe.

4. While the surge tank is out of service but the proposed surge relief valve is in installed and fully operational, a maximum of two high service pumps (16.4 MGD each) shall be used.

5. A minimum of four high service pumps, including the smaller pump (HSP-022) shall remain operational at all times to provide sufficient capacity to meet the drinking water demand, unless otherwise directed by the OWNER. A fifth pump is desired for redundancy purpose. Small windows of time no more than six (6) consecutive hours can be accommodated to temporarily shut down the HSPS to allow pipeline tie-ins or equipment installation/removal. The actual available shutdown time depends on the operation condition of the Davis WTP and the other water treatment plants owned by the City of Austin. Contractor shall coordinate closely with OWNER on project schedule in order to satisfy the demand of the treatment plant and minimize interruption to normal plant operation.

6. Pumps HSP-023 and HSP-024 shall be taken out of and back to service at the same time. Rehabilitation of HSP-026 shall not occur while HSP-023 and HSP-024 are out of service.

7. Rehabilitation/replacement of valves shall occur within the time frame when the pump (s) that are directly associated with these valves are out of service, in order to minimize the downtime of each pump.

8. The ability of the Davis WTP to shut down the HSPS is dictated by the projected drinking water demand and the available pumping capacities of the Ullrich WTP and Handcox WTP, which requires extensive coordination within the City of Austin if a shutdown is needed. Every effort will be made by the City of Austin to satisfy the Contractor's shutdown requests, however, accommodation of these requests is not always guaranteed.

1.06 SUBMITTALS

A. Furnish in accordance with Specification Section 01300, "Submittals".
   1. CONTRACTOR's Sequence of Construction defining work to be performed, including the following items:
      a. Definition of the start date, duration and end date for each of the segments of work defined in PART 3 - EXECUTION.
      b. For each segment of work, define activities to be performed by or witnessed by OWNER and date on which these activities are to be performed.
      c. Scheduling/timing of manufacturers field services, as specified.
   2. Limited interruption of site operation is required to perform this work. Define purpose for interruption of site operation; date and time of interruption; and duration of interruption.
   3. Provide complete list of equipment and material that is required to perform each segment of work.

1.07 QUALITY ASSURANCE

A. CONTRACTOR is responsible for all details necessary to properly perform the work defined herein and as defined in PLANS.

B. CONTRACTOR is responsible for all details necessary to properly protect its personnel and OWNER's personnel working in the area adjacent to CONTRACTOR's work area.

1.08 – 1.11 (NOT USED)

PART 2 – PRODUCTS (NOT USED)

PART 3 – EXECUTION

3.01 GENERAL

A. This sequence of construction is a recommended procedure for performing the outlined work. CONTRACTOR remains solely responsible for preparation of a workable sequence of construction.

B. All submittals required for the work in any particular work area must be approved by ENGINEER prior to starting construction in that work area.

C. CONTRACTOR shall verify the functionality of valves that may be required while isolating an existing pipeline, prior to initiating such work.

D. CONTRACTOR shall review background information and verify alignment, location, elevation and layout of existing underground piping and utilities associated with the proposed work prior to performing excavation work.

E. No excavation or grading may begin until erosion control devices are installed and approved by environmental inspector.

F. Prior to the start of site work, CONTRACTOR shall prepare a plan of the proposed excavation and grading and submit the plan to ENGINEER for review and approval. Plan shall define the limits of excavation and embankment, the procedure to be followed to achieve the proposed grades, the impact of earthwork operations on other construction work at the site, and procedures for addressing stormwater and groundwater issues. The PLANS define specific limits of excavation and foundation placement and embankment grading. Excavation and grading proposed beyond these limits must be submitted to ENGINEER for approval.

G. Prior to the start of site work, CONTRACTOR shall develop a plan for demolition and re-routing of existing underground piping and utilities.

H. The design of proposed piping connections to the existing transmission mains and stormwater line is based on information shown in available record drawings. Prior to fabrication of proposed pipeline segments and fittings, CONTRACTOR shall field verify materials, layouts and configurations of existing pipelines, and develop dimensions, configurations and alignments of proposed piping and fittings accordingly to accommodate field conditions.

I. CONTRACTOR shall not cut or remove segment(s) of existing discharge header and transmission mains before the proposed replacement pipe segment(s) is fabricated and delivered to the job site, in order to minimize the downtime of the HSPS. However, it is acceptable for CONTRACTOR to cut an opening (coupon) of no larger than 2 inch by 2 inch in order to verify the thickness/condition of the existing steel pipe, provided the opening will be immediately repaired by welding to a new steel patch plate in accordance with TECHNICAL SPECIFICATIONS.

J. CONTRACTOR shall not construct any portion of vault foundation until the alignment of the proposed piping connections is established by CONTRACTOR.

K. One of the two existing transmission mains must be kept in service at all times.

L. Following completion of connections to existing piping, test all joints in accordance with Contract Documents prior to backfill.

M. Surge Protection Devices, including surge tank, valves and accessories, shall be field tested when the HSPS is in full operation capacity.

N. All disturbed site areas shall be re-vegetated per CONTRACT DOCUMENTS.

3.02 EROSION AND SEDIMENTATION CONTROL

A. CONTRACTOR shall install temporary erosion and sedimentation control devices and tree protection as shown on PLANS and as directed by OWNER's personnel during the environmental site visit. CONTRACTOR shall meet with personnel with City of Austin's Watershed Protection Department and discuss environmental compliance requirements prior to initiating construction work outside of the Low Service Pump Station Building.

B. Adjust or install additional temporary erosion control devices as required during construction to direct orderly runoff discharge.

C. CONTRACTOR to monitor all clearing and excavation operations and all rainfall events to prevent unauthorized discharge of stormwater from the disturbed areas. After rainfall events, CONTRACTOR shall not resume offsite hauling of excavated material until site has dried adequately to prevent water discharge from haul trucks onto public roadways.

D. Stormwater from disturbed areas that is discharged offsite shall be discharged in a diffused manner to minimize erosive velocities downstream of the discharge point.

3.03 INSTALLATION OF SURGE RELIEF VALVE

A. Due to the project constraints outlines in Paragraph 1.05/C, it is expected that the surge relief valve and all associated work, including construction of the valve vault, will need to be completed before the new surge vessel is placed to work, in order to minimize the down time of the HSPS.

B. Part of the existing pipeline feeding the existing surge tank is to be removed and replaced. In order to minimize down time of the HSPS, CONTRACTOR shall field verify the conditions of the surge relief valve tie in location, prior to removal of any part of the existing surge protection system. CONTRACTOR shall provide definition of procedures/details to be used for the tie-in for OWNER/ENGINEER's review and approval. The submission shall include methodology to isolate the existing pipelines and dewatering, and a contingency plan. A temporary blind flange or isolation valve is to be installed on the new pipeline downstream of the proposed surge relief valve, in order to isolate the proposed surge relief valve from the existing surge tank. Such isolation will allow the proposed surge relief valve to be operational as the existing surge tank is taken off line.

3.05 INSTALLATION OF NEW SURGE TANK

A. It is expected that the removal of the existing surge tank and installation of new surge tank shall occur after the propose surge relief valve is fully operational, in order to minimize downtime of the HSPS. Both transmission mains shall remain available for service during construction except the small windows of time for tie-ins.

B. One of the dual feed pipes to the new surge tank is to be tied into downstream of the proposed surge relief valve, and the other is to be tied into a transmission main. CONTRACTOR shall provide definition of procedures/details to be used for the tie-in for OWNER/ENGINEER's review and approval. The submission shall include methodology to isolate the existing pipelines and dewatering, and a contingency plan. The procedures shall minimize downtime of the HSPS.

3.06 REHABILITATION/REPLACEMENT OF PUMPS, MOTORS AND VALVES INSIDE HSPS

A. CONTRACTOR shall schedule the rehabilitation/replacement work to minimize the disturbance to normal plant operation.

B. The following task items shall be conducted in one group while the suction and discharge lines of HSP-023 and HSP-024 are isolated from the rest of the pump station: HSP-023, HSP-024, BV-023, BV-024, BV-029 and BV-030.

C. The following task items shall be conducted in one group while the suction and discharge lines of HSP-026 are isolated from the rest of the pump station: HSP-026, BV-026, and BV-032.

D. Exercise and verify the functionality of the valves to be used for isolation prior to making work plans. Confirm and coordinate with OWNER on the requirements to isolate a pipeline. If butterfly valves are to be used to isolate a pressurized pipeline, a minimum of two butterfly valves, installed in series, is required. Develop plans and provide temporary dewatering equipment in case valve leakage is encountered.

E. Install temporary blind flange (s) if a valve is removed and the adjacent piping is subject to pressure.

F. Record the existing settings and wiring diagrams of pumps and pump control valves prior to removal of these equipment items. Restore the settings after these equipment items are re-installed.

3.09 REROUTING OF HSPS DRAIN PIPE

A. CONTRACTOR shall excavate and field verify the proposed tie-in condition at the crosstown tunnel shaft, prior to initiating any work associated with the HSPS drain line.

B. There is a constant stream of flow inside the existing drain pipe. Temporary dewatering is required during re-routing.

C. The proposed junction box to intercept the existing drain pipe is shown as a combination of a cast-in-place concrete structure and a precast concrete structure. The design is intended to build the cast-in-place box around the existing drain pipe, before the existing drain pipe is cut and re-routed. The precast concrete structure on top is shown to allow quick and convenient construction. This design is deemed to have the minimum impact on normal operation. However, if alternative design and construction method are desired, CONTRACTOR shall submit detailed work plan and design for OWNER's approval.

3.10 INSTALLATION OF 8" WATER LINE

A. The proposed water line is to deliver potable water to the low service pump station (LSPS). Part of the water line (6"), near the LSPS, has been built. CONTRACTOR to field verify the as-built condition at the tie-in prior to initiating related work.

B. The routing of the proposed 8" water line was developed based on record drawing information. CONTRACTOR shall field verify as-built conditions prior to initiating related work.

3.11 MEASUREMENT AND PAYMENT

No separate measurement or payment for work performed under this Section. Include cost of same in Contract price bid for work of which this is a component part.

END OF SECTION

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SECTION 01045-1

SEQUENCE OF CONSTRUCTION
HSPS – BLASTING AND COATING

PART 1 GENERAL

1.01 SUMMARY

A. This document establishes proposed sequence of construction for Work activities and defines constraints, which are to be accommodated, and specific time restraints associated with the construction activities. CONTRACTOR shall review this proposed Sequence of Construction and thoroughly familiarize itself with all constraints for maintaining continuous, unimpeded operations of the plant. CONTRACTOR shall propose a sequence of construction as part of its proposed final baseline progress schedule incorporating these constraints and secure concurrence of OWNER prior to starting work. Should the CONTRACTOR decide to adopt this proposed sequence of construction as its proposed sequence of construction, CONTRACTOR does so with the understanding that this in no way relieves the CONTRACTOR from its obligations for preparation of a workable sequence of construction and assuming full responsibility for same.

B. This specification addresses the sequence of construction for the blasting and coating of the pumps and existing discharge piping components in the High Service Pump Station (HSPS) of the City of Austin's A.R. Davis Water Treatment Plant. This Sequence of Construction only mentions components of work that are sequence-sensitive, not all portions of Work required by the Contract.

1.02 RELATED REQUIREMENTS

A. Related Work as called for on Drawings or specified in this or other Specification Sections.

B. Painting per Section 09902.

C. Work must be performed within an operating plant and must be scheduled and performed to have minimal impact on plant operations, and must maintain continuous, unimpeded plant operations at all times.

D. Although it is the OWNER’s intent to accommodate the needs of the CONTRACTOR, plant operational requirements take precedence over CONTRACTOR’s activities. Therefore, any interruption of plant operations must be planned and coordinated with the OWNER at least two weeks prior to any required interruption and is subject to the operational requirements and constraints of the plant.

E. CONTRACTOR shall provide dehumidification of work area adequate to achieve 85% relative humidity or lower and to have the surface temperature of surfaces to be coated at least 5^{o}F above the dew point temperature.

F. Comply with Air Quality requirements per Section 01510.

1.03 REFERENCES

The publications listed below form a part of this Specification to the extent referenced. The publications are referred to in the text by basic designation only.

THE SOCIETY FOR PROTECTIVE COATINGS (SSPC)

SSPC-QP1 Certified Contractors

SSPC-QP2 Certified Contractors - Hazardous Paint Removal

TEXAS ADMINISTRATIVE CODE 30 (TAC30)

CHAPTER 101, 101.4, Nuisance - Airborne Emissions.

CHAPTER 111, Paragraphs 111.131 through 111.139 - Airborne Emissions.

CHAPTER 335 - Industrial Solid Waste and Municipal Hazardous Waste.

OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION (OSHA)

29 CFR 1926.62 OSHA Lead Standard for Construction

29 CFR 1910.1025 OSHA Lead Standard for General Industry

ENVIRONMENTAL PROTECTION AGENCY (EPA)

40 CFR 260-272 EPA Hazardous Waste Regulations

1.04 DEFINITIONS

A. "Interruption of plant operations" is defined as any activity that will result in a change in the current method of plant operation or in the position of plant facilities (valves, switches, etc.). CONTRACTOR shall request such "interruption of plant operations" from the OWNER no later than two weeks prior to such need for "interruption of plant operations" and shall confirm OWNER's approval for such interruption no less than ninety-six (96) hours in advance. OWNER may defer any planned interruption of plant operations as required to maintain unimpeded plant operations. An unanticipated need for "interruption of plant operations" may be accommodated at the sole discretion of the OWNER on a limited request basis only if such interruption will not adversely affect plant operations. Requests are be submitted in accordance with paragraph 1.06.

B. The terms "open, close, start, stop, operate, verify, energize, de-energize, transfer, Switch-over, etc" when used in conjunction with the facilities that are in-service or about to be placed in-service are understood to mean: OWNER's operation and maintenance staff is to perform that operational function of OWNER's equipment unless otherwise specifically approved and authorized by OWNER for CONTRACTOR to perform the operation under the direct supervision of the OWNER's operation or maintenance staff. CONTRACTOR is to not abuse OWNER's equipment during these operations, however, it is understood that equipment failure may occur during operation. CONTRACTOR will not be held responsible, provided it was not due to CONTRACTOR's abuse or improper operations of the equipment.

1.05 SYSTEM DESCRIPTION

A. The CONTRACTOR shall blast and re-coat the three pumps and motors to be rehabilitated. The CONTRACTOR shall blast and inspect the structural integrity of the exposed piping on the discharge side of high service pumps, and then re-coat. The scope of work is shown in PLANs and includes the discharge header, individual discharge piping of each pump and the piping located in the basement, one floor below the ground level. The individual pump discharge is 24-inch diameter steel pipe. The header is a 36-inch diameter steel pipe in a horizontal orientation. In the basement, there are three horizontal 36-inch pipes connecting perpendicular to the header. Blasting and recoating

shall include all connecting valves, couplings, and other appurtenances within the limits shown on the Drawings.

1.06 SUBMITTALS

A. Furnish in accordance with Specification Section 01300, “Submittals”.

1. CONTRACTOR’s sequence of construction defining work to be performed, including the following items:
   a. Definition of the start date, duration and end date of the work defined in Paragraph 1.05.
   b. Define activities to be performed by or witnessed by the OWNER and date on which these activities are to be performed.
2. Limited interruption of plant operation is required to perform this work. Define purpose for interruption of plant operation; date and time of interruption; and duration of interruption.
3. Provide complete list of equipment and material that is required to perform the work.
4. Confined space ventilation system procedure.
5. Verification of active QP1 and QP2 Certifications through SSPC.
6. Certification report stating that all disconnected and reconnected electrical equipment was tested and is in satisfactory (pre-construction) operating condition. Refer to Subsection 1.08 and to the electrical sections of Subsection 3.02 and 3.04 of this Specification Section.
7. If silica sand is used as a blast abrasive, the CONTRACTOR shall provide written protective measures to the OWNER for review. These shall stipulate specific procedures to be followed to protect all workers and other persons on site from exposure to its use as stipulated by Federal, State, and other governing authorities having jurisdiction. Worker protection measures shall be submitted and reviewed by the OWNER prior to beginning any blasting operations. The CONTRACTOR shall submit to the OWNER for review, MSD sheets and furnish certification from the abrasive supplier stating that the abrasive to be supplied satisfies the requirement of this specification.

1.07 QUALITY ASSURANCE

A. CONTRACTOR is responsible for all details necessary to properly blast and recoat all piping and appurtenances defined herein and as defined on Drawings.

B. CONTRACTOR is responsible for all details necessary to properly protect its personnel and OWNER's personnel working in the areas adjacent to CONTRACTOR'S work area.

C. CONTRACTOR is advised that the existing paint on the equipment and piping contains lead. The OWNER has taken paint samples from these existing sources and the results of tests to determine the base levels of lead may be found in the “Lead-Based Paint and Asbestos-Containing Material Surveys,” available upon request. CONTRACTOR must comply with all applicable OSHA safety requirements to protect its workers and plant personnel and must exercise appropriate regulatory safety precautions throughout the performance of this Work, to include precautions to avoid exhausting hazardous materials through the existing ventilation system, personnel safety, and properly disposing of waste materials.

D. CONTRACTOR to maintain active QP1 and QP2 certification status through SSPC, and to furnish evidence to the OWNER of same.

1.08 SPECIAL PERSONNEL SERVICES

A. Refer to the electrical sections of Subsection 3.02 and 3.04 of this Specification Section. If CONTRACTOR elects to disconnect and reconnect electrical devices to facilitate the

Work, CONTRACTOR shall furnish the services of qualified, experienced personnel to assist in the pre-construction operational verification, field interconnect wiring disconnection, electrical equipment removal, reinstallation, field interconnect wiring reconnection, start-up, and final functional test of each electrical device that is disconnected and reconnected. These personnel may include, but is not limited to electricians, process/instrumentation/control specialists, and/or manufacturer's representatives (non-sales types). The qualifications of these special personnel to provide these special services shall be submitted to the OWNER. CONTRACTOR shall coordinate this work with OWNER. Include time to correct and recheck any discrepancies, which may be discovered. Also, submit to the OWNER a report certifying that the equipment was tested and set to pre-construction conditions, and is in satisfactory operating condition. Format and content of the report are to be per the requirements of Section 01300 of the Specifications.

PART 2 PRODUCTS (NOT USED)

PART 3 EXECUTION

3.01 GENERAL

A. CONTRACTOR shall isolate the existing ventilation system from its blasting and coating operations, and shall furnish and install a temporary confined space ventilation system to facilitate its operations and to protect both CONTRACTOR's and OWNER's personnel. Workspace ventilation shall be filtered to avoid discharge of contaminants into the atmosphere.

B. CONTRACTOR shall protect existing lighting fixtures in its work area from its operations, and shall provide temporary lighting as required to facilitate its operations and to protect both CONTRACTOR's and OWNER's personnel.

C. CONTRACTOR shall shroud and protect all of the OWNER'S existing process, mechanical, and electrical equipment from debris, sandblast media and overspray associated with its operations.

D. Unless noted otherwise, all existing equipment not taken out of service for CONTRACTOR's recoating operations shall remain operable and accessible by plant personnel throughout the performance of this work. This includes, but is not limited to, the high service pumps located on the level above the basement, and the two air compressors located adjacent to the suction header.

E. The CONTRACTOR shall notify the OWNER at least two weeks prior to the start of the blasting and coating operations.

F. CONTRACTOR shall confirm to the OWNER and ENGINEER that all material and equipment required to remove existing coating systems and install new coating systems, per the Drawings, are on-site and available for use prior to starting the blasting and coating operations.

G. The CONTRACTOR is to isolate its working area from the area(s) remaining in operation to keep the operating areas free of debris, including blasting media, associated with the replacement of the existing coating system(s). The CONTRACTOR shall cleanup the operating area if contaminated by its work immediately upon request from the OWNER.

H. The CONTRACTOR shall be responsible for providing and installing all temporary and permanent supports and blocking for all ventilation, mechanical, electrical, and instrumentation and control equipment required to perform the work.

I. All work covered by this Section shall be completed within 28 calendar days unless otherwise approved by the OWNER.

3.02 PREPARATION

A. General
1. Furnish and install screening and containment devices to prevent the contamination of adjacent areas with blasting media, paint residue or overspray.

B. Mechanical
1. CONTRACTOR shall isolate the existing ventilation system from its blasting and coating operations, and shall furnish and install a temporary ventilation system to facilitate its operations and to protect both CONTRACTOR's and OWNER's personnel.
2. CONTRACTOR shall protect in place any pressure gauges and sample taps from its blasting and coating operations.

C. Electrical
1. CONTRACTOR shall protect existing lighting fixtures in its work area from CONTRACTOR's operations, and shall provide temporary lighting as required to facilitate its operations and to protect both CONTRACTOR's and OWNER's personnel.
2. If CONTRACTOR elects to temporarily disconnect, remove, and subsequently reinstall and reconnect field interconnect wiring of electrical equipment in order to blast and recoat the suction header, CONTRACTOR shall perform an extensive field investigation and coordinate same with OWNER prior to commencing work to ensure that all disconnected equipment and field interconnect wiring is reconnected to match the pre-construction condition.
3. No As-Built drawings of the field interconnect wiring associated with electrical equipment exist. Additionally, equipment is manufactured by various manufacturers and subsequently may have different field devices and field interconnect wiring requirements between manufacturers and/or among units of the same manufacturer.
4. The CONTRACTOR shall ensure that caution is used during the blasting and coating activities to prevent damage to the existing wiring that may be disconnected, removed, and subsequently reinstalled and reconnected during the Work. Should the existing wiring be damaged or otherwise modified such that it cannot be reused, the CONTRACTOR shall furnish and install new wiring to match the existing at no additional cost to the OWNER.
5. The CONTRACTOR shall fully coordinate the blasting and coating activities such to ensure that each specific piece of equipment is placed into/out of service as an entire unit and coordinated with the planned process/mechanical equipment modification activities, where applicable.
6. The CONTRACTOR shall provide means to provide temporary electrical, instrumentation and control system service to equipment that is scheduled to remain in operation in order to facilitate the construction sequence requirements.

3.03 BLASTING AND RECOATING

A. Contract Documents define work to be done inside the HSPS. Blasting and recoating work specified herein to be started only after all the other work is completed, tested and the piping is returned to normal operating conditions.

B. Blast and recoat piping and appurtenances within the HSPS in accordance with manufacturer's recommendations, this specification and Section 09902, and as defined on Drawings.

3.04 RETURNING FACILITIES TO SERVICE

A. General
   1. CONTRACTOR shall maintain a clean work area at all times and shall completely clean-up the area upon completion of the blasting and coating.

B. Mechanical
   1. CONTRACTOR shall remove its temporary ventilation system(s) and reinstate the existing ventilation system immediately upon completion of the need of the temporary ventilation system(s).

C. Electrical
   1. CONTRACTOR shall reinstate the existing lighting fixtures in its work area (previously protected to facilitate its work), and shall remove CONTRACTOR's temporary lighting facilities.
   2. CONTRACTOR shall fully coordinate with the special personnel defined in Subsection 1.08 and elsewhere herein and with the OWNER, and also participate in the start-up and testing services described in Subsection 1.08 of this Specification Section.
   3. Should problems occur upon the activation of power and I&C, correction shall be made promptly at no additional cost to OWNER.

3.05 - 3.10 (NOT USED)

3.06 MEASUREMENT AND PAYMENT

No separate measurement or payment for work performed under this Section. Include cost of same in Contract price bid for the Work, of which this is a component part.

END OF SECTION

1. CONTRACTOR shall perform all layout work to transfer all controls for grades, lines, levels and measurements from a minimum of two reference points provided by OWNER. All survey work will be performed under the direct supervision of a Texas Registered Professional Land Surveyor (RPLS).

2. Within 5 work days of the Notice To Proceed date, or within 10 Working Days of initiating work based on the approved Schedule in a new area of the Project, the CONTRACTOR shall survey and stake the locations of all proposed improvements behind the curb and within the ROW (examples: manholes, storm inlets, fire hydrants, etc.), or any other improvements identified by the OWNER'S REPRESENTATIVE, for the purpose of identifying the nature and location of these improvements to the adjacent property owner(s). The OWNER'S REPRESENTATIVE will identify to the CONTRACTOR the improvements to be staked.

3. OWNER will not stake for construction and will not be on site for survey layout activities, except to perform quality control checks.

4. CONTRACTOR shall be required to set elevation hubs (blue tops) for subgrade and base course on centerline, at quarter points and curb lines or edge of pavement at intervals not exceeding 50 feet.

5. The construction plans will include horizontal and vertical control points. References to approved COA benchmarks used in establishing controls on the drawings will be provided by the Owner's E/A. In addition, on building projects and/or projects not built within an existing public ROW, a boundary survey will be supplied together with a legal description of the property and all easements where Work will take place.

6. CONTRACTOR shall submit construction staking layout sheets sealed by a Professional Engineer or Registered Professional Land Surveyor registered in the State of Texas. CONTRACTOR shall use a qualification based selection process consistent with the Professional Services Procurement Act, Chapter 2254.004 of the Texas Government Code, when securing the services of a Professional Engineer or Registered Professional Land Surveyor. It is a violation of State Law to solicit bids for the services of a Professional Engineer of Registered Professional Land Surveyor.

    6.1 Any discrepancies found with the construction documents' dimensional layout will be corrected. CONTRACTOR shall assure that the Owner's Representative and E/A are notified so that the appropriate actions are taken to correct the Contract drawings.

    6.2 All Work shall be done to the lines, grades and elevations indicated on the drawings. Information concerning basic horizontal and vertical control points will be provided by the E/A, AECOM Technical Services, Inc.. These points shall be used as the datum basis under this Contract.

    6.3 All work to transfer all controls for grades, lines, levels, layout and measurements shall be performed under the supervision of a Texas Registered Professional Land Surveyor, provided by the CONTRACTOR. Such work shall conform to the standards for construction staking in the most recent edition of the Texas Society

of Professional Surveyors Manual of Practice for Land Surveying, Category 5, Sections 1-12 inclusive.

6.4 The centerline and offset centerline stakes will be set at no greater than fifty (50) foot intervals on both sides of the right-of-way and at points of alignment or grade changes. References to lines and grades as established by the CONTRACTOR's surveyor shall be in reference to these stake lines. The CONTRACTOR is required to provide a sealed statement from his RPLS that the controls are correct and the site layout has been done by their professional staff.

6.5 The CONTRACTOR shall place grade stakes and submit construction staking layout sheets. The CONTRACTOR shall allow a minimum of ten (10) days after submission to the Owner's Representative for review of construction staking layout sheets. Construction staking layout sheets shall include, at a minimum, the information contained in the form included at the end of this section. No Work shall be performed without Owner's Representative review and return to CONTRACTOR of construction staking layout sheets. The Owner's Representative, E/A and the CONTRACTOR shall review the survey controls on the ground.

6.6 Prior to any excavation, the CONTRACTOR shall establish the elevation to top of ground at centerline of the pipe as well as cuts and offset stakes at the distance deemed appropriate by the CONTRACTOR to preclude disturbance of offset stakes during construction. The CONTRACTOR shall set all blue tops for subgrade and base courses on centerline at quarter points, at curb lines or edge of pavement, and other points that may be indicated on the Drawings, all at intervals not to exceed 50 feet.

6.7 The CONTRACTOR shall furnish, without charge, experienced personnel and such calibrated survey equipment, tools, stakes, and other materials that the Owner's Representative may require in establishing or checking control points, or in checking survey, layout, and measurement work performed by the CONTRACTOR.

6.8 The CONTRACTOR shall keep the Owner's Representative informed in a reasonable time in advance of the times and places at which he wishes to do work, so that any checking deemed necessary by the OWNER may be done with minimum inconvenience to the E/A and minimum delay to the CONTRACTOR. Surveying will be coordinated between the Owner's Representative and CONTRACTOR in a manner convenient to both.

6.9 During layout, CONTRACTOR shall field verify the elevation and alignment of all tie-in points to existing infrastructure. This work shall be performed sufficiently in advance of construction so that any conflicts may be resolved without delay. Any work done without being properly located may be ordered removed and replaced at the CONTRACTOR's expense.

6.10 The CONTRACTOR shall carefully preserve all monuments, benchmarks, reference points, and stakes. In case of the destruction thereof, the CONTRACTOR shall bear the cost of replacement and shall be responsible for any mistake or loss of time that may be caused. Permanent monuments or benchmarks, which must be removed or disturbed, shall be protected until properly referenced for relocation. The CONTRACTOR shall furnish materials and assistance for the proper replacement of such monuments or benchmarks.

6.11 The CONTRACTOR shall satisfy himself before commencing work as to the meaning and correctness of all survey control stakes, marks, etc., and no claim will be entertained by the OWNER for or on account of any alleged inaccuracies, unless the CONTRACTOR notifies the OWNER in writing before commencing the affected Work.

7. As needed for necessary documentation of the work progress, the CONTRACTOR shall maintain and/or protect offset or survey staking for the duration of the project. Any re-staking required to meet this requirement shall be done at the CONTRACTOR'S expense.

8. This item is subsidiary to the work as a whole.

9. Upon completion of construction, or at intervals specified in the Contract, CONTRACTOR shall provide a record survey of the work in progress or completed. This information will be submitted to the Owner's Representative and shall be supplied electronically and on a separate full size plan sheet to be transmitted to the E/A for evaluation and merging into the Record Drawings.

End See attached "Construction Staking Layout Sheet"

CONSTRUCTION STAKING LAYOUT SHEET

Project Name: _____ **Date:** _____
C.I.P. ID#: _____ **Instrument No.:** _____
Person Recording: _____ **Weather Conditions:** _____
Crew Members: _____
General Purpose & Scope of Survey: _____

STATION	B.S.	H.I.	F.S.	LEVEL LOOP ELEVATION	ROD READING	HUB ELEVATION AT STATION	(TARGET) (eg., pipe invert) ELEVATION	C - CUT OR F - FILL	% GRADE	NOTES: INDICATE WHETHER CENTERLINE HUB OR _____ FT. OFFSET HUB

DEFINITIONS

General: Basic Contract definitions are included in the General Conditions, Section 00700 included herein.

Approved: The term approved, when used in conjunction with the Owner's Representative's action on the CONTRACTOR'S submittals, applications, and requests, is limited to the Owner's Representative's duties and responsibilities as stated in the Conditions of the Contract. A stamp reading "No Exceptions Taken" shall have the same intent as "Approved".

Furnish: The term furnish means supply and deliver to the Project site, ready for unloading, unpacking, assembly, installation, and similar operations.

Indicated: The term indicated refers to graphic representations, notes, or schedules on the Drawings, or other paragraphs or schedules in the Specifications, and similar requirements in the Contract Documents. Terms such as shown, noted, scheduled, and specified are used to help the reader locate the reference. There is no limitation on location.

Install: The term install describes operations at the Project site including the actual unloading, unpacking, assembly, erecting, placing, anchoring, applying, working to dimension, finishing, curing, protecting, cleaning, and similar operations.

Installer: An Installer is the CONTRACTOR or another entity engaged by the CONTRACTOR, either as an employee, subcontractor, or contractor of lower tier, to perform a particular construction activity, including installation, erection, application, and similar operations. Installers are required to be experienced in operations they are engaged to perform.

Project Site: The space available to the CONTRACTOR for performing construction activities either exclusively or in conjunction with others performing other work as part of the Project. The extent of the Project site is shown on the Drawings and may or may not be identical with the description of the land on which the Project is to be built.

Provide: The term provide means to furnish and install, complete and ready for the intended use.

Regulations: The term regulations includes laws, ordinances, statutes, and lawful orders issued by authorities having jurisdiction, as well as rules, conventions, and agreements within the construction industry that control performance of the Work.

Trades: Using terms such as carpentry is not intended to imply that certain construction activities must be performed by accredited or unionized individuals of a corresponding generic name, such as carpenter. It also does not imply that requirements specified apply exclusively to trades persons of the corresponding generic name.

INDUSTRY STANDARDS

Applicability of Standards: Except where the Contract Documents include more stringent requirements, applicable construction industry standards have the same force and effect as if bound or copied directly into the Contract Documents to the extent referenced. Such standards are made a part of the Contract Documents by reference.

Publication Dates: Comply with the standards in effect as of the date of the Contract Documents.

Conflicting Requirements: Where compliance with two or more standards is specified and where the standards may establish different or conflicting requirements for minimum quantities or quality levels, refer to the Owner's Representative for a decision before proceeding.

Copies of Standards: Each entity engaged in construction on the Project is required to be familiar with industry standards applicable to its construction activity. Copies of applicable standards are not bound with the Contract Documents.

Abbreviations and Names: Trade association names, titles of general standards, and names and titles of government agencies are frequently abbreviated. Where such acronyms or abbreviations are used in the

Specifications or other Contract Documents, they mean the recognized name of the trade association, standards-generating organization, authority having jurisdiction, or other entity applicable to the context of the text provision. Refer to the "Encyclopedia of Associations," published by Gale Research Co., available in most libraries.

AA	Aluminum Association
AABC	Associated Air Balance Council
AAMA	American Architectural Manufacturer's Association
AAN	American Association of Nurserymen.
AASHTO	American Association of State Highway and Transportation Officials.
AATCC	American Association of Textile Chemists and Colorists
ACI	American Concrete Institute
ACIL	American Council of Independent Laboratories
ACPA	American Concrete Pipe Association
ADC	Air Diffusion Council
AFBMA	Anti-Friction Bearing Manufacturers Association
AGA	American Gas Association
AGC	Associated General Contractors of America
AGMA	American Gear Manufacturers Association
AHA	American Hardboard Association
AHAM	Association of Home Appliance Manufacturers
AI	Asphalt Institute
AIA	American Institute of Architects
AIHA	American Industrial Hygiene Association
AISC	American Institute of Steel Construction
AISI	American Iron and Steel Institute
AITC	American Institute of Timber Construction.
ALCA	Associated Landscape Contractors of America
ALI	Associated Laboratories, Inc.
ALSC	American Lumber Standards Committee
AMCA	Air Movement and Control Association
ANSI	American National Standards Institute.
AOAC	Association of Official Analytical Chemists

AOSA	Association of Official Seed Analysts
APA	American Plywood Association
API	American Petroleum Institute.
AREA	American Railroad Engineers Association
ARI	Air Conditioning and Refrigeration Institute
ARMA	Asphalt Roofing Manufacturers Association
ASA	Acoustical Society of America
ASA	American Standards Association.
ASC	Adhesive and Sealant Council
ASCE	American Society of Civil Engineers
ASHRAE	American Society of Heating, Refrigerating & Air Conditioning Engineers
ASME	American Society of Mechanical Engineers
ASPE	American Society of Plumbing Engineers
ASSE	American Society of Sanitary Engineering
ASTM	American Society for Testing and Materials.
AWCMA	American Window Covering Manufacturers Association
AWG	American Wire Gage
AWI	Architectural Woodwork Institute
AWPA	American Wood Preservers Association
AWPB	American Wood Preservers Bureau
AWPI	American Wood Preservers Institute
AWS	American Welding Society
AWWA	American Water Works Association
BHMA	Builders Hardware Manufacturers Association
BIA	Brick Institute of America
BIFMA	Business and Institutional Furniture Manufacturers Association
CAGI	Compressed Air and Gas Institute
CAUS	Color Association of the United States
CBM	Certified Ballast Manufacturers
CCC	Carpet Cushion Council

CDA	Copper Development Association
CE	Corps of Engineers
CFR	Code of Federal Regulations
CGA	Compressed Gas Association
CISCA	Ceiling and Interior Systems Construction Association
CISPI	Cast Iron Soil Pipe Institute
CPSC	Consumer Product Safety Commission
CRI	Carpet and Rug Institute
CRSI	Concrete Reinforcing Steel Institute
CS	Commercial Standard of NBS (U.S. Dept. of Commerce)
CTI	Ceramic Tile Institute
DFPA	Douglas Fir Plywood Association
DHI	Door and Hardware Institute
DLPA	Decorative Laminate Products Association
DOC	U.S. Department of Commerce
DOT	Department of Transportation
ECSA	Exchange Carriers Standards Association
EIA	Electronic Industries Association
EIMA	Exterior Insulation Manufacturers Association
EJMA	Expansion Joint Manufacturers Association
EPA	Environmental Protection Agency
FAA	Federal Aviation Administration
FCC	Federal Communications Commission
FGMA	Flat Glass Marketing Association
FHA	Federal Housing Administration
FM	Factory Mutual Research Organization
FS	Federal Specifications
FSC	Forest Stewardship Council
FTI	Facing Tile Institute
GA	Gypsum Association
GSA	General Services Administration

HEI	Heat Exchange Institute
HI	Hydronics Institute
H.I.	Hydraulic Institute
HMA	Hardwood Manufacturers Association
HPMA	Hardwood Plywood Manufacturers Association
IBD	Institute of Business Designers
ICEA	Insulated Cable Engineers Association, Inc.
IEEE	Institute of Electrical and Electronic Engineers, Inc.
IESNA	Illuminating Engineering Society of North American
IGCC	Insulating Glass Certification Council
ILI	Indiana Limestone Institute of America
IMSA	International Municipal Signal Association
IRI	Industrial Risk Insurers
ISA	Instrument Society of America
ITE	Institute of Transportation Engineers
LEED™	Leadership in Energy and Environmental Design
LIA	Lead Industries Association, Inc.
LPI	Lightning Protection Institute
MBMA	Metal Building Manufacturer's Association
MCAA	Mechanical Contractors Association of America
MFMA	Maple Flooring Manufacturers' Association
MIA	Marble Institute of America
ML/SFA	Metal Lath/Steel Framing Association
MSS	Manufacturers Standardization Society of the Valve and Fittings Industry
MUTCD	Texas Department of Transportation Manual on Uniform Traffic Control Devices
NAAMM	National Association of Architectural Metal Manufacturers
NAIMA	North American Insulation Manufacturers Association
NAPA	National Asphalt Pavement Association
NBFU	National Board of Fire Underwriters

NBGQA	National Building Granite Quarries Association
NBS	National Bureau of Standards (U.S. Dept. of Commerce)
NCMA	National Concrete Masonry Association
NCRPM	National Council on Radiation Protection and Measurements
NCSPA	National Corrugated Steel Pipe Association
NEC	National Electrical Code (Published by NFPA)
NECA	National Electrical Contractors Association
NEII	National Elevator Industry, Inc.
NEMA	National Electrical Manufacturers Association
NETA	International Electrical Testing Association
N.F.P.A.	National Forest Products Association
NFPA	National Fire Protection Association
NHLA	National Hardwood Lumber Association
NIST	National Institute of Standards and Technology
NLGA	National Lumber Grades Authority
NOFMA	National Oak Flooring Manufacturers Association
NPA	National Particleboard Association
NPCA	National Paint and Coatings Association
NRCA	National Roofing Contractors Association
NWMA	National Woodwork Manufacturers Association
OSHA	Occupational Safety and Health Administration
PCA	Portland Cement Association
PCI	Precast/Prestressed Concrete Institute
PDI	Plumbing and Drainage Institute
PE	Professional Engineer
REA	Rural Electrification Administration
RFCI	Resilient Floor Covering Institute
RMA	Rubber Manufacturing Association
RPLS	Registered Professional Land Surveyor
SDI	Steel Deck Institute
S.D.I.	Steel Door Institute

SFPA	Southern Forest Products Association
SGCC	Safety Glazing Certification Council
SIGMA	Sealed Insulating Glass Manufacturers Association
SJI	Steel Joist Institute
SMACNA	Sheet Metal and Air Conditioning Contractors National Association
SPIB	Southern Pine Inspection Bureau
SPRI	Single Ply Roofing Institute
SSPC	Steel Structures Painting Council
SSPMA	Sump and Sewage Pump Manufacturers Association
SWI	Steel Window Institute
SWPA	Submersible Wastewater Pump Association
TCA	Tile Council of America
TEX TEST	TxDOT Laboratory Test
TIMA	Thermal Insulation Manufacturers Association
TPI	Truss Plate Institute
TxDOT	Texas Department of Transportation
UL	Underwriters Laboratory, Inc.
USDA	U. S. Department of Agriculture
USGBC	U. S. Green Building Council
USPS	U. S. Postal Service
WCLIB	West Coast Lumber Inspection Bureau
WCMA	Wallcovering Manufacturers Association
WIC	Woodwork Institute of California
WLPDIA	Western Lath, Plaster, Drywall Industries Association
WRI	Wire Reinforcement Institute
WSC	Water Systems Council
WSFI	Wood and Synthetic Flooring Institute
WWPA	Western Wood Products Association
W.W.P.A.	Woven Wire Products Association

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PART 1 - GENERAL

1.1 RELATED DOCUMENTS

Documents related to this section will include the construction drawings and general provisions of the Contract, including the General Conditions, Section 00700, Supplemental General Conditions, Section 00810, and other Division 1 requirements.

1.2 SUMMARY

A. This section describes the preconstruction conference and other Project related meetings which may be held on a routine schedule throughout the duration of the Project.

B. The CONTRACTOR, or his authorized representative(s), shall attend all Project related meetings as indicated herein. The CONTRACTOR's representatives, as a minimum, shall include his Project Manager and Superintendent. Other CONTRACTOR's representatives may attend Project related meetings; however, there shall be a maximum of four (4) CONTRACTOR's representatives at any one meeting unless the ENGINEER/ARCHITECT approves a larger number.

C. The CONTRACTOR shall provide all pertinent reports, copies of reports, etc., for each meeting as may be required by this or other sections of the Contract.

1.3 PARTNERING WORKSHOP

A. To complete this work most beneficially for all parties, the Owner desires to form a Partnering Team among the Owner, Engineer/Architect, Contractor, and Subcontractor(s). This relationship will draw on the strength of all parties to identify and achieve mutual goals. The objectives are effective and efficient contract performance, intended to achieve completion within budget, on schedule, and in accordance with the drawings and specifications.

B. The Owner will schedule a Partnering Workshop independent of or in conjunction with the Preconstruction Conference, to facilitate the project objectives. The partnering relationship will be multilateral in makeup and participation will be totally voluntary.

1.4 PRECONSTRUCTION CONFERENCE

A. Attendees:
A preconstruction conference shall be held as soon after the award and execution of the Contract as possible and before any Work at the site is started. The conference will be held at a location selected by the Owner's Project Manager. The Owner's Project Manager shall prepare and distribute the meeting agenda, preside over the conference, and may distribute meeting minutes. The conference shall be attended by:

1. CONTRACTOR's Project Manager.
2. CONTRACTOR's Superintendent.
3. Any Subcontractors' and/or Suppliers' representatives whom the CONTRACTOR may desire to invite or whom the ENGINEER/ARCHITECT or OWNER may request to attend.
4. ENGINEER/ARCHITECT's representative.
5. OWNER's Project Manager.
6. OWNER's REPRESENTATIVE
7. OWNER's Sponsor Department Representative.
8. Representative from the City of Austin, Transportation Department if a traffic management plan is required.

9. Representative from the City of Austin, Watershed Protection and Development Review Department, Environmental Inspection Division, if site erosion / sedimentation controls are required.

10. Representative from the City of Austin, Transportation Department if utility coordination has occurred through the Austin Utility Location and Coordination Committee.

11. Representative from the City of Austin, Contract Management Department, Contract Administration Division, to discuss wage.

12. Representative from the City of Austin, Small and Minority Business Resources Department to discuss M/WBE compliance.

B. Meeting topics

The topics to be discussed may include, but will not be limited to, the following items:

1. Introduction of persons attending the meeting.
2. General project description, including length of contract and liquidated damages.
3. Key personnel associated with the construction (may include, but is not limited to the following):
    - CONTRACTOR's Project Manager
    - CONTRACTOR's Superintendent
    - OWNER's Project Manager
    - ENGINEER/ARCHITECT's representative
    - OWNER's Sponsor Department Representative.
    - Representatives of the various utilities.
4. Lines of communication and chains of command.
5. Wage and personnel records and reporting requirements.
6. Subcontractors and suppliers.
7. Submittal review and approval procedure. Submittals may include, but are not limited to the following:
    - Letter stating the name and qualifications of the CONTRACTOR's Superintendent
    - Letter(s) from the Subcontractor(s) listing their salaried specialists
    - If applicable, a letter designating the Registered Professional Land Surveyor
    - If applicable, a letter designating the Safety Representative (for general project safety) and the "Competent Person" for excavation safety
    - Excavation Safety Systems Plan
    - Schedule of Values
    - Schedule for submittals
    - Shop drawings
    - Construction schedule (The schedule shall indicate the phases of work in which subcontractors will be participating. Subcontractors shall be indicated by name.)
    - Payroll reports
    - Substitution of subcontractors
    - Non-use of asbestos materials affidavit
    - Appropriate safety training certificates for workers that will initially be on site

- Documentation for all workers initially on site who are governed by a prevailing wage classification as described in Section 00830.
- Construction Equipment Emissions Reduction Plan

8. Job and traffic safety.
9. Permits.
10. Utility coordination report.
11. Notification of property owners and other affected by the project
12. Job meetings.
13. Use of the site for construction, storage, staging, etc., and interrelationship with other contracts.
14. Equal opportunity requirements.
15. Laboratory testing of material requirements.
16. Inventory of materials stored on site provisions.
17. Progress estimate and payment procedure.
18. Posting of signs.
19. Project safety.
20. Prompt payment procedure.
21. Review of contract - addenda, supplementary general conditions, special provisions, special specifications, and other unique project items.
22. Other

1.5 JOB MEETINGS

A. General

Job meetings shall be held as deemed necessary by the ENGINEER/ARCHITECT or OWNER or as requested by the CONTRACTOR throughout the duration of the Project. The meetings shall be held at a location selected by or approved by the Owner's Representative. The OWNER's REPRESENTATIVE or CONTRACTOR, as agreed to, shall preside over the meeting and issue meeting minutes.

B. Attendees

Job meetings will be attended by the following:

1. CONTRACTOR's Project Manager, when requested to attend.
2. CONTRACTOR's Construction Superintendent.
3. Any subcontractors' and/or suppliers' representatives whom the CONTRACTOR may desire to invite or whom the ENGINEER/ARCHITECT or OWNER requests to attend.
4. OWNER's REPRESENTATIVE
5. ENGINEER/ARCHITECT's representative(s), if needed or required.
6. OWNER's PROJECT MANAGER, if needed or required
7. OWNER's Sponsor Department representative(s), if needed or required.

C. Meeting topics

The topics will include, but not necessarily be limited to, the following subjects:

1. Review of previous meetings' notes and update of pertinent information and Project status.

2. Identification and discussion of new job related construction problems. Such discussion will be toward resolving identified problems.

3. Review work accomplished to date and establish proposed construction activities for the upcoming week(s).

4. Discuss the status of or need for change orders.

5. Check of required bonds and insurance certificates (including Workers' Compensation Insurance verification for CONTRACTOR's, Subcontractor's, and Sub-Subcontractor's employees as stated in Section 00700, General Conditions, 5.2 Workers' Compensation).

6. Status of pay requests.

7. Work in progress.

8. Review and update construction schedule.

9. Review of submittals schedule and status of submittals.

10. Status of SMBR Compliance Plan.

11. Status of Safety Training certificates for all new workers on project.

12. Other.

1.6 OTHER MEETINGS

Other meetings shall be held from time to time as may be requested by the CONTRACTOR, the ENGINEER/ARCHITECT, or the OWNER. The time and place of the meetings shall be as mutually agreed upon. The attendance at the meetings shall be as requested by the party requesting the meeting.

END

PART 1 - GENERAL

1.1 RELATED DOCUMENTS:

The Contractor prepares submittals. Drawings and general provisions of Contract, including Section 00700, "General Conditions"; Section 00810, "Supplemental General Conditions"; Division 1 requirements and City of Austin Technical Specifications and Special Provisions thereto, should be used as the related documents for this requirement.

1.2 SUMMARY

A. This Section includes administrative and procedural requirements for submittals required for performance of the Work, including the following:

1. Security plan.
2. Contractor's construction schedule.
3. Submittal schedule.
4. Shop drawings.
5. Product data.
6. Samples.
7. Quality assurance and quality control submittals, including calculations, mix designs and substantiating test results.

B. Administrative Submittals: Refer to other Division 1 Sections and other Contract Documents for requirements for administrative submittals. Such submittals include, but are not limited to, the following:

1. Permits.
2. Applications for Payment.
3. Performance and Payment bonds.
4. Insurance certificates.
5. Monthly Subcontractors expense report.
6. Non-use of asbestos affidavits

C. Related Sections: The following Sections contain requirements that relate to this Section:

1. Section 00700, "General Conditions"; Section 00810, "Supplemental General Conditions"; and/or Division 1, Section 01025, "Measurement and Payment" specifies requirements for submittal of the Schedule of Values.

2. Division 1, Section 01200, "Project Meetings" specifies requirements for submittal and distribution of meeting and conference minutes.

3. Section 00700, "General Conditions"; Section 00810, "Supplemental General Conditions"; and/or Division 1, Section 01700, "Contract Close-out" specifies requirements for submittal of Project Record Documents and warranties at project close-out.

4. Section 00700, "General Conditions" Article 6.2.4 specifies requirements for Substitutes and "Approved Equal" Items.

D. Technical Submittals: Technical information required to be submitted by the Standard Specifications, Special Provisions or Special Specifications.

PART 2 - PRODUCTS - not used

PART 3 - EXECUTION

3.1 SUBMITTAL PROCEDURES

Contractor shall be responsible for the following:

A. Coordination: Coordinate preparation and processing of submittals with performance of construction activities. Transmit each submittal sufficiently in advance of performance of related construction activities to avoid delay.

1. Coordinate each submittal with fabrication, purchasing, testing, delivery, other submittals, and related activities that require sequential activity.

2. Coordinate transmittal of different types of submittals for related elements of the Work so processing will not be delayed by the need to review submittals or resubmittals concurrently.

    a. The E/A reserves the right to withhold action on a submittal requiring coordination with other submittals until all related submittals are received.

3. Processing: To avoid the need to delay installation as a result of the time required to process submittals, allow sufficient time for submittal review, including time for resubmittals.

    a. Allow twenty one (21) calendar days for initial review. Allow additional time if the Engineer must delay processing to permit coordination with subsequent submittals.

    b. If an intermediate submittal is necessary, process the same as the initial submittal.

    c. Allow fourteen (14) calendar days for processing each resubmittal.

    d. No extension of Contract Time will be authorized because of failure to transmit submittals to the E/A sufficiently in advance of the Work to permit processing.

B. Submittal Preparation: Place a permanent label or title block on each submittal for identification. Indicate the name of the entity that prepared each submittal on the label or title block.

1. Provide a space approximately 4 inches by 5 inches (100 by 125 mm) on the label or beside the title block on Shop Drawings to record the Contractor's review and approval markings and the action taken.

2. Include the following information on the label for processing and recording action taken.

    a. Project name.
    b. Date.
    c. Name and address of the Contractor’s Engineer.
    d. Name and address of the Contractor.
    e. Name and address of the subcontractor.
    f. Name and address of the supplier.
    g. Name of the manufacturer.
    h. Number and title of appropriate Specification Section.
    i. Drawing number and detail references, as appropriate.

C. Number of Copies:

1. Four (4) copies of the proposed Construction schedule and subsequent revision are required.

2. Four (4) copies of the proposed Submittal schedule and subsequent revision are required.

3. Four (4) copies of Shop Drawings, Product, Product Samples, Quality Assurance and Quality Control submittals are required.

D. Submittal Transmittal: Package each submittal appropriately for transmittal and handling. Transmit each submittal from the Contractor to the E/A through the Owner's Representative using a transmittal form (An example Transmittal Form is provided at the end of this section). The E/A will not accept submittals received from sources other than the Contractor.

1. On the transmittal, record relevant information and requests for data. On the form, or separate sheet, record deviations from Contract Document requirements, including variations and limitations. Include Contractor's certification that information complies with Contract Document requirements.

2. Number transmittals in sequence for each Series of the Specifications thus: x-xxx. The number after the dash indicates the Section of the Specifications, and the number before the dash is the sequence number of the transmittal. For example, the first item submitted related to Specification Item No. 506, "Manholes" would be labeled **1-506**, the second item submitted would be labeled **2-506**, etc. If the submittal item relates to a Special Provision or Special Specification, use **SP506** or **SS5061**, for example, to indicate the applicable Specification Section. Identify resubmittals with a letter of the alphabet following the original sequence number, using "A" for the first resubmittal, "B" for the second resubmittal, etc. For example, the first resubmittal of the second item submitted for Specification SP506 would be labeled **2A-SP506**.

3.2 CONTRACTOR'S CONSTRUCTION SCHEDULE

A. Construction Schedule: As described in Section 2.4.2 of Section 00700 "General Conditions", prepare a fully developed Contractor's construction schedule ("Baseline Schedule") using Microsoft Project© software unless otherwise approved by Owner's Representative. Submit Baseline Schedule prior to or at the preconstruction conference, and submit updated schedules as specified by the E/A, usually at each regularly scheduled Project Meeting and with each pay application.

1. Detail each significant construction activity and use a weekly timeframe for the schedule. Use the same breakdown of units of the Work as indicated in the "Schedule of Values."

2. With each update, revise task completion percentage and mark completed tasks.

3. Prepare the schedule on a sheet, or series of sheets, of stable transparency, or other reproducible media, of sufficient width to show data for the entire construction period.

4. Secure time commitments for performing critical elements of the Work from parties involved. Coordinate each element on the schedule with other construction activities; include minor elements involved in the sequence of the Work. Show each activity in proper sequence. Indicate graphically the critical path items and the sequences necessary for completion of related portions of the Work.

5. Indicate the phases of work in which subcontractors will be participating. Subcontractors shall be indicated by name.

6. Coordinate the Contractor's Construction Schedule with the Schedule of Values, list of subcontracts, Submittal Schedule, progress reports, payment requests, and other schedules.

7. Indicate substantial completion in advance of the date established for Final Completion to allow time for the E/A's procedures necessary for certification of Substantial and Final Completion.

B. Work Stages: Indicate important stages of construction for each major portion of the Work, including submittal review, testing, and installation.

C. Cost Correlation: Within the Baseline Schedule, provide cost information indicating planned and actual costs. On the appropriate task line(s), show dollar volume of Work performed as of the dates used for preparation of applications for payment. Refer to Section 00700, "General Conditions", Article 14 Payment to Contractor and Completion for cost reporting and payment procedures.

D. Distribution: Following response to the Baseline Schedule submittal, distribute electronic copies to the E/A, subcontractors, suppliers, and other parties required to comply with scheduled dates. Keep a copy at the Project Site at all times.

1. When revisions are made, distribute to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the Work and are no longer involved in construction activities.

E. Schedule Updating: Revise the schedule after each meeting, event, or activity where revisions have been recognized or made and as requested by the E/A. Issue the updated schedule concurrently with the report of each meeting, or as requested by the E/A.

3.3 SUBMITTAL SCHEDULE

A. Concurrently with the development of the Contractor's Construction Schedule, prepare a complete schedule of submittals. Submit the initial Submittal Schedule along with the Construction Schedule, at, or prior to, the Pre-construction Conference.

1. Coordinate Submittal Schedule with the list of subcontractors, Schedule of Values, and the list of products as well as the Contractor's Construction Schedule.

2. Prepare the schedule in chronological order. Provide the following information:

a. Scheduled date for the first submittal.
b. Related Section number or Specification number.
c. Submittal category (Shop Drawings, Product Data, Calculations, Test Results, or Samples).
d. Name of the subcontractor.
e. Description of the part of the Work covered.
f. Scheduled date for resubmittal.
g. Scheduled date for completion of the E/A's review.

B. Contractor's schedule of submittals will be reviewed to verify that the list of submittals provided is all inclusive and the schedule is reasonable and realistic for delivery of the project. Owner will provide a response to the Contractor based on the assessment of the schedule of submittals.

C. Distribution: Upon Owner's agreement with the schedule of submittals, print and distribute copies to the Owner's Representative, E/A, Owner, subcontractors, suppliers, and other parties required to comply with submittal dates indicated. Keep copies at the Project Site at all times.

1. When revisions are made, distribute to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the Work and are no longer involved in construction activities.

D. Schedule Updating: Revise the schedule after each meeting or activity where revisions have been recognized or made. Issue the updated schedule concurrently with the report of each meeting, or as requested by the E/A.

3.4 CONSTRUCTION SEQUENCE PLANS

The Contractor is required to submit construction sequence plans to the City at, or prior to, the pre-construction conference for approval. The Project shall be divided into phases according to the sequence of construction given in the Drawings and traffic control plans. The Contractor shall arrange his/her work schedule to complete all Work on each phase, including street repair, any valve casting or manhole adjustments, and street overlay before moving on to the next work area.

3.5 SHOP DRAWINGS

A. Submit newly prepared information drawn accurately to scale. Highlight, circle, or otherwise indicate deviations from the Contract Documents. Do not reproduce Contract Documents or copy standard information as the basis of Shop Drawings. Standard information prepared without specific reference to the Project is not a Shop Drawing.

B. Shop Drawings include fabrication and installation Drawings, setting diagrams, schedules, patterns, templates and similar Drawings. Include the following information:

1. Dimensions;
2. Identification of products and materials included by sheet and detail number;
3. Compliance with specified standards;
4. Notation of coordination requirements; and
5. Notation of dimensions established by field measurement.
6. Sheet Size: Except for templates, patterns and similar full-size Drawings, submit Shop Drawings on sheets at least 8-1/2 inches by 11 inches but no larger than 24 inches by 36 inches.
7. Do not use Shop Drawings without an appropriate stamp indicating action taken.

3.6 PRODUCT DATA

A. Collect Product Data into a single submittal for each element of construction or system. Product Data includes printed information, such as manufacturer's installation instructions, catalog cuts, standard color charts, roughing-in diagrams and templates, standard wiring diagrams, applicable certifications and performance curves.

1. Mark each copy to show applicable choices and options. Where printed Product Data includes information on several products that are not required, mark copies to indicate the applicable information. Include the following information:

   a. Manufacturer's printed recommendations;
   b. Compliance with trade association standards;
   c. Compliance with recognized testing agency standards;
   d. Application of testing agency labels and seals;
   e. Notation of dimensions verified by field measurement; and
   f. Notation of coordination requirements.

2. Do not submit Product Data until compliance with requirements of the Contract Documents has been confirmed.

3. Distribution: Furnish copies of final submittal to installers, subcontractors, suppliers, manufacturers, fabricators, and others required for performance of construction activities. Show distribution on transmittal forms.

   a. Do not proceed with installation until a copy of the final submission of Product Data is in the Installer's possession.

   b. Do not permit use of unmarked copies of Product Data in connection with construction.

4. Potable Water, Reclaimed Water, and Wastewater Items or Projects: The Contractor shall submit descriptive information and evidence that the materials and equipment

the Contractor proposes for incorporation into the Work is of the kind and quality that satisfies the specified functions and quality. **Austin Water Utility Standard Products Lists (SPL)** are a part of the Specifications. Contractors shall use products specified in the Contract Documents, listed on the SPLs, or approved by AWU through the process in Section 2.4.0 of the Utilities Criteria Manual. Products contained in the SPL cannot be substituted for items shown on the Drawings, or called for in the specifications, unless approved by the E/A in conjunction with the Austin Water Utility Standards Committee. **Unless otherwise specified,** products current at the time of solicitation shall be installed except where an updated List has been issued to remove a product because of quality or performance issues.

3.7 SAMPLES

A. Submit full-size, fully fabricated Samples cured and finished when specified and physically identical with the material or product proposed. Samples include partial sections of manufactured or fabricated components, cuts or containers of materials, color range sets, and swatches showing color, texture, and pattern.

1. Mount or display Samples in the manner to facilitate review of qualities indicated. Include the following:

    a. Specification Section number and reference;
    b. Generic description of the Sample;
    c. Sample source;
    d. Product name or name of the manufacturer;
    e. Compliance with recognized standards; and
    f. Availability and delivery time.

2. Submit Samples for review of size, kind, color, pattern, and texture. Submit Samples for a final check of these characteristics with other elements and a comparison of these characteristics between the final submittal and the actual component as delivered and installed.

    a. Where variation in color, pattern, texture, or other characteristic is inherent in the material or product represented, submit at least 3 multiple units that show approximate limits of the variations.

    b. Refer to other Specification Sections for requirements of Samples that illustrate workmanship, fabrication techniques, details of assembly, connections, operation, and similar construction characteristics.

    c. Refer to other Sections for Samples to be returned to the Contractor for incorporation in the Work. Such Samples must be undamaged at time of use. On the transmittal, indicate special requests regarding disposition of Sample submittals.

    d. Samples not incorporated into the Work, or otherwise designated as the Owner's property, are the property of the Contractor and shall be removed from the site prior to Substantial Completion.

3. Maintain sets of Samples, as returned, at the Project Site, for quality comparisons throughout the course of construction.

    a. Unless noncompliance with Contract Document provisions is observed, the submittal may serve as the final submittal.

    b. Sample sets may be used by Owner for final acceptance of the construction associated with each set.

B. Distribution of Samples: Prepare and distribute additional sets to subcontractors, manufacturers, fabricators, suppliers, installers, and others as required for performance of the Work. Show distribution on transmittal forms.

3.8 QUALITY ASSURANCE AND QUALITY CONTROL SUBMITTALS

A. Submit quality assurance and quality control submittals, including design data, certifications, manufacturer's instructions, manufacturer's field reports, materials test results, field testing and inspection reports, and other quality-control submittals as required under other Sections of the Specifications.

B. Certifications: Where other Sections of the Specifications require certification that a product, material, or installation complies with specified requirements, submit a certification from the manufacturer or responsible Engineer certifying compliance with specified requirements.

1. Signature: Certification shall be signed by an officer of the corporation or other individual authorized to sign documents on behalf of the company.

C. Calculations: When required in the technical specification, calculations shall be prepared and stamped by a Professional Engineer registered in the State of Texas.

D. Concrete, Controlled Low Strength Material, Asphalt Stabilized Base and Hot Mix Asphaltic Concrete Mix Designs and Substantiating Test Data: Requirements for submittal of mix designs and substantiating test data are specified in the applicable Technical Specification Section. Each separate batch plant supplying ASB, HMAC and/or concrete shall submit mix designs to the Owner’s Representative for review.

3.9 ENGINEER/ARCHITECT'S ACTION

A. Except for submittals, for the record, or for information where action and return is not required, the E/A will review each submittal, mark to indicate action taken, and return within the time frame specified in Paragraph 3.1.A.3.

1. Compliance with specified characteristics is the Contractor's responsibility.

B. Action Stamp: The E/A will stamp each submittal with a uniform, action stamp. The E/A will mark the stamp appropriately to indicate the action taken, as follows:

1. “Reviewed”: the Work covered by the submittal may proceed provided it complies with requirements of the Contract Documents. Final payment depends on that compliance.

2. "Reviewed with Comments”: the Work covered by the submittal may proceed provided it complies with notations or corrections on the submittal and requirements of the Contract Documents. Final payment depends on that compliance.

3. "Revise and Resubmit” or “Rejected": do not proceed with Work covered by the submittal, including purchasing, fabrication, delivery, or other activity. Revise or prepare a new submittal according to the notations and resubmit without delay. Repeat if necessary to obtain different action mark.

a. Do not use, or allow others to use, submittals marked "Revise and Resubmit" or “Rejected” at the Project Site or elsewhere where Work is in progress.

4. Other Action: Where a submittal is for information, or for record purposes, or for special processing, or for other activity, the E/A will return the submittal marked “Record Copy”, “Action Not Required” or “No Action Taken."

C. Unsolicited Submittals: The E/A will return unsolicited submittals to the sender without action.

3.10 PREPARATION AND SUBMITTAL OF CONSTRUCTION RECORD DRAWINGS

The Owner's Representative and the Contractor's Superintendent will each maintain a set of bluelines noting any changes in ink during construction of the Project. The Owner's Representative and the Contractor's Superintendent will compare bluelines at least weekly (at a time mutually acceptable to both) to exchange information and compare notes to ensure all items installed and changes are documented. The following is a recommended minimum of items to be noted:

GENERAL

1. Notes should be sufficiently clear to allow a draftsperson to easily make the necessary changes without the need for field checks and interpretation.
2. One complete set of Construction Record bluelines will be submitted prior to the final pay request and forwarded to the Owner.

STREET RECONSTRUCTION AND OVERLAY PROJECTS

1. Location, type, and quantity of all work added or deleted from the Project including repair areas, milled areas, sidewalk, ramps, curb and gutter, etc.
2. Deviations in street, sidewalk, curb and gutter location and grades from Drawings.

WATER/WASTEWATER PROJECTS

1. Type, name and model numbers of all valves (with # of turns to open/close), air release valves, drain and fire hydrants noted at locations installed.
2. Installed locations of all assignments, appurtenances and elevations which differ from those indicated on the Drawings.
3. Pipe manufacturer type and classification noted in sufficient detail to determine location and extent of each type or classification installed.
4. Modification to any standard or special details noted.
5. Location and description of pipe closures.
6. Thrust blocking locations and restrained pipe lengths, approximate dimensions and quantities noted.
7. Location, type and quantity of all addition and deletions.
8. Changes in grade.

The above list is not intended to be complete. Any information noted which could be used for future maintenance, location and construction projects is encouraged to be noted on the bluelines.

3.11 CONSTRUCTION DIARIES

The Contractor shall prepare a daily construction diary recording as a minimum the following information concerning events at the site and submit duplicate copies to the Owner's Representative at weekly intervals. The copies are to be signed by the project Superintendent as defined in Section 00700, Article 6.1.2.

1. Work performed;
2. Approximate count of Contractor's personnel, by classification, on the site;
3. List by classification, of all Subcontractors, personnel and any professionals on the site that day;
4. List of all equipment on the site by make and model;
5. High and low temperatures together with general weather conditions;
6. Start time and finish time of day's work;
7. Accidents and / or unusual events;
8. Meetings and significant decisions made;
9. Stoppages, delays, shortages and / or losses;
10. Meter readings and / or similar recordings;
11. Emergencies procedures that may have been needed;
12. Orders and requests of governing authorities;
13. Change Orders received and implemented;
14. Services connected and / or disconnected;
15. Installed equipment and / or system tests and / or startups and results;
16. Partial completions and / or occupancies; and

17. Date of substantial completion certified.

Shop Drawing Transmittal

Month XX, 2008

Transmittal No. _____
Previous Transmittal No. _____
Previous Submittal Date _____

City of Austin
Construction Inspection Division
Public Works Department
P.O. Box 1088
Austin, Texas 78767

Project Name: Austin Lakeside Drive

Attention: Owner's Representative

Enclosed are nine (9) copies of the following items for your review:

Item No.	Description	Submittal Type	Specification Section	Subcontractor/Supplier
1.	6" DI Pipe	Produce Data	510	ABC Company
2.	8" Resilient Seat Gate Valve	Product Data	511	DEF Company

Example

NOTE: Item 1 above contains a deviation from the Specifications as indicated on the item

Submitted by: _____

XYZ Company
101 Ranch Road 2974
Austin, Texas 78759

END

PART 1 GENERAL

1.01 SUMMARY

A. Preliminary Progress Schedule: Submit no later than Pre-Construction Conference.

B. Submit the initial Submittal Schedule along with the Progress Schedule, at, or prior to, the Pre-Construction Conference.

C. Progress Schedule: Submit adjusted Progress Schedule or confirm validity of current schedule with each monthly Application for Payment in accordance with the General Conditions, and at such other times as necessary to reflect:
1. Progress of Work to within 5 working days prior to submission;
2. Changes in Work scope and activities modified since submission;
3. Delays in Submittals or resubmittals, deliveries, or Work;
4. Adjusted or modified sequences of Work;
5. Other identifiable changes; and
6. Revised projections of progress and completion.

D. Narrative Progress Report: Submit with each monthly submission of progress schedule.

E. Precedent to final payment, provide four copies of any Critical Path Method (CPM) type schedule utilized with certification that said schedule represents correctly the way the Work was performed.

F. Progress quantity chart(s).

G. Distribution: Following response to the initial schedule submittal, print and distribute copies to the ENGINEER, subcontractors, suppliers, and other parties required to comply with scheduled dates. Keep a copy at the Project Site at all times.
1. When revisions are made, distribute to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the Work and are no longer involved in construction activities.

1.02 SUBMITTAL SCHEDULE

A. Prepare a complete Submittal Schedule concurrently with the development of the Progress Schedule.
1. Coordinate Submittal Schedule with the list of subcontracts, Schedule of Values, and the list of products as well as the Progress Schedule.
2. Prepare the schedule in chronological order. Provide the following information:
   a. Listing of all submittals with each assigned a number.
   b. Scheduled date for the first submittal.
   c. Related Section number or Specification number.
   d. Submittal category (Shop Drawings, Product Data, Calculations, Test Results, or Samples).
   e. Name of the subcontractor.
   f. Description of the part of the Work covered.
   g. Scheduled date for resubmittal.
   h. Scheduled date for completion of the ENGINEER's review.
   i. Estimated procurement time for each item, as applicable.

B. Distribution: Following OWNER's response to the initial submittal, print and distribute copies to the ENGINEER, OWNER, subcontractors, suppliers, and other parties required to comply with submittal dates indicated. Keep copies at the Project Site at all times.

1. When revisions are made, distribute to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the Work and are no longer involved in construction activities.

1.03 PROGRESS SCHEDULE

A. General

1. Schedule(s) shall reflect Work logic sequences, restraints, delivery windows, review times, Contract Times, and Milestones set forth in the Agreement, and shall begin with the date of Notice to Proceed and conclude with the date of Final Completion.
2. The schedule requirement herein is the minimum required. CONTRACTOR may prepare a more sophisticated schedule if such will aid CONTRACTOR in execution and timely completion of Work.
3. Base schedule on standard 5-day work week.
4. Coordinate the Progress Schedule with the Schedule of Values, list of subcontracts, Submittal Schedule, progress reports, payment requests, and other schedules.
5. Indicate important stages of construction for each major portion of the Work, including submittal review, testing, and installation.
6. When the OWNER requests a specific sequence of work, that sequence of work is to be identified as a separate time line with its own critical path and schedule constraints.
7. Shop drawing submittals, including float for review time, are to be shown as specific activities on critical path items.
8. O&M Manual submissions are to be clearly shown as a separate task item on the schedule.
9. Indicate substantial completion in advance of the date established for Final Completion to allow time for the ENGINEER's procedures necessary for certification of Substantial and Final Completion.
10. Cost Correlation: At the head of the schedule, provide a cost correlation line, indicating planned and actual costs. On the line, show dollar volume of Work performed as of the dates used for preparation of applications for payment. Refer to Section 00700, "General Conditions", Article 14 Payment to Contractor and Completion for cost reporting and payment procedures.
11. Use Microsoft Project latest version or a compatible and approved software.

B. Format

1. Critical Path Method (CPM) Schedule, on maximum 24-inch by 36-inch sheet size to include at least:
    a. Schedule to have critical path clearly shown on a calendar and the relationship between each task and calendar day indicated. The earliest time at which an activity may be started, the latest time at which an activity may be started, the earliest time which an activity may be finished, and the latest time at which an activity may be finished are to be clearly shown on the schedule. Format the schedule such that there is a one to one correspondence between schedule items and the Schedule of Values items. In addition submit an arrow diagram or precedence diagram for the initial schedule and each revision.
    b. Schedule to include those activities reasonably required to complete Work, including, but not limited to, subcontract Work, major equipment design, fabrication, factory testing, and delivery dates including required lead times for OWNER-furnished products, move-in and other preliminary activities, equipment and equipment system test and startup activities, Project closeout and cleanup, and specified Work sequences, constraints, and Milestones, including Substantial Completion date(s). Listings to be identified by Specification Section number.
    c. Identify: (i) horizontal time frame by year, month and week; (ii) duration, early-start, and completion for each activity and sub-activity; and (iii) critical activities and Project float.

d. Sub-schedules to further define critical portions of the Work.
e. Provide a separate time bar for each significant construction activity. Provide a continuous vertical line to identify the first working day of each week. Use the same breakdown of units of the Work as indicated in the "Schedule of Values".
f. Within each time bar, indicate estimated completion percentage in 10 percent increments. As Work progresses, place a contrasting mark in each bar to indicate Actual Completion.
g. Secure time commitments for performing critical elements of the Work from parties involved. Coordinate each element on the schedule with other construction activities; include minor elements involved in the sequence of the Work. Show each activity in proper sequence. Indicate graphically the critical path items and the sequences necessary for completion of related portions of the Work.
h. Indicate the phases of work in which subcontractors will be participating. Indicate Subcontractors by name.

2. Prepare the schedule on a sheet, or series of sheets, of stable transparency, or other reproducible media, with data for the entire construction period. Schedule is to be submitted as both a "hard" copy and a PC-compatible electronic copy on a CD-ROM.

C. If CONTRACTOR provides an accepted schedule with an early completion date, OWNER reserves the right to reduce Contract Times to match the early completion date by issuing a deductive Change Order at no change in Contract Price.

D. No partial payment requests will be processed unless the progress schedule has been submitted and/or updated and approved by the OWNER. No partial payments will be made unless the schedule has been approved by the OWNER.

E. Updating Progress Schedule: Adjust or confirm the Progress Schedule on a monthly basis. Revise the Progress Schedule after each meeting, event, or activity where revisions have been recognized or made. Issue the updated Progress Schedule at maximum intervals of 90 days, to reflect as nearly as possible the actual construction operations, show overall percent complete, projected and actual, and completion progress by listed activity and sub-activity.

1.04 NARRATIVE PROGRESS REPORT

A. Include, as a minimum:
1. Summary of Work completed during the past period between Narrative Progress Reports.
2. Work planned during the next period.
3. Explanation of differences between summary of Work completed and Work planned in previously submitted Narrative Progress Report.
4. Current and anticipated delaying factors and their estimated impact on other activities and completion Milestones.
5. Corrective action taken or proposed.

1.05 PROGRESS OF THE WORK

A. If CONTRACTOR fails to complete activity by its latest scheduled completion date and this failure may extend Contract Times (or Milestones), CONTRACTOR shall, within 7 days of such failure, submit a written statement as to how CONTRACTOR intends to correct nonperformance and return to the acceptable current progress schedule. Actions by CONTRACTOR to complete Work within Contract Times (or Milestones) will not be justification for adjustment to Contract Price or Contract Times.

1.06 - 1.11 (NOT USED)

PART 2 PRODUCTS (NOT USED)

PART 3 EXECUTION (NOT USED)

END OF SECTION

Division 1 General Requirements

SUSTAINABLE CONSTRUCTION REQUIREMENTS
Section 01352

Non-Building Project – Watershed, Sitework, Landscape

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 1 Specification Sections, apply to this Section. The requirements may or may not include reference to sustainability.

Related Sections include the following Division 1 Sections:

1. The contents of this Section are related to all Sections of these Specifications.
2. Section 01300 Submittals.
3. Section 01505 Construction and Demolition Waste Management and Disposal.

1.2 SUMMARY

A. This Section includes requirements and procedures for compliance with certain Sustainable Construction requirements.

1. Some Sustainability requirements are dependent on material selections and may not be specifically identified as sustainability requirements. Compliance with requirements may be used as one criterion to evaluate substitution requests.

2. Additional Sustainability Construction requirements are dependent on design and other aspects of the Project that are not part of the Work of the Contract.

1.3 DEFINITIONS

A. Regionally Manufactured Materials: Materials that are manufactured within a radius of 500 miles (800 km) from the Project location. Manufacturing refers to the final assembly of components into the product that is installed at the Project site.

B. Regionally Extracted, Harvested, or Recovered Materials: Materials that are extracted, harvested or recovered and manufactured within a radius of 500 miles (800 km) from the Project site.

C. Recycled Content: The percentage by weight of constituents that have been recovered or otherwise diverted from the solid waste stream, either during the manufacturing process (pre-consumer), or after consumer use (post-consumer).

D. Post-consumer recycled content: The percentage (by weight) of a reclaimed waste material contained in a product. A reclaimed waste material (e.g., newspaper, magazines, beverage containers, etc.) has already served a purpose to a consumer and has been diverted or separated from waste stream for recycling.

E. Pre-Consumer Recycled Content: (or Post-industrial recycled content). The percentage (by weight) of a waste material obtained from industrial processes that is contained in a product. Discarded materials from one manufacturing process that are used in another manufacturing process are pre-consumer recycled materials. Wastes from the original manufacturing process used in the same product after minimal reprocessing are <u>not</u> recycled material.

F. Recycled material: A material that would otherwise be destined for landfill disposal but is diverted or separated from the waste stream, reintroduced as material feedstock, and processed into marketed end products.

G. Reused Material: Any item that is salvaged or reused without significant reprocessing as in a recycling process.

H. Source reduction: Minimization of waste at the start of a process or activity so that there is a reduced amount of waste to recycle or dispose. Also called precycling.

I. Xeriscape: Quality landscaping that conserves water and protects the environment through its employment of seven principles: planning and design; soil analysis; appropriate plant selection; practical turf areas; efficient irrigation; use of mulches; and appropriate maintenance.

1.4 SUSTAINABILITY OBJECTIVES/GOALS

The City of Austin is committed to sustainability and expects the Project to reflect this commitment. The specific Sustainable (Design & Construction) goals for this project include:

1. Protection of the environment.
2. Limiting construction site area and disturbance of natural habitat and protection of trees and vegetation.
3. Reduction of waste created by construction activity through Source Reduction.
4. Increasing the use of materials and products with recycled content.
5. Chemically safe construction materials and pest management.
6. Construction materials that use less energy and create less pollution in manufacture, delivery, installation, renovation, and demolition.

1.5 SUBMITTALS

A. *Sustainability submittals* If required submitted item is identical to that submitted for another requirement, submit duplicate copies for each separate submittal.

B. *Sustainable Construction Action Plans*: Provide preliminary submittals within 14 calendar days of date established for the Notice to Proceed indicating how the following requirements will be met.

1. Construction & Demolition Waste Management Plan complying with Division 1 Section 01505 "Construction Waste Management."
2. List of proposed materials with recycled content, indicating cost, post consumer recycled content, and pre-consumer recycled content for each product having recycled content.
3. List of proposed regionally manufactured materials and regionally extracted, harvested, or recovered materials, identifying each regionally manufactured material and its source.

C. *Sustainability Contract Closeout Documentation*:

1. Comply with Division 1 Section 01505 "Construction Waste Management."
2. Provide manufacturer's product Data indicating percentages by weight of post-consumer and pre-consumer recycled content for products having recycled content. Include statement indicating costs for each product having recycled content.
3. Provide manufacturer's and or supplier's product Data indicating location of material manufacturer for regionally manufactured, harvested, recovered and extracted materials.

D. ***Sustainable Construction Progress Reports***: Concurrent with each Application for Payment, submit reports comparing actual construction and purchasing activities with Action Plans for the following:

1. Waste reduction progress reports complying with Division 1 Section 01505 "Construction & Demolition Waste Management and Disposal."

2. Salvaged and refurbished materials.

E. ***Sustainability Documentation Submittals:***

1. Comply with Division 1 Section 01505 "Construction Waste Management"

1.6 QUALITY ASSURANCE

A. Sustainability Coordinator: Engage a responsible person on the construction team who is familiar with Sustainable practices and procedures. The Coordinator may also serve as the Waste Management coordinator.

PART 2 – PRODUCTS

Provide and maximize to the greatest extent economically feasible, a combination of salvaged, refurbished, recycled, regional materials within the scope of the project.

PART 3 – EXECUTION

A. SITE DISTURBANCE - Special Site Practices:

1. Construction Activity Pollution Prevention: Comply with City of Austin standard requirements.

2. Protect and restore natural vegetation per division 1 Sections and plans.

3. Replace any removed or destroyed vegetation with equivalent species that are within City guidelines for native and adaptive plants.

4. Restrict construction activity only to defined site limits per plans and avoid activity and practices that would adversely affect environment, property, soils, vegetation and water courses.

END SECTION 01352

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CONSTRUCTION EQUIPMENT EMISSIONS REDUCTION PLAN
Section 01353

1. GENERAL

1.1. RELATED DOCUMENTS

A. This Section applies to Drawings and all provisions of Contract.

1.2. SUMMARY – CONSTRUCTION EQUIPMENT EMISSIONS PLAN

A. The OWNER, as part of the Council Resolution No. 20100211-019, has decided to take steps to reduce emissions associated with construction process including Nitrogen Oxides (NOx), particulate matter and greenhouse gas. Construction activity is a source of large quantities of particulate matter and ozone forming Nitrogen Oxides that adversely affect the health of our community and the natural environment.

B. The CONTRACTOR shall employ practices and take actions that reduce emissions from NOx, particulate matter (black soot) and greenhouse gases resulting from activities associated with new construction and demolition Projects.

C. The CONTRACTOR shall maximize the use of equipment and vehicles with advanced emission controls in support of the City's goals, utilizing equipment that meets defined EPA emissions standards.

1.3. DEFINITIONS

A. "Construction Equipment" means equipment powered by an internal combustion engine and used for performing or otherwise advancing the Work on the Project, other than motor vehicles intended for use on public highways and registered pursuant to Section 502.002 of the Texas Transportation Code.

B. The list of applicable Construction Equipment includes, but is not limited to excavators, backhoes, loaders, bulldozers, graders, rock saws, generators, and other similar equipment.

C. "EPA" means the United States Environmental Protection Agency.

D. "Low-Use Equipment" means any piece of construction equipment which is used for less than ten (10) hours per week on site for a single construction contract.

E. "Greenhouse Gases" are emissions that absorb and emit radiation within the atmosphere. Greenhouse Gases can be one or a combination of, these gases: carbon dioxide, methane, nitrous oxide and three groups of fluorinated gases (sulfur hexafluoride, hydro fluorocarbons, and perfluorocarbons)

1.4. REQUIREMENTS – Not Used

1.5. SUBMITTALS

A. CONSTRUCTION EQUIPMENT EMISSIONS REDUCTION PLAN:

1. The CONTRACTOR agrees to prepare a draft Emissions Reduction Plan (referred to as PLAN) prior to start of construction. This PLAN shall include an inventory report

containing identifying data for each piece of equipment to be used on the worksite and shall include the following:

- Vehicle/Equipment: Make & Model Year
- Vehicle/Equipment: Engine Make & Model Year
- Vehicle/Equipment: Fuel Type
- Vehicle/Equipment: Expected gallons or hours used for project duration

a) The OWNER will provide Emissions Reduction Toolkit to help the CONTRACTOR in preparation of the PLAN and inventory.

2. The CONTRACTOR shall develop a list of strategies to be used in this Project in order to reduce emissions from NOx, particulate matter and greenhouse gas (CO_2 equivalent). Once prepared, the agreed upon strategies shall be incorporated into the PLAN. The PLAN will then be signed by the CONTRACTOR and made ready for implementation. Implementation progress will be reviewed once a month in regularly scheduled project progress meetings. The PLAN may be modified during construction if changes are made to the Project, but adjustments shall be approved by the OWNER prior to implementation.

3. This PLAN may be used by the Owner's Representative or Inspector to conduct site inspections and/or verify compliance with specification elements.

4. If additional equipment is brought on-site after construction begins, the Contractor shall provide this same inventory information to the Owner's Representative for the new equipment on or before the day it begins work on-site. All additional equipment shall conform to the PLAN.

5. Reports shall be provided for all equipment used on-site.

B. EQUIPMENT EMISSIONS CLOSEOUT DOCUMENTATION:

1. Submit the following prior to final payment:

a) Record of changes made to the original PLAN and reasons.

b) Provide a summary and documentation of strategies used and estimated reductions in fuel & emissions.

c) Provide documentation of amount and % of alternative fuel used.

2. PRODUCTS

Not used

3. EXECUTION

3.1. GENERAL

A. Implement the submitted PLAN. Provide personnel, documentation, equipment, signage, transportation, and other items as required to implement the PLAN during the entire duration of the Contract.

3.2. EQUIPMENT EMISSIONS PLAN IMPLEMENTATION

A. Plan Coordinator (Could be same as superintendent): Designate an on-site person responsible for instructing workers on the Owner's intent to reduce emissions, overseeing implementation and documenting results of the PLAN for the Project.

B. Plan Review: Review the PLAN in monthly progress meeting and include comments in the meeting notes.

C. Instruction: Provide on-site instructions to all subcontractors of emissions reduction methods to be used by all parties for the appropriate activities of the Project.

D. Discuss Owner's goals and requirements at the following meetings:

1. Pre-bid conference.

2. Pre-construction conference.

3. Progress meetings (monthly).

3.3 EQUIPMENT EMISSIONS REDUCTION TOOLKIT

A. Equipment Emissions Reduction Toolkit available at:

1. Website: (http://austintexas.gov/department/capital-improvement-program)

2. City of Austin Public Works Department, Project Management Division, One Texas Center, Suite 900

3. Construction Job Site Office (after contract award)

B. Equipment Emissions Reduction Toolkit consists of:

1. A list of Construction Equipment Emissions Reduction Strategies

2. EPA fuel savings calculator by idling reductions

3. Posting of Anti-idling signs

4. Memorandum of Agreement by Local Area Governments

5. Equipment Inventory Form

3.4 A LIST OF EQUIPMENT EMISSIONS REDUCTION STRATEGIES

The following are suggested emissions reduction strategies and references. As per the specification section 01353, 1.5 Submittals, the CONTRACTOR shall develop a list of strategies to be used in this Project at the start of construction.

C-1 Anti-Idling Strategies:

1. Implement and enforce anti-idling practices for all equipment and vehicles on and adjacent to the site and associated with the project. City will provide a construction site sign and stickers for vehicles and equipment. Add Hyperlink to COA Idling flyer location TBD

Also refer to:

https://www.nctcog.org/trans/quality/air/for-everyone/engine-off-north-texas

Another potential resource for the COA website on emission specifications:
https://www.tceq.texas.gov/airquality/mobilesource/vehicleidling.html

2. Limit all idling of project associated vehicles and equipment operations to five (5) minutes unless the idling is applicable to one or more of the following exceptions:

   a) Idling is being used for emergency response purposes;

   b) Idling is necessary for component of mechanical operation, maintenance, or diagnostic purposes; or

   c) Idling is for the health or safety of the equipment operator.

3. Provide education to all staff, vendors and subcontractors about emissions hazards and anti-idling practices and encourage use of EPA calculator for fuel savings.

4. To the extent possible, do not stop or idle haulage trucks directly under tree limbs and foliage overhanging the street along the haul route. Further avoid such damage from truck exhaust by means of exhaust diversion devices to redirect or diffuse exhaust from being directed in a concentrated manner to tree limbs and foliage.

5. Avoid vehicle loitering or queuing outside or inside the gates of the work area to minimize degradation of localized air quality.

   (http://austintexas.gov/airquality/)

C-2 Alternative Fuels:

6. Utilize **alternative fuels** including, Texas LED Compliant B20 (or higher) biodiesel, Compressed Natural Gas (CNG), propane and electric. (Refer to EPA Energy Policy Act for full list).

7. Avoid unnecessary fuel use by providing on-site fuelling for alternate fuels.

   (http://www.tceq.texas.gov/airquality/mobilesource/txled/cleandiesel.html)

   (http://www.eere.energy.gov/cleancities)

   (http://lonestarcfa.org)

C-3 Vehicle/Equipment Improvements:

.

8. It is recommended that CONTRACTOR takes advantage of the **Texas Emission Reduction Plan (TERP)** grant incentives to upgrade (replace or repower) vehicles with retrofitted emission reduction technologies. (http://www.tceq.texas.gov/airquality/terp/index.html) or (www.terpgrants.com)

9. Utilize **battery powered and/or solar powered equipment** where available. This strategy can be combined with anti-idling strategies by using this technology for sign boards. (evtransportal.org/dieselengineidlereduction.pdf)

10. Consider using voluntary and mandatory sections of the City of Chicago Clean Diesel Program related to https://www.chicago.gov/city/en/progs/env/clean-diesel.htmlfile:///C:/Users/user/Documents/Downloads/O2011-1418.pdf

11. Consider an air quality training section administered by a governmental entity to help comply with an updated

COA Construction Equipment Emission Reduction Plan by using the California Air Resources Board (CARB) training section in the link below:

https://ww2.arb.ca.gov/our-work/topics/construction-earthmoving-equipment

C-4 Maintenance Program:

1. Establish a preventative **maintenance program** addressing issues including but not limited to, fuel use, air emissions, tire pressure, smoke from exhaust and noise.
2. Make all efforts to **prevent oil/fuel spillage** on to site surfaces.

C-5 Resource Management:

3. Utilize equipment companies that are located closest to the construction site.
4. Store equipment on site during construction use or arrange for closest overnight storage including **temporary use of the Right of Way** if possible.
5. To the extent possible, CONTRACTOR shall maximize use of **local and regional materials** to reduce transportation emissions.
6. CONTRACTOR shall maximize **salvage and reuse** of appropriate on-site materials. (http://www.usgbc.org/ShowFile.aspx?DocumentID=1095)
7. To the greatest extent possible, stage equipment and vehicles away from, and minimize operation near, sensitive receptors including, but not limited to, operable windows, fresh air intakes, hospitals, schools, licensed day care facilities, residences and areas where people congregate.

END

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1. GENERAL

CONTRACTOR shall be responsible for the production of pre-construction, construction progress and post-construction photographs as provided herein. Owner's Representative may also designate additional subjects for photographs in addition to the general guidelines identified below.

2. QUALITY

All photographs must be produced by a competent photographer and shall be digital (6 Mega-Pixel) date-stamped color photography of commercial quality. All CONTRACTOR-generated photographs must be stored in a .jpeg file format Each photograph shall be submitted in duplicate as two 3x5 prints with no more than 3 photos per page of professional quality enclosed in clear plastic sleeve within 3 tab folders. The prints shall be accompanied by digital date-stamped photographs in CD format or other format acceptable to the City. Each print shall be marked with the name and CIP ID number for the Contract, name of CONTRACTOR, description and location of view and identity of photographer.

Each photograph submittal must include a Photo Log that includes the name and CIP ID number of Contract, name of CONTRACTOR, the name of the photographer and company, photograph number, the date of the photograph and the filename that the camera assigns to the photo (e.g. MVC-001.jpg). In addition, appropriate descriptive information to properly identify the location of view must be entered into the Photo Log that includes a project drawing or sketch to assist in maintaining a concise project record (e.g. location of MH 5 - Line A or Sta. 2+00 - Line A or location of Sedimentation Basin 5, sludge pump A).

3. VIEWS AND QUANTITIES

3.1 PRE-CONSTRUCTION PHOTOGRAPHS

INFRASTRUCTURE FACILITIES (i.e. TREATMENT PLANTS, PUMP STATIONS, LIFT STATIONS, RESERVOIRS, ETC) OR BUILDING PROJECTS

All pre-construction photographs must be submitted prior to the CONTRACTOR or Subcontractor beginning any Work that may cause site disturbance and shall be submitted with the initial CONTRACTOR'S Pay Application. As a minimum, Pre-construction photographs must be taken of the following views:

- The entire construction site area (full width and length)
- All curb lines showing all pre-existing curb damage not called for replacement within the Work and shall include major cracks
- All driveways, steps, and curbs and curb ramps (both sides of street adjoining the project site)
- Fence and gate conditions
- Trees, ornamental shrubs, plantings/planter boxes and evidence of irrigation features
- Views of structures, both inside and adjacent to the project site and easements in areas where CONTRACTOR will be working within five (5) feet of said structure
- Other views as requested by the OWNER

3.2 CONSTRUCTION PROGRESS PHOTOGRAPHS.

INFRASTRUCTURE FACILITIES (i.e. TREATMENT PLANTS, PUMP STATIONS, LIFT STATIONS, RESERVOIRS, ETC) OR BUILDING PROJECTS

Construction Progress photos must be taken at least once per month.

One set of Construction progress photographs, as the work progresses, of the same views taken during pre-construction photography must be taken during the progress of the Work.

One set of the progress photos must be taken to depict the work accomplished during the month that includes:

- Work not yet covered up
- When MEP or building inspections are scheduled
- The beginning of installation of major items of equipment
- After installation of major items of equipment
- Other significant construction activities.

Both sets of photos shall be submitted monthly with the CONTRACTOR'S monthly progress payment application.

3.3 POST CONSTRUCTION PHOTOGRAPHS

Post-construction photographs must be taken of the same views taken during pre-construction photography to fully document the completed project. Post-construction photographs must be taken after cleanup and site restoration, and must be submitted with the final payment.

3.4 ADDITIONAL ASSET PHOTOGRAPHS

INFRASTRUCTURE FACILITIES (I.E. TREATMENT PLANTS, PUMP STATIONS, LIFT STATIONS, RESERVOIRS, ETC)

Additional asset photographs shall be taken to show, identify and locate AWU's assets as they are being installed as part of the work. The quantity of asset photos required is determined by the number of asset items added to AWU's Computerized Maintenance Management System (CMMS) data base as part of the construction of this project. A specific complete list of assets to be installed within this contract is identified in the Submittals Section of the Contract (Section 01300).

Each asset photograph shall be submitted in duplicate as two 3x5 prints with no more than 3 photos per page of professional quality enclosed in clear plastic sleeve within 3 ring folders. The prints shall be accompanied by digital date-stamped photographs in CD format or other format acceptable to the City.

Each print of the asset shall be marked with the following information:

- The CIP ID number for the Contract
- Name of CONTRACTOR
- AWU's CMMS asset number
- The date of installation of the asset
- Location of asset (i.e. Sta. number, or State Plane Coordinate number, or other suitable information identifying where the asset was installed)

Each asset photograph must be included in an Asset Management Photo Log that indicates the AWU CMMS asset number, the CIP ID number of Contract, name of CONTRACTOR, the date of the photograph and the filename that the camera assigns to the photo (e.g. MVC-001.jpg).

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PART 1 GENERAL

1.01 SUMMARY

A. Testing Laboratory Services and CONTRACTOR's responsibilities related to those services.

1.02 (NOT USED)

1.03 GENERAL DESCRIPTION OF REQUIREMENTS

A. OWNER will contract with, and pay for, services of an independent testing laboratory to perform all inspections and testing identified in individual Specification Sections to assure quality of work. Testing procedures to be carried out in a professional manner, conforming to ASTM E329.

B. OWNER reserves the right to monitor materials incorporated into project and their placement by testing at OWNERS' expense. OWNER does not guarantee accuracy or validity of data nor does OWNER assume any responsibility for CONTRACTOR's interpretation of data. Materials or work which does not meet specifications to be removed or modified to meet the specifications.

C. Retesting for work rejected on basis of initial test results will be at expense of CONTRACTOR and extent of retesting to be determined by OWNER. OWNER may require additional testing for failing tests and may require two passing retests before acceptance by OWNER.

D. Inspection, sampling and testing requirements are set forth in, but not necessarily limited to, the TECHNICAL SPECIFICATIONS Sections.

E. Employment of testing laboratory does not relieve CONTRACTOR of obligation to perform work in accordance with requirements of Contract Documents.

F. CONTRACTOR to schedule and monitor testing as required to provide timely results to avoid delay to the Work.

1.04 LABORATORY REPORTS

A. The OWNER will receive 3 copies, and the CONTRACTOR will receive 2 copies of laboratory reports from the testing laboratory. One of the CONTRACTOR's copies to remain at site field office for duration of project.

B. Reports of observations and tests to include, but not be limited to:
1. Date issued.
2. Project title and number.
3. Testing laboratory name and address.
4. Name and signature of technician and testing engineer.
5. Date of sampling.
6. Record of temperature and weather.
7. Date of test.
8. Identification of product and Specification Section.
9. Location of product.
10. Type of test.
11. Observations regarding compliance with Contract Documents.

C. Test reports which indicate non-conformance to be transmitted immediately via fax from the testing laboratory to the CONTRACTOR and OWNER.

1.05 LIMITS ON TESTING LABORATORY'S AUTHORITY

A. Laboratory may not release, revoke, alter, or enlarge on requirements of Contract Documents.

B. Laboratory may not approve or accept any portion of the Work.

C. Laboratory may not assume any duties of CONTRACTOR.

D. Laboratory has no authority to stop the Work.

1.06 CONTRACTOR'S RESPONSIBILITIES

A. CONTRACTOR is responsible for providing materials which meet requirements indicated. For manufactured materials such as reinforcing steel, expansion joint materials, concrete pipe, cement, miscellaneous steel, cast iron materials, etc., CONTRACTOR is required to furnish a manufacturer's certificate that material meets requirements specified for this project.

B. Furnish product mix design together with applicable design work sheets and data to meet or exceed contract requirements.

C. Provide samples to laboratory in advance of their intended use to allow thorough examination and testing.

D. Notify OWNER, and laboratory 48 hours prior to expected time for operations requiring inspection and testing services. Notify ENGINEER if Specification Section requires the presence of the ENGINEER.

E. CONTRACTOR to schedule and monitor testing as required to provide timely results and to avoid delay to the Work.

F. Cooperate with laboratory personnel in collecting samples to be tested or collected on site.

G. Provide access to the Work and to manufacturer's facilities.

H. Provide incidental labor and facilities for access to the Work to be tested; to obtain and handle samples at the site or at source of products to be tested; and to facilitate tests and inspections including storage and curing of test samples.

I. Correct work which is defective or which fails to conform to Contract. Corrective work is not to delay project or work of other contractors.

J. Patch all surfaces and areas disturbed by testing operations.

K. Arrange with laboratory and pay for:
   1. Retesting required for failed tests.
   2. Retesting for nonconforming Work.
   3. Additional sampling and tests requested by CONTRACTOR beyond specified requirements.

1.07 - 1.11 (NOT USED

PART 2 PRODUCTS (NOT USED)

PART 3 EXECUTION (NOT USED)

END OF SECTION

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PART 1 GENERAL

1.01 SUMMARY

A. Section includes general requirements for services to be provided by manufacturer's field representative, including training of OWNER's personnel and preparation of equipment installation reports.

1.02 DEFINITIONS

A. Person-Day: One person for 8 hours within regular CONTRACTOR working hours.

1.03 SUBMITTALS

A. Training Schedule: Submit not less than 21 days prior to start of equipment installation and revise as necessary for acceptance.

B. Preliminary Training Plan: Submit within 180 days after Notice to Proceed.

C. Final Training Plan: Submit after 60 days prior to first training session.

D. Training Materials:
   1. Submit written outlines of proposed training sessions not less than 21 days prior to scheduled training.
   2. Furnish complete training materials, to include operation and maintenance data as required in this Section not less than 7 days prior to training session.

E. Quality Control Submittals: When specified in the individual Specifications, submit "Equipment Installation Report".

1.04 QUALIFICATION OF MANUFACTURER'S REPRESENTATIVE

A. Authorized representative of the manufacturer, factory trained, and experienced in the technical applications, installation, operation, and maintenance of respective equipment, subsystem, or system. Representative subject to acceptance by OWNER. No substitute representatives will be allowed unless prior written approval by OWNER has been given.

1.05 FULFILLMENT OF SPECIFIED MINIMUM SERVICES

A. Where manufacturers' services are specified, furnish manufacturer's qualified representative for a sufficient period of time to perform all specified functions at no additional cost to OWNER.

B. Schedule manufacturer's onsite services to avoid conflicting with other onsite testing or other manufacturer's onsite services. Determine that all conditions necessary to allow successful testing have been met before scheduling services.

C. Only those days of service approved by ENGINEER will be credited to fulfill the specified minimum services.

D. If specified, manufacturer's onsite services to include at a minimum:
   1. Assistance during installation to include observation, guidance, instruction of CONTRACTOR's assembly, erection, installation or application procedures.

2. Inspection, checking, and adjustment as required for equipment to function as warranted by manufacturer and necessary to furnish written approval of installation.
3. Revisiting the site as required to correct problems and until installation and operation are acceptable to OWNER.
4. Resolution of assembly or installation problems attributable to, or associated with, respective manufacturer's products and systems.
5. Assistance during functional and performance testing and startup demonstration and until product acceptance by the OWNER.
6. Training of OWNER's personnel in the operation and maintenance of respective products as required herein, including instrumentation and control equipment for the staff.
7. Completion of equipment installation report with applicable certificates for proper installation and initial, interim, and final test or service.

1.06 TRAINING SCHEDULE

A. List specified equipment and systems with respective manufacturers that require training services of manufacturers' representatives and show:
   1. Estimated dates for installation completion.
   2. Estimated training dates to allow for multiple sessions when several shifts are involved.

B. Adjust training schedule to ensure training of appropriate personnel as deemed necessary by OWNER, and to allow full participation by manufacturers' representatives. Adjust schedule for interruptions in operability of equipment.

1.07 TRAINING PLAN

A. Preliminary Training Plan: If specified, and within 180 days after Notice of Award, submit for each proposed course:
   1. Title and objectives.
   2. Training schedule.
   3. Prerequisite training and experience of attendees.
   4. Recommended types of attendees (e.g., managers, engineers, operators, maintenance).
   5. Course description and outline of course content.
   6. Duration.
   7. Location (e.g., training center or site).
   8. Format (e.g., lecture, self-study, demonstration, hands-on).
   9. Instruction materials and equipment requirements.

B. Final Training Plan: Submit the following after training coordination meeting, if specified.
   1. Updated versions of course descriptions from preliminary training plan.
   2. Who will attend each course.
   3. Schedule of training courses including dates, durations, and locations of each class.
   4. Detailed course schedule for each day showing time allocated to each topic.
   5. Resumes of instructors providing the training.

1.08 TRAINING OWNER'S PERSONNEL

A. Furnish trained, articulate personnel to coordinate and expedite training, to be present during training coordination meetings with OWNER, and familiar with operation and maintenance manual information specified in Section 01730, "Operation and Maintenance Data".

B. Furnish manufacturers' representatives for detailed classroom and onsite hands-on training for OWNER's personnel on operation and maintenance of specified product (system, subsystem, component) and as may be required in applicable Specifications.

1. Manufacturer's Representative: Familiar with facility operation and maintenance requirements as well as with specified equipment.
2. Manufacturer's representative for training must be approved by the OWNER. Qualifications of manufacturer's representative must be submitted with the training schedule.
3. Training services must include some form of testing procedures to evaluate OWNER's personnel's understanding of the detailed training.
4. Training services must include a course and instructor evaluation questionnaire that must be completed by the trainees and submitted to the OWNER and the manufacturer.

C. Pre-Startup Training:
1. Coordinate training sessions with OWNER's operating personnel and manufacturers' representatives, and with submission of operation and maintenance manuals in accordance with Section 01730, "Operation and Maintenance Data".
2. Complete at least 14 days prior to actual startup.

D. Post-Startup Training: If required in Specifications, furnish and coordinate training of OWNER's operating personnel by respective manufacturer's representatives.

E. Taping of Training Sessions: Furnish audio and color video taping of pre-startup and post-startup instruction sessions, including manufacturer's representatives' hands-on equipment instruction and classroom sessions.
1. Use DVD format, suitable for playback on standard equipment available commercially in the United States.
2. Include only one manufacturer's training session on each DVD, or on a single track of a tape.
3. Video Training DVDs: Produced by a qualified, professional video production company, unless CONTRACTOR demonstrates satisfactory skill of other personnel as acceptable to OWNER.
4. Furnish OWNER with two complete sets of DVDs, and the original DVDs, fully indexed and cataloged with printed labels stating sessions and dates taped.
5. OWNER will furnish DVD playback equipment.

1.09 - 1.11 (NOT USED)

PART 2 PRODUCTS (NOT USED)

PART 3 EXECUTION

3.01 - 3.10 (NOT USED)

3.11 MEASUREMENT AND PAYMENT

No separate measurement or payment for work performed under this Section. Include cost of same in Contract price bid for work of which this is a component part.

END OF SECTION

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PART 1 - GENERAL

1.1 Related Documents:

Drawings and general provisions of Contract, including General Conditions, Section 00700,and Supplemental General Conditions, Section 00810, and Division 1 requirements.

PART 2 - PRODUCTS (NOT USED)

PART 3 – EXECUTION

3.1 Office at the Work Site (Job Shack)

During the performance of this Contract, CONTRACTOR shall maintain a suitable office at or near the site of the Work which shall be the headquarters of his superintendent. Any communication given to the superintendent or delivered to CONTRACTOR's office at the site of the Work in his absence shall be deemed to have been delivered to CONTRACTOR.

3.2 Water for Construction

All water required for and in connection with the Work to be performed shall be furnished by and at the expense of the CONTRACTOR through meters installed on hydrants, except for water used in the "disinfection of potable water lines" process per Specification 510.3(29). All water used in the disinfection process shall not be metered, but rather shall be measured by calculation. Such water use does not require a meter, but a double-check valve assembly is required when connecting to a fire hydrant or a City main. CONTRACTOR shall submit a written plan for the disinfection process for review and approval by OWNER prior to commencing Work. The written plan shall include the CONTRACTOR's plan for final flushing and discharge of chlorinated water, and shall specify the quantities of potable water that will be required for the procedure and dosage plan proposed by the CONTRACTOR. Water used in the disinfection process shall be supplied by the OWNER through hydrants or connection through a City main at no charge to the CONTRACTOR for the initial disinfection procedure up to the quantities agreed to in the written plan for the disinfection process. Should the initial disinfection procedure fail to produce acceptable bacteriological sample test results, the cost of water at standard rates used for subsequent disinfection procedures shall be the responsibility of the CONTRACTOR with quantities determined through calculations.

For all water required for and in connection with the Work to be performed other than for the disinfection process, water and meters will be available from OWNER at standard rates. All costs for obtaining a water meter shall be the responsibility of the CONTRACTOR. The CONTRACTOR shall contact the Austin Water Utility and arrange to pick up the meter. CONTRACTOR shall install a double-check valve assembly on the fire hydrant between the hydrant and the meter, to prevent backflow in the event of pressure failure. CONTRACTOR shall supply all necessary tools, hose and pipe, and shall make necessary arrangements for securing and transporting such water and shall take water in such a manner, and at such times, that will not produce a harmful drain or decrease of pressure in the OWNER's water system. It shall be the CONTRACTOR's responsibility to make arrangements with the Austin Water Utility for the metering and reporting of the amount of water used. Water shall not be used in a wasteful manner. Standard hydrant wrenches shall be used for opening and closing of fire hydrants. In no case shall pipe wrenches be used for this purpose. Temporary lines shall be removed when no longer required.

"If applicable, after the installation of the City of Austin's water meter(s) for the Project, the CONTRACTOR has the option to utilize the water available from this service at the

CONTRACTOR's expense. An adjustment to the Contract amount will be made by Change Order at the end of the Project for the costs incurred by the City of Austin for the water."

3.3 Telephone Service

CONTRACTOR shall make all necessary arrangements and pay all installation charges for telephone lines in his offices at the site and shall provide all telephone instruments. The telephone service shall be available to the Owner's Representative for toll free calls.

3.4 Sanitary Facilities

CONTRACTOR shall furnish temporary sanitary facilities at the site, as provided herein, for the needs of all construction workers and others performing Work or furnishing services on the Project.

Sanitary facilities shall be of reasonable capacity, properly maintained throughout the construction period, and obscured from public view to the greatest practical extent. If toilets of the chemically treated type are used, at least one toilet shall be furnished for each 20 employees. CONTRACTOR shall enforce the use of such sanitary facilities by all personnel at the site.

3.5 Protection of Public and Private Property

CONTRACTOR shall protect, shore, brace, support and maintain all underground pipes, conduits, drains, and other underground construction uncovered or otherwise affected by the CONTRACTOR's operations. All pavement, surfacing, driveways, curbs, walks, buildings, utility poles, guy wires, fences, and other surface structures affected by construction operations, together with all sod and shrubs in yards, parkways, and medians, shall be restored to their original condition, whether within or outside the easement/right-of-way. All replacements shall be made with new materials.

CONTRACTOR shall be responsible for all damage to streets, roads, curbs, sidewalks, highways, shoulders, ditches, embankments, culverts, bridges, or other public or private property, which may be caused by transporting equipment, materials, or men to or from the Work, whether by him or his Subcontractors. CONTRACTOR shall make satisfactory and acceptable arrangements with the owner of, or the agency having jurisdiction over, the damaged property concerning its repair or replacement or payment of costs incurred in connection with the damage.

All fire hydrants and water control valves shall be kept free from obstruction and available for use at all times.

3.6 Tree and Plant Protection

All trees and other vegetation which must be removed to perform the Work shall be removed and disposed of by the CONTRACTOR; however, no trees or cultured plants shall be unnecessarily removed unless their removal is indicated on the Drawings. All trees and plants not removed shall be protected against injury from construction operations.

No tree shall be removed outside of permanent easement(s), except where authorized by the E/A. Whenever practicable, CONTRACTOR shall tunnel beneath trees in yards and parking lots when on or near the line of trenching operations. Hand excavations shall be employed as necessary to prevent injury to trees. Care shall be taken with exposed roots, unearthed during construction, so that roots do not dehydrate causing tree damage.

Trees considered by the E/A to have any significant effect on construction operations are indicated on the Drawings and those which are to be preserved are so indicated.

CONTRACTOR shall take extra measures to protect trees designated to be preserved, using methods shown on the Drawings and as specified in Standard Specification Item No. 610S "Preservation of Trees and other Vegetation".

3.7 Security

CONTRACTOR shall be responsible for protection of the site, and all Work, materials, equipment, and existing facilities hereon, against vandals and other unauthorized persons.

No claim shall be made against OWNER by reason of any act of an employee or trespasser, and CONTRACTOR shall make good all damage to the OWNER's property resulting from CONTRACTOR's failure to provide security measures as specified.

Security measures shall be at least equal to those usually provided by OWNER to protect existing facilities during normal operations, and shall also include such additional security fencing, barricades, lighting, and other measures as required to protect the site. When required, the CONTRACTOR shall provide a security plan to the OWNER for review as to appropriateness of the security measures proposed.

3.8 Access Roads

CONTRACTOR shall establish and maintain temporary access roads to various parts of the site as required to complete the Project. Such roads shall be available for the use of all others performing Work or furnishing services in connection with the Project.

3.9 Parking

CONTRACTOR shall provide and maintain suitable parking areas for the use of all construction workers and others performing Work or furnishing services in connection with the Project, as required, to avoid any need for parking personal vehicles where they may interfere with public traffic, the OWNER's operations, or construction activities.

3.10 Dust Control

Dust Control during construction of this Project shall conform to Standard Specifications Item No. 220S, "Sprinkling for Dust Control". No direct payment will be made for dust control. Dust Control shall be considered subsidiary work relating to various Bid items of the Contract.

3.11 Temporary Drainage Provisions

CONTRACTOR shall be responsible for providing for the drainage of stormwater and such water as may be applied or discharged on the site in performance of the Work. CONTRACTOR shall obtain E/A approval for temporary drainage facilities which will handle, carry through, or divert around his Work all drainage flow, including storm flow and flows created by construction activity, to prevent silting of waterways or flooding damage to the property and adjacent property.

3.12 Erosion Control

CONTRACTOR shall prevent erosion of soil on the site and adjacent property resulting from his construction activities. Effective measures shall be initiated prior to the commencement of clearing, grading, excavation, or other operations which will disturb the natural protection.

CONTRACTOR shall use controls found in "Environmental Criteria Manual" or developed from successful techniques elsewhere as approved by E/A.

3.13 Pollution Control

CONTRACTOR shall prevent the pollution of drains and watercourses by sanitary wastes, sediment, debris and the substances resulting from construction activities. No sanitary wastes will be permitted to enter any drain or watercourse. No sediment, debris or other substance will be permitted to enter sanitary sewers and reasonable measures shall be taken by CONTRACTOR to prevent such materials from entering any drain or watercourse.

CONTRACTOR shall observe the rules and regulations of the State of Texas and agencies of the U.S. Government prohibiting the pollution of any lake, stream, river, or wetland by the dumping of any refuse, rubbish, dredge material, or debris therein.

CONTRACTOR is specifically cautioned that disposal of materials into any water of the State must conform to the requirements of the Texas Commission on Environmental Quality (TCEQ), and any applicable permit from the U.S. Army Corps of Engineers.

3.14 Noise Control

CONTRACTOR shall comply with the City of Austin's Noise Ordinance. CONTRACTOR shall take reasonable measures to avoid unnecessary noise. Such measures shall be appropriate for the normal ambient sound level in the area during working hours. All construction machinery and vehicles shall be equipped with practical sound-muffling devices, and operated in a manner to cause the least noise consistent with efficient performance of the Work.

3.15 CIP Sign

CONTRACTOR shall erect install and maintain CIP signs as specified. Signs shall be constructed in accordance with City Standard Specification Item No. 802S "Project Signs," as indicated on the Drawings.

3.16 Fences

All existing fences affected by the Work shall be maintained by the CONTRACTOR until completion of the Work. Fences which interfere with construction operations shall not be relocated or dismantled until written permission is obtained from the owner of the fence, and the period the fence may be left relocated or dismantled has been agreed upon. Where fences must be maintained across any construction easement, adequate gates shall be installed. Gates shall be kept closed and locked at all times when not in use.

Upon completion of the Work across any tract of land, CONTRACTOR shall restore all fences to preconstruction, or to a better, condition and to their preconstruction location.

3.17 Mail Boxes

CONTRACTOR shall remove, reset temporarily, and relocate permanently all mail boxes that are within construction site limits conforming to requirements of United States Postal Service. Mailboxes shall not be laid on the ground, but shall be temporarily reset the same day as removed. Payment for removing and resetting of mail boxes will not be paid for directly, but will be considered subsidiary to the various Bid items. Any damage to mail boxes or posts shall be the responsibility of the CONTRACTOR.

3.18 Emergency Facilities

Free access shall be maintained at all times to fire lanes and emergency and utility control facilities such as fire hydrants, fire alarm boxes, police call boxes, and utility valves, manholes, junction boxes, etc. In the event that it is necessary to make one of these facilities temporarily inaccessible, CONTRACTOR shall obtain approval of such action and schedule of Work from the OWNER. CONTRACTOR shall also provide at least 24 hours prior notice to the Fire Department, Police Department, and City Department governing the affected utility. The same Department(s) shall be promptly notified by the CONTRACTOR when such facilities are placed back in unobstructed service.

3.19 Notification of Owners

Unless otherwise indicated, the OWNER will notify property owners abutting the right-of-way of impending construction. The CONTRACTOR shall exercise diplomacy and tact with individual property owners.

3.20 Maintenance of Traffic

CONTRACTOR shall conduct his Work to interfere as little as possible with public travel, whether vehicular or pedestrian. Whenever it is necessary to cross, obstruct, or close roads, driveways, and walks whether public or private, the CONTRACTOR shall provide and maintain suitable safe bridges, detours or other temporary measures to accommodate public and private travel, and shall provide reasonable notice to owners of private drives before interfering with them. Such maintenance of traffic will not be required when CONTRACTOR has obtained written permission from the owner and the tenant of the private property, or from the authority having jurisdiction over public property involved, to obstruct traffic at the designated point. A copy of the initial written permission shall be provided to the Owner's Representative.

Safety and conveyance of traffic shall be regarded as prime importance. Unless otherwise directed, all portions of streets associated with this Project shall be kept open and provided a dust free, smooth and comfortable ride to traffic. It shall be the responsibility of the CONTRACTOR to ensure that two-way traffic may safely bypass the construction site and that access is provided to abutting private property. In making open cut street crossings, the CONTRACTOR shall not block more than one-half of the street at one time without approval of the OWNER. Whenever possible, CONTRACTOR shall widen the shoulder on the opposite side to facilitate traffic control. Temporary surfacing shall be provided as necessary on shoulders.

Prior to beginning Work, CONTRACTOR shall designate, in writing, a competent person who will be responsible and available on the Project site, or in the immediate area, to ensure compliance with the traffic control plan. CONTRACTOR shall provide documentation to demonstrate the sufficient training in Traffic Control for his competent person. Owner will designate a qualified person to observe implementation and who will have authority to assure compliance with the traffic control plan.

The CONTRACTOR shall perform the necessary cleanup and finishing immediately after all or a portion of the Work is completed. When the Work includes paving operations, the entire site shall be kept clean to facilitate placement of required traffic control devices. Temporary and permanent striping lay-out shall be approved by the Transportation Department prior to placement, when included in the Work.

1. Detours

Where indicated on the traffic control plan CONTRACTOR shall erect and maintain detours around construction activities. Should CONTRACTOR desire to propose a detour, not already included in the traffic control plan, it shall be his responsibility to prepare a revised traffic control plan showing the detour, and obtain approval of the revised traffic control plan from the Transportation Department, prior to implementation of the detour. The Transportation Department has final authority as to the acceptability of any proposed revisions to the traffic control plan. The CONTRACTOR shall bear all costs for revising the traffic control plan and for maintaining the proposed detour.

2. Barricades and lights

CONTRACTOR shall place and maintain in good condition, standard barricades at each end of the Project and at other locations where traffic is rerouted or blocked from using regular traffic lanes. Barricades and warning signs shall be in accordance with the Texas Manual on Uniform Traffic Control Devices (MUTCD) and City of Austin Standard Specification Item No. 803S, "Barricades, Signs and Traffic Handling". Signs, barricades, and warning devices informing the public of construction features will be placed and maintained by the CONTRACTOR, who shall be solely responsible for their maintenance. The decision to use a particular device at a particular location as indicated in the traffic control plan or as determined by the CONTRACTOR, shall be the sole responsibility of the CONTRACTOR.

All open trenches and other excavations shall have suitable barricades, signs, and lights to provide adequate protection to the public. Obstructions, such as material piles and equipment shall be provided with similar warning signs and lights.

All barricades and obstructions shall be illuminated with warning lights from sunset to sunrise. Material storage and conduct of the Work on, or along side, public streets and highways shall cause a minimum obstruction and inconvenience of the traveling public.

3.21 Required Job Site Postings and Notices

CONTRACTOR shall post the following postings and notices in English and Spanish at one or more conspicuous locations on the job site. In the case of Projects with multiple sites, the notices and postings must be displayed at each site. In the case of Projects that do not have a job shack or other temporary facility on the site, CONTRACTOR shall post all notices on a temporary bulletin board. Other special conditions are noted below.

Required for all Projects	
Poster	Available at:
Baseline Schedule for Project identifying when all subcontractors will be used	N/A (as required under Section 00700, paragraph 2.4.2.1.)
Wage Rates as required under Section 00830.	Section 00830BC and/or Section 008300HH
City of Austin Wage Contact posters	Provided at Pre-Construction meeting (English and Spanish)
City of Austin Equal Employment Opportunity posters	http://austintexas.gov/department/wage-compliance (English and Spanish)
Texas Commission on Environmental Quality "Construction Site Notice" form, if applicable, as required or the required TPDES information	http://www.tceq.state.tx.us/assets/public/permitting/waterquality/attachments/stormwater/txr152d2.pdf (Option 1 – as required under Section 00810, 6.7.4.2 N/A (Option 2 – as required under Section 00810, 2.6.7.4.3)
OSHA poster "Job Safety and Health: It's the Law"	http://www.osha.gov/Publications/osha3165.pdf (English) http://www.osha.gov/Publications/osha3167.pdf (Spanish)
City of Austin Rest Break Ordinance Signs	http://austintexas.gov/department/wage-compliance (English and Spanish) As required to be posted in English and Spanish under Ordinance No. 20100729-047
Texas Payday Law Poster	http://www.twc.state.tx.us/ui/lablaw/ll10.pdf (English) http://www.twc.state.tx.us/ui/lablaw/ll10s.pdf (Spanish)
Texas Workers Compensation notice that the employer does or does not carry Workers Compensation insurance	Does not carry Workers Compensation Insurance: http://www.tdi.state.tx.us/forms/dwc/notice5.pdf (English) http://www.tdi.state.tx.us/forms/dwc/notice5s.pdf (Spanish) Does carry Workers Compensation Insurance: http://www.tdi.state.tx.us/forms/dwc/notice6.pdf (English) http://www.tdi.state.tx.us/forms/dwc/notice6s.pdf (Spanish)
TWC Employer's Notification of the Ombudsman Program to Employees	http://www.oiec.state.tx.us/documents/Employer_Notice_of_O.pdf (both versions)
DOL – The Uniformed Services Employment and Reemployment Rights Act	http://www.dol.gov/vets/programs/userra/USERRA_Private.pdf

(USERRA)	
EEOC Equal Employment Act and the Americans with Disabilities Act (ADA)	http://www.dol.gov/ofccp/regs/compliance/posters/pdf/eeopost.pdf (English) http://www.dol.gov/ofccp/regs/compliance/posters/pdf/eeosp.pdf (Spanish)
Fair Labor Standards Act (FLSA) Minimum Wage Poster	http://www.dol.gov/whd/regs/compliance/posters/flsa.htm (English) http://www.dol.gov/whd/regs/compliance/posters/flsaspan.htm (Spanish)
If applicable: Employee Rights for Workers with Disabilities/Special Minimum Wage Poster – Employment Standards	http://www.dol.gov/whd/regs/compliance/posters/disabc.pdf http://www.dol.gov/whd/regs/compliance/posters/disabspanc3p.pdf
"Your Rights Under the Family and Medical Leave Act (FLMA)"	http://www.dol.gov/whd/regs/compliance/posters/fmlaen.pdf (English) http://www.dol.gov/whd/regs/compliance/posters/fmlasp.pdf (Spanish)
Title VI Rights Poster	http://austintexas.gov/department/wage-compliance
Additional Postings Required for Federally Funded Projects	
"Employee Rights Under the Davis-Bacon Act"	http://www.dol.gov/whd/regs/compliance/posters/fedprojc.pdf (English) http://www.dol.gov/whd/regs/compliance/posters/davispan.pdf (Spanish)
Applies to USDOT/FHWA funded projects: "Notice of False Statements Concerning Highway Projects"	http://www.mdt.mt.gov/publications/docs/forms/dbe/eeo_board/false_statements.pdf (as required in Section 00810A Standard Federal-Aid Assurances)
Applies to USDOT/FHWA funded projects; "Contractors EEO Policy"	N/A (as required in Section 00810A Standard Federal-Aid Assurances)

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Division 1 General Requirements

CONSTRUCTION AND DEMOLITION WASTE MANAGEMENT

Section 01505

Non-Building Projects – Water/Wastewater and Street Reconstruction

PART 1 – GENERAL

1.1 RELATED DOCUMENTS

1. Division 01 Section 01200 "Project Meetings"
2. Division 01 Section 01500 "Temporary Facilities"
3. Division 00 Section "Hazardous Waste Management"
4. Division 01 Section 01700 "Contract Closeout"
5. Division 02 Section "Demolition" & "Clearing and Grubbing".
6. Division 01 Section 01352 "Sustainable Construction Requirements".

1.2 SUMMARY

A. The Owner has established that the Project shall minimize the creation of construction and demolition waste on the Project site and shall recycle and/or salvage non-hazardous construction, demolition, and land clearing debris to divert waste from Landfills. See 1.5 Waste Management Goals.

1. All profits resulting from salvaging and recycling shall go to the Contractor.

2. Where there is little cost difference between recycling/salvaging and land-filling of items, the Contractor is directed to recycle/salvage.

B. Hazardous materials are an exception to this Section. Comply with applicable requirements of Local, State and Federal regulations.

C. This Section includes administrative and procedural requirements for recycling, salvaging and disposing of non-hazardous demolition and construction waste

1.3 REFERENCES

A. The standards listed below form a part of this Section to extent referenced. Standards are referred to in the text by basic reference only.

1. Sustainable Building Sourcebook – Austin Energy Green Building:www.austinenergy.com/Energy%20Efficiency/Programs/Green%20Building/Sourcebook/constructionWasteManagement.htm

2. Resource Exchange Network for Eliminating Waste (RENEW), TCEQ (MC-112), Biannual catalog lists materials available and wanted; serves Texas and surrounding states; lists are posted on the Internet: http://www.tceq.state.tx.us/assistance/P2Recycle/renew/renew.html

3. Recycle Texas Online, A service of the Texas Commission on Environmental Quality. Contains information on about 1000 businesses and local governments handling materials from Texas. Organizations' information is self-reported and listings are free of charge. www.tceq.state.tx.us/assistance/P2Recycle/rtol/rtol.html

1.4 DEFINITIONS

A. Chemical Waste: Includes petroleum products, bituminous materials, salts, acids, alkalis, herbicides, pesticides, organic chemicals, and inorganic wastes.

B. Clean: Untreated, unpainted, not contaminated with oils, solvents, caulk, or other materials.

C. Disposal: Acceptance of solid wastes at legally permitted and operating facility for the purposes of land-filling.

D. Diversion: Avoidance of demolition and construction waste sent for disposal to landfill or incineration. Diversion does not include using materials for landfill, alternate daily cover on landfills, or materials used as fuel in waste-to-energy processes.

E. Hazardous Waste: Byproducts of society that can pose a substantial or potential hazard to human health or the environment when improperly managed, and possessing at least 1 of 4 of the following characteristics, or appearing on a special Environmental Protection Agency (EPA) list.

1. Ignitability.

2. Corrosivity.

3. Reactivity.

4. Toxicity.

F. Landfill: Authorized land waste disposal site that is located to minimize waste pollution from runoff and leaching.

G. Recycling: The process of sorting, cleansing, treating, and reconstituting solid waste and other discarded materials for the purpose of using the altered form. Recycling does not include burning, incinerating, or thermally destroying waste.

H. Return: To give back reusable items or unused products to vendors for credit.

I. Reuse: A strategy to return materials to active use in the same or a related capacity.

J. Salvage: To remove a waste material from the Project site to another site for resale or reuse by others.

K. Source Separation: The act of keeping different types of waste materials separate beginning from the first time they become a waste.

L. Toxic: Poisonous to living beings either immediately or after a long period of exposure.

M. Trash: Any product or material unable to be reused, returned, recycled, or salvaged.

N. Waste: Extra material or material that has reached the end of its useful life in its intended use. Waste includes all materials removed from the Project site to be land-filled, recycled, or salvaged for reuse. Pallets, containers, packaging and packing materials in which construction products are delivered to the Project site are considered waste materials.

1.5 WASTE MANAGEMENT GOALS

A. The Owner has established that as much as is economically feasible of the "waste" materials produced as a result of the Work, shall be employed, salvaged, reused, or recycled in order to minimize the impact of construction and demolition waste on landfills and reducing disposal costs.

B. Contractor shall employ and encourage practices that ensure the generation of as little waste as possible due to error, poor planning, breakage, mishandling, contamination, or other factors.

C. Contractor is responsible for knowing and complying with regulatory requirements, including but not limited to Federal, State and local, pertaining to legal disposal of all construction waste materials.

D. Contractor shall recycle and divert materials for secondary uses whenever economically feasible.

E. Acceptable methods of diversion include:
Recycling, reuse and salvage
Donation to nonprofit organizations
Removal from jobsite by staff or subcontractors for use (not disposal)
Return to supplier
Sale to organizations or individuals

F. The Contractor shall develop a Construction and Demolition Waste Management Plan of non-hazardous construction and demolition waste. The plan shall identify the materials to be diverted from disposal and define the materials to be separated on-site or off-site. Calculations can be done by weight or volume, but must be consistent throughout.

1.6 SUBMITTALS

A. ***Waste Management Plan:*** A Project-specific plan for the collection, transportation, and disposal of the waste generated at the construction site, shall be submitted for approval within 14 calendar days after notice to proceed, or prior to any waste removal, whichever occurs first. The approved Plan shall be distributed to all subcontractors and the owner and will not relieve the Contractor's responsibility for compliance with applicable environmental regulations.

An example template is included as "Appendix A" to this section.

1. The Waste Management Plan shall include the following:

a. Identify each type of waste material produced as a result of the Work on the Project Site.

b. Identify each type and quantity of demolished and waste material intended to be recycled, salvaged or reused.

c. Identify material separation requirements.

e. Identify location of temporary on-Site storage for recycled and reused materials.

f. Identify final destination means of transportation for each recycled and reused material.

h. Identify the name/phone number of the Contractor's on-site coordinator of the Waste Management Plan.

i. Indicate permit or license and the location of the municipal solid waste landfills and other disposal area(s) to be used.

j. List of materials that cannot be recycled or reused.

B. ***Construction Waste Management Closeout Documentation:*** Submit the following upon the completion of The Work and prior to final payment:

a. A Summary of Solid Waste Disposal and Diversion (refer example template "Appendix B") prepared and maintained through Project duration, demonstrating that 100% of all non-hazardous construction wastes were recycled, salvaged or disposed of properly and includes as a minimum the following information:

1. Dates
2. Materials Description and Quantity
3. Indicate whether recycled, salvaged, reused of sent to landfill for disposal.
4. Destination or Name and location of accepting facility.

b. Copies of all receipts, manifests, weight tickets, and other documentation that identify all materials recycled, salvaged, land-filled or incinerated.

PART 2 - PRODUCTS

Not used

PART 3 – EXECUTION

3.1 GENERAL

A. Implement the Waste Management Plan as approved by the City of Austin Project Manager. Provide handling, containers, storage, signage, transportation, and other items as required to implement Waste Management Plan during the entire duration of the Contract.

B. Satisfy the requirements outlined in Subsection 1.5, Waste Management Goals.

3.2 WASTE MANAGEMENT PLAN IMPLEMENTATION

A. Plan Coordinator: Designate an on-site party (or parties) responsible for instructing workers, overseeing implementation and documenting results of the Waste Management Plan for the Project.

B. Plan Distribution: Provide copies of the Waste Management Plan to the Contractor's superintendent, each Subcontractor, the Owner, and the Engineer.

C. Meetings: Include Construction Waste Management in progress meetings to maintain the Plan for achieving the owners waste management goals:

D. Carefully order materials to avoid over supply.

E. Protect materials from contamination during handling, storage and transport to meet the requirements of the accepting facilities.

F. Assign and label a specific area to facilitate separation of materials for potential recycling, salvage, reuse, return and disposal. This area shall be kept neat and clean and clearly marked in order to avoid contamination of materials.

3.3 RECYCLING REQUIREMENTS

A. Materials: In general the contractor is encouraged to recycle the following types of construction waste materials generated during the course of this project, that are not salvaged or reused:

a. Asphalt concrete pavement.

b. Concrete materials.

c. Metals, including the following.

i. Banding straps.
ii. Reinforcing steel.
iii. Iron.
iv. Steel pipe.
v. Galvanized steel pipe.

d. Clean dimensional lumber.

e. Wood crates and pallets.

f. Glass and glass containers.

g. Plastics.

h. Electrical wiring.

i. Cardboard, paper, and packaging.

j. Beverage containers.

B. Methods: The following recycling methods, or a combination of, may be used.

1. On-site separation: Each material to be recycled shall be separated at the Project site and delivered to the recycling markets or directly from the Project site.

   a. If on-site separation method is used, designate a specific area or areas to facilitate separation of materials for potential reuse, salvage, recycling, and return.

   b. Maintain recycling and waste bin areas neat and clean and clearly marked, both in Spanish and in English, in order to avoid co-mingling of materials.

   c. Protect materials from contamination.

2. Off-site separation: Materials to be recycled are delivered unsorted from the Project site to a materials recovery facility or transfer station where recyclable materials are separated from other waste.

   a. Contractor shall verify that the entity responsible for the off-site separation has a market for all materials required to be recycled from the Project site.

   b. The same Submittals procedures shall apply.

   c. Protect materials from contamination.

3.4 REUSE

A. Contractor is encouraged to reuse as many demolished and waste materials as possible.

B. Reuse of waste materials includes the following:

a. Salvaging materials scheduled for disposal.

b. Off-Site storage of waste materials for future reuse by Contractor on other projects.

c. Returning unused and reusable materials, packaging and pallets, to vendor.

e. Assemble designated reuse items in a single location safe from damage, for review and approval by the owner's representative.

3.5 SALVAGE

A. Salvage Guidelines:

1. The contractor is encouraged to salvage as many items as deemed economically possible, considering reduction of land filling fees and possible use by others.
2. Assemble potentially salvageable items in one area and donate or sell to the public after review by the City of Austin Project Manager.
3. All proceeds from the sale of salvaged items shall go to the contractor.

Appendix A. Sample Construction Waste Management Plan

Construction Waste Management Plan

Project:
Contractor:
Date:
Contact:
Phone:
Prepared by:

Diversion Goal: Recycle, reuse and/or salvage as much land-clearing and construction waste as is economically feasible.

I. Coordination and Training

a. Name of Contractor's representative responsible for CWM implementation & coordination.

b. Describe method(s) of waste recycling management – on-site and/or off-site.

c. Describe how Contractor's staff and subcontractors will be informed regarding proper recycling and separation procedures

d. Describe and/or show on an attached site map where the temporary waste material storage area(s) will be located, and how will contamination of separated waste materials will be prevented?

II. Waste Minimization

a. What waste minimization techniques will be employed during the construction phase?

_____
_____
_____
_____

b. Which employees and / or subcontractors will be involved with each technique?

_____
_____
_____
_____

III. Construction Waste Analysis (including site / land-clearing materials, as applicable)

a. ***Diverted Materials***: For each material anticipated to be reused or recycled (diverted from the landfill), provide information to complete the table below. (Note: Whenever possible, attempt to use or donate construction waste materials rather than recycling.)

Material or Item	Storage Method (roll-off, bin, area, on pallet, etc.)	Quantity estimate (no., linear ft., square ft., etc.)	Proposed Recipient

Add rows (or paper pages) as required

b. ***Landfill***: For construction phase trash and materials / items that will not be diverted, complete the following table:

Quantity estimate (weight or volume)	Number and size of roll offs anticipated	Proposed landfill site

Appendix B. Summary of Solid Waste Disposal and Diversion

Project name _____ Project Number _____
Contractor Name _____ License Number _____
Contractor Address _____

Solid Waste Material	**Recycled, Reused, salvaged or disposed**	**Date Material Disposed** or diverted	**Amount Disposed/ Diverted** (Ton or cubic yd.)	**Municipal Solid Waste Facility** (Name, address, Phone)	**Recycling/Reuse Facility** Name Address Phone	**Comment** (If disposed state why not diverted)
Vegetation						
Soil						
Trench spoils						
Rock						
Asphalt						
Concrete						
Metal						
Wood						
Debris						
Glass						
Trash						
Other						

Signature _____ Date _____

Page / of / total pages

END SECTION 01505

CONSTRUCTION INDOOR AIR QUALITY MANAGEMENT PLAN
Section 01510

PART 1 – GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes requirements for construction indoor air quality, including:

1. Construction Indoor Air Quality Management Purpose
2. Construction Indoor Air Quality Procedures
3. Construction Indoor Air Quality Submittals

B. This section includes references to the following external documents:

1. “IAQ Guidelines for Occupied Buildings Under Construction”, Sheet Metal and Air Conditioning Contractors’ National Association (SMACNA), www.smacna.org, (703) 803-2980.
2. “ANSI/AHSRAE 52.2-1999: Method of Testing General Ventilation Air-Cleaning Devices for Removal Efficiency by Particle Size”, American Society of Heating, Refrigerating and Air-Conditioning Engineers (ASHRAE), www.ashrae.org, (800) 527-4723.

1.3 PURPOSE

A. The intent of Construction IAQ (Indoor Air Quality) management is to reduce indoor air quality problems resulting from the construction process in order to help sustain the health and well-being of construction workers and building occupants.

1.4 PROCEDURES

A. The Contractor shall make every effort to reduce pollutants throughout the construction process in order to achieve compliance with IAQ testing maximum concentrations discussed below. The most significant method for achieving success is through source control, that is:

1. Install products and materials that are low- or zero-VOC, do not contain added formaldehyde, and are free of particulates
2. Request in-factory flush-out from manufacturers wherever possible, to flush out pollutants before products arrive at the site

B. The Contractor shall adopt an IAQ management plan to protect the HVAC system during construction, control pollutant sources, and interrupt contamination pathways.

C. Contractor shall sequence the installation of materials to avoid contamination of absorptive materials such as insulation, carpeting, ceiling tile, and gypsum wallboard.

D. Temporary HVAC units (independent of permanent ductwork and distribution systems) are recommended as the optimal method for achieving the Construction IAQ requirements. This allows permanent HVAC equipment to be fully protected. If contractor is utilizing permanent HVAC equipment for fresh air, heating, or cooling during construction, all air intakes shall be protected from incoming construction debris. Contractor shall use filtration media in all permanent air handling equipment during construction, and replace this media immediately before occupancy.

1. Regularly occupied spaces: Filtration media during and after construction shall have a Minimum Efficiency Reporting Value (MERV) of 13 as determined by ANSI/ASHRAE 52.2-1999. Obtain a diagram from MEP Engineer indicating location of all regularly occupied spaces.
2. All other spaces: Filtration media during and after construction shall have a Minimum Efficiency Reporting Value (MERV) of 8 as determined by ANSI/ASHRAE 52.2- 1999.

E. The Contractor shall employ Green Housekeeping methods wherever practicable.

1. Use non-toxic cleaners per Green Seal: http://www.greenseal.org/
2. Conserve energy by shutting off lights and HVAC in all areas except those currently being cleaned.

1.5 SUBMITTALS

A. With first Application for Payment, the General Contractor is to submit a draft Construction IAQ Management Plan. Architect will return plan with revisions or approval, to be resubmitted as many times as necessary for Architect's final approval. The plan shall be divided into 6 parts, addressing each of the following topics per "IAQ Guidelines for Occupied Buildings Under Construction", Sheet Metal and Air Conditioning Contractors' National Association (SMACNA); http://www.smacna.org/ ; (703) 803-2980. The plan shall also include requirements described in "Procedures" above.

1. HVAC protection
2. Source Control
3. Pathway Interruption
4. Housekeeping
5. Filter Maintenance Schedule
6. Scheduling

B. With subsequent Applications for Payment, the General Contractor is to submit documentation of IAQ procedures as follows:

1. Provide cut sheets of filtration media used during construction with MERV values highlighted (per ANSI/ASHRAE 52.2-1999). Fresh clean filters must be installed immediately prior to occupancy.

2. During construction, take photographs of Construction IAQ Management procedures, such as protection of ducts, physical barriers protecting areas under construction, and the sequencing of installation for absorptive materials.

END

PART 1 - GENERAL

1.1 Related Documents:

Drawings and general provisions of Contract, including General Conditions, Section 00700, and Supplemental General Conditions, Section 00810, and Division 1 requirements.

PART 2 - PRODUCTS (NOT USED)

PART 3 - EXECUTION

3.1 General

CONTRACTOR shall maintain reasonable local vehicular and pedestrian dust free traffic, including use of driveways, to proceed safely with minimum inconvenience, except during actual construction operations. CONTRACTOR provided flaggers shall assist traffic when a street is operating under a single lane. Two-way traffic shall be maintained at all other times unless otherwise authorized by Owner.

CONTRACTOR shall provide, at the work zone location during temporary traffic control installation, a designated Competent Traffic Control Person to ensure compliance with the traffic control plans and the provisions of the Contract. Training Certificates for the designated Competent Person shall be provided with submittals at the Precon. Training certificates for competent persons shall be good for four (4) years from the date of training. After such time the competent person must show that additional training or re-certification has been completed to maintain competent person status.

CONTRACTOR shall maintain a smooth and safe ride for traffic by placing steel plates with Asphaltic concrete berms, temporary fill or bridging and temporary surfacing with cold or hot-mix Asphaltic concrete paving in accordance with applicable City Standards.

Sidewalks shall not be obstructed, except by special permission of Owner or E/A. Access to private dwelling and to commercial establishments shall be provided at all times.

CONTRACTOR shall plan and execute his operations in a manner that will cause a minimum interference with traffic. The CONTRACTOR shall place and maintain in good condition, standard barricades at each end of the Project and at other locations where traffic is rerouted or blocked from using regular traffic lanes. Barricades and warning signs shall be in accordance with Texas Manual on Uniform Traffic Control Devices (MUTCD) and the City of Austin Transportation Criteria Manual.

Signs, barricades and warning devices informing public of construction features shall be placed and maintained by the CONTRACTOR who shall be solely responsible for their maintenance.

Unless otherwise specified elsewhere in Division 1, neither explosives nor blasting shall be permitted on this Project.

3.2 Traffic Control

3.2.1 It shall be the sole responsibility of the CONTRACTOR to furnish, install, maintain and remove barricades, detour signs, warning signs, lights and all regulatory traffic control devices of the size and type specified, at locations indicated, or as directed or approved by the OWNER in accordance with the Texas Manual on Uniform Traffic Control Devices, (MUTCD), Part VI, Traffic Control for Street and Highway Construction and Maintenance Operations and the City of Austin Transportation Criteria Manual. Upon phase completion, the Contractor shall immediately revise the

temporary traffic devices to reflect the next phase or if the project is complete remove them from the public right of way.

3.2.2 Throughout the life of the Contract, all existing roads and Traffic Control devices included in the Work shall be maintained by the CONTRACTOR to a condition, in the opinion of the OWNER, which is equal to or better than that which existed when Work commenced. Maintenance of existing roads and devices shall take priority over all other Work items and shall be subject to a seven-day-a-week, 24-hours-per-day time frame. The CONTRACTOR shall provide a smooth and safe riding surface for all vehicles along the route of this Project. This could include, but not be limited to, small cars, motorcycles, mopeds and bicycles. If the condition of the street surface deteriorates, for any reason, CONTRACTOR shall take necessary steps to insure immediate restoration.

3.2.3 During construction of streets, drainage, and utility projects, if conditions of existing street surface require maintenance to upgrade from their state when the Work began, a separate pay item may be included in Bid. Otherwise, maintenance work will not be paid for directly but will be considered subsidiary to various Bid items of this Contract.

3.2.4 In the event that CONTRACTOR fails, in opinion of OWNER, to maintain a smooth surface for public comfort, fails to provide ingress and egress to private property, and/or does not provide and maintain proper traffic control devices, OWNER may provide these services and deduct any cost thereof, including overtime and administrative expenses, from all estimates thereafter due the CONTRACTOR. Such action by the OWNER shall not relieve the CONTRACTOR of his liability to protect the public at construction site. Owner may also assess an investigation fee, as established by separate Fee Ordinance, for violations resulting in more than one deficiency report issued to Contractor.

3.2.5 A permit must be obtained from Texas Department of Transportation (TxDOT), prior to Work being performed on state highway routes passing through the City.

3.2.6 CONTRACTOR shall notify the Owner's Representative, Police Department, Fire Department, EMS, and Right of Way Management Division at least seven Calendar Days in advance of beginning proposed Work, with intention to close or partially block any street or any part thereof, or of any construction affecting free flow of traffic. The CONTRACTOR shall plan and adequately provide barricades and warning devices. The same parties shall be notified when normal traffic flow is restored.

3.2.7 Should the CONTRACTOR, in his operations, reduce an existing two-way roadway to less than 20 feet in width, CONTRACTOR shall provide a route through or around the narrowed area as approved by Owner or E/A.

3.2.8 The CONTRACTOR's Flaggers shall be required any time it is necessary for the CONTRACTOR's equipment to move into or across an open traffic lane, or at other such times as directed by the Owner's Representative. A flagger shall be utilized to aid exit of hauling equipment from open traffic lanes to the Work area, and entry of hauling equipment from Work area to open traffic lanes. Flaggers shall be dressed and conduct operations in accordance with Texas Manual on Uniform Traffic Control Devices and the Transportation Criteria Manual. Flagging operations shall be the sole responsibility of the CONTRACTOR.

3.2.9 The CONTRACTOR and Subcontractors shall confine their activities to the immediate area of the construction site and provide the following:

a. Appropriate temporary fences, barricades, and/or Metal Beam Guard Fence if required, for site work involving excavation, utility extensions, remote construction work or other circumstances involving safety of public or protection of the work in progress.

b. Warning lights at open trenches, excavations, etc., during hours from dusk to dawn each day. Protection of structures, utilities, sidewalks, pavements, and other facilities immediately adjacent to excavations, from damages caused by settlement, lateral movement, undermining, washout and other hazards.

3.3 Spoil Disposal

CONTRACTOR may make other arrangements for spoil disposal subject to E/A evaluation of the CONTRACTOR-supplied proof that the Owner(s) of the proposed site(s) has a valid fill permit issued by the appropriate governmental agency. Finally, the CONTRACTOR shall submit a haul route plan including a map of the proposed route(s) for the E/A and Owner's approval.

3.4 Restoration

3.4.1 In order to minimize environmental and potential flood impacts, the sum of the amount of trench opened in advance of the completed line and the amount of trench left unfilled at any time shall be restricted to one (1) full block or 300 linear feet, whichever is less.

3.4.2 Restoration shall be an on-going process during construction operations and shall immediately precede completion of construction of each successive section of the line, which shall not exceed 1,200 linear feet without approval of the E/A.

3.5 Street Markers and Traffic Control Signs

It shall be responsibility of the CONTRACTOR to remove, preserve and reset, as required, Street Marker and Traffic Control Signs that are within construction limits to the line and heights as described in Texas Manual on Uniform Traffic Control Devices before any sidewalks or street excavation is begun. Signs shall not be laid on the ground. No payment will be made for this work but shall be considered subsidiary to the various Bid items. Traffic Sign Activity Section of the Transportation Department (457-4850) shall be notified a minimum of five Working Days prior to completion of the Project so that signs may be checked for damage. Any damage to signs or posts shall be paid for by the CONTRACTOR.

3.6 Burning Permit

Open burning within City limits will not be allowed. Trench burning shall require a permit from the Fire Marshal. Burning permits outside City limits shall be obtained from the appropriate authority.

The CONTRACTOR shall secure and pay for all burning permits.

3.7 Driveways

Unless otherwise indicated, the approach grade of existing driveways shall be modified as indicated and as directed by the Owner's Representative. The OWNER will contact property owners whose driveways require grade modification beyond street right-of-way and the OWNER will obtain their concurrence for approach grade modification. Within the right-of-way, all driveways shall be replaced with concrete driveways. Outside the right-of-way, when approach grade modifications are required, flexible base shall be placed by the CONTRACTOR to resurface existing dirt or gravel driveways; asphalt and concrete drives shall be replaced in kind by the CONTRACTOR. Excavation, Flexible Base, Portland Cement Concrete and Asphaltic Concrete, used for driveways as prescribed above shall not be measured for payment but shall be considered subsidiary to various Bid items in the Contract unless payment is included as a separate Contract pay item.

3.8 Removal or Relocation of Fences and Sprinkler Systems

Removal or relocation of privately owned fences and sprinkler systems not specified in Bid, and within public right-of-way is the primary responsibility of the property owner. OWNER will cause property owners to be aware of any known conflicts and encourage them to make

desired adjustments in advance of construction. In the event the property owner does not, or will not, make adjustments necessary for construction of improvements to be made under this Contract, CONTRACTOR, after receiving written approval from the OWNER, shall remove those portions that interfere with the Work, as follows:

3.8.1 Fences shall be disassembled, by hand, into hand manageable sizes and placed on the private property.

3.8.2 For sprinkler systems, the CONTRACTOR, after assuring that electrical and/or mechanical controls are disconnected, shall remove sprinkler heads, valves, controls, and any other miscellaneous items, including distribution pipe, or wire, saw cut from the system. The CONTRACTOR shall present these materials to the property owner. Where piping is cut, the pipe shall be permanently capped or plugged, unless otherwise directed by the OWNER.

Work for removal or relocation of fences and sprinkler systems shall not be paid for directly but shall be subsidiary to the various Bid items.

End

PART 1 GENERAL

1.01 SUMMARY

A. General requirements for delivery, storage, handling, and installation of products. All equipment furnished and installed under this contract to conform to the general stipulations set forth in this Section except as otherwise specified in other Sections of the Specifications.

1.02 RELATED REQUIREMENTS

A. Painting and Protective Coatings per Section 09902, “Painting and Protective Coatings”.

B. Other related work as called for on PLANS or specified elsewhere in this or other TECHNICAL SPECIFICATION Sections.

1.03 SUBMITTALS

A. Submit in compliance with Specification Section 01300, “Submittals”.
   1. Furnish a complete list of lubricants tabulated by equipment items.

1.04 QUALITY ASSURANCE

A. Manufacturer’s Experience: No equipment to be supplied from any manufacturer not regularly engaged in the production of equipment of the size and character herein specified. Generally, vendor must have installed and had in satisfactory operation inside the continental United States, for a period of not less than three years, at least one unit of the size and design comparable to the units specified. Particular equipment may require more manufacturing experience. When so, the experience requirement is specified with the equipment.

B. Coordination: Coordinate all details of the equipment with other related parts of the Work, including verification that all structures, piping, wiring, and equipment components are compatible. Perform all structural and other alterations in the Work required to accommodate equipment differing in dimensions or other characteristics from that contemplated in the Contract Drawings or Specifications. No extra compensation will be allowed because of differences between actual dimensions and measurements indicated on the working drawings.

C. Provide the services of a technical representative for furnished equipment, for a sufficient period to assist in start-up and initial adjustment of all equipment, and to train, advise and consult with OWNER’s operating personnel.

D. All items of equipment required under this Contract to include literature explaining “Operation and Maintenance” of that item of equipment. If a manufacturer does not print such a standard O&M manual, CONTRACTOR to provide OWNER with a manual, approved in writing by the manufacturer.

E. Workmanship and Materials: Guarantee all equipment against faulty or inadequate design, improper assembly or erection, defective workmanship or materials, and leakage, breakage or other failure. Materials to be suitable for service conditions.

F. All equipment to be designed, fabricated, and assembled in accordance with the best modern engineering and shop practice. Individual parts to be manufactured to standard sizes and gages so that repair parts, furnished at any time, can be installed in the field. Like parts of duplicate units to be interchangeable. Equipment is not to have been in service at any time prior to delivery, except as required by tests.

G. Except where otherwise specified, structural and miscellaneous fabricated steel used in equipment to conform to AISC standards. All structural members to be designed for shock or vibratory loads. Unless otherwise specified, all steel which will be submerged, all or in part, during normal operation of the equipment to be at least 1/4-inch thick.

H. Maintenance and Repair Facility: Equipment manufacturers to have maintenance and repair facilities established and in operation in the continental United States for a period of not less than three years. Such facilities to be fully equipped and staffed with qualified personnel for making repairs to equipment provided under this contract. The facilities to carry a full line of normal maintenance spare parts.

1.05 PREPARATION FOR SHIPMENT

A. When practical, factory assemble products. Matchmark or tag separate parts and assemblies to facilitate field assembly. Cover machined and unpainted parts that may be damaged by the elements with a strippable protective coating.

B. Equipment to be boxed, crated, or otherwise completely enclosed and protected during shipment, handling, and storage. Mark or tag outside of each package or crate to indicate its purchase order number, bill of lading number, contents by name, name of Project and CONTRACTOR, equipment number, and approximate weight. Include complete packing lists and bills of materials with each shipment.

C. Protect from exposure to the elements and keep thoroughly dry and dustfree at all times. Painted surfaces to be protected against impact, abrasion, discoloration, and other damage. Apply grease and lubricating oil to all bearings and similar items.

D. Tag or mark each item of equipment as identified in the delivery schedule or on the Shop Drawings.

E. Spare Parts, Special Tools, Test Equipment, Expendables, and Maintenance Materials:
   1. Furnish as required by the Specifications prior to:
      a. Starting functional testing; or
      b. Operation of the equipment by the OWNER; or
      c. At 75 percent of Project completion, whichever occurs first.
   2. Properly packaged to avoid damage, in original cartons insofar as possible. Replace parts damaged or otherwise inoperable.
   3. Firmly affix to, and prominently display on, each package.
      a. Minimum 3-inch by 6-inch manila shipping tag with the following information printed clearly:
         1) Manufacturer’s part description and number.
         2) Applicable equipment description.
         3) Quantity of parts in package.
         4) Equipment manufacturer.
         5) Applicable Specification Section.
         6) Name of CONTRACTOR.
         7) Project name.
   4. Deliver materials to site.
   5. Notify ENGINEER/OWNER upon arrival.

F. Bare Steel: Where steel components of equipment are specified to be shipped bare for field painting, such exposed steel to be sandblasted and primed, in accordance with Section 09902, “Painting and Protective Coatings”.

1.06 DELIVERY AND INSPECTION

A. Deliver products in accordance with the accepted current progress schedule and coordinate to avoid conflict with Work and conditions at the site. Deliver anchor bolts and templates sufficiently early to permit setting prior to placement of structural concrete.

B. Deliver products in undamaged condition, in manufacturer’s original container or packaging, with identifying labels intact and legible. Include on label date or manufacture and shelf life, where applicable. Include UL labels on products so specified.

C. Coordinate with the OWNER the delivery of material at the site for storage. CONTRACTOR is responsible for acceptance of delivery of materials and supplies by his own personnel. OWNER will not accept delivery of materials for the CONTRACTOR. Make arrangements with suppliers and shipping agencies for delivery to the proper locations.

D. Unload products in accordance with manufacturer’s instructions for unloading, or as specified. Record the receipt of products at the site. Inspect for completeness and evidence of damage during shipment.

E. Examine all materials furnished at the time and place of delivery and reject all noncompliant (with specifications), and/or defective or damaged material.

F. Remove noncompliant and/or damaged products from the site and expedite delivery of identical new undamaged products and remedy incomplete or lost products to provide that specified, so as not to delay the progress of the Work.

1.07 HANDLING, STORAGE AND PROTECTION

A. Handle products in accordance with the manufacturer’s written instructions, and in a manner to prevent damage. Store products, upon delivery, in accordance with manufacturer’s instructions, with labels intact and legible, in approved storage yards or sheds. Provide manufacturer’s recommended maintenance during storage, installation, and until products are accepted for use by OWNER.

B. Arrange storage in a manner to provide easy access for inspection. Make periodic inspections of stored products to assure that products are maintained under specified conditions, and free from damage or deterioration. Keep running account of products in storage to facilitate inspection and to estimate progress payments for products delivered but not installed in the Work.

C. Store electrical, instrumentation, and control products, and equipment with bearings, in weather-tight structures maintained above 60°F. Protect electrical, instrumentation, and control products, and insulation against moisture, water, and dust damage. Connect and operate continuously all space heaters furnished in electrical equipment.

D. Store fabricated products aboveground, on blocking or skids, and prevent soiling or staining. Store loose granular materials in a well-drained area on solid surfaces to prevent mixing with foreign matter. Cover products that are subject to deterioration with impervious sheet coverings; provide adequate ventilation to avoid condensation.

E. Store finished products that are ready for installation in dry and well ventilated areas. Do not subject to extreme changes in temperature or humidity. Protect shafts and couplings of motors with rust-preventive compound and store under cover.

F. Hazardous Materials: Prevent contamination of personnel, the storage building, and the site. Meet the requirements of the product specifications, codes, and manufacturer's instructions.

G. Care in Handling: Handle all equipment with care at all times to prevent damage. Use proper hoisting equipment, slings, and temporary supports.

1.08 - 1.11 (NOT USED)

PART 2 PRODUCTS

2.01 MANUFACTURER(S) (NOT USED)

2.02 MATERIALS AND/OR EQUIPMENT

A. General
1. Materials and equipment as specified under separate Sections of the TECHNICAL SPECIFICATIONS or as shown on PLANS.
2. Furnish new and unused materials and equipment. Like items of products furnished and installed in the Work to be end products of one manufacturer and of the same series or family of models to achieve standardization for appearance, operation and maintenance, spare parts and replacement, and manufacturer's services.
3. All materials of construction to be as regularly furnished by the equipment manufacturer for the subject item, unless otherwise specified in the individual Specification Sections.
4. Where product specifications include a named manufacturer, with or without model number, and also include performance requirements, named manufacturer's products must meet the performance specifications.
5. Do not use materials and equipment removed from existing premises, except as specifically permitted by the Contract Documents.
6. Provide interchangeable components of the same manufacturer, for similar components, unless otherwise specified.
7. Equipment, Components, Systems, Subsystems: Design and manufacture with due regard for health and safety of operation, maintenance, and accessibility, durability of parts, and comply with applicable OSHA, state, and local health and safety regulations.
8. Provide materials and equipment listed by UL wherever standards have been established by that agency.
9. Lubricant: Furnish three months' supply of lubricants in 5-gallon, or smaller, containers. Lubricant to be "name" brand available from local sources, and subject to approval of OWNER.

B. Drive Units: The nominal input horsepower rating of each gear or speed reducer to be at least equal to the nameplate horsepower of the drive motor. Drive units to be designed for 24-hour continuous service.
1. Gearmotors: Gearmotors to be rated AGMA Class II and to bear an AGMA nameplate.
2. Gear Reducers: Each gear reducer to be totally enclosed, oil lubricated, with antifriction bearings throughout. Worm gear reducers to have a service factor of at least 1.20. Shaft mounted gear reducers to be rated AGMA Class II. Other helical, spiral bevel and combination bevel-helical gear reducers to have a service factor of at least 1.50. Each gear reducer to bear an AGMA nameplate.
3. Variable Speed Drives: Each variable speed drive to have a service factor of at least 1.75 at maximum speed. Provide a spare belt with each variable speed

drive unit employing a belt for speed change. Unless specifically permitted by the detailed equipment specifications, bracket type mounting is not acceptable for variable speed drives.

4. V-Belt Drives: Each V-belt drive to include a sliding base or other suitable tension adjustment. V-belt drives to have a service factor of at least 1.6 at maximum speed.

C. Safety Guards: All belt or chain drives, fan blades, couplings, and other moving or rotating parts to be covered on all sides by a safety guard. Unless otherwise noted in individual Specification Sections, safety guards to be fabricated from 16 USS gage or heavier galvanized or aluminum-clad sheet steel or galvanized expanded metal. Design guards for easy installation and removal. All necessary supports and accessories to be provided for each guard. Supports and accessories, including bolts, to be galvanized. Safety guards in outdoor locations to be designed to prevent the entrance of rain and dripping water.

D. Anchor Bolts

1. Equipment suppliers to furnish suitable anchor bolts for each item of equipment. Anchor bolts, together with templates or setting drawings, to be delivered sufficiently early to permit setting the anchor bolts when the structural concrete is placed. Unless otherwise specified, anchor bolts to have a minimum diameter of ¾-inch.
2. Unless otherwise indicated or specified, anchor bolts for items of equipment mounted on baseplates to be long enough to permit 1½ inches of grout beneath the baseplate and to provide adequate anchorage into structural concrete.

E. Equipment Bases: Unless otherwise indicated or specified, all equipment to be installed on concrete bases at least 6 inches high. Cast iron or welded steel baseplates to be provided for pumps, compressors, and other equipment. Each unit and its drive assembly to be supported on a single baseplate of neat design. Baseplates to have pads for anchoring all components and adequate grout holes. Baseplates for pumps to have a means for collecting leakage and a threaded drain connection. Baseplates to be anchored to the concrete base with suitable anchor bolts and the space beneath filled with grout.

F. Special Tools and Accessories: Equipment requiring periodic repair and adjustment to be furnished complete with all special tools, instruments, and accessories required for proper maintenance. Equipment requiring special devices for lifting or handling to be furnished complete with those devices.

G. Shop Painting

1. General: All steel and iron surfaces to be protected by suitable paint or coatings applied in the shop. Surfaces, which will be inaccessible after assembly to be protected for the life of the equipment. Exposed surfaces to be finished smooth, thoroughly cleaned, and filled as necessary to provide a smooth uniform base for painting. Electric motors, speed reducers, and other self-contained or enclosed components to be shop primed or finished with a high-grade oil-resistant enamel suitable for coating in the field with an alkyd enamel. Coatings to be suitable for the environment where the equipment is installed.
2. Equipment Finish:
   a. Provide manufacturer's standard finish and color, except where specific finish or color is indicated.
   b. If manufacturer has no standard color, provide equipment with ANSI No. 61, light gray color.

H. Pressure Gauges

1. General: Furnish and install pressure gauges on the suction and discharge of all pumps, on each side of pressure reducing valves, and where shown on PLANS. Provide vacuum gauges on vacuum lines where shown. Exceptions:
   a. None.
2. Type: General service industrial grade pressure gauge for measuring process operating conditions for all services except chlorine.
3. Construction:
   a. Size: 4 ½" nominal dial size;
   b. Movement: Bourdon tube, 316 S.S., with overload and underload stops;
   c. Case & Ring: Polished stainless steel;
   d. Window: Glass;
   e. Connection: ¼" NPT, S.S.;
   f. Pointer: Black, adjustable needle;
   g. Filling: Liquid filled.
4. Accuracy: ASME grade 1A (1% of full scale)
5. Scale Range:
   a. General: As noted on PLANS or such that normal operating pressure lies between 50 and 80 percent of scale range.
   b. Vacuum Service: 0 – 30" Hg.
   c. Pump Suction Service: Compound, 0 – 30" Hg/0 – 15 psi.
6. Manufacturer: Dresser-Ashcroft Type 1009, or equal.
7. Accessories: Unless otherwise shown on PLANS, furnish, each pressure gauge with a diaphragm seal and isolation valve.
   a. Diaphragm Seal: Silicone oil filled, 316L S.S. mini diaphragm seal, direct connected to gauge. Dresser/Ashcroft Model 25-310SSL-02T-CG or equal.
   b. Isolation Valve: ¼ turn, solid body, 316 S.S. ball valve, Apollo Valve or equal.
   c. Isolation valve to be connected to parent pipe at 1" threaded tap and reducing bushing.

2.03 FABRICATION

A. General

1. Manufacture parts to U.S.A. standard sizes and gauges.
2. Two or more items of the same type to be identical, by the same manufacturer, and interchangeable.
3 Design structural members for anticipated shock and vibratory loads.
4. Modify standard products as necessary to meet performance Specifications.

B. Lubrication System

1. Require no more than weekly attention during continuous operation.
2. Convenient and accessible. Oil drains with bronze or stainless steel valves and fill plugs easily accessible from the normal operating area or platform. Locate drains to allow convenient collection of oil during oil changes without removing equipment from its installed position.
3. Provide constant-level oilers or oil level indicators for oil lubrication systems.
4. For grease type bearings, which are not easily accessible, provide and install stainless steel tubing; protect and extend tubing to convenient location. Provide "Alemite" grease fittings. Provide one grease line per fitting. One line for multiple fittings is not acceptable.
5. Install oil fill tubes and drain plugs where such are not provided on equipment.

2.04 SOURCE QUALITY CONTROL

A. Where Specifications call for factory testing to be witnessed by ENGINEER, notify ENGINEER not less than 14 days prior to scheduled test date unless otherwise specified.

B. Calibration Instruments: Bear the seal of a reputable laboratory certifying that instrument has been calibrated within the previous 12 months to a standard endorsed by the National Institute of Standards and Technology (NIST).

C. Factory Tests: Perform in accordance with accepted test procedures and document successful completion.

PART 3 EXECUTION

3.01 GENERAL (NOT USED)

3.02 PREPARATION

A. Preparation of Concrete for Equipment: Set anchor bolts to certified and approved shop drawings only, unless otherwise directed. Where necessary, provide wood or steel templates. Survey all concrete layouts before pouring and make certain that all required or necessary sleeves, inserts, and conduit are in place and secured.

B. Lubrication: Completely lubricate all equipment prior to operation. Use only manufacturer's recommended lubricant for each application. Fill lubricant reservoirs and replace consumption during testing, startup, and operation prior to acceptance of equipment by OWNER.

3.03 ERECTION/INSTALLATION/APPLICATION AND/OR CONSTRUCTION

A. Equipment Drawings show general locations of equipment, devices, and raceway, unless specifically dimensioned.

B. Equipment is not to be installed or operated except by, or with the guidance of, qualified personnel having the knowledge and experience necessary for proper installation and/or operation. When employees of CONTRACTOR or his Subcontractors are not qualified, such personnel to be field representatives of the manufacturer of the equipment or materials being installed.

C. Installation: Install each type of equipment in strict accordance with good practice and in compliance with approved printed instructions of manufacturer. CONTRACTOR to provide, and have available at all times, copies of such printed instructions.

D. Grouting Equipment: Materials and general procedures for grouting equipment are found in applicable concrete sections. Follow approved manufacturer's recommendations for equipment requiring special procedure.

E. Repaint painted surfaces that are damaged prior to equipment acceptance.

F. Handle, install, connect, clean, condition, and adjust products in accordance with manufacturer's instructions and as may be specified. Retain a copy of manufacturers' instruction at site, available for review at all times.

G. For material and equipment specifically indicated or specified to be reused in the Work:

1. Use special care in removal, handling, storage, and reinstallation to assure proper function in the completed Work.
2. Arrange for transportation, storage, and handling of products that require offsite storage, restoration, or renovation. Include costs for such Work In the Contract Price.

3.04 EQUIPMENT CHECK-OUT

As soon as equipment is erected and lubricated, perform initial check-out and adjustments to ensure that everything is in working order. Protect all parts as necessary to prevent corrosion or deterioration until final painting.

3.05 FIELD QUALITY CONTROL

A. Qualified manufacturers' field representatives to be provided by the equipment manufacturers to perform all manufacturers' field services called for in the Specifications. At a minimum, manufacturers' field representatives to perform the following:
   1. Observe the installation;
   2. Instruct, guide, and direct CONTRACTOR's erection or installation procedures;
   3. Perform an installation check and prepare "Equipment Installation Report"; and
   4. Instruct the OWNER's personnel in the proper operation and maintenance procedures for the equipment.

   Field representative to revisit the site as often as necessary to complete installation and services satisfactory to OWNER/ENGINEER.

B. Each manufacturer's representative to furnish written report certifying that the equipment has been properly installed and lubricated; is in accurate alignment; is free from any undue stress imposed by connecting piping or anchor bolts; and has been operated under full load conditions and that it operated satisfactorily.

C. Performance Testing: When the Specifications require the presence of ENGINEER, initial tests to be observed or witnessed by ENGINEER. OWNER to be reimbursed by CONTRACTOR for all costs of subsequent visits by ENGINEER to witness or observe incomplete tests, retesting, or subsequent tests.

3.06 ADJUSTMENT AND CLEANING

Perform required adjustments, tests, operation checks, and other startup activities.

3.07 FIELD FINISHING

In accordance with individual Specification Sections.

3.08 TESTING AND PLACING IN SERVICE

A. All equipment installed under this Contract to be placed into successful operation according to the written instructions of the manufacturer or the instructions of the manufacturer's field representative. All required adjustments, tests, operation checks, and other startup activity to be provided.

B. Testing and Adjustments: Complete all preliminary check-out and testing operations. Make necessary adjustments.

C. Initial Operation: Perform initial operation of process equipment with water. Make final adjustments.

3.09 - 3.10 (NOT USED)

3.11 MEASUREMENT AND PAYMENT

No separate measurement or payment for work performed under this Section. Include cost of same in Contract price bid for work of which this is a component part.

END OF SECTION

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PART 1 GENERAL

1.01 SUMMARY

A. This Section includes the general requirements for in-place testing of individual pieces of equipment and systems and startup of unit processes to demonstrate the intended overall functionality as required to achieve project completion. Requirements for equipment and system testing and facility start up, include, but are not limited to the following:
   1. Start-Up Plan.
   2. General Start-Up and Testing Procedures.
   3. Functional Testing.
   4. Performance Testing.
   5. Final testing requirements.
   6. Certificate of Proper Installation.

B. Except as noted otherwise, all costs for training, testing, startup, installation, supervision, etc., shall be the responsibility of the CONTRACTOR.

1.02 RELATED REQUIREMENTS

A. It is the CONTRACTOR's responsibility for scheduling and coordinating the Work of subcontractors, suppliers, and other individuals or entities performing or furnishing any of the CONTRACTOR's Work.

1.03 REFERENCES (NOT USED)

1.04 DEFINITIONS

A. Facility: The entire project associated with Project, or an agreed-upon portion, including its entire unit processes.

B. Functional Test: Test or tests conducted in the presence of the OWNER and/or ENGINEER/ARCHITECT (E/A) designee to demonstrate that installed equipment meets manufacturer's installation, calibration, and adjustment requirements and other requirements as specified.

C. Performance Test: Test or tests conducted in the presence of the OWNER and/or E/A to demonstrate and confirm individual equipment meets the performance requirements specified in individual Specification Sections.

D. Operational Readiness Test (ORT): Test or tests conducted in the presence of the OWNER and/or E/A to demonstrate and confirm that the entire Process Instrumentation and Control System is interconnected.

E. Performance Acceptance Test (PAT): Test or tests conducted in the presence of the OWNER and/or E/A to demonstrate and confirm that the operation of the instrumentation and control system and the operation of the associated process and mechanical equipment that shall be controlled and monitored by the instrumentation and control system.

F. Unit Process: As used in this Section, a unit process is defined as a portion of the facility that performs a specific process function in its entirety. As an example, the "Low Service Pump Station", which would include pump and tank monitoring and control.

G. Project Performance Demonstration:
   1. A demonstration, conducted by the CONTRACTOR, with the assistance of the OWNER's operations personnel and/or the E/A, that demonstrates and documents the performance of the complete scope of work for each individual Project, both

manually and automatically (if required), based on criteria developed for the Project.

2. Such demonstration is for the purpose of (i) verifying to OWNER that the entire facility performs as a whole as intended by the design and (ii) documenting performance characteristics of completed facility for OWNER's records.

1.05 SYSTEM DESCRIPTION

A. The purpose of this Section is to:
   1. Verify the equipment and systems are installed in accordance with the manufacturer's instructions, industry accepted minimum standards, and Contract Documents to comply with the O&M requirements and Contract Specifications.
   2. Verify the equipment and systems receive adequate operational checkout by the CONTRACTOR.
   3. Verify and document proper performance of the equipment and systems.
   4. Verify OWNER's operating personnel are adequately trained in the proper operation and maintenance of each piece of equipment.
   5. Equipment testing and startup does not relieve the CONTRACTOR of the responsibility to provide a finished and fully functioning Project.

B. Equipment testing and facility startup overview and general order:
   1. Begin with an initial coordination meeting.
   2. Conduct progress meetings throughout construction, to plan, scope, coordinate, schedule activities and resolve issues.
   3. In general, checkout and performance verification proceeds from simple to complex; from component level to equipment; to systems and intersystem levels with verification checklists being completed before functional testing.
   4. Equipment and system installers execute and document verification checklists and perform verification checks and startup.
   5. CONTRACTOR and equipment and system installer shall develop specific equipment and system functional performance test procedures, incorporating the requirements of this and other individual equipment Specification Sections.
   6. Items of non-compliance in material, installation or setup shall be corrected at CONTRACTOR's expense and the equipment or system re-tested.

1.06 SUBMITTALS

A. Submit for review and approval the following in accordance with Section 01300, "Submittals".
   1. *Equipment Startup and Performance Demonstration Plan*.
      a. Develop a written plan, in conjunction with the OWNER's operational personnel and/or the E/A to include the following:
         1) Step-by–step instructions for the startup of each piece of equipment, each unit process, and the complete facility.
         2) *Equipment Startup Form* to minimally include the following:
            a) Description of the equipment, including equipment tag numbers, nomenclature of each item of equipment and all included devices, and equipment location.
            b) Date of test and unique test identification number and reference to unique verification checklist and startup documentation.
            c) Required pre-test field measurements, formulas used in calculations and instrument calibration data.

d) Special cautions, alarm limits, and safety concerns.
e) Specific step-by-step procedures to execute test, in clear, sequential and repeatable format.
f) Acceptance criteria of proper performance with yes/no check box to allow for marking whether proper performance of each part of the test was achieved. Include a section for comments.

3) *Unit Process Startup Form* to minimally include the following*:*
a) In addition to the items/format specified above, provide detailed procedure for startup of the unit process, including valves and gates to be opened/closed, order of equipment startup, etc.
b) Startup requirements for each unit process, including water, power, chemical, etc. and requirements for other instrumentation, equipment, or systems to be in operation prior to startup. Also include requirements related to involvement of work being installed by Others.
c) Operation in hours or days to demonstrate proper operation. The minimum time for operation is specified in this Section.
d) Space for evaluation comments.

4) *Facility Performance Demonstration/Certification Form, to* minimally include the following:
a) Description of unit process included in the facility startup.
b) Sequence of unit process startup to achieve facility startup.
c) Description of computerized operations, if any, included in the facility.
d) CONTRACTOR certification facility is capable of performing its intended function(s), including fully automatic operation.
e) Operation in hours or days to demonstrate proper operation. The minimum time for operation is specified in this Section.

2. Equipment testing and unit process startup *Schedule* for all required field tests.
3. Test Instrumentation Calibration Data
4. Test result reports per paragraph 3.02 F. Incorporate and include any additional forms/reports specified in individual Specification Sections.

B. Certificate of Proper Installation: Furnish report, prepared by manufacturer's technical representative, certifying the satisfactory installation, operation, and in-service placement of each piece of equipment.

1.07 QUALITY ASSURANCE

A. System Coordination: CONTRACTOR is responsible for the details and coordination necessary to properly install, test and certify a complete, working system.

B. The CONTRACTOR shall provide the services of a qualified manufacturer's service technician to:
1. Assist in the installation of equipment.
2. Check the installation before the equipment is placed into operation.
3. Assist in the performance of field tests.
4. Assist in the startup of the equipment.
5. Train the OWNER's operational and maintenance personnel in the care, operation, and maintenance of all supplied equipment.

C. The CONTRACTOR shall provide the services of the manufacturer's service technician at such times and for such duration of time as are needed to perform the tasks required above. Reference the individual equipment Specification Sections for additional details.

1.08 – 1.10 NOT USED

PART 2 PRODUCTS

2.01 MANUFACTURERS - NOT USED

2.02 MATERIALS – NOT USED

2.03 TOOLS, SPARE PARTS, AND ACCESSORIES

A. Testing Equipment Requirements
   1. Testing Equipment: Calibrated within last year; of sufficient quality and accuracy to test and measure system performance within the following tolerances unless otherwise specified for individual equipment:
      a. Temperature Sensors and Digital Thermometers: 0.5°F accuracy and ±0.1°F resolution.
      b. Pressure Sensors: Accuracy of ± 2.0% of measured values range.
      c. Vibration Sensors: Use digital type analyzers or data collectors with anti- aliasing filter, 12 bit A/D converter, fast Fourier transform circuitry, phase measurement capability, time wave form data storage, high frequency enveloping capabilities, 35 frequency ranges from 21 to 1,500,000 cycles per minute, adjustable fast Fourier transform resolution from 400 to 6,400 lines, storage for up to one hundred 3,200 line frequency spectra, RS232C data output port, circuitry for integration of acceleration data to velocity or double integration to displacement.
   2. Recalibrate test equipment according to manufacturers recommended internals and when dropped or damaged.
      a. Affix calibration tags to test equipment or furnish certificates upon request.
   3. Equipment furnished by CONTRACTOR and remaining property of CONTRACTOR:
      a. Standard testing equipment required to perform verification check and start- up and required testing.
      b. Two-way radios for personnel performing testing.

2.04 (NOT USED)

PART 3 EXECUTION

3.01 GENERAL EQUIPMENT VERIFICATION

A. General
   1. Complete testing, training, and start-up within the time frame specified in each individual Project. Provide OWNER a minimum of 7-day advance notice prior to scheduled test.
   2. Allow for realistic durations when planning Work for testing, training, and start-up activities.
   3. Furnish labor, power, chemicals, tools, equipment, instruments, and services required for and incidental to completing functional testing, performance testing, unit process testing, and facility performance demonstration.
   4. Provide competent, experienced technical representatives of equipment manufacturer's for assembly, installation, and testing guidance, and operator training.

B. CONTRACTORS Testing and Startup Representative:
   1. Designate and furnish one or more personnel to coordinate and expedite testing.
   2. Representative(s) shall be available at all times during the testing and startup.

C. Facility Startup Meetings:
   1. Schedule testing meeting with OWNER, E/A, and other stakeholders as appropriate to discuss test schedule, test methods, materials, chemicals, and liquids required, facilities operations interface, and OWNER involvement.

D. Temporary Facilities and Labor:
   1. Provide necessary fluids, utilities, temporary piping, temporary supports, temporary access platforms or access means and other temporary facilities and labor necessary to safely operate the equipment and accomplish the specified testing. With OWNER's prior permission, some utilities may be used by the Contractor even if the utilities are part of the fully tested permanently installed utilities that are part of the Work.

E. Field Testing:
   1. Submit test plan as specified in this Section. Indicate test start time and duration, equipment to be tested, other equipment involved or required; temporary facilities required; number and skill or trade of personnel involved; safety issues and planned safety contingencies; anticipated effect on OWNERs existing equipment and other information relevant to the test. Provide locations of all instruments to be used for testing. Provide calibration records for all instrumentation.
   2. Perform general startup and testing procedures.
   3. Prior to testing, verify equipment protective devices and safety devices have been installed, calibrated, and tested.

F. Reports: Submit reports for field-testing Report features:
   1. Report results in a bound document, in generally accepted engineering format with title page, written summary of results compared to specified requirements, and appropriate curves or plots of significant variables, in English Units.
   2. Include appendix with a copy of the raw, unmodified test data sheets indicating test value, date and time of reading, and initials of person taking the data.
   3. Include appendix with sample calculations for adjustments to raw test data and for calculated results.
   4. Include appendix with the make, model, and last calibration date ofinstrumentation used for test measurements.
   5. Include in body of report a drawing or sketch of the test system layout, showing location and orientation of the test instruments relative to the tested equipment features.

G. Owner will:
   1. Provide chemicals, and provide and haul seed sludge for startup, unless otherwise indicated.
   2. Provide labor and materials as required for laboratory analysis.
   3. Make available spare parts, special tools, and operation and maintenance information for any OWNER-furnished products.

3.02 PREPARATION, FUNCTIONAL & PERFORMANCE TESTING

A. Preparation:
   1. Complete installation prior to initiating test.
   2. Obtain and submit from equipment manufacturer's representative, the Certificate of Proper Installation.
   3. Equipment Test Report Form: Provide written test report for each item ofequipment to be tested. The form shall include:
      a. Owner/Project Name
      b. Equipment or Item tested. Use Equipment Id numbers when appropriate
      c. Date and time of test
      d. Weather conditions, if applicable
      e. Type of test performed (Functional and Performance)

f. Test Method
g. Test conditions
h. Test results
i. Signature spaces for witnesses.

4. Cleaning and Checking: Prior to beginning functional testing:
   a. Calibrate testing equipment in accordance with manufacturer's instructions.
   b. Inspect and clean equipment, devices, connected piping, and structures to ensure they are free of foreign material. Remove rust preventatives and oils applied to protect equipment during construction.
   c. Flush lubrication systems and dispose of flushing oils. Recharge lubrication system with lubricant recommended by manufacturer.
   d. If necessary, flush fuel system and provide fuel for testing and startup.
   e. Install and adjust packing, mechanical seals, O-rings, and other seals. Replace defective seals.
   f. Remove temporary supports, bracing, or other foreign objects installed to prevent damage during shipment, storage, and erection.
   g. Turn rotating equipment by hand when possible to confirm that equipment is not bound.
   h. Open and close new valves and gates by hand and operate other devices to check for binding, interference, or improper functioning. OWNER supplied equipment shall be operated by or in direct coordination with the OWNER.
   i. Check power supply to electric-powered equipment for correct voltage.
   j. Adjust clearances and torque. Perform cold alignment and hot alignment to manufacturer's tolerances.
   k. Test new piping for leaks.
5. Ready-to-Test determination will be made by OWNER based at least on the following:
   a. Acceptable Operation and Maintenance Data.
   b. Notification by CONTRACTOR of equipment readiness for testing, including assurances that all necessary personnel have been scheduled.
   c. Receipt of manufacturer's Certificate of Proper Installation.
   d. Adequate completion of work adjacent to, or interfacing with, equipment to be tested, including items to be furnished by OWNER.
   e. Availability and acceptability of manufacturer's representative performing the testing of the respective equipment.
   f. Equipment and electrical tagging complete.

B. Functional Testing:
1. Perform checkout and testing as specified by manufacturer.
2. Functionally test mechanical and electrical equipment, and instrumentation and controls systems for proper operation after general start-up and testing tasks have been completed. CONTRACTOR shall complete OWNER's Instrument Testing and Commissioning Form, see Attachment A.
3. Demonstrate proper rotation, alignment, speed, flow, pressure, vibration, sound level, adjustments, and calibration. Perform initial checks in the presence of and with the assistance of the manufacturer's representative. Operate equipment at rated design load conditions for a minimum of 15 minutes after components reach normal operating temperatures.
4. Demonstrate proper operation of each instrument loop function including alarms, local and remote controls, instrumentation and other equipment functions. Generate signals with test equipment to simulate operating conditions in each control mode. Reference individual electrical and instrumentation and control specifications for additional operational readiness testing required for these systems.
5. Identify and correct all areas of deficient performance. Immediately correct defects in material, workmanship, or equipment which became evident during the test. Repeat

functional test until all malfunctions or deficiencies are identified and corrected.

6. Prepare written Equipment Test Report, in the format specified, summarizing the test methods and results.
7. When, in the OWNER's opinion, equipment meets the functional requirements specified, such equipment shall advance to the performance testing phase.

C. Performance Testing:

1. Conduct performance test specified in individual specification sections. When specific test is not specified, operate equipment for a minimum of eight hours at the rated design load conditions after components reach normal operating temperatures. Measure flow, pressure, vibration, noise, temperature, etc. at the rated operating point, and at four additional points to confirm proper operation throughout the entire equipment operating range. When variable speed equipment is specified, complete measurements at all points specified in the individual equipment specifications.
2. Perform testing and verification by using manual testing or by monitoring performance and analyzing results using control system trend log capabilities or by stand-alone data-loggers. Use the normal operating, process fluid, gas, or solid for the performance test.
3. Simulated conditions may be utilized, after coordination with the OWNER. Timing the test to use real conditions is encouraged. Use a signal generator to create simulated signals to test and calibrate transducers. Do not overwrite permanent sensor set- points to achieve simulated conditions.
4. When not included in an individual Project, OWNER will provide the necessary electrical and instrumentation and control requirements for the performance acceptance testing required for these systems. Perform test of electrical and I&C components utilizing the actual mechanical equipment associated with the electrical and I&C component being tested.
5. Identify and correct all areas of deficient performance. Immediately correct defects in material, workmanship, or equipment which became evident during the test. Repeat performance test until all malfunctions or deficiencies are identified and corrected.
6. Prepare written Equipment Test Report, in the format specified, summarizing the test methods and results.
7. Prior to unit process startup, individual pieces of equipment within unit process shall be confirmed by OWNER as having met both the functional and performance testing requirements.

3.03 OPERATIONAL READINESS TEST (ORT)

A. The Operational Readiness Test (ORT) for the Process Instrumentation and Control System (PICS) must be performed prior to startup of the Performance Acceptance Test (PAT). The OWNER will notify CONTRACTOR when an ORT and PAT is required for each individual Project.

B. The Instrument and Control Systems Contractor shall test the PICS Equipment and Systems.

C. Conduct the ORT with entire PICS installed to demonstrate that it is operational and interconnected.

D. PICS Subsystems: each portion of the PICS Subsystem shall be tested to demonstrate that the entire system is interconnected.

E. Distributed Control System (DCS) Loop-Specific Functions: each DCS Loop-Specific Function must be tested including discrete, analog, etc.

F. Contractor must make the following documentation available to Owner/Engineer at test site both before and during tests:

1. Master copy of On-Site Test Procedures.
2. List of equipment to be tested including make, model, and serial number.

3. Shop Drawing hard copy and digital submittals for equipment being tested.

G. The daily schedule for the Test shall include the following:
   1. Begin each day with a meeting to review the day's test schedule.
   2. End each day with a meeting to review the day's test results and to review or revise the next day's test schedule.

H. Submit the following testing related submittals:
   1. Test Procedures: Proposed Test Procedures, forms, and checklists.
   2. Test Documentation: Copy of signed test procedures when tests are completed.

I. Upon successful completion of the PICS ORT, the Contractor shall submit letter notification to the Owner stating that all PICS ORT have been successfully completed. The letter notification shall further state that the ICS is ready to begin the PAT.

3.04 UNIT PROCESS STARTUP

A. Startup sequencing of unit processes shall be agreed upon in advance by the CONTRACTOR and OWNER.

B. Make any adjustments, repairs, and corrections necessary to complete the unit process startup.

C. OWNER will provide operations personnel to monitor the process for the duration of the test. CONTRACTOR shall provide labor, power, fuel, and other consumables needed to conduct the test for the duration.

D. Immediately correct defects in material, workmanship, or equipment which become evident during the Facility Performance Demonstration. In the event of a significant interruption, as defined above, CONTRACTOR shall correct the deficiency and re-start the demonstration. The test period shall start from the beginning again.

E. Fully operate entire unit process, including all equipment, monitoring, and controls necessary for a complete and fully functional system. Unless otherwise specified by individual unit process specification sections, operate entire unit process continuously for five (5) days, without significant interruption. This period is in addition to the functional and performance testing specified elsewhere.
   1. Significant interruption may include the following:
      a. Failure of CONTRACTOR to provide and maintain qualified onsite startup personnel as scheduled.
      b. Failure to meet specified functional operation for more than 2 consecutive hours.
      c. Failure of any critical equipment, monitor, or control that is not satisfactorily corrected within 5 hours after failure.
      d. Failure of any noncritical equipment, monitor, or control that is not satisfactorily corrected within 8 hours after failure.
      e. As determined by the ENGINEER.
      f. A significant interruption will require startup to be terminated. After corrections are made, startup test period shall start from the beginning again.

F. The Unit Process testing shall be conducted using application software provided by the OWNER, integral with their existing system. The Instrumentation and Control System (ICS) Contractor shall test functions installed and the hard-wired system and the entire associated instrumentation and control system including validating the operation and monitoring and control functions of all instruments, all control devices, all instrument and control components, control functions, alarm function, monitoring function, calibration ranges, control/alarm set point operations, etc.
   1. The ICS shall start up and test the operation of the instrumentation and control

system and the operation of the associated process and mechanical equipment that shall be monitored and controlled by the instrumentation and control system.

2. The PICS system component of the Unit Process Startup shall include loop-specific tests of the entire installed PICS using actual process variables and demonstrating all functions, including:
    a. Checking the PICS for proper installation, calibration, and adjustment on a component-by-component basis.
    b. Analog Devices: Tested using actual inputs and outputs at 0, 25, 50, 75, and 100 perfect of span, rising and falling.
    c. Discrete Devices: Tested using actual trip points and reset points.
    d. Indicators/Transmitters/Controllers: Tested using alarm and control set point as well as set point function tests in association with their respective interface with the control logic of the associated process mechanical equipment.
    e. Analog I/O Modules: Tested using actual inputs or outputs of 0, 25, 50, 75, and 100 percent of span, rising and falling.
    f. Perform local and manual tests for each loop before proceeding to remote and automatic modes.
    g. Perform complete control logic simulation and actual/live test in all modes of operations.
    h. Make updated versions of documentation required for PAT available to Owner/Engineer at Project site, both before and during tests.

G. Submit the following testing related submittals:
    1. Test Procedures: Proposed Test Procedures, forms, and checklists.
    2. Test Documentation: Copy of signed test procedures when tests are completed.

H. The following Prerequisite Activities must be completed prior to conducting the Unit Process Startup:
    1. Complete Instruments Installation Report(s).
    2. Complete ORT.
    3. Provide letter notification of successful completion of ORT and Contractor readiness to commence PAT.

I. Submit all shop drawings and other submittals associated with the Startup and PAT procedures.

3.05 COMPLETION OF TESTING

A. When, in the opinion of the OWNER, startup of all unit processes has been satisfactorily achieved, sequence each unit process to the point that the entire facility is fully operational. Under no circumstances will the Project be considered Substantially Complete until full function facility performance has been demonstrated.

B. After completion of operator training for all equipment, demonstrate the proper operation of all required unit processes and interfaces for the entire facility. Demonstrate satisfactory operation of all equipment and systems in actual operation, using process fluid, gas, or solid.

C. Provide all required documentation and certify that the facility is capable of performing its intended functions.

3.06 CERTIFICATE OF PROPER INSTALLATION

A. At the completion of the individual Performance Test, furnish written report prepared and signed by the manufacturer's authorized representative, certifying that:
    1. The equipment has been properly installed, adjusted, aligned, and lubricated.
    2. Is free of any stresses imposed by connecting piping or anchor bolts.
    3. Is suitable for satisfactory full-time operation under full load conditions
    4. Operates within the allowable limits for vibration.
    5. Controls, protective devices, instrumentation, and control panels furnished as part of the equipment package are properly installed, calibrated, and functioning.
    6. Control logic for startup, shutdown, sequencing, interlocks, and emergency shutdown has

been tested and is properly functioning.

3.07 – 3.10 (NOT USED)

3.11 MEASUREMENT AND PAYMENT

No separate payment for work performed under this Section. Include cost of same in Contract price bid for work of which this is a component part.

END OF SECTION

Attachment A
Instrument Calibration, Testing & Commissioning Verification Form

Project Name:	Facility:
Contractor:	Loop Number:

		Verification and Date and Initials					
		Contractor			AWU I&C		
LOOP COMPONENT	NAMEPLATE AND CALIBRATION DATA	2 Bench Cal	3 Installed	4 Loop Check	2 Bench Cal	3 Installed	4 Loop Check
PRIMARY	Orifice Diameter: T/W U Dimension: Remarks:						
TRANSMITTER	Model Number Range Calibration In/ Out						
ACTUATOR/ CONTROLLER	Manufacturer: Model Number: Action Direct/Reverse: Electric/Pneumatic Prop/Reset/Rate: Remarks:						
RECEIVER	Scale/Chart Calibration In/Out						
CONTROL VALVE	Manufacturer Model Number Body Size Body Material Trim Size Trim Material Fail Position Stroke Remarks						
Relay or Alarm	Tag Identifier Set Point/ Cal In/Out						
Other Specify	Tag Identifier						

Note: For Magmeters use “Other Specify” for flow tube and note the calibration factor used.

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PART 1 – GENERAL

1.01 SUMMARY

A. Furnish all labor and materials required and disinfect the potable water facilities.

B. The potable water facilities include, but are not limited to, the following:

1. Piping which is designated to carry either Potable Water (PW) or Service Water (SRW)
2. Unless specifically noted otherwise, all new water piping shall be disinfected
3. All existing potable water facilities that will be disturbed for purposes of construction.

1.02 REFERENCES

The publications listed below form a part of this specification to the extend referenced. The publications are referred to in the text by basic designation only

AMERICAN NATIONAL STANDARD INSTITUTE (ANSI) / AMERICAN WATER WORKS ASSOCIATION (AWWA)

ANSI / AWWA C651-05	Disinfecting Water Mains
ANSI / AWWA C652-02	Disinfection of Water Storage Facilities
ANSI / AWWA C653-03	Disinfection of Water Treatment Plants
ANSI / AWWA B301-04	Liquid Chlorine
ANSI / AWWA B300-04	Hypochlorites

PART 2 – PRODUCTS

2.01 CHLORINE

A. Liquid chlorine shall meet the requirements of AWWA B301.

B. Calcium hypochlorite shall meet the requirements of AWWA B300.

PART 3 – EXECUTION

3.01 GENERAL

A. Piping shall be cleaned and disinfected in compliance with all applicable sections of AWWA Standard C651-05. The "slug method" shall be used for disinfection. Interior surfaces of piping shall be exposed to a concentration of 100 ppm for a period of not less than 3 hours, after which the lines shall be flushed clean until the chlorine concentration in the water leaving the line is no higher than that generally prevailing in the system, nor less than 1 ppm of total chlorine. Chlorine solution with a higher residual may remain in the line, without flushing, if approved by the OWNER.

B. The treatment facilities temporarily taken out of service for inspection, repair, or any other activity that might lead to contamination of the process water shall be thoroughly cleaned and desinfected before returned to service in compliance with all applicable sections of AWWA Standard C653-03.

C. Water being flushed from structures or pipings after disinfection, with a chlorine residual of 1 mg/l or greater, shall be treated with a dechlorination solution or otherwise treated and/or used in a method approved by the ENGINEER, prior to discharge.

3.02 PROCEDURES

A. Piping and Inline Valves

1. During installation, the interior of all pipe, fittings and other accessories shall be kept as free as possible from dirt and foreign matter at all times. If, in the opinion of the OWNER, the pipe contains dirt or foreign matter that could not be removed during the flushing operation, the interior of the pipe shall be cleaned and swabbed with a bactericidal solution.

2. After completion of hydrostatic pressure tests and prior to disinfection, the piping shall be flushed as thoroughly as possible, with the water pressure and outlets available. If feasible, flushing rate should develop a velocity in the piping of at least 2.5 fps. If a velocity of 2.5 fps is not obtained, the requirements of paragraph 3.2A1 above shall be rigidly enforced. The minimum quantity of water used for flushing shall be in excess of the storage capacity of the piping to insure that clean water has traversed the entire length of line.

3. After cleaning and flushing have been completed to the point that apparent dirt and foreign matter have been removed from the piping, either liquid chlorine or calcium hypochlorite solution shall be injected into the piping as provided in AWWA C651.

4. Following chlorination, treated water shall be flushed from the newly installed piping at its extremities until the replacement water throughout its length is proved by test to (a) meet regulations of Texas Commission on Environmental Quality, or (b) meet requirements of the public health authority having jurisdiction. The satisfactory quality of water delivered by the new piping shall continue for a period of at least 2 days. Samples will be taken from a tap located and installed in such a way as to prevent outside contamination. Unless otherwise directed, the sample tap shall either be a hose bib or a disconnected service tap of a 1/4-inch copper riser (with stop-cock), which shall be provided by the CONTRACTOR. Should the initial treatment fail to achieve the satisfactory quality described above, the original chlorination procedure shall be repeated until satisfactory results are obtained.

B. Treatment Facilities

1. A solution of 200-mg/l available chlorine shall be applied directly to the surface of all parts of the treatment unit that would be in contact with water when the unit is full to the overflow elevation.

2. The chlorine solution may be applied with suitable brushes or spray equipment. The solution shall throughly coat all sufaces to be treated, including the inlet and outlet piping, valves, gates or any other equipment that will be in contact with the process water, and shall be applied to any separate drain piping such that it will have available chlorine of not less than 10 mg/l when filled with water.

3. The disinfected surfaces shall remain in contact with the strong chlorine solution for at least 30 minutes, after which potable water shall be admitted, the drain piping purged of the 10-mg/l chlorinated water, and the treatment facility then filled to its maximum water level.

3.03 WATER SOURCE

The water source comes from plant water.

3.04 BACTERIOLOGICAL SAMPLING AND TESTING

The CONTRACTOR will perform all sampling for bacteriological tests as directed by OWNER. The OWNER shall pay for the initial testing to be performed by OWNER selected laboratory. All subsequent testing, should the initial test fail, shall be paid for by the CONTRACTOR.

3.05 – 3.10 (NOT USED)

3.11 MEASUREMENT AND PAYMENT

No separate measurement or payment for work performed under this Section. Include cost of same in Contract price bid for work of which this is a componenet part.

END OF SECTION

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PART 1 - GENERAL

1.01 SUMMARY

A. This Section Includes: Requirements for equipment and system testing and facility start up, including the following:

1. Start-Up Plan.
2. Performance Testing.
3. General Start-Up and Testing Procedures.
4. Functional Testing.
5. Operational Testing.
6. Certificate of Proper Installation.
7. Services of manufacturer's representatives.
8. Training of OWNER's personnel.
9. Final testing requirements for the complete facility.

B. Minimum onsite requirements for the various plant components are described in various sections of the specifications.

C. Except as noted otherwise, all costs for training, testing, startup, installation, supervision, etc., shall be the responsibility of the CONTRACTOR.

D. Training is applicable, but not limited to the following equipment and systems specified herein.

1.02 RELATED REQUIREMENTS

A. Work as called for on PLANS, or in this or other Specification Sections.

B. The Contract Documents are complementary; what is called for by one is as binding as if called for by all.

C. It is the CONTRACTOR’s responsibility for scheduling and coordinating the Work of subcontractors, suppliers, and other individuals or entities performing or furnishing any of the CONTRACTOR’s Work.

D. Description of Requirements

1. General

a. Contract Requirements: Testing, training, and start-up are requisite to the satisfactory completion of the Contract.

b. Complete testing, training, and start-up within the Contract Times.

c. Allow realistic durations in the Progress Schedule for testing, training, and start-up activities.

d. Furnish labor, power, chemicals, tools, equipment, instruments, and services required for and incidental to completing functional testing, performance testing, and operational testing.

e. Provide competent, experienced technical representatives of equipment manufacturers for assembly, installation and testing guidance, and operator training.

f. It is the CONTRACTOR's responsibility for scheduling and coordinating the Work of subcontractors, suppliers, and other individuals or entities performing or furnishing any of the CONTRACTOR's Work.

g. Objective of Training: The objective of the training shall be to convey the knowledge needed by OWNER operations, maintenance, and engineering staff to safely operate, maintain, and repair the equipment and systems provided under this Work. OWNER's staff who will participate in this training have existing full-time work assignments and this training is an additional assigned work task. OWNER staff work schedules regularly shift, as facilities are operated on an around-the-clock basis. Training shall be focused to the skills and job classifications of the staff attending the classes, e.g., plant manager, water treatment plant operator, maintenance technician, and electrician. Supporting documentation, such as operation and maintenance manuals to assist the instruction learning process and to serve as a source of information to OWNER staff after training, shall be furnished.

1.03 NOT USED

1.04 DEFINITIONS (List that apply to this Section)

1.05 TESTING, TRAINING, AND START-UP REQUIREMENTS

A. **Start-Up Plan**

1. Provide detailed sub-network of Progress Schedule with the following activities identified:

   a. Manufacturer's services.

   b. Installation certifications.

   c. Operator training.

   d. Submission of Operation and Maintenance Manual.

   e. Functional testing.

   f. Performance testing.

   g. Operational testing.

2. Provide testing plan with test logs for each item of equipment and each system when specified. Include testing of alarms, control circuits, capacities, speeds, flows, pressures, vibrations, sound levels, and other parameters.

3. Provide summary of shutdown requirements for existing systems which are necessary to complete start-up of new equipment and systems.

4. Revise and update start-up plan based upon review comments, actual progress, or to accommodate changes in the sequence of activities.

B. **Performance Testing**

1. Test equipment for proper performance at point of manufacture or assembly when specified.

2. When Source Quality Control Testing is Specified:

   a. Demonstrate equipment meets specified performance requirements.

   b. Provide certified copies of test results.

   c. Do not ship equipment until certified copies have received written acceptance from ENGINEER. Written acceptance does not constitute final acceptance.

   d. Perform testing as specified in the equipment specification sections.

3. Include costs associated with witnessing performance tests in the bid price. Include costs for **[2]** OWNER's representative for travel, lodging,

transportation to and from lodging, and a $50 meal allowance per person per day.

C. **General Start-Up and Testing Procedures**

1. Mechanical Systems: As specified in the individual equipment specifications:

   a. Test equipment for proper performance at point of manufacture or assembly when specified.

   b. Remove rust preventatives and oils applied to protect equipment during construction.

   c. Flush lubrication systems and dispose of flushing oils. Recharge lubrication system with lubricant recommended by manufacturer.

   d. Flush fuel system and provide fuel for testing and start-up.

   e. Install and adjust packing, mechanical seals, O-rings, and other seals. Replace defective seals.

   f. Remove temporary supports, bracing, or other foreign objects installed to prevent damage during shipment, storage, and erection.

   g. Check rotating machinery for correct direction of rotation and for freedom of moving parts before connecting driver.

   h. Perform cold alignment and hot alignment to manufacturer's tolerances.

   i. Adjust V-belt tension and variable pitch sheaves.

   j. Inspect hand and motorized valves for proper adjustment. Tighten packing glands to insure no leakage, but permit valve stems to rotate without galling. Verify valve seats are positioned for proper flow direction.

   k. Tighten leaking flanges or replace flange gasket. Inspect screwed joints for leakage.

   l. Install gratings, safety chains, handrails, shaft guards, and sidewalks prior to operational testing.

2. Electrical Systems: As specified in the individual equipment specifications:

   a. Perform insulation resistance tests on wiring except 120 Volt lighting, wiring, and control wiring inside electrical panels.

b. Perform continuity tests on grounding systems.

c. Test and set switchgear and circuit breaker relays for proper operation.

d. Perform direct current high potential tests on all cables that will operate at more than 2,000 Volts. Obtain services of independent testing lab to perform tests.

e. Check motors for actual full load amperage draw. Compare to nameplate value.

3. Instrumentation Systems: As specified in the individual equipment specifications:

a. Bench or field calibrate instruments and make required adjustments and control point settings.

b. Leak test pneumatic controls and instrument air piping.

c. Energize transmitting and control signal systems, verify proper operation, ranges and settings.

D. **Functional Testing**

1. Perform checkout and performance testing as specified in the individual equipment specification sections.

2. Functionally test mechanical and electrical equipment, and instrumentation and controls systems for proper operation after general start-up and testing tasks have been completed.

3. Demonstrate proper rotation, alignment, speed, flow, pressure, vibration, sound level, adjustments, and calibration. Perform initial checks in the presence of and with the assistance of the manufacturer's representative.

4. Demonstrate proper operation of each instrument loop function including alarms, local and remote controls, instrumentation and other equipment functions. Generate signals with test equipment to simulate operating conditions in each control mode.

5. Conduct continuous 8-hour test under full load conditions. Replace parts which operate improperly.

E. **Operational Testing**

1. After completion of operator training, conduct operational test of the entire facility. Demonstrate satisfactory operation of equipment and systems in actual operation.

2. Conduct operational test for continuous 7-day period.

3. OWNER will provide operations personnel to monitor the process for the duration of the test. CONTRACTOR shall provide labor, power, fuel, and other consumables needed to conduct the test for duration of test.

4. Immediately correct defects in material, workmanship, or equipment which became evident during operational test.

5. Repeat operational test when malfunctions or deficiencies cause shutdown or partial operation of the facility or results in performance that is less than specified.

F. **Certificate of Proper Installation**

1. At completion of Functional Testing, furnish written report prepared and signed by manufacturer's authorized representative, certifying equipment:

   a. Has been properly installed, adjusted, aligned, and lubricated.

   b. Is free of any stresses imposed by connecting piping or anchor bolts.

   c. Is suitable for satisfactory full-time operation under full load conditions.

   d. Operates within the allowable limits for vibration.

   e. Controls, protective devices, instrumentation, and control panels furnished as part of the equipment package are properly installed, calibrated, and functioning.

   f. Control logic for start-up, shutdown, sequencing, interlocks, and emergency shutdown has been tested and is properly functioning.

2. Furnish written report prepared and signed by the electrical and/or instrumentation subcontractor certifying:

   a. Motor control logic that resides in motor control centers, control panels, and circuit boards furnished by the electrical and/or instrumentation subcontractor has been calibrated and tested and is properly operating.

   b. Control logic for equipment start-up, shutdown, sequencing, interlocks, and emergency shutdown has been tested and is properly operating.

c. Co-sign the reports along with the manufacturer's representative and subcontractors.

G. **Training of OWNER'S Personnel**

1. Instruction Format: The training for operations personnel and for maintenance personnel shall be provided as separate entities. The training for maintenance personnel shall be further subdivided into three trade groups: mechanical maintenance, electrical maintenance, and instrumentation and controls maintenance.

2. Class Agenda: The agenda shall include a listing of subjects to be discussed, time estimated for each subject, list of documentation to be used or furnished to support training, and instructor name. Agendas shall include an allocation of time for OWNER staff to ask questions and discuss the subject matter. The OWNER may request that particular subjects be emphasized and the agenda shall be adjusted to accommodate these requests. Copies of the agenda shall be distributed to each student at the beginning of each training class.

3. Number of Students: It is estimated that 5 to 10 persons will attend each training class. The actual number of students will be determined by OWNER. The OWNER will provide an estimated "headcount" one week prior to the class, so that the instructor can furnish the correct number of training aids for participants.

4. Training Location: If necessary and appropriate as determined by the OWNER, training shall be conducted at off-Site locations or the actual installed location of the equipment, product, or system. If held off-Site, the. OWNER will be responsible for making and paying for classroom arrangements.

5. Instructor Qualifications: Instructors shall be completely knowledgeable in the products, systems, and functions for the equipment provided. Sales representatives are not qualified instructors unless they possess the detailed operating and maintenance knowledge required for proper class instruction. If, in the opinion of the OWNER, the scheduled training was not provided by an appropriately knowledgeable person, such training shall be rescheduled and repeated with a suitable instructor at no additional cost to the OWNER.

6. Training Aids: Each instructor is encouraged to use audio-visual devices, P&IDs, models, charts, and so forth to increase the transfer of knowledge. The organization conducting the training shall furnish all such equipment (televisions, video cassette recorder/player, projectors, screens, easels, etc.), models, and charts for each class. It shall be the responsibility of the organization conducting the training to confirm in advance that the

class room will be appropriate for the types of audio visual equipment to be employed.

7. Classroom Documentation: If training is being completed on equipment, systems, or products for which an operations and maintenance manual is required, this operations and maintenance manual shall be complete and used during the classroom instruction. The quantity of operations and maintenance manuals required under Section 01300 - Contractor Submittals, shall be available for classroom use. Supplemental documentation handouts shall be furnished to support instruction. All written materials shall be identified with the name of the training module, subject matter, date of training, and instructor name.

8. Testing: Test OWNER operation and maintenance personnel following the completion of operational and safety training. The purpose of this testing shall be to determine the effectiveness of the training program and to determine the ability of OWNER personnel to safely operate and maintain said processes. Testing shall be comprised of multiple choice and true/false questions.

9. Safety and Health Training: Furnish safety and health training to OWNER personnel that describe the procedures required to safely and healthfully operate and maintain the equipment. Safety and health training shall also include standard procedures for emergency response and safe shutdown of equipment in emergency conditions. Incorporate appropriate OSHA regulations including personal protective equipment and its use and other means of injury and illness prevention such as precautions and engineering controls. Three 1-day safety training sessions shall be conducted.

10. Videotaping: The CONTRACTOR shall videotape all training sessions and provide a copy for the OWNER. OWNER reserves the right to videotape, photograph, audio record, and otherwise document any or all training classes. The organization(s) conducting the training and the CONTRACTOR shall cooperate with OWNER in making such videotapes, photographs, or audio recordings, which shall remain the exclusive property of OWNER.

11. Point of Contact: The CONTRACTOR shall designate and provide one or more persons to be responsible for coordinating and expediting his/her training duties. The person or persons so designated shall be present at all training coordination meetings with the OWNER.

H. **Record Keeping**

1. Maintain and submit following records generated during start-up and testing phase of Project:

   a. Daily logs of equipment testing identifying all tests conducted and

outcome.

b. Logs of time spent by manufacturer's representatives performing services on the job site.

c. Equipment lubrication records.

d. Electrical phase, voltage, and amperage measurements.

e. Insulation resistance measurements.

f. Data sheets of control loop testing including testing and calibration of instrumentation devices and set points.

1.01 SUBMITTALS

A. Prior to any start-up, a plan for each piece of equipment and each system not less than 3 weeks prior to planned initial start-up of equipment or system.

B. Prior to scheduling training, all satisfactory functional testing must be complete for that piece of equipment or system.

C. Prior to scheduling training, Instructor name and qualifications shall be submitted not less than 1 month prior to start of training. Instructors shall be completely knowledgeable in the products, systems, and functions for the equipment provided.

D. Prior to scheduling training, the CONTRACTOR's coordinator shall coordinate the training periods with OWNER personnel and manufacturer's representatives, and shall submit a training schedule for each piece of equipment or system for which training is to be provided. Such training schedule shall be submitted not less than 4 weeks prior to the time that the associated training is to be provided and shall be based on the current plan of operation.

E. Prior to scheduling classes, all course materials must be submitted, and approved.

F. Prior to scheduling classes, all relevant O&M manuals must be submitted, and approved 1 month prior to training session for that piece of equipment or system.

G. Prior to scheduling a class, an agenda shall be prepared by the organization conducting the training and submitted to the OWNER at least 4 weeks in advance of the date of the first corresponding class.

1.07-1.11 NOT USED

1.12 MEASUREMENT AND PAYMENT

A. No separate payment for work performed under this Section. Include cost of same in Contract price bid for work of which this is a component part.

PART 2 – PRODUCTS (NOT USED)

PART 3 - EXECUTION

3.01–3.09 NOT USED

3.10 SCHEDULING OF TRAINING

A. Unless specifically indicated otherwise, all training shall be scheduled in accordance with the following general requirements.

1. Training shall not be scheduled until the manufacturer has verified that the equipment is installed and performs properly. Startup services and training services will be required at separate times which will involve separate trips.

2. Coordinate training sessions to prevent overlapping sessions. Arrange sessions so that individual operators and maintenance technicians do not attend more than 2 sessions per week.

3. Training shall be coordinated in accordance with the Progress Schedule to occur during system installation, startup, and operation. The OWNER will approve and confirm class schedules. The CONTRACTOR shall schedule training classes at the times requested by the OWNER, within the period 7 a.m. to 3 p.m. Monday through Saturday. Classes covering identical material shall be conducted on different days.

4. Number of Classes on Each Subject: A minimum of two classes on identical subject matter shall be conducted unless otherwise indicated. The purpose of having two classes on each subject is to accommodate the attendance of as many OWNER personnel working different shifts as possible. The OWNER shall have the option, however, of requiring two total classes, but each class shall contain different training material.

5. A maximum of one class per day shall be held on consecutive days unless otherwise approved by the OWNER. Multiple classes should be scheduled if the class duration is longer than 4 hours. Times shall be scheduled at the discretion of the OWNER.

6. Each class shall be subdivided into 2 to 4-hour modules, or as appropriate for the subject matter being discussed.

7. Training Sessions: Provide training sessions for equipment as specified in the individual equipment specification sections.

8. Length of Training: Each individual training session shall be planned to be completed within no -more than 4 hours, including two 15-minute break

and a 1 hour lunch period unless otherwise indicated. Requests for longer sessions must be specifically approved by the OWNER.

3.11 NOT USED

END OF SECTION

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PART 1 - GENERAL

1.01 DESCRIPTION OF REQUIREMENTS

The A. R. Davis Water Treatment Plant is an operational potable (drinking) water treatment plant that must remain in service throughout the Project. As an operating water treatment and production plant, extreme care must be taken by all parties to protect and preserve the sanitary and sterile conditions required of the operating processes. As such, it is absolutely critical that the Contractor take due diligence and extreme care to protect existing Plant equipment and components and maintain the sanitary conditions within the Plant.

1.02 RELATED WORK

Summary of work is included in Specification Section 01010.

1.03 – 1.05 (NOT USED)

1.06 SUBMITTALS

A. Contractor shall submit a general plan for the Owner's review and approval describing the materials and processes the Contractor intends to use to disinfect each area impacted or potentially contaminated by equipment removals, installations and any construction activities (including the installations of temporary plugs). Contractor shall submit a generic plan for each type of construction activity that has resulted in a potential contamination.

PART 2 - PRODUCTS

2.01 GENERAL

All materials incorporated into the project, including all temporary piping and valves, shall be constructed of materials that are suitable for use in drinking water facilities as defined in the Texas Commission on Environmental Quality (TCEQ) Rules and Regulations and shall be ANSI/NSF 61 certified and approved for potable drinking water facilities.

All sealants, greases, oils and other lubricants, etc. used in incorporated materials, including for temporary pipe plugs shall be ANSI/NSF 61 certified and approved for potable drinking water facilities.

PART 3 - EXECUTION

3.01 GENERAL

Contractor must install all materials (valves, actuators, piping, etc., including all temporary pipe plugs with the highest standard of care to protect and maintain the sanitary and sterile conditions required of the operating systems. The Contractor must submit a plan to the Owner for review and approval that describes how the Contractor intends to properly sterilize all surfaces prior to bringing the

affected operating component back into service. Any such plan must be submitted and approved before the work is initiated.

Contractor must disinfect all areas impacted or potentially contaminated by equipment removals, installations and any construction activities (and the installations of temporary plug) per Specification Section 01656 “Disinfection of Potable Water Facilities”.

3.02 – 3.10 (NOT USED)

3.11 MEASUREMENT AND PAYMENT

No separate measurement or payment for work performed under this Section. Include cost of same in Contract price bid for work of which this is a componenet part.

END OF SECTION

PART 1 GENERAL

1.01 DESCRIPTION OF REQUIREMENTS

A. General

1. When required by individual Specification Sections, submit Operation and Maintenance (O&M) data, which is specifically applicable to the scope of work and is a complete and concise depiction of the provided equipment or product. Data containing extraneous information that has to be sorted through to find applicable instructions will not be accepted. Present information in sufficient detail to clearly explain user O&M requirements at the system, equipment, and component level. Include an index preceding each submittal.
2. Package Content: For each product, system, or piece of equipment requiring submission of O&M data, submit the package required in the individual Specification Section. Package content to be as required in Paragraph 1.03, "Schedule of Operations and Maintenance Data Packages" of this Section.
3. Furnish four (4) draft Operations and Maintenance Manuals explaining the proper installation, operation, and maintenance for each piece of equipment supplied. Draft O&M Manuals will be reviewed by the ENGINEER for compliance with this Section. One (1) draft O&M Manual will be returned to CONTRACTOR noted as either "Revise & Resubmit" or "No Exception Taken".
4. CONTRACTOR to check and approve O&M Manuals for compliance with requirements of Contract and will so certify by placing CONTRACTOR stamp of approval on each manual prior to submitting to ENGINEER. Any manual submitted without CONTRACTOR's stamp will not be reviewed and will be promptly returned for proper submission. OWNER may assess CONTRACTOR a charge for reviews of same items in excess of three (3) times.
5. After all O&M Manuals are in acceptable form, CONTRACTOR to furnish to the ENGINEER eight (8) bound, complete sets of Operation and Maintenance Manuals consisting of printed material previously accepted by the ENGINEER for this purpose. Manuals are to be bound in a heavy duty, fabric reinforced fiberboard, three post, expandable binder with a maximum binding width of 5″. Three-ring vinyl reinforced binders will not be acceptable. Manual to have information listed in Paragraph 1.01 B.1 printed on the front cover as well as the binder spine. Each binder to have an index outlining all information in the set of volumes.
6. Final retainage will not be released until the Operation and Maintenance Manuals have been submitted and recommended by the ENGINEER.

B. Format

1. Provide each manual with a project name, volume number, number of volumes in the set, project number, and date.
2. Furnish each volume with a complete index for all volumes in the set. The index is to indicate the volume and section for each piece of equipment.
3. Manuals to be limited to page sizes of 8½″ × 11″ or drawings folded into such size.
4. Materials in manuals to be suitable for photographic reproduction. Where copies of identical material are included, clarity and quality of copies to be equal to the original, square to the page. Faxed copies will not be accepted.
5. Manuals to be customized to describe the equipment actually furnished. Manufacturer's pre-printed literature may be accepted provided it has been modified by underlining the specific model used.

6. Divide manuals into sections paralleling the equipment specifications.
7. The front of each section to have a cover sheet indicating the CONTRACTOR performing the installation, local suppliers name, address and phone number of each piece of equipment in the section.
8. In addition to drawings supplied in the equipment sections, provide an additional, legible copy of all vendor supplied drawings for each piece of equipment in a separate binder and include as a drawing volume in the O&M manual set.
9. Binders: All draft O&M Manuals are to be bound in a folder or 3-ring binder. Un-bound, stapled, or clipped submittals will not be accepted for review. Maximum width of any single draft equipment O&M Manual is 4-inches.

1.02 TYPES OF INFORMATION REQUIRED IN O&M DATA PACKAGES

A. Maintenance Summary Form

1. General: All Operations and Maintenance Manuals are to include a Maintenance Summary Form in the format and style of the example form attached to this Section as Attachment A. Manuals will not be accepted for review without this form. The Maintenance Summary Form is to be a typed document prepared by the equipment manufacturer specifically for the equipment furnished. Title and subheadings are to be as shown on Attachment A – they are not to be modified. If a subheading is not applicable to the specific piece of equipment, it is to be noted by the words “Not Applicable” after the heading. Additional notes and comments may be added to the end of the form at the manufacturer’s discretion.
2. Format:
   a. Size: 8½″ × 11″ (portrait orientation only).
   b. Margins: Top - 1″, Left - 0.75″, Right - 0.75″, Bottom - 0.75″.
   c. Font:
      1) Title: Arial - 16 point - bold.
      2) Subheadings: Arial - 12 point - bold.
      3) Text: Arial - 12 point - regular.
      4) Tables: As shown in Attachment A - minimum text size Arial - 10 point - regular.
3. Specific Instructions:
   a. Equipment Item: Include generic name for equipment along with service and specification reference.
   b. Manufacturer: List manufacturer’s physical address for shipping and receiving and mailing address (if different from physical address). Include telephone number and facsimile telephone number.
   c. Equipment Identification Number(s): Provide list of equipment serial numbers cross-referenced to equipment tag numbers in tabular form. When multiple items are provided, list each item separately.
   d. Total Weight: Note the assembled weight of the equipment.
   e. Nameplate Data: Reproduce the nameplate data exactly as it appears on the equipment. For driven equipment, include the driver nameplate data. For multi-turn valves, include number of turns to open/close the valve.
   f. Manufacturer’s Local Representative: Provide the name, address, and phone numbers of the local representative.
   g. Maintenance Requirements:
      1) Maintenance Operation: List briefly each maintenance operation required to maintain warranty in effect and refer to specific information in manufacturer’s standard maintenance manual.
      2) List required frequency of each maintenance operation.
      3) Refer by symbol to lubricant list.
   h. Lubricant List: List each recommended lubricant by symbol, noting generic type of lubricant, and a minimum of two manufacturers. The

Owner has a standing supply contract with Exxon/Mobil. The recommended lubrication products shall include a specific cross reference to an equivalent Exxon (Mobil) product.

i. Spare Parts: Include recommendations regarding what spare parts, if any, should be maintained on site for routine maintenance.

j. CONTRACTOR's Job Order: Identify CONTRACTOR's purchase order number.

k. Closest Service Technician: Identify the closest, factory trained, and authorized, service technician by name, address and telephone number. Include pager number if applicable.

l. Closest Parts and Service Center: List closest factory authorized parts and service center, the physical address for shipping and receiving and mailing address (if different from physical address). Include telephone number and facsimile telephone number.

B. Asset Management Tracking Form: CONTRACTOR shall provide a completed COA Asset Management Tracking Form. A sample Asset Management Tracking Form is included as Attachment B. CONTRACTOR shall coordinate with the OWNER and request the latest version of the form prior to completing the information. The OWNER will provide the form in an editable, electronic version for use by the CONTRACTOR. Provide data for all tagged equipment and components.

1. In addition to the information shown in Attachment B, the CONTRACTOR shall provide the installation cost and the Manufacturer's expected useful life for each line item in the Attachment.

C. Operating Instructions: Include specific instructions, procedures, and illustrations for the following phases of operation.

D. Safety Precautions: List personnel hazards and equipment or product safety precautions for all operating conditions.

E. Normal Operations: Include control diagrams with data to explain operation and control of equipment.

F. Service Requirements: Include instructions for services to be performed such as adjustments and inspection.

G. Environmental Conditions: Include a list of environmental conditions (temperature, humidity, and other relevant data) for each product or piece of equipment under which it is best suited to operate.

H. Preventative Maintenance: Preventative Maintenance Plan and Schedule to include manufacturer's schedule for routine preventative maintenance and inspections required to ensure proper and economical operation and to minimize corrective maintenance and repair. Provide manufacturer's projection of preventative maintenance man-hours on an annual basis.

I. Corrective Maintenance:

1. Troubleshooting Guides and Diagnostic Techniques: Include step-by-step procedures to promptly isolate the cause of typical malfunctions. Describe clearly why the checkout is performed and what conditions are to be sought. Identify tests or inspections and test equipment required to determine whether parts and equipment may be reused or requires replacement.

2. Wiring Diagrams and Control Diagrams: Wiring diagrams and control diagrams to be point-to-point drawings of wiring and control circuits including factory-field interfaces. Provide a complete and accurate depiction of the actual job specific

wiring and control work. On diagrams, number electrical and electronic wiring and the terminals for each type, identically to actual installation numbering. Furnish control schematics reproduced from control schematics shown on PLANS with modifications as required, but not redrawn or redesigned in another format.

3. Maintenance and Repair Procedures: Include instructions and list tools required to restore product or equipment to proper condition or operating standards.
4. Removal and Replacement Instructions: Include step-by-step procedures and list required tools and supplies for removal and replacement of components, accessories, and attachments. Provide tolerances, dimensions, settings and adjustments required. Instructions to include a combination of text and illustrations.
5. Spare Parts and Supply Lists: Include lists of spare parts and supplies required for maintenance and repair to ensure continued service or operation without unreasonable delays.
6. Corrective Maintenance Man-Hours: Include manufacturer's projection of corrective maintenance man-hours. Corrective maintenance that requires participation of the equipment manufacturer to be identified and tabulated separately.
7. List of all protective relays, breaker types, cable and fuse sizes and settings (where applicable).
    a. Protective Relays: Provide information on the relay type used and time current curves.
    b. Breakers: Provide catalog numbers and breaker trip curves.
    c. Cables: Provide cable size, cable type and length of each cable installed.
    d. Power Fuses: Provide fuse catalogue number, rating and fuse curve.
8. Documentation of field functional tests and performance test described in the specifications. Include the test results and calibration reports of all equipment.
9. Renewal Parts Bulletin: Include parts lists pertinent to the components used in the installation.
10. Calibration data sheet including set points: Include all calibration data sheets.

J. Appendices: Provide information required below and information not specified in the preceding paragraphs but pertinent to the maintenance or operation of the product or equipment. Include the following:

1. Parts Identification: Provide identification and coverage for all parts of each component and accessory of the end items subject to replacement. Include special hardware requirements, such as requirement to use high-strength bolts and nuts. Identify parts by make, model, serial number, and source of supply to allow reordering without any further identification required. Provide clear and legible illustrations, drawings, and exploded views to enable easy identification of the items. When illustrations and separate listing to show the index, reference, or key number which will cross-reference the illustrated part to be the listed part. Parts shown in the listings to be grouped by components.
    a. Manufacturer's Standard Commercial Practice (MSCP): The parts data may cover more than one model or series of equipment, components, attachments, or accessories, such as a master parts catalog, in accordance with the manufacturer's standard commercial practice.
    b. Other than Manufacturer's Standard Commercial Practice (MSCP): Final assembly manufacturer may add a cross-reference to implement components' assemblies and parts requirements when implementation in manual form varies significantly from the style, format, and method of manufacturer's standard commercial practice. Use the format in the following example:

Final Assembly Manufacturer's Alphanumeric Sequence	Actual Manufacturer's Name and MSCP	Actual Manufacturer Part No.
100001	John Doe & Co. 00000	2000002

2. Warranty Information: List and explain the various warranties and include the servicing and technical precautions prescribed by the manufacturers or Contract Documents to keep warranties in force.
3. Testing Equipment and Special Tool Information: Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components.

1.03 SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES

A. Furnish the O&M data packages specified in individual technical sections. The required information for each O&M data package is as follows:
1. Maintenance Summary Form;
2. Asset Management Tracking Form;
3. Safety precautions;
4. Normal operations;
5. Environmental conditions;
6. Preventive maintenance plan and schedule;
7. Troubleshooting guides and diagnostic techniques;
8. Maintenance and repair procedures;
9. Removal and replacement instructions;
10. Wiring diagrams and control diagrams;
11. Spare parts and supply list;
12. Parts identification;
13. Warranty information;
14. Testing equipment and special tool information; and
15. Approved and corrected shop drawings showing "as-built" conditions.

1.04 SPECIAL SUBMITTAL REQUIREMENTS

A. All drawings, cross-sectional view drawings, wiring diagram drawings, connection detail drawings, physical layout and detail drawings, elevations, etc., shall be developed electronically using the latest version of AutoCAD® software.

B. All Bill of Material sheets and/or tables indicating product data, quantities, physical location and reference, catalog number, reference, wiring diagram drawing number reference, cost, and any other field entered in the bill of materials sheet and/or any other spreadsheets and/or any other table and/or listings of references, etc., shall all be electronically developed and submitted in a database format, using the latest version of Microsoft® Access software. This applies to all summary sheets, material listings, etc., to be submitted for this Project. Submittals shall include hard-copies and an electronic version developed in Microsoft® Access. Electronic version shall be submitted on CD-ROM.

C. AutoCAD® drawings shall be developed in full adherence to the formats and drawing standards defined in the Davis WTP RWHEEI High Service Pump Station Area Improvements Project CADD Manual. A copy of the manual may be obtained from the Austin Water Utility or from AECOM. Any drawing that is developed or customized for this project by CONTRACTOR, subcontractor, supplier, or manufacturer shall be

developed in or converted to AutoCAD format and shall be submitted in both electronic AutoCAD format and hardcopy within the O&M Manual.

D. All Operation and Maintenance - Maintenance Instruction Manuals, catalog sheets, product and component data sheets, and factory and on-site (field) test reports/data shall be submitted in bound hard-copies and electronic copies. Electronic copies shall be in the source software, where possible, including MS Word, Excel, Access, or AutoCad. Other documents not prepared using these software packages shall be submitted in Adobe Acrobat® (*.pdf files) latest edition, and shall be submitted on CD-ROM.

E. Binders and File Organization of the Electronic Copies: Clearly label each CD-ROM copy of the electronic version of the O&M Manuals. The CD-ROM volume numbers, organization of the electronic files contained within, and labeling formats shall match and be identical to those of the hard-copies. Additionally, in each electronic volume (a CD-ROM), a navigation tool shall be installed that shall guide and navigate the user to open and/or close a desired section and/or subsection (within each volume) simply by clicking on the section/subsection name and number. Install a hard copy of the table of contents in the case (enclosure) of each CD-ROM and CD-ROM copies. All labels and tables of contents shall be neatly typed and labeled. Handwritten labels and/or tables of contents will not be accepted. Organize each set (a complete series) of O&M electronic copies in a CD-ROM hard-plastic case with locking and hinged cover. Install a neatly typed label on each case that shall provide all the information required to be listed on the cover of the O&M Manuals by these Specifications.

PART 2 PRODUCTS (NOT USED)

PART 3 EXECUTION

3.01 O&M DATA PACKAGES

Furnish a draft O&M data package for equipment a minimum of four (4) weeks prior to conducting operator training on the equipment. Draft O&M data packages to include, at a minimum, the information defined in Paragraphs 1.03 A.1 through 1.03 A.8.

3.02 - 3.10 (NOT USED)

3.11 MEASUREMENT AND PAYMENT

No separate measurement or payment for work performed under this Section. Include cost of same in Contract price bid for work of which this is a component part.

ATTACHMENT A

MAINTENANCE SUMMARY FORM

1. EQUIPMENT ITEM:

2. MANUFACTURER INFORMATION:

3. EQUIPMENT IDENTIFICATION NUMBER(S):

Equipment Tag Number	*Equipment Serial Number*	*Driver Serial Number*

4. TOTAL WEIGHT:

5. NAMEPLATE DATA (HP, Voltage, Speed, etc.):

6. MANUFACTURER'S LOCAL REPRESENTATIVE:

7. MAINTENANCE REQUIREMENTS:

Maintenance Operation	*Frequency*	*Lubricant* (if applicable)	*Comments*

8. LUBRICANT LIST:

9. SPARE PARTS:

Part Description	*Quantity*	*Part Number*

10. CONTRACTOR'S JOB ORDER:

11. CLOSEST SERVICE TECHNICIAN:

12. CLOSEST PARTS AND SERVICE CENTER:

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Attachment B

City of Austin
Austin Water Utility
Asset Management Tracking Form - Construction Data (Positions)

PositionImpact (New,Replaced,Improved,Retired)	Position_ID	PositionDescription	Process	System	Group	Class	Category	ServiceLife(years)	Location	LocationDescription	ProcurementCost (Total,Labor+Materials)	ProcurementCostYear	CapacityValue	CapacityUnits	AcceptanceDate	Manufacturer	Model	SerialNumber

Attachment C

City of Austin
Austin Water Utility
Asset Management Tracking Form - Warranty Data (Sub-Components)

Position_ID	PositionDescription	Equipment_Tag_ID	Sub-Component	WarrantyStartDate	WarrantyEndDate	WarrantyStartingUsage	WarrantyExpirationUsage

ARTICLE 1 - GENERAL

1.1 RELATED DOCUMENTS

The following documents are a part of this section:

All documents in Bidding Requirements, Contract Forms and Conditions of the Contract.

Other sections of Division 1 - General Requirements apply to this section.

1.2 DESCRIPTION AND INTENT OF THE WORK

No asbestos containing material (ACM) shall be brought onto the Project site, and/or incorporated into the Project construction without the written consent of the OWNER. Any asbestos containing material found at any time including after contract completion, to have been brought onto the site or incorporated into the Project construction by the CONTRACTOR, or any Subcontractors, Sub-Subcontractors or Suppliers, shall be removed and disposed of in accordance with the then current governmental regulatory standards.

All costs associated with the inspection, sampling, testing, removal and disposal of ACM as described above shall be paid by the CONTRACTOR.

1.3 DEFINITIONS

ASBESTOS: The asbestiform varieties of serpentine (chrysotile), riebeckite (crocidolite) cummingtonite-grunerite (amosite), anthophyllite, actinolite and tremolite.

ASBESTOS CONTAINING MATERIAL (ACM): Any material containing more than one percent (1%) by weight of asbestos of any type or mixture of types.

ASBESTOS CONTAINING BUILDING MATERIAL (ACBM): Any material used in the construction of, or incorporated into the construction of, any building that contains more than one percent (1%) by weight of asbestos of any type or mixture of types.

MSDS: A material safety data sheet (MSDS) is a form containing data regarding the properties of component substances that comprise a manufactured product. They are a basic hazard communication tool that gives details on chemical and physical dangers, safety procedures, and emergency responses for chemicals.

1.4 QUALITY ASSURANCE

PROHIBITION OF ASBESTOS CONTAINING MATERIALS

The E/A has been instructed to not permit any asbestos containing materials to be specified, requested or approved for use in conjunction with this Project.

The E/A has signed the following:

A. 01900A Statement of Non-Inclusion of Asbestos Containing Material (E/A, Prior to Design): stating that the Engineer/Architect shall not specify, request or approve any ACM in this Project without prior written approval of OWNER.

B. 01900B Statement of Non-Inclusion of Asbestos Containing Material (E/A, After Design): stating that the Engineer/Architect has not specified, requested or approved any ACM in this Project without the prior written approval of the OWNER, and that any ACM allowed in this Project is identified in the Statements.

These Statements are included in the Construction Documents.

ASBESTOS CONTAINING MATERIALS PROHIBITED FROM SITE

No asbestos containing materials will be permitted as part of the Project construction. The following list is intended to be used as a general guide to show which types of materials are suspected to contain asbestos:

- Cement Pipes
- Cement Wallboard
- Cement Siding
- Asphalt Floor Tile
- Vinyl Floor Tile
- Vinyl Sheet Flooring/vinyl wall coverings
- Flooring Backing
- Construction Mastics (floor tile, carpet, ceiling tile, etc.)
- Acoustical Plaster
- Decorative Plaster / stucco
- Textured Paintings/Coatings
- Ceiling Tiles and Lay-in Panels
- Spray-Applied Insulation
- Blown-in Insulation
- Fireproofing Materials
- Taping Compounds (thermal)
- Packing Materials (for wall/floor penetrations)
- High Temperature Gaskets
- Laboratory Gloves
- Fire Blankets
- Fire Curtains
- Elevator Equipment Panels
- Elevator Brake Shoes
- HVAC Duct Insulation
- Boiler Insulation
- Breeching Insulation
- Ductwork Flexible Fabric Connections
- Cooling Towers
- Pipe Insulation (corrugated air-cell, block, etc.)
- Heating and Electrical Ducts
- Electrical Panel Partitions
- Electric Cloth
- Electric Wiring Insulation
- Chalkboards
- Roofing Shingles / tiles / membranes
- Roofing Felt
- Roof Coatings
- Base Flashing
- Thermal Paper Products
- Fire Doors
- Caulking/Putties
- Adhesives / mastics
- Wallboard
- Joint Compounds
- Spackling Compounds
- Laboratory hoods/tabletops
- CMU block fill materials

If any of these suspect materials are specified for use on the Project, and if they do not have specific labelling identifying them as asbestos free, then the CONTRACTOR shall notify the OWNER immediately. Laboratory analysis of the material by an OWNER-approved laboratory shall be performed at CONTRACTOR's expense in order to warrant that the material does not contain asbestos. A copy of the package labelling or results of laboratory testing must be provided to the OWNER prior to inclusion of the specified material during construction. Contractor's construction submittals must include MSDSs for all new materials used in construction of buildings, facilties and infrastructure.

1.5 SUBMITTALS

NON-USE OF ASBESTOS AFFIDAVITS

At the time that the CONTRACTOR signs the Agreement, they shall sign a Non-Use of Asbestos Affidavit (Contractor Prior to Construction), Contract Document 00680. This Affidavit certifies that the CONTRACTOR agrees that they will not allow any asbestos containing materials to be incorporated into the construction of the Project or allow any asbestos containing building materials on the site for which the OWNER has not given prior written approval.

Prior to final payment, the CONTRACTOR will provide to the OWNER a Non-Use of Asbestos Affidavit (Contractor After Construction), Contract Document 00681. This Affidavit certifies that the CONTRACTOR did not allow asbestos containing materials to be incorporated into the construction or allowed any asbestos containing building materials on the site for which the OWNER of the Project did not give prior written approval.

ASBESTOS CONTAINING MATERIALS: When any asbestos containing materials are used on the Project, provide the following information:

A detailed description of the material containing the asbestos.

The type and percent of asbestos contained in the material.

The quantity of the materials used, including the square footage, or in the case of pipe insulation, the size and linear footage.

A drawing showing the exact location of any asbestos containing materials.

Final payment shall be withheld until the above described Affidavits, submittals and/or information are received and approved.

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CITY OF AUSTIN

STATEMENT OF NON-INCLUSION OF ASBESTOS CONTAINING MATERIAL

STATE OF TEXAS
COUNTY OF TRAVIS

ENGINEER/ARCHITECT PRIOR TO DESIGN

"My name is Xiaohong He, hereinafter known as Authorized Representative.

"I am over the age of 18 years and I have never been convicted of a crime. I am the Project Manager of AECOM hereinafter known as ENGINEER/ARCHITECT.

"I am fully competent to make this statement. I have personal knowledge of the facts set forth below and they are all true and correct.

"WHEREAS ENGINEER/ARCHITECT has been selected to provide designs, to prepare the bid and construction documents, and to assist the City of Austin, Texas, hereinafter known as OWNER, during the construction of Davis WTP RWHEEI High Service Pump Station Area Improvements Project, located at 3500 W. 35th Street, Austin, TX 78703, Austin, Texas, hereinafter known as Project, and

"WHEREAS asbestos in a dust form is a recognized health hazard, and
"WHEREAS the OWNER desires not to have any asbestos containing materials used or incorporated into the construction of the Project;
"THEREFORE the ENGINEER/ARCHITECT affirms that to the best of its knowledge and belief:

1. The ENGINEER/ARCHITECT, any person, firm or organization representing or represented by the ENGINEER/ARCHITECT, shall not knowingly specify, request, or approve for use in conjunction with the Project, any asbestos containing materials or any other materials defined as containing asbestos by any laws, rules or regulations promulgated by the United States Government, the State of Texas or any governmental organization or agency operating under the authority of either of those entities.
2. Realizing that there might be materials required in which a satisfactory non-asbestos containing material cannot be obtained, the ENGINEER/ARCHITECT will do the following before specifying any asbestos containing material:
   A. Inform the OWNER's Project Manager for this Project, in writing, of any intent to specify asbestos containing materials.
   B. Receive written approval from the City of Austin Project Manager for the specifying of any asbestos containing materials.
   C. At the completion of the design phase, and before the OWNER receives any bids for this Project, provide to the Project Manager, in writing, the proposed location of any asbestos containing materials, the type of asbestos they contain, and the percent of asbestos by types.
3. The ENGINEER/ARCHITECT states its understanding that if any asbestos containing materials not approved by the OWNER for inclusion into the Project, are determined, as a result of any inspection and sample analysis performed by an individual(s) and/or firm(s) certified and/or licensed to perform such inspection by the United States Government and/or the State of Texas, to have been knowingly specified, requested and/or approved by the ENGINEER/ARCHITECT for inclusion in the Project, the OWNER shall look to the ENGINEER/ARCHITECT for reimbursement of any and all costs incurred in the removal and/or other abatement of said asbestos containing materials.
4. ENGINEER/ARCHITECT further understands that OWNER shall also look to the ENGINEER/ARCHITECT for any and all damages to OWNER which result from the inability of the OWNER to use any portion or all of the Project due to the incorporation of asbestos containing materials that have been knowingly specified, requested and/or approved by the ENGINEER/ARCHITECT.
5. ENGINEER/ARCHITECT further understands that OWNER will pursue reimbursement of any said cost and compensation for any said damages from the ENGINEER/ARCHITECT by any and every means within OWNER's right and power.

Signature of Authorized Representative: _____

STATE OF TEXAS
COUNTY OF TRAVIS

ON JUNE 21, 2021, personally appeared XIAOHONG HE and been duly sworn by me, subscribed to the foregoing statement and has stated that the facts stated therein are true and correct.

VALERIE J TEACLE
Notary ID #125529320
My Commission Expires
December 19, 2021

Notary Public, State of Texas _____

Printed Name of Notary Valerie J. Teacle

My Commission Expires: 12-19-2021

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CITY OF AUSTIN

STATEMENT OF NON-INCLUSION OF ASBESTOS CONTAINING MATERIAL

STATE OF TEXAS
COUNTY OF TRAVIS

ENGINEER/ARCHITECT AFTER DESIGN

"My name is Xiaohong He, hereinafter known as Authorized Representative.

"I am over the age of 18 years and I have never been convicted of a crime. I am the Project Manager of AECOM hereinafter known as ENGINEER/ARCHITECT.

"I am fully competent to make this statement. I have personal knowledge of the facts set forth below and they are all true and correct.

"WHEREAS ENGINEER/ARCHITECT has been selected to provide designs, to prepare the bid and construction documents, and to assist the City of Austin, Texas, hereinafter known as OWNER, during the construction of Davis WTP RWHEEI High Service Pump Station Area Improvements Project, located at 3500 W. 35th Street, Austin, TX 78703 Austin, Texas, hereinafter known as Project, and

"WHEREAS asbestos in a dust form is a recognized health hazard, and
"WHEREAS the OWNER desires not to have any asbestos containing materials used or incorporated into the construction of the Project;
"THEREFORE the ENGINEER/ARCHITECT affirms that to the best of its knowledge and belief:

1. The ENGINEER/ARCHITECT, any person, firm or organization representing or represented by the ENGINEER/ARCHITECT, shall not knowingly specify, request, or approve for use in conjunction with the Project, any asbestos containing materials or any other materials defined as containing asbestos by any laws, rules or regulations promulgated by the United States Government, the State of Texas or any governmental organization or agency operating under the authority of either of those entities.
2. The only exceptions to the above statement are the following materials that are required because a satisfactory non-asbestos containing material cannot be obtained. The inclusion of these materials has been approved by the OWNER's Project Manager for this Project.

   N/A

3. The ENGINEER/ARCHITECT states its understanding that if any asbestos containing materials not approved by the OWNER for inclusion into the Project, are determined, as a result of any inspection and sample analysis performed by an individual(s) and/or firm(s) certified and/or licensed to perform such inspection by the United States Government and/or the State of Texas, to have been knowingly specified, requested and/or approved by the ENGINEER/ARCHITECT for inclusion in the Project, the OWNER shall look to the ENGINEER/ARCHITECT for reimbursement of any and all costs incurred in the removal and/or other abatement of said asbestos containing materials.
4. ENGINEER/ARCHITECT further understands that OWNER shall also look to the ENGINEER/ARCHITECT for any and all damages to OWNER which result from the inability of the OWNER to use any portion or all of the Project due to the incorporation of asbestos containing materials that have been knowingly specified, requested and/or approved by the ENGINEER/ARCHITECT.
5. ENGINEER/ARCHITECT further understands that OWNER will pursue reimbursement of any said cost and compensation for any said damages from the ENGINEER/ARCHITECT by any and every means within OWNER's right and power.

Signature of Authorized Representative: _____

STATE OF TEXAS
COUNTY OF TRAVIS

ON JUNE 21, 2021 personally appeared XIAOHONG HE and been duly sworn by me, subscribed to the foregoing statement and has stated that the facts stated therein are true and correct.

VALERIE J TEAGLE
Notary ID #125529320
My Commission Expires
December 19, 2021

Notary Public, State of Texas _____

Printed Name of Notary Valerie J. Teagle

My Commission Expires: 12-19-2021

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Item No. 102S
Clearing and Grubbing

102S.1 Description

This item shall govern the removal and disposal of all trees, stumps, brush, roots, shrubs, vegetation, logs, rubbish and other objectionable material.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text the inch-pound units are given preference followed by SI units shown within parentheses.

102S.2 Submittals

The submittal requirements of this specification item may include:

A. A permit when utility adjustments are made in the right-of-way, and

B. A plan for removal and deposition of all clearing and grubbing materials and debris.

102S.3 Construction Methods

Prior to commencement of this work, all required erosion control and tree protection measures indicated on the Drawings shall be in place. The existing utilities shall be located and protected as specified in the Standard Contract Documents, Section 00700, "General Conditions" and/or indicated on the Drawings. A permit shall be required when utility adjustments are to be made in preparation for construction in the right-of-way, as specified in Section 5.2.0 of the City of Austin Utilities Criteria Manual.

Areas within the construction limits indicated on the Drawings shall be cleared of all trees, stumps, brush, etc., as defined in section 102S.1; except trees or shrubs scheduled for preservation which shall be carefully trimmed as directed, in accordance with Item No. 610S, " Preservation of Trees and Other Vegetation" and shall be protected from scarring, barking or other injuries during construction operations. All exposed cuts over 2 inches (50 millimeters) in diameter, exposed ends of pruned limbs or scarred bark shall be treated with an approved asphalt material within 24 hours of the pruning or injury.

Construction equipment shall not be operated nor construction materials stockpiled under the canopies of trees, unless otherwise indicated on the Drawings and/or specified in the Contract Documents. Excavation or embankment materials shall not be placed within the drip line of trees until tree wells are constructed.

Within the construction limits or areas indicated, all obstructions, stumps, roots, vegetation, abandoned structures, rubbish and objectionable material shall be removed to the following depths:

1. In areas to receive 6 inches (150 mm) or more embankment, a minimum of 12 inches (300 mm) below natural ground.

2. In areas to receive embankment less than 6 inches (150 mm), a minimum of 18 inches (450 mm) below the lower elevation of embankment, structure or excavation.
3. In areas to be excavated a minimum of 18 inches (450 mm) below the lower elevation of the embankment, structure or excavation.
4. In all other areas a minimum of 12 inches (300 mm) below natural ground.

Holes remaining after removal of all obstructions, objectionable material, trees, stumps, etc. shall be backfilled with select embankment material and compacted by approved methods. All cleared and grubbed material shall be disposed of in a manner satisfactory to the Engineer or designated representative. Unless otherwise provided, all materials as described above shall become the property of the Contractor and removed from the site and disposed of at a permitted disposal site.

Burning materials at the site shall conform to Standard Contract Document Section 01550, "Public Safety and Convenience".

102S.4 Measurement

"Clearing and Grubbing", when included in the contract as a pay item, will be measured by the acre (hectare: 1 hectare is equal to 2.471 acres), 100 foot (100 feet is equal to 30.5 meters) stations or lump sum, regardless of the width of the right of way.

102S.5 Payment

The work and materials presented herein will not be paid for directly, but shall be included in the unit price bid for the item of construction in which this item is used, unless specified as a separate pay item in the contract bid form. When included for payment, it shall be paid for at the unit bid price for "Clearing and Grubbing". This price shall include full compensation for all work herein specified, including the furnishing of all materials, equipment, tools, labor and incidentals necessary to complete the Work.

Payment, when included as a contract pay items, will be made under one of the following:

Pay Item No. 102S-A: Clearing and Grubbing	Per Acre.
Pay Item No. 102S-B: Clearing and Grubbing	Per 100 foot Station.
Pay Item No. 102S-C: Clearing and Grubbing	Lump Sum.

End

SPECIFIC CROSS REFERENCE MATERIALS
Specification Item 102S, “CLEARING AND GRUBBING”

City of Austin Standard Contract Documents

Designation	Description
00700	General Conditions
01550	Public Safety and Convenience

City of Austin Utilities Criteria Manual

Designation	Description
Section 5.2.0	Permit for Excavation in the Public Right-of-Way

City of Austin Standard Specifications

Designation	Description
Item No. 110S	Street Excavation
Item No. 111S	Excavation
Item No. 610S	Preservation of Trees and Other Vegetation

RELATED CROSS REFERENCE MATERIALS

The Code of the City of Austin, Code of Ordinances, Volume 1

Designation	Description
Article 14-11-181	Permit Required
Article 14-11-189	Conditions for Permit Issuance
Article 14-11-190	Excavation Sequence and Permit Term

City of Austin Standard Contract Documents

Designation	Description
01500	Temporary Facilities

City of Austin Standard Specifications

Designation	Description
Item No. 101S	Preparing Right of Way
Item No. 104S	Removing Portland Cement Concrete
Item No. 120S	Channel Excavation
Item No. 132S	Embankment
Item No. 201S	Subgrade Preparation
Item No. 203S	Lime Treatment for Materials In Place
Item No. 204S	Portland Cement Treatment for Materials In Place
Item No. 230S	Rolling (Flat Wheel)
Item No. 232S	Rolling (Pneumatic Tire)
Item No. 234S	Rolling (Tamping)
Item No. 236S	Rolling (Proof)
Item No. 602S	Sodding for Erosion Control
Item No. 604S	Seeding for Erosion Control
Item No. 622S	Diversion Dike
Item No. 628S	Sediment Containment Dikes
Item No. 642S	Silt Fence

City of Austin Standard Details

Designation	Description
610S-1	Tree Protection Fence Locations
610S-2	Tree Protection Fence, Type B Chainlink
610S-3	Tree Protection Fence, Type B Wood
610S-4	Tree Protection Fence, Modified Type A
610S-5	Tree Protection Fence, Modified Type B
621S-1	Diversion
622S-1	Diversion Dike
624S-1	Earth Outlet Sediment Trap

RELATED CROSS REFERENCE MATERIALS-Continued

Specification 102S, “CLEARING AND GRUBBING”

City of Austin Standard Details

Designation	Description
625S-1	Grade Stabilization Structure
627S-1	Grass Lined Swale
627S-2	Grass Lined Swale With Stone Center
628S	Triangular Sediment Filter Dike
628S-1	Hay Bale Dike

629S-1	Brush Berm
630S-1	Interceptor Dike
631S-1	Interceptor Swale
632S-1	Storm Inlet Sediment Trap
633S-1	Landgrading
634S-1	Level Spreader
635S-1	Perimeter Dike
636S-1	Perimeter Swale
637S-1	Pipe Slope Drain (Flexible)
637S-2	Pipe Slope Drain (Flexible)
638S-1	Pipe Outlet Sediment Trap
639S-1	Rock Berm
641S-1	Stabilized Construction Entrance
642S-1	Silt Fence
643S-1	Stone Outlet Structure
644S-1	Stone Outlet Sediment Trap

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges

Designation	Description
Item No. 100	Preparing Right of Way
Item No. 110	Excavation
Item No. 112	Subgrade Widening
Item No. 132	Embankment
Item No. 150	Blading
Item No. 158	Specialized Excavation Work
Item No. 160	Furnishing and Placing Topsoil
Item No. 164	Seeding for Erosion Control
Item No. 204	Sprinkling
Item No. 210	Rolling (Flat Wheel)
Item No. 211	Rolling (Tamping)
Item No. 213	Rolling (Pneumatic Tire)
Item No. 260	Lime Treatment for Materials Used as Subgrade (Road Mixed)
Item No. 265	Lime-Fly Ash (LFA) Treatment for Materials Used as Subgrade

Texas Department of Transportation:
Manual of Testing Procedures

Designation	Description
Tex-103-E	Determination of Moisture Content of Soil Materials
Tex-105-E	Determination of Plastic Limit of Soils
Tex-106-E	Method of Calculating the Plasticity Index of Soils
Tex-114-E	Laboratory Compaction Characteristics and Moisture Density Relationship of Subgrade & Embankment Soil
Tex-115-E	Field Method for Determination of In-Place Density of Soils and Base Materials

Item No. 104S
Removing Portland Cement Concrete

104S.1 Description

This item shall govern the demolition, removal and satisfactory disposal of existing Portland cement concrete, as classified, at locations indicated on the Drawings or as directed by the Engineer or designated representative.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text inch-pound units are given preference followed by SI units shown within parentheses.

104S.2 Submittals

The submittal requirements of this specification item may include:

A. A permit when utility adjustments are made in the right-of-way, and

B. A plan for removal and deposition of all 'broken up' existing Portland cement (p.c.) concrete materials and debris.

104S.3 Classification

Existing Portland cement concrete, when removed under this section, will be classified as follows:

1. Concrete Curb will include curb, curb and gutter and combinations thereof,
2. Concrete Slabs will include, but not be limited to, house slabs, patio slabs, porch slabs, concrete riprap and concrete pavement,
3. Sidewalks and Driveways will include concrete sidewalks and driveways,
4. Concrete Walls will include all walls, regardless of height, and wall footings,
5. Concrete Steps will include all steps and combinations of walls and steps,
6. Abandoned Foundations will include abandoned utility foundations,
7. Miscellaneous Concrete shall include all other concrete items, which are not identified in items 1 through 6 above.

104S.4 Materials

Mortar shall conform to mortar specified in Standard Specification Item No. 403S, “Concrete for Structures”.

104S.5 Construction Methods

Prior to commencement of this work, all required erosion control and tree protection measures shall be in place. The existing utilities shall be located and protected as specified in the Standard Contract Documents, Section 00700, "General Conditions". A permit shall be required when utility adjustments are to be made in preparation for

highway construction, as specified in Section 5.2.0 of the City of Austin Utilities Criteria Manual.

The existing Portland cement concrete shall be broken up, removed in accordance with Item No. 101S, "Preparing Right of Way" and disposed of by the Contractor and deposited at a permitted disposal site.

When it is specified that only a portion of the existing Portland cement (p.c.) concrete is to be removed and that the remaining p.c. concrete will continue to serve its purpose, special care shall be exercised to avoid damage to that portion which will remain in place. Unless otherwise established by the Engineer or designated representative, existing p.c. concrete shall be cut to the neat lines, that are indicated on the Drawings, by sawing with an appropriate type circular type circular concrete saw to a minimum depth of 1/2 inch (12.5 mm). Any reinforcing steel encountered shall be cut off 1 inch (25 mm) inside of p.c. concrete sawed line. Any existing p.c. concrete, which is damaged or destroyed beyond the neat lines so established, shall be replaced at the Contractor's expense. Remaining p.c. concrete shall be mortared to protect the reinforcing steel and provide a neat clean appearance.

When reinforcement is encountered during the removal of portions of existing structures to be modified, a minimum of 1 foot (300 mm) of steel length shall be cleaned of all old p.c. concrete and left in place to tie into the new construction where applicable. All unsuitable material shall be removed and replaced with approved material. All foundations, walls or other objectionable material shall be removed to a minimum depth of 18 inches (450 mm) below all structures and 12 inches (300 mm) below areas to be vegetated.

104S.6 Measurement

When included in the contract as a separate pay item, the removal of p.c. concrete curb and p.c. concrete wall as prescribed above will be measured by the lineal foot (meter: 1 meter is equal to 3.281 feet) in its original position regardless of the dimensions or size. The removal of p.c. concrete slabs, p.c. concrete sidewalks and driveways, as prescribed above, will be measured by the square foot (square meter: 1 square meter is equal to 10.764 square feet) in original position, regardless of the thickness and existence of reinforcing steel. Portland cement concrete steps removed will be measured per lineal foot (meter: 1 meter is equal to 3.281 feet) of each individual step tread including the bottom step. The removal of p.c. concrete foundations will be measured per each individual foundation. The removal of miscellaneous concrete will be measured per lump sum.

104S.7 Payment

The work and materials presented herein will generally not be paid for directly, but shall be included in the unit price bid for the item of construction in which this item is used.

When specified in the contract bid form as a separate pay item, the item will be paid for at the contract unit bid price(s) for "Remove P.C. Concrete Curb", "Remove P.C. Concrete Slab", "Remove P.C. Concrete Sidewalks and Driveways", "Remove P.C. Concrete Walls", "Remove P.C. Concrete Steps", "Remove P.C. Concrete Foundations" and "Remove Miscellaneous P.C. Concrete". The bid prices shall include full compensation for all Work herein specified, including the disposal of all material not required in the

Work, the furnishing of all materials, equipment, tools, labor and incidentals necessary to complete the Work.

Payment will be made under one of the following:

Pay Item No.	**104S-A:**	Remove P.C. Concrete Curb	Per Lineal foot.
Pay Item No.	**104S-B:**	Remove P.C. Concrete Slab	Per Square foot.
Pay Item No.	**104S-C:**	Remove P.C. Concrete Sidewalks and Driveways	Per Square foot
Pay Item No.	**104S-D:**	Remove P.C. Concrete Wall	Per Lineal foot.
Pay Item No.	**104S-E:**	Remove P.C. Concrete Steps	Per Lineal foot.
Pay Item No.	**104S-F:**	Remove P.C. Concrete Foundations	Per Each.
Pay Item No.	**104S-G:**	Remove Miscellaneous P.C. Concrete	Per Lump Sum.

End

SPECIFIC CROSS REFERENCE MATERIALS
Specification Item 104S, "REMOVING CONCRETE"

City of Austin Standard Contract Documents

Designation	Description
00700	General Conditions

City of Austin Utilities Criteria Manual

Designation	Description
Section 5.2.0	Permit for Excavation in the Public Right-of-Way

City of Austin Standard Specifications

Designation	Description
Item No. 101S	Preparing Right of Way
Item No. 110S	Street Excavation
Item No. 111S	Excavation
Item No. 120S	Channel Excavation
Item No. 132S	Embankment
Item No. 403S	Concrete for Structures
Item No. 610S	Preservation of Trees and Other Vegetation

RELATED CROSS REFERENCE MATERIALS

City of Austin Standard Contract Documents

Designation	Description
01500	Temporary Facilities
01550	Public Safety and Convenience

The Code of the City of Austin, Code of Ordinances, Volume 1

Designation	Description
Article 14-11-181	Permit Required
Article 14-11-189	Conditions for Permit Issuance
Article 14-11-190	Excavation Sequence and Permit Term

City of Austin Standard Specifications

Designation	Description
Item No. 201S	Subgrade Preparation
Item No. 602S	Sodding for Erosion Control
Item No. 604S	Seeding for Erosion Control

Item No. 622S	Diversion Dike
Item No. 628S	Sediment Containment Dikes
Item No. 642S	Silt Fence

RELATED CROSS REFERENCE MATERIALS - Continued
Specification Item 104S, "REMOVING CONCRETE"

City of Austin Standard Details

Designation	Description
610S-1	Tree Protection Fence Locations
610S-2	Tree Protection Fence, Type B Chainlink
610S-3	Tree Protection Fence, Type B Wood
610S-4	Tree Protection Fence, Modified Type A
610S-5	Tree Protection Fence, Modified Type B
621S-1	Diversion
622S-1	Diversion Dike
624S-1	Earth Outlet Sediment Trap
625S-1	Grade Stabilization Structure
627S-1	Grass Lined Swale
627S-2	Grass Lined Swale With Stone Center
628S	Triangular Sediment Filter Dike
628S-1	Hay Bale Dike
629S-1	Brush Berm
630S-1	Interceptor Dike
631S-1	Interceptor Swale
632S-1	Storm Inlet Sediment Trap
633S-1	Landgrading
634S-1	Level Spreader
635S-1	Perimeter Dike
636S-1	Perimeter Swale
637S-1	Pipe Slope Drain (Flexible)
637S-2	Pipe Slope Drain (Flexible)
638S-1	Pipe Outlet Sediment Trap
639S-1	Rock Berm
641S-1	Stabilized Construction Entrance
642S-1	Silt Fence
643S-1	Stone Outlet Structure
644S-1	Stone Outlet Sediment Trap

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges

Designation	Description
Item No. 100	Preparing Right of Way
Item No. 104	Removing Concrete
Item No. 110	Excavation
Item No. 112	Subgrade Widening
Item No. 132	Embankment
Item No. 158	Specialized Excavation Work
Item No. 420	Concrete Structures

Item No. 111S
Excavation

111S.1 Description

This item shall govern: (1) the excavation and proper utilization or satisfactory disposal of all excavated materials, of whatever character, within the limits of the Work and (2) construction, compaction, shaping and finishing of all designated earthwork areas in accordance with the specification requirements outlined herein and in conformity with the required lines, grades and typical cross sections indicated on the Drawings or as directed by the Engineer or designated representative. When not otherwise included in the Contract Documents, this item shall include the work described in Specification Item Nos. 101S, "Preparing Right of Way", No. 102S, "Clearing and Grubbing", No. 104S, "Removing Portland Cement Concrete", No. 132S "Embankment" and No. 201S, "Subgrade Preparation".

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text inch-pound units are given preference followed by SI units shown within parentheses.

111S.2 Submittals

The submittal requirements of this specification item may include:

A. A permit when utility adjustments are made in the right-of-way,

B. A plan for removal and deposition of all 'Waste' materials, and

C. A Blasting Permit if blasting is required and allowed on the project.

111S.3 Classification

All excavation shall be unclassified and shall include all materials encountered regardless of their nature or the manner in which they are removed.

111S.4 Construction Methods

Prior to commencement of this work, all required erosion control and tree protection measures shall be in place. The existing utilities shall be located and shall be protected as specified in the Standard Contracts Document Section 00700, "General Conditions" and/or indicated on the Drawings. A permit shall be required when utility adjustments are to be made in preparation for construction in the right-of-way, as specified in Section 5.2.0 of the City of Austin Utilities Criteria Manual.

Construction equipment shall not be operated nor construction materials stockpiled under the canopies of trees, unless otherwise indicated on the Drawings. Excavation or embankment materials shall not be placed within the drip line of trees until tree wells are constructed, that conform to Specification Item No. 610S, “Preservation of Trees and Other Vegetation”.

All excavation shall be performed as specified herein and shall conform to the established alignment, grades and cross sections indicated on the Drawings. Suitable excavated

materials shall be utilized, insofar as practical, in constructing required embankments. The construction of all embankments shall conform to Specification Item No. 132S, "Embankment". No material shall be stockpiled within the banks of a waterway.

Unsuitable excavated materials or excavation in excess of that needed for construction shall be known as "Waste" and shall become the property of the Contractor. Unsuitable material encountered below the subgrade elevation in roadway cuts, when declared “Waste” by the Engineer or designated representative, shall be replaced with material from the roadway excavation or with other suitable material as approved by the Engineer. It shall become the Contractor’s responsibility to dispose of this material off the limits of the right of way in an environmentally sound manner at a permitted disposal site.

All blasting shall conform to the Provisions of the Standard Contract Document Section 01550, "Public Safety and Convenience". In all cases, a Blasting Permit must be obtained in advance from the City of Austin, Department of Public Works and Transportation.

Adequate dewatering and drainage of excavation shall be maintained throughout the time required to complete the excavation work.

111S.5 Measurement

All accepted excavation will be measured by either Method A or B as follows:

(1) Method A

Measurement of the volume of excavation in cubic yards (cubic meters: 1 square meter is equal to 1.306 square yards) by the average end area methods. Cross-sectional areas shall be computed from the existing ground surface to the established line of the subgrade, as shown on typical sections in the Drawings, over the limits of the right of way or other work limits, including parkway slopes and sidewalk areas.

(2) Method B

Measurement of the volume of excavation in cubic yards (cubic meters: 1 square meter is equal to 1.306 square yards), based upon the average end area method taken from pre-construction cross sections and planned grades. The planned quantities for excavation will be used as the measurement for payment for this item.

111S.6 Payment

This item will be paid for at the contract unit bid price for "Excavation", as provided under measurement Method A or B as included in the bid. The bid price shall include full compensation for all work herein specified including dewatering, drainage, subgrade preparation, unless otherwise indicated, and the furnishing of all materials, equipment, tools, labor and incidentals necessary to complete the work.

Payment will be made under one of the following:

Pay Item No. 111S-A:	Excavation	Per Cubic Yard.
Pay Item No. 111S-B:	Excavation, Plan Quantity	Per Cubic Yard.

End

SPECIFIC CROSS REFERENCE MATERIALS
Specification Item 111S, "EXCAVATION"

City of Austin Standard Contract Documents

Designation	Description
00700	General Conditions
01550	Public Safety and Convenience

City of Austin Utilities Criteria Manual

Designation	Description
Section 5.2.0	Permit for Excavation in the Public Right-of-Way

City of Austin Technical Specifications

Designation	Description
Item No. 101S	Preparing Right of Way
Item No. 102S	Clearing and Grubbing-
Item No. 104S	Removing Portland Cement Concrete
Item No. 132S	Embankment
Item No. 201S	Subgrade Preparation
Item No. 236S	Proof Rolling
Item No. 610S	Preservation of Trees and Other Vegetation

RELATED CROSS REFERENCE MATERIALS
Specification Item 111S, "EXCAVATION"

City of Austin Standard Contract Documents

Designation	Description
01500	Temporary Facilities

City of Austin Standard Specifications

Designation	Description
Item No. 120S	Channel Excavation
Item No. 203	Lime Treatment for Materials In Place
Item No. 204S	Portland Cement Treatment for Materials In Place
Item No. 230S	Rolling (Flat Wheel)
Item No. 232S	Rolling (Pneumatic Tire)
Item No. 234S	Rolling (Tamping)
Item No. 602S	Sodding for Erosion Control
Item No. 604S	Seeding for Erosion Control
Item No. 622S	Diversion Dike
Item No. 628S	Sediment Containment Dikes
Item No. 642S	Silt Fence

City of Austin Standard Details

Designation	Description
No. 610S-1	Tree Protection Fence Locations
No. 610S-2	Tree Protection Fence, Type B Chainlink
No. 610S-3	Tree Protection Fence, Type B Wood
No. 610S-4	Tree Protection Fence, Modified Type A

No. 610S-5	Tree Protection Fence, Modified Type B
No. 621S-1	Diversion
No. 622S-1	Diversion Dike
No. 624S-1	Earth Outlet Sediment Trap
No. 625S-1	Grade Stabilization Structure
No. 627S-1	Grass Lined Swale
No. 627S-2	Grass Lined Swale With Stone Center
No. 628S	Triangular Sediment Filter Dike

City of Austin Standard Details

Designation	Description
No. 628S-1	Hay Bale Dike
No. 629S-1	Brush Berm
No. 630S-1	Interceptor Dike
No. 631S-1	Interceptor Swale
No. 632S-1	Storm Inlet Sediment Trap
No. 633S-1	Landgrading
No. 634S-1	Level Spreader
No. 635S-1	Perimeter Dike
No. 636S-1	Perimeter Swale
No. 637S-1	Pipe Slope Drain (Flexible)
No. 637S-2	Pipe Slope Drain (Flexible)
No. 638S-1	Pipe Outlet Sediment Trap
No. 639S-1	Rock Berm
No. 641S-1	Stabilized Construction Entrance
No. 642S-1	Silt Fence
No. 643S-1	Stone Outlet Structure
No. 644S-1	Stone Outlet Sediment Trap

The Code of the City of Austin, Code of Ordinances, Volume 1

Designation	Description
Article 14-11-181	Permit Required
Article 14-11-189	Conditions for Permit Issuance
Article 14-11-190	Excavation Sequence and Permit Term

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges

Designation	Description
Item No. 100	Preparing Right of Way
Item No. 110	Excavation
Item No. 112	Subgrade Widening
Item No. 132	Embankment
Item No. 150	Blading
Item No. 158	Specialized Excavation Work
Item No. 160	Furnishing and Placing Topsoil
Item No. 164	Seeding for Erosion Control
Item No. 204	Sprinkling
Item No. 210	Rolling (Flat Wheel)
Item No. 211	Rolling (Tamping)
Item No. 213	Rolling (Pneumatic Tire)
Item No. 260	Lime Treatment for Materials Used as Subgrade (Road Mixed)
Item No. 265	Lime-Fly Ash (LFA) Treatment for Materials Used as Subgrade

Texas Department of Transportation: Manual of Testing Procedures

Designation	Description
Tex-103-E	Determination of Moisture Content of Soil Materials
Tex-104-E	Determination of Liquid Limit of Soils
Tex-105-E	Determination of Plastic Limit of Soils
Tex-106-E	Method of Calculating the Plasticity Index of Soils
Tex-114-E	Laboratory Compaction Characteristics and Moisture-Density Relationship of Subgrade & Embankment Soil
Tex-115-E	Field Method for Determination of In-Place Density of Soils and Base Materials

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Item No. 130S
Borrow

130S.1 Description

This item shall govern required excavation, removal and proper utilization of materials secured from sources, selected by the Contractor and approved by the Engineer or designated representative. The compaction of embankments constructed from borrow as provided herein shall conform to the appropriate sections of Specification Item Nos. 132S, "Embankment" and 236S, “Proof Rolling”.

Borrow will be used only when indicated on the Drawings or directed by the Engineer or designated representative and shall only be acquired from approved sources.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text inch-pound units are given preference followed by SI units shown within parentheses.

130S.2 Submittals

The submittal requirements of this specification item may include:

A. Identification of Class, source and characteristics (P.I., linear shrinkage, etc.) of proposed borrow material, and
B. A plan for managing and maintaining borrow sites.

130S.3 Materials

All authorized borrow shall conform to one of the following classes:

Class A (Select Borrow)

Class A Borrow material shall consist of suitable granular material, free from vegetation or other objectionable matter and reasonably free from lumps of earth. When tested by standard TxDOT laboratory methods Tex-105-E, Tex-106-E and Tex-107-E, the Class A Select Borrow, shall meet the following requirements:

The Liquid Limit shall not exceed	45
The Plasticity Index shall not exceed	15
The bar linear shrinkage shall not be less than	2

Class B (Borrow)

Class B Borrow material shall consist of suitable non swelling [i.e. soils with a plasticity index (P.I.) less than 20] earth material such as loam, clay or other such materials that will form a stable embankment.

Class C (Topsoil)

See Standard Specification Item No. 601S.3(A)

130S.4 Construction Methods

Prior to commencing this work, all required erosion control and environmental measures shall be in place. All suitable materials removed from excavations shall be used, insofar as practicable in the formation of embankments conforming to Specification Item No. 132S, "Embankment", as otherwise indicated on the Drawings or as directed by the Engineer or designated representative. The completed work shall conform to the established alignment, grades and cross section as shown on the Drawings. The additional material necessary to complete the work described above shall be "Borrow" of the class specified.

The Contractor shall arrange for borrow from one of the following sources:

1. Existing borrow pit,
2. New borrow pit, or
3. Surplus excavated material from a site, with a site development permit.

The Contractor shall notify the Engineer 3 weeks prior to opening a pit or any other borrow source to allow necessary testing for approval of materials. All borrow sites shall comply with the requirements of the site development permit.

During construction, borrow sources shall be kept drained to permit final cross sections to be measured, when required.

Borrow sites shall be managed and maintained to minimize the impact of the appearance of the natural topographic features and at no time create a potential hazard to the public.

130S.5 Measurement

Borrow will be measured by the cubic yard (cubic meters: 1 cubic meter is equal to 1.196 cubic yards) in its final position based upon the average end areas, calculated from pre-construction cross sections and plan grades. The plan quantities for Borrow or Topsoil will be used as the measurement for payment for this item.

130S.6 Payment

All work performed as required herein and measured as provided under "Measurement" will be paid for at the unit bid price. The bid prices shall include full compensation for furnishing all labor; all materials; all royalty and freight involved; all hauling and delivering on the road; and all tools, equipment and incidentals necessary to complete the work. Payment will not be made for unauthorized work.

Payment will be made under one of the following:

Pay Item No. 130S-A:	Class A (Select Borrow), Plan Quantity	Per Cubic Yard.
Pay Item No. 130S-B:	Class B (Borrow), Plan Quantity	Per Cubic Yard.
Pay Item No. 130S-T:	Class C (Topsoil), Plan Quantity	Per Cubic Yard.

End

SPECIFIC CROSS REFERENCE MATERIALS
Specification 130S, "BORROW"

City of Austin Standard Specifications

Designation	Description
Item No. 132S	Embankment
Item No. 236S	Rolling (Proof)

Texas Department of Transportation: Manual of Testing Procedures

Designation	Description
Tex-105-E	Determination of Plastic Limit of Soils
Tex-106-E	Method of Calculating the Plasticity Index of Soils
Tex-107-E	Determination of Bar Linear Shrinkage of Soils

RELATED CROSS REFERENCE MATERIALS
Specification 130S, "BORROW"

City of Austin Standard Contract Documents

Designation	Description
00700	General Conditions

City of Austin Standard Specifications

Designation	Description
Item No. 101S	Preparing Right of Way
Item No. 102S	Clearing and Grubbing
Item No. 110S	Street Excavation
Item No. 111S	Excavation
Item No. 230S	Rolling (Flat Wheel)
Item No. 232S	Rolling (Pneumatic Tire)
Item No. 234S	Rolling (Tamping)
Item No. 602S	Sodding for Erosion Control
Item No. 604S	Seeding for Erosion Control
Item No. 610S	Preservation of Trees and Other Vegetation
Item No. 622S	Diversion Dike
Item No. 628S	Sediment Containment Dikes
Item No. 642S	Silt Fence

City of Austin Standard Details

Designation	Description
No. 610S-1	Tree Protection Fence Locations
No. 610S-2	Tree Protection Fence, Type B Chainlink
No. 610S-3	Tree Protection Fence, Type B Wood
No. 610S-4	Tree Protection Fence, Modified Type A
No. 610S-5	Tree Protection Fence, Modified Type B
No. 621S-1	Diversion
No. 622S-1	Diversion Dike
No. 624S-1	Earth Outlet Sediment Trap
No. 625S-1	Grade Stabilization Structure
No. 626S-1	Grass Lined Swale
No. 627S-1	Grass Lined Swale With Stone Center
No. 628S	Triangular Sediment Filter Dike

No. 628S-1	Hay Bale Dike
No. 629S-1	Brush Berm
No. 630S-1	Interceptor Dike
No. 631S-1	Interceptor Swale
No. 632S-1	Storm Inlet Sediment Trap
No. 633S-1	Landgrading
No. 634S-1	Level Spreader

City of Austin Standard Details

Designation	Description
No. 635S-1	Perimeter Dike
No. 636S-1	Perimeter Swale
No. 637S-1	Pipe Slope Drain (Flexible)
No. 637S-2	Pipe Slope Drain (Flexible)
No. 638S-1	Pipe Outlet Sediment Trap
No. 639S-1	Rock Berm
No. 641S-1	Stabilized Construction Entrance
No. 642S-1	Silt Fence
No. 643S-1	Stone Outlet Structure
No. 644S-1	Stone Outlet Sediment Trap

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges

Designation	Description
Item No. 100	Preparing Right of Way
Item No. 110	Excavation
Item No. 132	Embankment
Item No. 158	Specialized Excavation Work
Item No. 160	Furnishing and Placing Topsoil
Item No. 164	Seeding for Erosion Control
Item No. 204	Sprinkling
Item No. 210	Rolling (Flat Wheel)
Item No. 211	Rolling (Tamping)
Item No. 213	Rolling (Pneumatic Tire)

Texas Department of Transportation: Manual of Testing Procedures

Designation	Description
Tex-103-E	Determination of Moisture Content of Soil Materials
Tex-104-E	Determination of Liquid Limit of Soils
Tex-114-E	Laboratory Compaction Characteristics and Moisture-Density Relationship of Subgrade & Embankment Soil
Tex-115-E	Field Method for Determination of In-Place Density of Soils and Base Materials

ITEM NO. 132S - EMBANKMENT 8-20-07

132S.1 - Description

This item shall govern the placement and compaction of suitable materials obtained from approved sources for utilization in the construction of street or channel embankments, berms, levees, dikes and structures. When not otherwise included in the Contract Documents or indicated on the Drawings, this item shall include the work described in Specification Item Nos. 101S, "Preparing Right of Way", 102S, "Clearing and Grubbing", 104S, "Removing Portland Cement Concrete", 201S, "Subgrade Preparation" and No. 236S, "Proof Rolling.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text inch-pound units are given preference followed by SI units shown within parentheses.

132S.2 - Submittals

The submittal requirements of this specification item may include:

A. A plan identifying source, material type, classification and characteristics (P.I., optimum moisture-density, etc.) of the proposed embankment material,

B. Type and size of equipment proposed to produce the required compaction, and

C. Compaction (Density-moisture, etc) test results for in-place embankment layers.

132S.3 - Construction Methods

A. General

Prior to the placement of any embankment, all tree protection and tree wells and erosion control devices shall be in place and all operations involving Standard Specification Item No. 101S, "Preparing Right of Way" and/or Standard Specification Item No. 102S, "Clearing and Grubbing" shall have been completed for the areas over which the embankment is to be placed. Stump holes or other small excavations encountered within the limits of the embankments shall be backfilled with suitable material and thoroughly tamped by approved methods before commencement of the embankment construction.

The area of embankment placement shall be proof rolled (Specification Item No. 236S, "Proof Rolling") and any unstable or spongy areas shall be undercut and backfilled with suitable material or otherwise mechanically manipulated and compacted by approved methods. Where shown on the Drawings or required by the Engineer or designated representative, the ground surface thus prepared shall be compacted by sprinkling and rolling. The surface of the ground, including those plowed and loosened or roughened by small washes, shall be restored to approximately its original slope and the ground surface thus prepared shall be compacted by sprinkling and rolling.

Construction equipment shall not be operated within the drip line of trees, unless otherwise indicated. Construction materials shall not be stockpiled under the canopies of trees. Excavation or embankment materials shall not be placed within the drip line of trees until tree wells are constructed in accordance with Item No. 610S, "Preservation of Trees and Other Vegetation".

Unless otherwise indicated on the Drawings and with the exception of rock, the surface of the ground of all unpaved areas, which are to receive embankment, shall be loosened by scarifying or plowing to a depth of not less than 4 inches (100 mm). The loosened material shall be re-compacted with the new embankment as hereinafter specified.

The surface of hillsides, which are to receive embankment, shall be loosened, by scarifying or plowing, to a depth of not less than 4 inches (100 mm) and benches constructed before the

embankment materials are placed. The embankment shall then be placed in layers, as hereinafter specified, beginning at the low side with partial width layers and increasing the widths of the layers as the embankment is raised. The material, which has been loosened during preparation of the original ground surface, shall be re-compacted simultaneously with the embankment material placed at the same elevation.

Where embankments are to be placed adjacent to or over existing roadbeds, the roadbed slopes shall be plowed or scarified to a depth of not less than 6 inches (150 mm) and the embankment along the roadbed slopes shall be built up in successive layers, as hereinafter specified, to the elevation of the old roadbed. Then, if specified, the top surface of the old roadbed shall be scarified to a minimum depth of 6 inches (150 mm) and re-compacted along with the next layer of the new embankment. The total depth of the scarified and added material shall not exceed the permissible layer depth, specified hereinafter.

Trees, stumps, roots, vegetation or other unsuitable materials shall not be placed in embankment.

All embankment shall be constructed in layers approximately parallel to the finished grade and unless otherwise indicated, each layer shall be so constructed as to provide a uniform slope of 1/4 inch per foot (20 mm per meter) from the centerline of the roadbed to the outside. In the case of superelevated curves, each layer shall be constructed to conform to the specified superelevation or cross slope.

The embankment shall be continuously maintained at its finished section and grade until that portion of the work is accepted. After completion of the embankment to the finished section and grade, the Contractor shall proof roll the subgrade or finished grade in accordance with Specification Item No. 236S, "Proof Rolling". Any unstable or spongy areas shall be undercut and backfilled with suitable material or otherwise mechanically manipulated and compacted by approved methods. After acceptance of the embankment, re-vegetation activities shall commence immediately to minimize the soil loss and air pollution.

B. Earth Embankments

Earth embankments shall be defined as embankments composed of soil material other than rock and shall be constructed of acceptable material from approved sources.

Unless directed otherwise, earth embankments shall be constructed in successive layers, with a thickness of 8 inches (200 mm) or less in loose measure, for the full width of the individual cross section and in a length that is best suited to the sprinkling and compaction methods utilized.

Minor quantities of rocks with a maximum dimension of 4 inches (100 mm) may be incorporated in the earth embankment layers, provided that the rock is not placed immediately adjacent to structures.

Each layer of embankment shall be uniform as to material type and classification, density and moisture content before beginning compaction. Where layers of unlike materials abut each other, each layer shall be feathered on a slope of 1:20 or the materials shall be so mixed as to prevent abrupt changes in the soil. Any material placed in the embankment by dumping in a pile or windrows shall not be incorporated in a layer in that position. All such piles or windrows shall be incorporated in an embankment layer by blading and mixing or by similar methods. Clods or lumps of material shall be broken down into smaller sizes and the embankment material in a layer shall be mixed by blading, harrowing, discing or similar methods to insure that a uniform material of uniform density is secured in each layer.

The water required in sprinkling the layers, to obtain the moisture content necessary for optimum compaction, shall be evenly applied. It shall be the responsibility of the Contractor to secure uniform moisture content throughout the layer by such methods as may be necessary.

All earth cuts, whether full width or partial width side hill cuts and which are not required to be excavated below the subgrade elevation, shall be scarified to a uniform depth of at least 6 inches (150 mm) below grade. The material shall be mixed and reshaped by blading, sprinkled and rolled in accordance with the requirements outlined above for earth embankments to the same density required for the adjacent embankment.

Compaction of embankments shall conform to Item No. 201S, "Subgrade Preparation". Each layer shall be compacted to the required density by any method, and/or type and size of equipment, which will produce the required compaction. Prior to and in conjunction with the rolling operation, each layer shall be brought to the moisture content necessary to obtain the required density and shall be kept leveled with suitable equipment to insure uniform compaction over the entire layer.

It is the intent of this specification to provide the required density and moisture control for each layer of earth embankment and select material based on the plasticity characteristics of the embankment soil. Each layer shall be sprinkled as required and compacted to the extent necessary to provide the density specified below, unless otherwise indicated.

Description	Density, Percent	Moisture
Non-swelling Soils (PI less than 20)	Not less than 95	
Swelling Soils (PI between 20 and 35)	Not less than 95 nor more than 102	Not less than optimum
Swelling Soils (P.I. greater than 35)	Not less than 95 nor more than 100	Not less than optimum

The Plasticity Index (PI) will be established in accordance with TxDoT Test Methods Tex-104-E, Tex-105-E and Tex-106-E and the density determination will be made in accordance with TxDoT Test Method Tex-114-E, "Laboratory Compaction Characteristics and Moisture-Density Relationship of Subgrade and Embankment Soil". Field density measurements will be made in accordance with TxDoT Test Method Tex-115-E, "Field Method for Determination of In-Place Density of Soils and Base Materials".

After each layer of earth embankment or select material is complete, tests, as necessary, will be conducted as directed by the Engineer or designated representative. If the material fails to meet the density specified, the course shall be reworked as necessary to obtain the specified compaction.

C. Rock Embankments

Rock embankments shall be defined as those composed principally of rock and shall be constructed of accepted material from approved sources. Rock embankments shall not be placed immediately adjacent to structures.

Except as otherwise indicated on the Drawings, rock embankments shall be constructed in successive layers of 18 inches (450 mm) or less in thickness for the full width of the cross section. When, in the opinion of the Engineer or designated representative, the rock sizes necessitate a greater thickness of layer than specified, the layer thickness may be increased as necessary, but in no case shall the thickness of layer exceed 2½ feet (750 mm). Each layer shall be constructed by starting at one end and dumping the rock on top of the layer being constructed then pushing the material ahead with a bulldozer in such a manner that the larger rock will be placed on either the ground or the preceding embankment layer. Each layer shall be constructed in such a manner that

the interstices between the larger stones are filled with small stones and spalls which have been created by this operation and from the placement of succeeding layers of material.

The maximum dimension of any rock used in embankment shall be less than the thickness of the embankment layer and in no case shall any rock over 2 feet (600 mm) in its greatest dimension be placed in the embankment, unless otherwise approved by the Engineer or designated representative. All oversized rocks, which are otherwise suitable for construction, shall be broken to the required dimension and utilized in embankment construction where indicated. When preferred by the Contractor and acceptable to the Engineer or designated representative, oversized rocks may be placed at other locations where the embankment layer is of greater depth, thus requiring less breakage.

Each layer shall be compacted to the required density as outlined for "Earth Embankments", above, except in those layers where rock will make density testing difficult, the Engineer or designated representative may accept the layer by visual inspection or proof rolling conforming to Specification Item No. 236S, "Proof Rolling)".

Unless otherwise indicated, the upper 3 feet (1 meter) of the embankment shall not contain stones larger than 4 inches (100 mm) in their greatest dimension and shall be composed of material so graded that the density and uniformity of the surface layer may be secured in accordance with TxDoT Test Method Tex-114-E.

Exposed oversize material shall be broken up or removed.

D. At Culverts and Bridges

Embankment materials, which are to be placed adjacent to culverts and bridges and cannot be compacted by the blading and rolling equipment that was used in compacting the adjoining sections of embankment, shall be compacted in the manner prescribed under Item No. 401, "Structural Excavation and Backfill".

Embankment constructed around 'spill through' type abutments shall be constructed in 6 inch (150 mm) loose layers of a uniform suitable material and shall be placed so as to maintain approximately the same elevation on each side of the abutment. All materials shall be mixed, wetted and compacted as specified above. Embankment material placed adjacent to any portion of a structure or above the top of any culvert or similar structure shall be free of any appreciable amount of gravel or stone particles and shall be thoroughly compacted by mechanical compaction equipment.

132S.4 - Measurement

All accepted embankment, when included in the contract as a separate pay item, will be measured in place and the volume computed in cubic yards (cubic meters: 1 cubic meter is equal to 1.196 cubic yards) by the method of average end areas. No allowance shall be made for shrinkage.

132S.5 - Payment

The work and materials presented herein will generally not be paid for directly, but shall be included in the unit price bid for the item of construction in which this item is used. However, when specified in the contract bid form as a separate pay item, it shall be paid for at the contract unit bid price for "Embankment". The bid price shall include full compensation for all work herein specified, including the furnishing of all materials, (except "Borrow" when paid as a separate bid item) compaction, equipment, tools, labor, water for sprinkling, proof rolling and incidentals necessary to complete the work.

Payment, when included in the contract as a separate pay item, will be made under:

Pay Item No. 132S-A:	Embankment	Per Cubic Yard.

End

SPECIFIC CROSS REFERENCE MATERIALS	
Specification 132S, "EMBANKMENT"	
City of Austin Standard Specifications	
Designation	Description
Item No. 101S	Preparing Right of Way
Item No. 102S	Clearing and Grubbing
Item No. 104S	Removing Portland Cement Concrete
Item No. 110S	Street Excavation
Item No. 111S	Excavation
Item No. 130S	Borrow
Item No. 201S	Subgrade Preparation
Item No. 236S	Proof Rolling
Item No. 401	Structural Excavation and Backfill

Item No. 610S	Preservation of Trees and Other Vegetation

Texas Department of Transportation: Manual of Testing Procedures

Designation	Description
Tex-103-E	Determination of Moisture Content of Soil Materials
Tex-104-E	Determination of Liquid Limit of Soils
Tex-105-E	Determination of Plastic Limit of Soils
Tex-106-E	Method of Calculating the Plasticity Index of Soils
Tex-107-E	Determination of Bar Linear Shrinkage of Soils
Tex-114-E	Laboratory Compaction Characteristics and Moisture-Density Relationship of Subgrade & Embankment Soil
Tex-115-E	Field Method for Determination of In-Place Density of Soils and Base Materials

RELATED CROSS REFERENCE MATERIALS

Specification 132S, "EMBANKMENT

City of Austin Standard Contract Documents

Designation	Description
00700	General Conditions

City of Austin Standard Specifications

Designation	Description
Item No. 230S	Rolling (Flat Wheel)
Item No. 232S	Rolling (Pneumatic Tire)
Item No. 234S	Rolling (Tamping)
Item No. 602S	Sodding for Erosion Control
Item No. 604S	Seeding for Erosion Control
Item No. 622S	Diversion Dike
Item No. 628S	Sediment Containment Dikes
Item No. 642S	Silt Fence

City of Austin Standard Details

Designation	Description
No. 610S-1	Tree Protection Fence Locations
No. 610S-2	Tree Protection Fence, Type B Chainlink
No. 610S-3	Tree Protection Fence, Type B Wood
No. 610S-4	Tree Protection Fence, Modified Type A
No. 610S-5	Tree Protection Fence, Modified Type B
No. 621S-1	Diversion
No. 622S-1	Diversion Dike
No. 624S-1	Earth Outlet Sediment Trap

No. 625S-1	Grade Stabilization Structure
No. 627S-1	Grass Lined Swale
No. 627S-2	Grass Lined Swale With Stone Center
No. 628S	Triangular Sediment Filter Dike
No. 628S-1	Hay Bale Dike
No. 629S-1	Brush Berm
No. 630S-1	Interceptor Dike
No. 631S-1	Interceptor Swale
No. 632S-1	Storm Inlet Sediment Trap
No. 633S-1	Landgrading
No. 634S-1	Level Spreader
No. 635S-1	Perimeter Dike
No. 636S-1	Perimeter Swale
No. 637S-1	Pipe Slope Drain (Flexible)
No. 637S-2	Pipe Slope Drain (Flexible)
No. 638S-1	Pipe Outlet Sediment Trap
No. 639S-1	Rock Berm
No. 641S-1	Stabilized Construction Entrance
No. 642S-1	Silt Fence
No. 643S-1	Stone Outlet Structure

No. 644S-1	Stone Outlet Sediment Trap

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges

Designation	Description
Item No. 100	Preparing Right of Way
Item No. 110	Excavation
Item No. 132	Embankment
Item No. 158	Specialized Excavation Work

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges

Designation	Description
Item No. 160	Furnishing and Placing Topsoil
Item No. 164	Seeding for Erosion Control
Item No. 204	Sprinkling
Item No. 210	Rolling (Flat Wheel)
Item No. 211	Rolling (Tamping)
Item No. 213	Rolling (Pneumatic Tire)

Texas Department of Transportation: Manual of Testing Procedures

Designation	Description
Tex-103-E	Determination of Moisture Content of Soil Materials
Tex-107-E	Determination of Bar Linear Shrinkage of Soils

Item No. 201S
Subgrade Preparation

201S.1 Description

This item shall govern scarifying; blading and rolling the subgrade to obtain a uniform texture and provide as nearly as practicable a uniform density for the top 6 inches (150 mm) of the subgrade.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text and accompanying tables, the inch-pound units are given preference followed by SI units shown within parentheses.

201S.2 Submittals

The submittal requirements of this specification item may include:

A. A plan identifying classification and characteristics (P.I., optimum moisture-density, etc.) of insitu subgrade soils, as well as the source, classification and characteristics of any proposed borrow material,
B. Type and size of equipment proposed to produce the required compaction, and
C. Compaction (moisture-density, etc) test results for in-situ subgrade soils and/or borrow materials.

201S.3 Construction Methods

Prior to initiation of subgrade preparation activities, all operations involving Standard Specification Item No. 101S," Preparing Right of Way" and/or Standard Specification Item No. 102S, "Clearing and Grubbing" shall be completed. The surface of the subgrade shall be scarified and shaped in conformity with the typical sections and the lines and grades indicated on the Drawings; by the removal of existing material or addition of approved material as established by the Engineer or designated representative. Any deviation in the subgrade cross section which exceeds 1/2 inch in a length of 10 feet (12 mm in a length of 3 meters), measured longitudinally, shall be corrected by loosening, adding or removing material, and then reshaping and compacting by sprinkling and rolling.

All unsuitable material shall be removed and replaced with approved material. All foundations, walls or other objectionable material shall be removed in accordance with Standard Specification Item No. 104S, "Removing Portland Cement Concrete" to a minimum depth of 18 inches (450 mm) under all structures and 12 inches (300 mm) under areas to be vegetated. All holes, ruts and depressions shall be filled with approved material and compacted by approved methods.

The subgrade shall be prepared sufficiently in advance to insure satisfactory prosecution of the Work. The Contractor will be required to set blue tops for the subgrade on the centerline, at the quarter points and along the curb lines or edge of pavement at maximum intervals of 50 feet (15 meters). The subgrade shall be tested by proof rolling

in conformity with Standard Specification Item No. 236S, “Proof Rolling” prior to placement of the first course of base material. Any unstable or spongy subgrade areas identified by proof rolling shall be corrected either by additional re-working, drying and compaction, or by removal and replacement of unsuitable materials. When specifically directed by the Engineer or designated representative, the Contractor shall re-work the subgrade* as follows:

A. Remove the unstable subgrade to the full depth of the unstable insitu material or to a minimum depth of 6 inches (150 mm), whichever is greater;
B. Spread the material over a sufficient area to allow reworking of the excavated material;
C. Disc, scarify or otherwise breakup the excavated material and allow to dry (Note: If approved by the Engineer or designated representative, the addition of lime or other additive may be used to aid in the drying process or to stabilize the unstable material);
D. Fill the excavated area with the re-worked material and compact to specified densities; and
E. Proof roll the re-worked area.

* The Rework process will not be allowed for unstable organic subgrade soils. These type soils will be permanently removed and replaced with materials approved by the Engineer or designated representative.

All suitable material removed in accordance with Standard Specification Item No, 111S, “Excavation”, may be utilized in the subgrade with the approval of the Engineer or designated representative. All other material required for completion of the Subgrade, including those defined in accordance with Specification Item No. 130S, “Borrow”, shall also be subject to approval by the Engineer or designated representative.

It is the intent of this specification to provide the required density and moisture control for the subgrade based on the plasticity characteristics of the approved materials. The subgrade materials shall be sprinkled as required and compacted to the extent necessary to provide the density specified below, unless otherwise indicated on the Drawings. The Plasticity Index (P.I.) will be established in accordance with TxDOT Test Methods Tex-104-E, Tex-105-E and Tex-106-E. The density determination will be made in accordance with TxDOT Test Method Tex-114-E and field density measurements will be made in accordance with TxDOT Test Method Tex-115-E.

Description	Density, Percent	Moisture
Non-swelling Soils (P.I. less than 20)	Not less than 95	
Swelling Soils (P.I. between 20 and 35)	Not less than 95 nor more than 102	Not less than optimum
Swelling Soils (P.I. greater than 35)	Not less than 95 nor more than 100	Not less than optimum

Subgrade materials on which planting or turf will be established shall be compacted to a minimum of 85 percent of the density as determined in accordance with TxDOT Test

Method Tex-114-E. Field tests for density in accordance with TxDOT Test Method Tex-115-E will be made as soon as possible after compaction operations are completed. If the material fails to meet the density specified, it shall be reworked as necessary to obtain the density required.

Prior to placement of any base materials, the in-place density and moisture content of the top 6 inches (150 mm) of compacted subgrade shall be checked. If the tests indicate that the relative density and moisture do not meet the limits specified in the table above, the subgrade shall be reworked as necessary to obtain the specified compaction and moisture content. All initial testing will be paid for by the City of Austin. All retesting shall be paid for by the Contractor.

201S.4 Measurement

All acceptable subgrade preparation when included in the contract as a separate pay item, will be measured by the square yard (square meter: 1 square meter equals 1.196 Square yards). The measured area includes the entire width of the roadway for the entire length as indicated on the Drawings.

201S.5 Payment

The work and materials presented herein will generally not be paid for directly, but shall be included in the unit price bid for the item of construction in which this item is used when specified as a separate pay item in the contract bid form, subgrade preparation shall be measured as specified above and paid for at the contract unit bid price for "Subgrade Preparation". The bid price shall include full compensation for all work herein specified, including the furnishing of all materials, equipment, tools and labor and incidentals necessary to complete the work.

Payment, when included as a contract pay item, will be made under:

Pay Item No. 201S: Subgrade Preparation Per Square Yard.

End

SPECIFIC CROSS REFERENCE MATERIALS

Specification Item 201S, "SUBGRADE PREPARATION"

City of Austin Standard Specification Items

Designation	Description
Item No. 101S	Preparing Right of Way
Item No. 102S	Clearing and Grubbing
Item No. 104S	Removing Portland Cement Concrete
Item No. 110S	Street Excavation
Item No. 111S	Excavation
Item No. 130S	Borrow
Item No. 236S	Proof Rolling

Texas Department of Transportation: Manual of Testing Procedures

Designation	Description
Tex-103-E	Determination of Moisture Content of Soil Materials
Tex-104-E	Determination of Liquid Limit of Soils
Tex-105-E	Determination of Plastic limit of Soils
Tex-106-E	Method of Calculating the Plasticity Index of Soils
Tex-114-E	Laboratory Compaction Characteristics & Moisture Density Relationship of Subgrade & Embankment Soil
Tex-115-E	Field Method for Determination of In-Place Density of Soils & Base Materials

RELATED CROSS REFERENCE MATERIALS

City of Austin Standard Specifications

Designation	Description
Item No.132S	Embankment

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges

Designation	Description
Item No. 100	Preparing Right of Way
Item No. 110	Excavation
Item No. 112	Subgrade Widening
Item No. 132	Embankment
Item No. 150	Blading
Item No. 158	Specialized Excavation Work
Item No. 204	Sprinkling
Item No. 210	Rolling (Flat Wheel)
Item No. 211	Rolling (Tamping)
Item No. 213	Rolling (Pneumatic Tire)

Texas Department of Transportation: Manual of Testing Procedures

Designation	Description
Tex-103-E	Determination of Moisture Content of Soil Materials

Item No. 210S
Flexible Base

210S.1 Description

This item governs furnishing and placing a crushed stone base course for surfacing, pavement, or other base courses. “Flexible Base" shall be constructed on an approved, prepared surface in one or more courses conforming to the typical sections and to the lines and grades, indicated on the Drawings or established by the Engineer or designated representative.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text and accompanying tables, the inch-pound units are given preference followed by SI units shown within parentheses.

210S.2 Submittals

The submittal requirements of this specification item may include:

A. Source, gradation and test results for the crushed limestone material,

B. Notification that the crushed limestone stockpile is completed and ready for testing, and

C. Field density test results for in-place compacted flexible base,

210S.3 Material

A. Mineral Aggregate

The material shall be crushed argillaceous limestone meeting the requirements specified herein. The material shall be from sources approved by the City and shall consist of durable crushed stone that has been screened to the required gradation.

Flexible base materials shall be tested according to the following TxDoT standard test methods:

a) Preparation for Soil Constants and Sieve Analysis	Tex-101-E
b) Liquid Limit	Tex-104-E
c) Plastic Limit[1]	Tex-105-E
d) Plasticity Index	Tex-106-E
e) Sieve Analysis	Tex-110-E
f) Wet Ball Mill[2]	Tex-116-E
g) Triaxial Test	Tex-117-E, Part II

1. Plasticity Index shall be determined in accordance with Tex-107-E (Linear Shrinkage) when liquid limit is unattainable as defined in Tex-104-E.
2. When a soundness value is required on the drawings, the material shall be tested in accordance with Tex-411-A.

Base material shall be stockpiled after crushing, then tested by the City's designated laboratory and approved by the Engineer or designated representative prior to being hauled to the Project.

The material shall be well graded and shall meet the following requirements:

Sieve Designation		Other Requirements	% Retained	
US	SI			
1 3/4"	45 mm		0	
7/8"	22.4 mm		10-35	
3/8"	9.5 mm		30-50	
#4	4.75 mm		45-65	
#40	425 μm		70-85	
		Maximum Plasticity Index		10
		Maximum Wet Ball Mill		42
Maximum Increase in passing #40 (425 μm) sieve from Wet Ball Mill Test			20	

Minimum compressive strength when subjected to the triaxial test shall be 35 psi at 0 psi lateral pressure [240 kiloPascal (kPa) at 0 kPa lateral pressure] and 175 psi at 15 psi lateral pressure [1200 kiloPascal (kPa) at 100 kPa lateral pressure].

B. Asphaltic Material

Prime Coat. Prime Coat shall conform to the requirements of Standard Specification Item 306S, "Prime Coat", except for measurement and payment.

210S.4 Stockpiling, Storage and Management

A. Managing Material**:**

The stockpile shall be constructed on a relatively smooth area that has been cleared of debris, weeds, brush, trees and grass. Stockpiles shall contain between 25,000 and 50,000 cubic yards (19,100 to 38,200 cubic meters). The stockpile shall be constructed using scrapers, bottom dumps or other similar equipment that allows dumping and spreading without rehandling. The stockpile shall be constructed to allow dumping and spreading in one direction only. The height of the stockpile shall not exceed the capabilities of available equipment to make a full cut (bottom to top) on any of the four sides.

A stockpile shall be completed before being tested by the City. The Contractor's supplier shall notify the City when a stockpile has been completed and is ready to be tested. The stockpile shall not be added to after it has been tested.

The Contractor shall provide material only from stockpiles that have been inspected, tested and accepted by the City. A ticket showing the date, source, stockpile number, and net weight (mass) shall be provided to the Inspector with each load of material delivered to the Project.

Material shall be loaded from the stockpile by making successive vertical cuts through its entire depth.

B. Test Sampling:

The Contractor's supplier may choose the method of sample gathering for testing by the City's laboratory as follows:

1. The supplier shall make a full-height cut a sufficient distance into each side of the stockpile to obtain a uniform sample. The four samples (one from each side of the stockpile) shall then be combined and mixed into a single "test" specimen from which the City's laboratory can obtain a sample.

2. As the stockpile is constructed, a perpendicular cut will be made across the spreading direction at every two feet to four feet (0.6 to 1.2 meters) of height and the sample used to start a "mini" stockpile. The process shall be repeated in two feet to four feet (0.6 to 1.2 meter) increments of height, until the stockpile and the "mini" stockpile are completed. Samples shall be obtained from the "mini" stockpile in the same manner described in (1) above.

C. Testing and Acceptance:

When initial tests indicate
that the material is unacceptable, the City may, if requested by the Contractor's supplier, sample and test the material one more time. The additional sampling and testing shall be paid for by the supplier.

210S.5 Construction Methods

A. Preparation of Subgrade:

Flexible base shall not be placed until the Contractor has verified by proof rolling that the subgrade has been prepared and compacted in conformity with Standard Specification Item 201S, "Subgrade Preparation," to the typical sections, lines and grades indicated on the Drawings. Any deviation shall be corrected and proof rolled prior to placement of the flexible base material.

The Contractor shall not place flexible base until the subgrade has cured to the satisfaction of the Engineer or designated representative, regardless of whether or not the subgrade has been successfully proof rolled. As a minimum, this will be after the surface displays no damp spots and there is no evidence of "sponginess" in the subgrade.

B. First Lift:

Immediately before placing the flexible base material, the subgrade shall be checked for conformity with grade and section. The thickness of each lift of flexible base shall be equal increments of the total base depth. No single lift shall be more than six inches (150 mm) or less than three inches (75 mm) compacted thickness.

The material shall be delivered in approved vehicles. It shall be the responsibility of the Contractor to deliver the required amount of material. If it becomes evident that insufficient material was placed, additional material as

necessary shall be delivered and the entire course scarified, mixed and compacted.

Material deposited upon the subgrade shall be spread and shaped the same day unless otherwise approved by the Engineer or designated representative. In the event inclement weather or other unforeseen circumstances render spreading of the material impractical, the material shall be spread as soon as conditions allow.

Additionally, if the material cannot be spread and worked the same day it is deposited, the Contractor shall "close up" the dump piles before leaving the job site. “Closed up” shall be defined as the use of a motor grader to blade all dump piles together, leaving no open space between piles.

The material shall be spread, sprinkled, if required, then thoroughly mixed; bladed, dragged and shaped to conform to the typical sections indicated on the Drawings.

All areas and "nests" of segregated coarse or fine material shall be corrected or removed and replaced with well-graded material.

Each lift shall be sprinkled as required to bring the material to optimum moisture content, then compacted to the extent necessary to provide not less than the percent density specified in Section 210S.5.D, "Density." In addition to the requirements specified for density, the full depth of flexible base material shall be compacted to the extent necessary to remain firm and stable under construction equipment. After each section of flexible base material is completed, tests, as necessary, will be made by the Engineer or designated representative. As a minimum, three in-place density tests per section per day will be taken. If the material fails to meet the density requirements, it shall be reworked as necessary to meet these requirements. All initial testing will be paid for by the City. All retesting shall be paid for by the Contractor.

Throughout the entire operation, the surface of the material shall be maintained by blading and, upon completion, shall be smooth and shall conform to the typical section indicated on the Drawings and to the established lines and grades.

In that area on which pavement is to be placed, any deviation in excess of 1/4 inch (6.5 mm) in cross section or 1/4 inch in a length of 16 feet (6.5 mm in a length of 5 meters) measured longitudinally shall be corrected by loosening, adding or removing material, and by reshaping and recompacting. All irregularities, depressions or weak spots shall be corrected immediately by scarifying the areas affected, adding suitable material as required, and by reshaping and recompacting. Should the lift, due to any reason or cause, lose the required stability, density and/or finish before the surfacing is complete, it shall be recompacted and refinished at the Contractor's expense.

C. Succeeding Lifts:

Construction methods for succeeding lifts shall be the same as prescribed for the first lift. For that lift of the flexible base upon which the curb and gutter will be constructed, as well as the last flexible base lift (i.e. top of the flexible base), the Contractor shall check the surface of the lift for conformity to the lines and grades by setting "blue tops" at intervals not exceeding 50 feet (15 meters) on

the centerline, at quarterpoints, at curb lines or edge of pavement, and at other points that may be indicated on the Drawings.

When the thickness of a particular lift of the flexible base is in question, the Contractor shall check the surface of the lift for conformity to the lines and grades by setting "blue tops" at intervals not exceeding 50 feet (15 meters) on the centerline, at quarter points, at curb lines or edge of pavement, and at other points that may be indicated on the Drawings

D. Density:

The flexible base shall be compacted to not less than 100 percent density as determined by TxDoT Test Method Tex-113-E.

Field density determination shall be made in accordance with TxDoT Test Method Tex-115-E unless otherwise approved by the Engineer or designated representative. Each lift of the flexible base shall also be tested by proof rolling in conformity with Standard Specification Item 236S “Proof Rolling.”

E. Priming:

After the flexible base material has been compacted to not less than 100 percent density, and tested by proof rolling, a prime coat will be applied in accordance with Standard Specification Item 306S, "Prime Coat."

F. Curing:

Pavement materials, such as a tack coat or surface course, shall not be placed on the primed surface until the prime coat has been absorbed into the base course. At least 24 hours, or longer if designated by the Engineer or designated representative, shall be allowed when cutback asphalt is used as the prime coat.

210S.6 Measurement

"Flexible Base" will be measured by the cubic yard (cubic meter: 1 cubic meter equals 1.196 cubic yards), complete in place, as indicated in the Contract Documents.

210S.7 Payment

This item will be paid for at the contract unit bid price for "Flexible Base". The unit bid price shall include full compensation for all work specified herein, including the furnishing, hauling, placing and compacting of all materials; for rolling, proof rolling, recompacting and refinishing; for all water required; for retesting as necessary; for priming; and for all equipment, tools, labor and incidentals necessary to complete the Work.

Prime coat will not be measured and paid for directly but shall be included in the unit price bid for Standard Specification Item 210S, "Flexible Base.”

Payment will be made under one of the following:

Pay Item No. 210S-A: Flexible Base Per Cubic Yard.

End

SPECIFIC CROSS REFERENCE MATERIALS

City of Austin Standard Specifications

Designation	Description
Item No. 201S	Subgrade Preparation
Item No. 236S	Proof Rolling
Item No. 306S	Prime Coat

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges

Designation	Description
Tex-101-E	Preparation of Soil and Flexible Base Materials for Testing
Tex-104-E	Determination of Liquid Limit of Soils
Tex-105-E	Determination of Plastic Limit of Soils
Tex-106-E	Method of Calculating the Plasticity Index of Soils
Tex-107-A	Determination of Bar Linear Shrinkage of Soils
Tex-110-E	Determination of Particle Size Analysis of Soils
Tex-113-E	Laboratory Compaction Characteristics and Moisture-Density Relationship of Base Materials and Cohesionless Sands
Tex-115-E	Field Method for Determination of In-Place Density of Soils and Base Materials
Tex-116-E	Ball Mill Method for Determination of the Disintegration of Flexible Base Material
Tex-117-E	Triaxial Compression Tests for Disturbed Soils and Base Materials
Tex-411-A	Soundness of Aggregate By Use Of Sodium Sulfate Or Magnesium Sulfate

RELATED CROSS REFERENCE MATERIALS

City of Austin Standard Details

Designation	Description
No. 1000S-2	Flexible Base with Asphalt Surface Trench Repair-Existing Pavement
No. 510S-3	Typical Trench with Paved Surface
No. 1000S	Bus Stop Paving
No. 1000S-10	Local Street Sections
No. 1000S-11(1)	Residential and City of Austin Neighborhood Collector Street Sections
No. 1000S-11(2)	Industrial and Commercial Collector Street Sections
No. 1000S-12(1)	Primary Collector Street Sections
No. 1000S-12(2)	Primary Arterial Street Sections
No. 1000S-13(1)	Minor Arterial Street Sections (4 Lanes)
No. 1000S-13(2)	Minor Arterial Street Sections-(4 Lanes divided)
No. 1000S-14	Major Arterial Street Sections

City of Austin Utility Criteria Manual

Designation	Description
Section 5.8.2	Flexible Base
Section 5.7.3	Flexible Base with Asphalt Surface
Section 5.9.1	Excavation in Alley

City of Austin Transportation Criteria Manual

Designation	Description
Section 3.2.0	General Criteria
Section 3.4.3 .D	Layer Data-Minimum Thickness
Table 3-1	Minimum Layer Thickness
Section 3.4.3 .F	Layer Data- Minimum Thickness
Table 3-2	Layer Thickness Increment
Section 3.4.3 .J	Layer Data- Stiffness Coefficient
Table 3-3	Stiffness Coefficient
Table 3-9	Recommended Salvage values
Table 3-10	AASHTO Layer Coefficients

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ITEM NO. 220S - SPRINKLING FOR DUST CONTROL 2-24-10

220S.1 - Description

This item shall govern the authorized application of water for dust control on specified streets, detours, haul routes or construction sites, as shown on the Drawings or directed by the Engineer or designated representative, for the purpose of maintaining these areas relatively free of dust.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text, inch-pound units are given preference with SI units shown within parentheses.

220S.2 - Submittals

The submittal requirements of this specification item include

A. The manufacturer, model and description of the proposed dust control equipment,

B. The sprinkling plan including application rate, pattern of sprinkling and scheduled times of application.

220S.3 - Materials

Water shall be furnished by the Contractor and shall be clean and free from industrial wastes and other objectionable matter.

220S.4 - Construction Methods

Dust control shall only be conducted when directed by the Engineer or designated representative. The Contractor shall furnish and operate an approved sprinkler, equipped with positive and rapidly working cut-off valves and approved spray bars to insure the distribution of water in a uniform and controllable rate of application over the entire width sprinkled. The Contractor shall apply the water in the quantity specified on the Drawings or as directed by the Engineer or designated representative.

It shall be the Contractor's continuous responsibility at all times, including nights, holidays and weekends until acceptance of the project by the City, to maintain the specified areas relatively free of dust in a manner that will cause the least inconvenience to the public.

220S.5 - Measurement

Sprinkling for dust control will be included in the unit price bid for other items of the contract unless included as a separate pay item in the contract. When included for payment in the contract as a separate contract pay item, it will be measured in units of 1,000 gallons (3.785 kiloliters) actually placed as authorized by the Engineer or designated representative.

220S.6 - Payment

When this item is specified on the Drawings as a separate pay item, the water furnished and the work performed as prescribed by this item and measured as provided under Section 220S.5, "Measurement" will be paid for in accordance with the contract unit bid price for 'Sprinkling for Dust Control'. The unit bid price shall include total compensation for all labor, materials, tools, machinery, equipment and incidentals necessary to complete the work as indicated on the Drawings.

Payment, when specified in the contract, will be made under the following:

Pay Item No. 220S-A:	Sprinkling for Dust Control (Water) -	Per 1000 gallon Unit.

End

RELATED CROSS REFERENCE MATERIALS	
Specification 220S, "Sprinkling For Dust Control"	
City of Austin Standard Specification Items	
Designation	Description
Item No. 101S	Preparing Right of Way
Item No. 102S	Clearing and Grubbing
Item No. 110S	Street Excavation
Item No. 111S	Excavation
Item No. 120S	Channel Excavation
Item No. 132S	Embankment
Item No. 201S	Subgrade Preparation
Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges	
Designation	Description
Item No. 100	Preparing Right of Way
Item No. 110	Excavation
Item No. 132	Embankment
Item No. 158	Specialized Excavation Work

Item No. 204	Sprinkling

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ITEM NO. 230S - ROLLING (FLAT WHEEL) 8-20-07

230S.1 - Description

This item shall govern compaction of subgrade, embankment, flexible base, surface treatments and asphalt surfaces by the operation of approved power rollers as herein specified and as directed by the Engineer or designated representative.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text and accompanying tables, the inch-pound units are given preference followed by SI units shown within parentheses.

230S.2 - Submittals

The submittal requirements of this specification item may include:

A. A plan describing the condition of each roller proposed for the work, as well as the type, size, weight, configuration (three wheel, tandem, etc) for each individual roller, and

B. The operating speed proposed for each individual roller.

230S.3 - Equipment

A. Embankments and Flexible Bases

Power rollers shall be of the 3-wheel, self-propelled type, weighing not less than 10 tons (9 megagrams) and shall provide compression on the rear wheels of not less than 325 pounds per linear inch (5.80 kilograms per linear millimeter) of wheel width. All wheels shall be flat. The rear wheels shall have a diameter of not less than 48 inches (1.2 meters) and each shall have a wheel width of not less than 20 inches (510 millimeters).

B. Surface Treatments and Pavements

Power rollers shall be the 3-wheel or tandem, self-propelled type, weighing not less than 3 tons (2.7 megagrams) nor more than 6 tons (5.4 megagrams). All wheels shall be flat. Rollers shall be equipped with an adequate scraping or cleaning device on each wheel. Rollers used to compact asphalt mixture shall be equipped with a water system, which will keep all tires uniformly wet.

In lieu of the rolling equipment specified, the Contractor may, upon written permission from the Engineer or designated representative, operate other compacting equipment that will produce equivalent relative compaction in the same period of time as the specified equipment. If the substituted compaction equipment fails to produce the desired compaction within the same period of time as would be expected of the specified equipment, as determined by the Engineer or designated representative, its use shall be discontinued and the Contractor will be required to furnish the specified equipment.

Rollers shall be maintained in good repair and operating condition and shall be approved by the Engineer or designated representative.

230S.4 - Construction Methods

This work shall only be conducted at the direction of the Engineer or designated representative. A sufficient number of rollers shall be provided to compact the material in a satisfactory manner. When operations are isolated and a single roller unit cannot produce the required compaction satisfactorily, additional roller units shall be provided.

A. Subgrades, Embankments and Flexible Base

The subgrade, embankment layer or base course shall be sprinkled, if required by Standard Specification Item Nos. 201S, "Subgrade Preparation" and 210S, "Flexible Base". Rolling with a power roller shall start longitudinally at the sides of the designated area and proceed towards the center, overlapping on successive trips by at least 1/2 the width of the rear wheel of the power roller. On superelevated curves, rolling shall begin at the low sides and progress toward the high sides. Alternate trips of the roller shall be slightly different in length. Rolling shall be conducted in accordance with Standard Specification Item Nos. 201S, "Subgrade Preparation" and 210S, "Flexible Base". The rollers, unless otherwise directed by the Engineer or designated representative, shall be operated at a speed between 2 and 3 miles (3 and 5 kilometers) per hour.

B. Surface Treatments and Pavements

Rolling shall be done as called for in the surface treatment (Items 310S and 320S) and asphalt pavement (Item 340S) Standard Specification Items. The sequence of work shall be as specified above for embankment layer or base course. The operating speed shall be determined by the Contractor and approved by the Engineer or designated representative.

230S.5 - Measurement and Payment

Compensation will not be allowed for materials, equipment or labor required by this item, but shall be included in the unit price bid for the item of construction in which this item is used.

End

SPECIFIC CROSS REFERENCE MATERIALS	
Specification 230S, "ROLLING (FLATWHEEL)"	
City of Austin Standard Specifications	
Designation	Description
Item No. 201S	Subgrade Preparation
Item No. 210S	Flexible Base
Item No. 310S	Emulsified Asphalt Treatment
Item No. 320S	Two Course Surface Treatment
Item No. 340S	Hot Mix Asphaltic Concrete Pavement

RELATED CROSS REFERENCE MATERIALS

Specification 230S, "ROLLING (FLATWHEEL)"	
City of Austin Standard Specifications	
Designation	Description
Item No. 101S	Preparing Right of Way
Item No. 102S	Clearing and Grubbing
Item No. 104S	Removing Portland Cement Concrete
Item No. 110S	Street Excavation
Item No. 111S	Excavation
Item No. 130S	Borrow
Item No. 132S	Embankment
Item No. 202S	Hydrated Lime and Lime Slurry
Item No. 203S	Lime Treatment for Materials in Place
Item No. 232S	Rolling (Pneumatic Tire)
Item No. 236S	Proof Rolling
Item No. 301S	Asphalts, Oils and Emulsions
Item No. 306S	Prime Coat
Item No. 307S	Tack Coat
Item No. 402S	Controlled Low Strength Material
Item No. 403S	Concrete for Structures

City of Austin Standard Details	
Designation	Description
No. 1000S-10	Local Street Sections
No. 1000S-11(1)	Residential and City of Austin Neighborhood Collector Street Sections
No. 1000S-11(2)	Industrial and Commercial Collector Street Sections
No. 1000S-12(1)	Primary Collector Street Sections
No. 1000S-12(2)	Primary Arterial Street Sections
No. 1000S-13(1)	Minor Arterial Street Sections (4 Lanes)
No. 1000S-13(2)	Minor Arterial Street Sections- (4 Lanes divided)
No. 1000S-14	Major Arterial Street Sections

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges	
Designation	Description
Item No. 100	Preparing Right-of-Way
Item No. 110	Excavation
Item No. 112	Subgrade Widening
Item No. 132	Embankment

Item No. 150	Blading
Item No. 158	Specialized Excavation Work
Item No. 204	Sprinkling
Item No. 210	Rolling (Flat Wheel)
Item No. 211	Rolling (Tamping)
Item No. 264	Lime and Lime Slurry
Item No. 300	Asphalts, Oils and Emulsions

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges

Designation	Description
Item No. 301	Asphalt Anti-stripping Agents
Item No. 310	Prime Coat (Cutback Asphaltic Materials)
Item No. 314	Emulsified Asphalt Treatment
Item No. 316	Surface Treatments
Item No. 345	Asphalt Stabilized Base (Plant Mixed)

Texas Department of Transportation: Manual of Testing Procedures

Designation	Description
Tex-101-E	Surveying and Sampling Soils for Highways
Tex-103-E	Determination of Moisture Content of Soil Materials

Tex-104-E	Determination of Liquid Limit of Soils
Tex-105-E	Determination of Plastic limit of Soils
Tex-106-E	Method of Calculating the Plasticity Index of Soils
Tex-114-E	Laboratory Compaction Characteristics & Moisture Density Relationship of Subgrade & Embankment Soil
Tex-115-E	Field Method for Determination of In-Place Density of Soils & Base Materials
Tex-117-E	Triaxial Compression Tests for Disturbed Soils and Base Materials
Tex-120-E	Soil Cement Testing
Tex-121-E	Soil Lime Testing
Tex-126-E	Molding, Testing and Evaluation of Bituminous Black Base
Tex-207-F	Determination of Density of Compacted Bituminous Mixtures
Tex-210-F	Determination of Asphalt Content of Bituminous Mixtures by Extraction
Tex-222-F	Method of Sampling Bituminous Mixtures
Tex-228-F	Determination of Asphalt Content of Bituminous Mixtures By The Nuclear Method
Tex-600-J	Sampling and Testing of Hydrated Lime, Quicklime & Commercial Lime Slurry

ITEM NO. 232S - ROLLING (PNEUMATIC TIRE) 8-20-07

232S.1 - Description

This item shall govern compaction of embankment, flexible base, surface treatments or pavements by the operation of approved pneumatic tire rollers as herein specified and as directed by the Engineer or designated representative.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text and accompanying tables, the inch-pound units are given preference followed by SI units shown within parentheses.

232S.2 - Submittals

The submittal requirements of this specification item may include:

A. A plan describing the condition of each roller proposed for the work, as well as the type of traction (self propelled or drawn), Type, size, weight, tire pressure and configuration for each individual roller, and

B. The operating speed proposed for each individual roller.

232S.3 - Equipment

A. General Requirements

When used on seal coats, asphaltic surface treatments and bituminous mixture pavements, the roller shall be self propelled and equipped with smooth tread tires whether "Rolling (Light Pneumatic Tire)" or "Rolling (Medium Pneumatic Tire)" is specified on the Drawings. The roller shall be so constructed as to be capable of being operated in both a forward and a reverse direction. When used on bituminous mixture pavements, the roller shall have suitable provisions for moistening the surface of the tires while operating.

When turning is impractical or detrimental to the work and when specifically directed by the Engineer or designated representative, the roller shall be capable of being operated in a forward or backward motion.

In lieu of the rolling equipment specified, the Contractor may, upon written permission of the Engineer or designated representative, operate other compacting equipment that will produce equivalent relative compaction in the same period of time as the specified equipment. If the substituted compaction equipment fails to produce the desired compaction within the same period of time as would be expected of the specified equipment, as determined by the Engineer or designated representative, its use shall be discontinued and the Contractor will be required to furnish the specified equipment.

Rollers shall be maintained in good repair and operating condition and shall be approved by the Engineer or designated representative.

Tire pressure is critical to successful operation of the roller. The Contractor shall have equipment on the construction site to inflate tires as required.

B. Light Pneumatic Tire Roller

The light pneumatic tire roller shall consist of not less than 9 pneumatic tired wheels, running on axles in such manner that the rear group of tires will cover the entire gap between adjacent tires of the forward group and mounted in a rigid frame and provided with a loading platform or body suitable for ballast loading. The front axle shall be attached to the frame in such a manner that the roller may be turned within a minimum circle. The pneumatic tire roller, under working conditions, shall have an

effective rolling width of approximately 60 inches (1.5 meters) and shall be so designed that by ballast loading, the total load may be varied uniformly from 9,000 pounds (4 megagrams) or less to 18,000 pounds (8 megagrams) or more. The roller shall be equipped with tires that will afford ground contact pressures to 45 pounds per square inch (310 kiloPascals) or more. The operating load and tire air pressure shall be within the range of the manufacturer's chart or tabulations showing the contact areas and contact pressures for the full range of tire inflation pressures and for the full range of loadings for the particular tires furnished. The roller under working conditions shall provide a uniform compression under all wheels. Individual tire inflation pressures shall be within + 5 psi (+ 34 kiloPascals) of each other. The pneumatic tire roller shall be drawn by a suitable crawler type tractor, a pneumatic tired tractor, a truck of adequate tractive effort or may be of the self-propelled type. The roller, when drawn or propelled by either type of equipment, shall be considered a light pneumatic tire roller unit.

C. Medium Pneumatic Tire Roller (Type A)

The medium pneumatic tire roller (Type A) shall consist of not less than 7 pneumatic tired wheels, running on axles in such manner that the rear group of tires will cover the entire gap between adjacent tires of the forward group and mounted in a rigid frame and provided with a loading platform or body suitable for ballast loading. The front axle shall be attached to the frame in such a manner that the roller may be turned within a minimum circle. The pneumatic tire roller, under working conditions, shall have an effective rolling width of approximately 84 inches (2.1 meters) and shall be so designed that, by ballast loading, the total load may be varied uniformly from 23,500 pounds (10.5 megagrams) or less to 50,000 pounds (22.5 megagrams) or more. The roller shall be equipped with tires that will afford ground contact pressures of 80 pounds per square inch (550 kiloPascals) or more. The operating load and tire air pressure shall be within the range of the manufacturer's chart. The roller under working conditions shall provide a uniform compression under all wheels. Individual tire inflation pressures shall be within + 5 psi (+ 34 kiloPascals) of each other.

The pneumatic tire roller shall be drawn by a suitable crawler type tractor, a pneumatic tired tractor, a truck of adequate tractive effort or may be of the self-propelled type. The roller, when drawn or propelled by any type of equipment, shall be considered a medium pneumatic tire roller unit. The power unit shall have adequate tractive effort to properly move the operating roller at variable uniform speeds up to approximately 5 miles per hour (8 kilometers per hour).

D. Medium Pneumatic Tire Roller (Type B)

The medium pneumatic tire roller (Type B) shall conform to the requirements for Medium Pneumatic Tire Roller (Type A) as specified above, except that the roller shall be equipped with tires that will afford ground contact pressures to 90 psi (620 kiloPascals) or more.

232S.4 - Construction Methods

The embankment layer or the base course shall be sprinkled in accordance with Standard Specification Item Nos. 201S, "Subgrade Preparation" and 210S, "Flexible Base". Rolling with a pneumatic tire roller shall start longitudinally at the sides of the designated area and shall proceed towards the center, overlapping on successive trips by at least 1/2 of the width of the pneumatic tire roller. On superelevated curves, rolling shall begin at the low sides and progress toward the high sides. Alternate trips of the roller shall be slightly different in length.

The light pneumatic tire roller shall be operated at speeds, which shall be between 3 and 11 miles per hour (between 6 and 19 kilometers per hour) for asphalt surfacing work and between 2 and 6 miles per hour (between 3 and 10 kilometers per hour) for all other work.

The medium pneumatic tire roller shall be operated at speeds as directed by the Engineer or designated representative, which produce a satisfactory product.

Sufficient rollers shall be provided to compact the material in a satisfactory manner. When operations are so isolated from one another that 1 roller unit cannot produce the required compaction satisfactorily, additional roller units shall be provided.

232S.5 - Measurement and Payment

Compensation will not be allowed for materials, equipment or labor required by this item. These items shall be included in the unit price bid for the item of construction in which this item is used.

End

SPECIFIC CROSS REFERENCE MATERIALS	
Specification 232S, "Rolling (Pneumatic Tire)"	
City of Austin Standard Specifications	
Designation	Description
Item No. 201S	Subgrade Preparation
Item No. 210S	Flexible Base

RELATED CROSS REFERENCE MATERIALS	
Specification 232S, "Rolling (Pneumatic Tire)"	
City of Austin Contract Documents	
Designation	Description
Section 00700	General Conditions
City of Austin Standard Specifications	
Designation	Description
Item No. 101S	Preparing Right-of-Way

Item No. 102S	Clearing and Grubbing
Item No. 110S	Street Excavation
Item No. 111S	Excavation
Item No. 130S	Borrow
Item No. 132S	Embankment
Item No. 202S	Hydrated Lime and Lime Slurry
Item No. 203S	Lime Treatment for Materials in Place
Item No. 230S	Rolling (Flat Wheel)
Item No. 236S	Proof Rolling
Item No. 301S	Asphalts, Oils and Emulsions
Item No. 306S	Prime Coat
Item No. 307S	Tack Coat
Item No. 310S	Emulsified Asphalt Treatment
Item No. 320S	Two Course Surface Treatment
Item No. 340S	Hot Mix Asphaltic Concrete Pavement
Item No. 402S	Controlled Low Strength Material
Item No. 403S	Concrete for Structures
City of Austin Standard Details	
Designation	Description

No. 1000S-10	Local Street Sections
No. 1000S-11(1)	Residential and City of Austin Neighborhood Collector Street Sections
No. 1000S-11(2)	Industrial and Commercial Collector Street Sections
No. 1000S-12(1)	Primary Collector Street Sections
No. 1000S-12(2)	Primary Arterial Street Sections
No. 1000S-13(1)	Minor Arterial Street Sections (4 Lanes)
No. 1000S-13(2)	Minor Arterial Street Sections- (4 Lanes divided)
No. 1000S-14	Major Arterial Street Sections

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges

Designation	Description
tem No. 100	Preparing Right of Way
Item No. 110	Excavation
Item No. 112	Subgrade Widening
Item No. 132	Embankment
Item No. 150	Blading
Item No. 158	Specialized Excavation Work

Item No. 204	Sprinkling
Item No. 210	Rolling (Flat Wheel)
Item No. 211	Rolling (Tamping)
Item No. 213	Rolling (Pneumatic Tire)
Item No. 264	Lime and Lime Slurry
Item No. 300	Asphalts, Oils and Emulsions
Item No. 301	Asphalt Anti-stripping Agents
Item No. 310	Prime Coat (Cutback Asphaltic Materials)
Item No. 314	Emulsified Asphalt Treatment
Item No. 316	Surface Treatments
Item No. 345	Asphalt Stabilized Base (Plant Mixed)

Texas Department of Transportation: Manual of Testing Procedures

Designation	Description
Tex-101-E	Surveying and Sampling Soils for Highways
Tex-103-E	Determination of Moisture Content of Soil Materials
Tex-104-E	Determination of Liquid Limit of Soils
Tex-105-E	Determination of Plastic limit of Soils
Tex-106-E	Method of Calculating the Plasticity Index of Soils
Tex-114-E	Laboratory Compaction Characteristics & Moisture Density Relationship of Subgrade & Embankment Soil

Tex-115-E	Field Method for Determination of In-Place Density of Soil & Base Materials
Tex-117-E	Triaxial Compression Tests for Disturbed Soils and Base Materials
Tex-120-E	Soil Cement Testing
Tex-121-E	Soil Lime Testing
Tex-126-E	Molding, Testing and Evaluation of Bituminous Black Base Materials
Tex-207-F	Determination of Density of Compacted Bituminous Mixtures
Tex-210-F	Determination of Asphalt Content of Bituminous Mixtures by Extraction
Tex-228-F	Determination of Asphalt Content of Bituminous Mixtures By The Nuclear Method
Tex-600-J	Sampling and Testing of Hydrated Lime, Quicklime & Commercial Lime Slurry

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Item No. 236S
Proof Rolling

236S.1 Description

This item shall govern furnishing and operating heavy pneumatic tired compaction equipment for locating unstable areas of embankment, subgrade and flexible base courses.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text, the inch-pound units are given preference followed by SI units shown within parentheses.

236S.2 Submittals

The submittal requirements of this specification item may include:

A. A plan describing the condition of each roller proposed for the work, as well as the type of traction (self propelled or drawn), Type of roller, size, weight, tire pressure (if appropriate) and configuration of each individual roller, and

B. The operating speed proposed for each individual roller.

236S.3 Equipment

A. Standard Proof Roller:

The proof rolling equipment shall have a loading platform or body suitable for ballast loading that is supported on a minimum of two (2) axles with not more than two (2) pneumatic tired wheels per axle. All wheels shall be arranged so that they will carry approximately equal loads when operating on uneven surfaces. Pneumatic proof rolling equipment with multiple pivotal axles and more than two tires along the front or rear axle axis shall have articulating axle supports to equally distribute the load to all tires over uneven surfaces.

The proof roller unit, under working conditions, shall have a minimum contact width of 7-1/2 feet (2.3 meters) and shall be so designed that the gross roller weight may be varied uniformly from 25 tons to 50 tons (23 megagrams to 45 megagrams) by ballast loading. The tires shall be capable of operating under various loads with variable air pressures up to 145 psi (up to 1000 kiloPascals). The tires shall be smooth tread and shall impart a minimum ground contact pressure of 75 pounds per square inch (520 kiloPascals). Tires shall be practically full of liquid (i.e. when liquid will flow from the valve stem of a fully inflated tire with the stem in the uppermost position). The operating load and tire pressure shall be within the range of the manufacturer's chart as directed by the Engineer or designated representative.

The proof roller shall be drawn by a power train of adequate tractive effort or may be of a self-propelled type. The proof rolling equipment shall be equipped with a reverse mode transmission or be capable of turning 180 degrees in the street width. When a separate power train is used to draw the proof roller, the power train weight shall not be considered in the weight of the proof roller. The power train shall be rubber-tired when rolling subgrade and base materials. A cleated or track-type power train may be used on earth and rock embankments.

B. Alternate Equipment:

With the written approval of the Engineer or designated representative, the Contractor may utilize alternate equipment on embankment courses, subgrade and base courses subject to the requirements of the standard proof roller except with respect to minimum contact width, axle/tire arrangement and tire tread.

Alternate equipment for stability testing of embankments shall be restricted to equipment that can be shown to impart a stress distribution on the embankment structure equivalent to or greater than the stress induced by the concentrated weight of a standard proof roller.

C. Equipment Submittals:

All standard proof rollers and proposed alternate equipment must be approved by the Engineer or designated representative prior to their use. The Contractor shall furnish the Engineer or designated representative with charts or tabulations showing the contact areas and contact pressures for the full range of tire inflation pressures and for the full range of loadings for the particular tires furnished.

Alternate equipment submittals for proof rolling of embankments shall be signed and sealed by a registered Professional Engineer licensed in the State of Texas.

236S.4 Construction Methods

A. General:

Within the ranges set forth in Section 236S.3, the load and tire inflation pressures shall be adjusted as directed by the Engineer or designated representative. It is proposed to use a contact pressure corresponding as nearly as practical to the maximum supporting value of the earthwork or base. The entirety of prepared surfaces to be tested by this method shall be proof rolled by a minimum of two passes of the proof roller tires. Each succeeding trip of the proof roller shall be offset by not greater than one tire width.

When alternate equipment is proposed and only one axle meets minimum requirements, only the qualifying axle shall be used to proof roll. If the operation of the proof roller shows an area to be unstable, the substandard area shall be brought to satisfactory stability and uniformity by additional curing, compaction, or by removal and replacement of unsuitable materials. The re-worked area shall then be proof rolled.

Proof rollers shall be operated at speeds between 2 and 6 miles per hour (3 and 10 kilometers per hour) or as directed by the Engineer or designated representative.

Acceptable limits of elastic and plastic deformation of prepared subgrade courses shall be established by proof rolling Test Sections of representative soil conditions, previously tested and approved for density and moisture requirements of the governing subgrade and earth embankment items. Proof rolling of first course base over a plastic subgrade may be waived by the Engineer or designated representative if it is determined that the prepared first course base will be damaged by the proof roller.

B. Roadway Construction:

The subgrade and all lifts of base material shall be proof rolled in new roadway construction and in the reconstruction of existing streets. Proof rolling of the curb course base shall be substituted for proof rolling of final course base at the direction of the Engineer or designated representative. Proof rolling may be waived by the Engineer or designated representative where construction is limited to turn lanes, street widening less than 7-1/2 feet (2.3 meters) in width, or where the site is otherwise congested.

C. Trenches:

Trenches shall be proof rolled where no limitations to the operation of the proof roller exist as may be determined by the Engineer subject to the provisions hereunder.

All trenches shall be proof rolled in new roadways or in existing roadways under reconstruction. Trenches shall be proof rolled at the street subgrade elevation by longitudinal and perpendicular passes of the roller as may be dictated by the width of the trench.

Proof rolling of trenches in existing paved streets shall be limited to pavement cross-sections capable of sustaining the weight of the proof rolling equipment without imparting damage to the remaining pavement structure as determined by the Engineer. Trenches less than 4 feet (1.2 meters) in width shall be exempted of all proof rolling requirements. Only the final course base shall be proof rolled in trenches 4 feet (1.2 meters) or wider but narrower than the proof roller contact width. The subgrade, the first course and the final course base shall be proof rolled in trenches 7-1/2 feet (2.3 meters) or wider.

D. Embankment Construction:

All embankment courses shall be proof rolled, unless otherwise directed by the Engineer or designated representative.

If required by the Engineer or designated representative, stability testing of embankments constructed to the finished cross-section and elevation or to interim elevations shall either be conducted with a standard proof roller or alternate equipment, which can be proven to impart a horizontal and vertical pressure distributions equivalent to or greater than those induced by a standard proof roller.

236S.5 Measurement and Payment

No direct payment will be made for the materials, equipment or labor required by this item, but shall be included in the unit price bid for the item of construction in which this item is used.

End

RELATED CROSS REFERENCE MATERIALS
Specification Item 236S, “Proof Rolling”

City of Austin Contract Documents

Designation	Description
Section 00700	General Conditions

City of Austin Standard Specifications

Designation	Description
Item No. 101S	Preparing Right of Way
Item No. 102S	Clearing and Grubbing
Item No. 110S	Street Excavation
Item No. 111S	Excavation
Item No. 130S	Borrow
Item No. 132S	Embankment

RELATED CROSS REFERENCE MATERIALS-Continued
Specification 236S, “Proof Rolling”

City of Austin Standard Specifications

Designation	Description
Item No. 201S	Subgrade Preparation
Item No. 202S	Hydrated Lime and Lime Slurry
Item No. 203S	Lime Treatment for Materials in Place
Item No. 204S	Portland Cement Treatment For Materials in Place
Item No. 206S	Asphalt Stabilized Base (Plant Mix)
Item No. 210S	Flexible Base
Item No. 230S	Rolling (Flat Wheel)
Item No. 232S	Rolling (Pneumatic Tire)
Item No. 234S	Rolling (Tamping)
Item No. 301S	Asphalts, Oils and Emulsions
Item No. 306S	Prime Coat
Item No. 307S	Tack Coat
Item No. 310S	Emulsified Asphalt Treatment
Item No. 320S	Two Course Surface Treatment
Item No. 340S	Hot Mix Asphaltic Concrete Pavement
Item No. 402S	Controlled Low Strength Material
Item No. 403S	Concrete for Structures

City of Austin Standard Details

Designation	Description
No. 1000S-10	Local Street Sections
No. 1000S-11(1)	Residential and City of Austin Neighborhood Collector Street Sections
No. 1000S-11(2)	Industrial and Commercial Collector Street Sections
No. 1000S-12(1)	Primary Collector Street Sections
No. 1000S-12(2)	Primary Arterial Street Sections
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No.1000S-13 (2)	Minor Arterial Street Sections- (4 Lanes divided)
No. 1000S-14	Major Arterial Street Sections

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges

Designation	Description
Item No. 100	Preparing Right of Way
Item No. 110	Excavation
Item No. 112	Subgrade Widening
Item No. 132	Embankment
Item No. 150	Blading
Item No. 158	Specialized Excavation Work
Item No. 204	Sprinkling
Item No. 210	Rolling (Flat Wheel)
Item No. 211	Rolling (Tamping)
Item No. 213	Rolling (Pneumatic Tire)
Item No. 264	Lime and Lime Slurry
Item No. 300	Asphalts, Oils and Emulsions
Item No. 301	Asphalt Anti-stripping Agents
Item No. 310	Prime Coat (Cutback Asphaltic Materials)
Item No. 314	Emulsified Asphalt Treatment
Item No. 316	Surface Treatments
Item No. 345	Asphalt Stabilized Base (Plant Mixed)

RELATED CROSS REFERENCE MATERIALS-Continued
Specification 236S, “Proof Rolling”

Texas Department of Transportation: Manual of Testing Procedures

Designation	Description
Tex-101-E	Surveying and Sampling Soils for Highways
Tex-103-E	Determination of Moisture Content of Soil Materials
Tex-104-E	Determination of Liquid Limit of Soils
Tex-105-E	Determination of Plastic limit of Soils
Tex-106-E	Method of Calculating the Plasticity Index of Soils
Tex-114-E	Laboratory Compaction Characteristics & Moisture Density Relationship of Subgrade & Embankment Soil
Tex-115-E	Field Method for Determination of In-Place Density of Soils & Base Materials
Tex-117-E	Triaxial Compression Tests for Disturbed Soil and Base Materials
Tex-120-E	Soil Cement Testing
Tex-121-E	Soil Lime Testing
Tex-126-E	Molding, Testing and Evaluation of Bituminous Black Base Materials
Tex-207-F	Determination of Density of Compacted Bituminous Mixtures
Tex-210-F	Determination of Asphalt Content of Bituminous Mixtures
Tex-600-J	Sampling and Testing of Hydrated Lime, Quicklime & Commercial Lime Slurry

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ITEM NO. 301S - ASPHALTS, OILS, AND EMULSIONS 8-20-07

301S.1 - Description

This item includes the requirements for cutback asphalts, emulsified asphalts, polymer modified asphalt cements, performance graded asphalt binders and other miscellaneous asphaltic materials and latex additives.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text the inch-pound units are given preference followed by SI units shown within parentheses.

301S.2 - Submittals

Submittals shall include test results for each the materials described herein when specifically identified on the drawings and/or referenced in associated standard specification items and standard details.

Submittals may include samples of the base asphalt cement and polymer additives.

301S.3 - Materials

When tested in accordance with designated TxDOT, AASHTO and/or ASTM test methods, the various materials shall meet the applicable requirements of this specification.

A. Acronyms

The acronyms used in this specification are defined in the following table.

Table 1: Acronyms

Acronym	Definition	Acronym	Definition
Test Method Prefix		Polymer Modifier	
Tex	TxDOT	SBR or L	Styrene-Butadiene Rubber (Latex)
T	AASHTO	SBS	Styrene-Butadiene-Styrene Block Copolymer
D	ASTM	TR	Tire Rubber, from ambient temperature grinding of truck and passenger tires
		P	Polymer Modified
AC	Asphalt Cement	SS	Slow Setting
RC	Rapid Curing	H-suffix	Harder Residue (Lower Penetration)
MC	Medium Curing	AE	Asphalt Emulsion

SCM	Special Cutback Material	S-suffix	Stockpile Usage
HF	High Float	AE-P	Asphalt Emulsion Prime
C	Cationic	EAP&T	Emulsified Asphalt Prime and Tack
RS	Rapid Setting	PCE	Prime, Cure, and Erosion Control
MS	Medium Setting	PG	Performance Grade

B. Asphalt Cement

The material shall be homogeneous, free from water, shall not foam when heated to 350°F (177°C) and shall meet the requirements in Table 2.

Table 2: Asphalt Cement Requirements

Viscosity Grade		AC-10		AC-20		AC-30	
Property	Test Method	Min	Max	Min	Max	Min	Max
Viscosity: 140°F, poises (60°C, pascals)	T 202	800 (80)	1200 (120)	1600 (160)	2400 (240)	2400 (240)	3600 (360)
Viscosity: 275°F, stokes (135°C, pascals)	T 202	1.9 (.19)	-	2.5 (.25)	-	3.0 (.30)	-
Penetration: 77°F (25°C), 100g, 5s	T 49	85	-	55	-	45	-
Flash Point, C.O.C. °F (°C)	T 48	450 (232)	-	450 (232)	-	450 (232)	-
% Solubility trichloroethylene	T44	99.0	-	99.0	-	99.0	-
Spot test	Tex 509-C						

Viscosity: 140°F stokes (60°C pascals)	T 202	-	3000 (300)	-	6000 (600)	-	9000 (900)
Ductility 77°F (25°C), 5 cm/min, cm	T 202	100	-	70	-	50	-

C. Polymer Modified Asphalt Cement

Polymer modified asphalt cement must be smooth, homogeneous, and shall comply with the requirements listed in Table 3.

Table 3: Polymer Modified Asphalt Cement Requirements

Polymer Modified Viscosity Grade		AC-5		AC-10		AC-15P		AC-45P*	
Polymer Type		SBR		SBR		SBS		SBS	
Property	Test Method	Min	Max	Min	Max	Min	Max	Min	Max
Polymer in % (solids basis)	Tex-533-C	2.0	-	2.0	-	3.0	-	3.0	-
Viscosity									
140°F, poise (60°C, pascals)	T 202	700 (70)	- -	1300 (130)	- -	1500 (150)	- -	4500 (450)	- -
275°F, poise (135°C, pascals)	T 202	- -	7.0 (0.7)	- -	8.0 (0.8)	- -	8.0 (0.8)	14.0 (1.4)	
Penetration, 77°F (25°C), 100 g, 5 s.	T 49	120	-	80	-	100	150	50	74
Ductility, 5cm/min., 39.2°F, cm	T 51	70	-	60	-	-	-	15	-
Elastic Recovery, 50°F (10°C), %	Tex-539-C	-	-	-	-	55	-		-
Polymer Separation, 48 hrs**.	Tex-540-C	None		None		None		None	
Flash Point, C.O.C., °F (°C),	T 48	425	-	425	-	425	-	425	-

		(218)	-	(218)	-	(218)	-	(218)	-
Tests on Residue from Thin Film Oven Test: (T179)									
Retained Penetration Ratio, 77°F (25°C), % original	T 49	-	-	-	-	0.60	1.00	0.60	0.90

* The SBS block copolymer may be pre-blended with a polymer processing oil (up to a 1:1 ratio of polymer to oil) to aid the solution of the polymer in the asphalt.

** A 350-gram (0.77 pound) sample of the asphalt-SBS blend is stored for 48 hours at 325°F (163°C). Upon completion of the storage time, the sample is visually examined for separation of the SBS from the asphalt (smoothness and homogeneity). If a question still exists about the separation of the SBS, samples shall be taken from the top and bottom of the sample for Infrared Spectroscopy analysis. A difference of 0.4% or more in the concentration of the SBS between the top and bottom samples shall constitute separation.

D. Cutback Asphalt

Cutback asphalt shall meet the requirements presented in Tables 4 and 5 for the specified type and grade.

Table 4: Rapid Curing Type Cutback Asphalt Requirements

Type-Grade		RC-250		RC-800		RC-3000	
Properties	Test Method	Min	Max	Min	Max	Min	Max
Water, percent	T55	-	0.2	-	0.2	-	0.2
Flash Point, T.O.C., °F (°C)	T79	80 (27)	-	80 (27)	-	80 (27)	-
Kinematic viscosity @ 140°F, cst (60°C, mm^2/s)	T201	250	400	800	1600	3000	6000
Distillation Test:	T78						
Distillate, % by volume of total distillate to 680°F (360°C):							
to 437°F (225°C):		40	75	35	70	20	55

to 500°F (260°C):		65	90	55	85	45	75
to 600°F (316°C):		85	-	80	-	70	-
Residue from Distillation, Volume %		70	-	75	-	82	-
Tests of Distillation Residue:							
Penetration, 100g, 5 sec., 77°F (25°C), cm	T49	80	120	80	120	80	120
Ductility, 5 cm/min., 77°F, 5 cm/min., cm	T51	100	-	100	-	100	-
(25°C, 50 mm/min., mm)		1000	-	1000	-	1000	-
Solubility in trichloroethylene, %	T44	99.0	-	99.0	-	99.0	-
Spot Test	Tex 509-C	ALL NEGATIVE					

Table 5: Medium Curing Type Cutback Asphalt Requirements

Type		MC-30		MC-70		MC-250		MC-800		MC-3000	
Properties	Test Method	Min	Max	Min	Max	Min	Max	Min	Max	Min	Max
Water, %	T55	-	0.2	-	0.2	-	0.2	-	0.2	-	0.2
Flash Point, T.O.C., °F (°C)	T79	100 (38)	-	100 (38)	-	150 (65)	-	150 (65)	-	150 (65)	-
Kinematic viscosity. @ 140°F. cst (60°C, mm ^{2}/s)	T201	30	60	70	140	250	500	800	1600	3000	6000
Distillation Test:	T78										
Distillate, as % by volume to total distillate to 680°F(360°C):, shall be as follows:											
to 437°F (225°C):		-	25	-	20	-	10	-	-	-	-

to 500°F (260°C):		40	70	20	60	15	55	-	35	-	15
to 600°F (316°C):		75	93	65	90	60	87	45	80	15	≥75
Residue from 80°F (225°C) distillation											
Volume Percent		50	-	55	-	67	-	75	-	80	-
Tests on Distillation Residue:											
Penetration @77°F (25°C), 100g, s, 01 mm:	T49	120	250	120	250	120	250	120	250	120	250
Ductility	T51										
@ 77°F, 5 cm/min, cms		100*	-	100*	-	100*	-	100*	-	100*	-
(25°C, 50 mm/min., mm)		1000*	-	1000*	-	1000*	-	1000*	-	1000*	-
% Solubility in trichloroethylene	T44	99.0	-	99.0	-	99.0	-	99.0	-	99.0	-
Spot Test	Tex 509-C	ALL NEGATIVE									

* If penetration of residue is more than 200 and the ductility at 77°F (25°C) is less than 100 cm (1000 mm), the material will be acceptable if its ductility at 60°F (16°C) is more than 100cm (1000 mm).

E. Emulsified Asphalt

The material shall be homogenous. It shall show no separation of asphalt after thorough mixing and shall meet the requirements for the specified type and grade presented in Tables 6, 7 and 8.

Table 6: Anionic Emulsion Requirements

	Type	Medium Setting	Slow Setting

	Grade	MS-2		SS-1		SS-1h	
Property	Test Method	Min	Max	Min	Max	Min	Max
Furol Viscosity @ 77°F (25°C), sec.	T72	-	-	20	100	30	100
@ 122°F(50°C), sec		100	300	-	-	-	-
Sieve Test, %.	T59	-	0.1	-	0.1	-	0.1
Miscibility (Standard Test)	T59	-	-	Passing		Passing	
Cement Mixing, %	T59	-	-	-	2.0	-	2.0
% Demulsibility: 35 cc 0.02N $CaCl_2$	T59	-	30	-	-	-	-
Storage Stability 1 day, %	T59	-	1	-	1	-	1
Freezing Test, 3 Cycles*	T59	Passing		Passing	Passing		
Distillation Test	T59						
Distillation Residue, %		65	-	60	-	60	-
Distillate Oil Portion, %		-	½	-	½	-	½
Tests of Residue from Distillation:							
Penetration @ 77°F (25°C), 100g, 5s	T49	120	160	120	160	70	100
Solubility in Trichloroethylene, %	T44	97.5	-	97.5	-	97.5	-
Ductility @ 77F, 5 cm/min., cm	T51	100	-	100	-	80	-
(@ 25°C, 50 mm/min., mm)		1000	-	1000	-	800	-

* Applies only when Engineer or designated representative specifies the material for winter use.

Table 7: High Float anionic Emulsion Requirements

	Type	Rapid Setting		Medium Setting	
	Grade	HFRS-2		AES-300	
Property	Test Method	Min	Max	Min	Max
Viscosity, Saybolt Furol	T72				
@ 77°F (25°C), sec.		-	-	75	400
@ 122°F (50°C), sec.		150	400	-	-
Oil Portion of Distillate, %	T59	-	2	-	7
Sieve Test, %	T59	-	0.1	-	0.1
Particle Charge	T59	positive		positive	
Coating Ability and Water Resistance:	T59				
Coating, dry aggregate		-	-	good	
Coating, after spraying		-	-	fair	
Coating, wet aggregate				fair	
Coating, after spraying				fair	
% Demulsibility: 35 ml 0.02 N $CaCl_2$	T59	50	-	-	-
Storage Stability Test, 1 day, %	T59	-	1	-	1
Distillation Test	T59				
Residue by Distillation, % by weight		65	-	65	-
Oil Distillate, by volume of emulsion, %		-	1/2	-	5

Tests on Residue from Distillation:					
Penetration at 77°F (25°C), 100g, 5s	T49	100	140	300	-
Solubility in Trichloroethylene, %	T44	97.5	-	97.5	-
Ductility @ 77°F., 5 cm/min, cms	T51	100	-	-	-
(25°C., 50 mm/min, mm)		(1000)			
Float Test at 140°F (60°C), sec.	Tex 509-C	1200	-	1200	-

Table 8: Cationic Emulsion Requirements

	Type	Rapid Setting				Medium Setting				Slow Setting			
	Grade	CRS-2		CRS-2h		CMS-2		CMS-2s		CSS-1		CSS-1h	
Property	Test Method	Min	Max	Min	Max	Min.	Max	Min	Max	Min	Max	Min	Max
Viscosity, Saybolt Furol	T72												
@ 77°F (25°C), sec.		-	-	-	-	-	-	-	-	20	100	20	100
@ 122°F (50°C), sec.		150	400	150	400	100	300	100	300	-	-	-	-
Storage stability test, 1 day %	T59	-	1	-	1	-	1	-	1	-	1	-	1
% Demulsibility: *, **	T59	40	-	40	-	-	-	-	-	-	-	-	-
Coating, ability & water resistance	T59												
Coating, dry aggregate		-	-	-	-	good		good		-	-	-	-
Coating, after spraying		-	-	-	-	fair		fair		-	-	-	-

Coating, wet aggregate		-	-	-	-	fair		fair		-	-	-	-
Coating, after spraying		-	-	-	-	fair		fair		-	-	-	-
Particle charge test	T59	Positive		Positive		Positive		Positive		Positive		Positive	
Sieve test, %	T59	-	0.10	-	0.10	-	0.10	-	0.10	-	0.10	-	0.10
Cement Mixing test, %	T59	-	-	-	-	-	-	-	-	-	2.0	-	2.0
Distillation Test:	T59												
% Oil distillate, vol. of emulsion		-	1/2	-	1/2	-	7	-	5	-	1/2	-	1/2
Residue by Distillation,% by wt.		65	-	65	-	65	-	65	-	60	-	60	-
Tests on Residue from Distillation:													
Penetration, 77°F	T49	120	160	80	110	120	200	300	-	120	160	80	110
(25°C), 100g, 5s.													
Ductility,	T51												
77°F, 5 cm/min, cm		100	-	80	-	100	-	-	-	100	-	80	-
(25°C, 50 mm/min, mm)		1000	-	800	-	1000	-	-	-	1000	-	800	-
% Solubility in trichloroethylene	T44	97.5	-	97.5	-	97.5	-	97.5	-	97.5	-	97.5	-

* At a level of 35 ml 0.8% sodium dioctyl sulfosuccinate.

** The demulsibility test shall be made within 30 days from date of shipment.

F. Polymer Modified Emulsions

The material shall be homogenous. It shall show no separation of asphalt after thorough mixing and shall meet the requirements for the specified type and grade presented in Tables 9 and 10.

G. Specialty Emulsions

Specialty emulsions may be either asphaltic-based or resin-based and must meet the requirements included in Table 11.

H. Recycling Agent

Recycling agent and emulsified recycling agent must meet the requirements of Table 12. Additionally, recycling agent and residue from emulsified recycling agent, when added in the specified proportions to the recycled asphalt, must meet the properties specified on the drawings.

Table 9: Polymer Modified Emulsified Asphalt Requirements

Type-Grade		Rapid Setting				Medium Setting						Slow Setting	
		RS-1P		HFRS-2P		AES-150P		AES-300P		AES-300S		SS-1P	
Property	Test Method	Min	Max	Min	Max	Min	Max	Min	Max	Min	Max	Min	Max
Viscosity, Saybolt Furol	T 72												
77°F, sec.		-	-	-	-	75	400	75	400	75	400	30	100
122°F, sec.		50	200	150	400	-	-	-	-	-	-	-	-
Sieve Test, %	T 59	-	0.1	-	0.1	-	0.1	-	0.1	-	0.1	-	0.1
Miscibility	T 59	-		-		-		-		-		pass	
Coating Ability and Water Resistance:	T 59												
dry aggregate/after spray		-		-		good/fair		good/fair		good/fair		-	
wet aggregate/after spray		-		-		fair/fair		fair/fair		fair/fair		-	
Demulsibility, 35 ml of 0.02 N $CaCl_2$, %	T 59	60	-	50	-	-	-	-	-	-	-	-	-
Storage Stability, 1 day, %	T 59	-	1	-	1	-	1	-	1	-	1	-	1

Breaking Index, g	Tex-542-C	-	80	-	-	-	-	-	-	-	-	-	-
Distillation Test: [1]	T 59												
Residue by Distillation, % by wt.		65	-	65	-	65	-	65	-	65	-	60	-
Oil Distillate, % by vol of emulsion		-	3	-	0.5	-	3	-	5	-	7	-	0.5
Tests: Residue from Distillation:													
Polymer Content, wt. % (solids basis)	Tex-533-C	-	-	3.0	-	-	-	-	-	-	-	3.0	-
Penetration, 77°F, (25°C) 100 g, 5 sec.	T 49	225	300	90	140	150	300	300	-	300	-	100	140
Solubility in Trichloroethylene, %	T 44	97.0	-	97.0	-	97.0	-	97.0	-	97.0	-	97.0	-
Viscosity, 140&degF, poise 60°C, Pa-s	T 202	-	-	1500	-	-	-	-	-	-	-	1300	-
Float Test, 140°F, sec.	T 50	-	-	1200	-	1200	-	1200	-	1200	-	-	-
Ductility [2],													
39.2°F, 5 cm/min., cm	T 51	-	-	50	-	-	-	-	-	-	-	50	-
(4°C, 5 cm/min., mm)		-	-	500	-	-	-	-	-	-	-	500	-
Elastic Recovery [2], 50°F,(10°C), %	Tex-539-C	55	-	55	-	-	-	-	-	-	-	-	-
Tests on RTFO Curing of Distillation Residue:	Tex-541-C												

Elastic Recovery, 50°F,(10&degF) %	Tex-539-C	-	-	-	-	50	-	50	-	30	-	-	-

[1] Exception to AASHTO T 59: Bring the temperature on the lower thermometer slowly to 350°F +/- 10°F. Maintain at this temperature for 20 min. Complete total distillation in 60 +/- 5 min. from the first application of heat.

[2] HFRS-2P must meet one of either the Ductility or Elastic Recovery.

Table 10: Polymer Modified Cationic Emulsified Asphalt Requirements

Type-Grade		Rapid Setting				Slow Setting	
		CRS-1P		CRS-2P		CSS-1P	
Property	Test Method	Min	Max	Min	Max	Min	Max
Viscosity, Saybolt Furol	T 72	-	-	-	-	20	100
77°F (25°C), sec.		50	150	150	400	-	-
122°F (50°C), sec.		-	0.1	-	0.1	-	0.1
Sieve Test, %	T 59	60	-	70	-	-	-
Demulsibility, 35 ml of 0.8% sodium dioctyl sulfosuccinate, %	T 59	-	1				
Storage Stability, 1 day, %	T 59	-	1	-	1	-	1
Breaking Index, g	Tex-542-C		80	-	-	-	-
Particle Charge	T 59	positive		positive		positive	
Distillation Test: [1]	T 59	65	-	65	-	62	-

Residue by Distillation, % by wt.							
Oil Distillate, % by volume of emulsion		-	3	-	0.5	-	0.5
Tests on Residue from Distillation:							
Polymer Content, wt. % (solids basis)	Tex-533-C	-	-	3.0	-	3.0	-
Penetration, 77°F (25°C), 100 g, 5 sec.	T 49	225	300	90	150	55	90
Viscosity, 140°F, poise (60°C, Pa-s)	T 202	-	-	1300	-	-	-
Solubility in Trichloroethylene, %	T 44	97.0	-	97.0	-	97.0	-
Softening Point, °F	T 53	-	-	-	-	135	-
Ductility, 77°F, 5 cm/min., cm (25°C, 5 cm/min., mm)	T 51	-	-	-	-	70 700	-
Ductility [2], 39.2°F, 5 cm/min., cm (4°C, 5 cm/min., mm)	T 51	-	-	50	-	-	-
Elastic Recovery [2], 50°F (10°C), %	Tex-539-C	45	-	55	-	-	-

[1] Exception to AASHTO T 59: Bring the temperature on the lower thermometer slowly to 350°F +/- 10°F. Maintain at this temperature for 20 min. Complete total distillation in 60 +/- 5 min. from the first application of heat.

[2] CRS-2P must meet one of either the Ductility or Elastic Recovery.

Table 11: Specialty Emulsion Requirements

Type-Grade		Medium Setting				Slow Setting	
		AE-P		EAP&T		PCE [1]	
Property	Test	Min	Max	Min	Max	Min	Max

	Method						
Viscosity, Saybolt Furol	T 72						
77°F (25°C), sec.		-	-	-	-	10	100
122°F (50°C), sec.		15	150	-	-	-	-
Sieve Test, %	T 59	-	0.1	-	0.1	-	0.1
Miscibility [2]	T 59	-		pass		pass	
Demulsibility, 35 ml of 0.10 N CaCl2, %	T 59	-	70	-	-	-	-
Storage Stability, 1 day, %	T 59	-	1	-	1	-	-
Particle Size [3], % by volume ≤ 2.5 m	Tex-238-F	-	-	90	-	-	-
Asphalt Emulsion Distillation to 500°F (260°C) followed by Cutback Asphalt Distillation of Residue to 680°F (360°C):	T 59 & T 78						
Residue after both Distillations, % by wt.		40	-	-	-	-	-
Total Oil Distillate from both distillations, % by volume of emulsion		25	40	-	-	-	-
Distillation:	T 59						
Residue by Distillation, % by wt.		-	-	60	-	-	-
Evaporation:4	T 59						
Residue by Evaporation, % by wt.		-	-	-	-	60	-
Tests on Residue after all Distillation(s):							
Viscosity, 140°F, poise (60°C, Pa-s)	T 202	-	-	800	-	-	-
Kinematic Viscosity, 140°F, cSt (60°C, mm [2]/s)	T 201	-	-	-	-	100	350

Flash Point, C.O.C., °F (°C)	T 48	-	-	-	-	400 204	-
Solubility in Trichloroethylene, %	T 44	97.5	-	-	-	-	-
Float Test, 122°F (50°C), sec	T 50	50	200	-	-	-	-

[1] Supply with each shipment of PCE:

a) a copy of a lab report from an approved analytical lab, signed by a lab official, indicating the PCE formulation does not meet any characteristics of a Resource Conservation Recovery Act (RCRA) hazardous waste;

b) a certification from the producer that the formulation supplied does not differ from the one tested and that no listed RCRA hazardous wastes or PCB's have been mixed with the product; and

c) a Materials Safety Data Sheet.

[2] Exception to AASHTO T 59: In dilution, use 350 ml of distilled or deionized water and a 1000-ml beaker.

[3] Tex-238-F, beginning at "Particle Size Analysis by Laser Diffraction," "Procedure" (using - medium: distilled or deionized water and dispersant: none), or other approved method.

[4] Exception to AASHTO T 59: Leave sample in the oven until foaming ceases, then cool and weigh.

Table 12: Recycling Agent and Emulsified Recycling Agent Requirements

Property	Test Method	Recycling Agent		Emulsified Recycling Agent	
		Min	Max	Min	Max
Viscosity, Saybolt Furol, 77°F, sec.	T 72	-	-	15	100
Sieve Test, %	T 59	-	-	-	0.1
Miscibility [1]	T 59	-		No Coagulation	

Evaporation Test: [2]	T 59				
Residue by Evaporation, % by wt.		-	-	60	-
Tests on Recycling Agent or Residue from Evaporation:					
Flash Point, C.O.C., °F	T 48	400	-	400	-
Kinematic Viscosity,	T 201				
140°F, cSt		75	200	75	200
275°F, cSt		-	10.0	-	10.0

[1] Exception to AASHTO T 59: Use 0.02 N CaCl2 solution in place of water.

[2] Exception to AASHTO T 59: Maintain sample at 300°F until foaming ceases, then cool and weigh.

I. Crack Sealer

This section sets forth the requirements for a polymer modified emulsion suitable for sealing fine cracks, and a rubber asphalt compound suitable for sealing cracks of 1/8 inch (3 mm) or greater width.

1. Polymer Modified Asphalt Emulsion Crack Sealer

For cracks on the order of 1/8 inch (3 mm) width, HFRS-2P polymer modified emulsion as described in the table included in Section F, Polymer Modified Emulsions of this item may be used. Requirements for the polymer modified emulsion and rubber-asphalt crack-sealing compound are presented in Table 13.

Table 13: Polymer Modified Asphalt Emulsion Crack Sealer Requirements

Property	Test Methods	Min	Max
Rotational Viscosity, 77°F, cP	ASTM D 2196, Method A	10,000	25,000
Sieve Test, %	T 59	-	0.1
Storage Stability, 1 day, %	T 59	-	1

Evaporation	Tex-543-C		
Residue by Evaporation, % by wt.		65	-
Tests on Residue from Evaporation:			
Penetration, 77°F, 100 g, 5 sec.	T 49	35	75
Softening Point, °F	T 53	140	-
Ductility, 39.2°F, 5 cm/min., cm	T 51	100	-

2. Rubber-Asphalt Crack Sealing Compound

This specification item may be a proprietary product. The compound shall be capable of being melted and applied at a temperature of 400°F (200°C) or less by a suitable oil jacketed kettle equipped with a pressure pump, a hose and a nozzle. It shall contain no water or highly-volatile matter. It shall not be tracked by vehicular traffic once it cools to road pavement temperature.

The rubber-asphalt crack sealing compound shall meet requirements in Table 14.

Table 14: Rubber-Asphalt Crack Sealer Requirements

Property	Test Methods	Class A		Class B	
		Min	Max	Min	Max
CRM Content, Grade A or B, % by wt.	Tex-544-C	22	26	-	-
CRM Content, Grade B, % by wt.	Tex-544-C	-	-	13	17
Virgin Rubber Content [1], % by wt.		-	-	2	-
Flash Point [2], COC, °F	T 48	400	-	400	-
Penetration [3], 77°F, 150g, 5 sec.	T 49	30	50	30	50
Penetration [3], 32°F, 200g, 60 sec.	T 49	12	-	12	-
Softening Point, °F	T 53	-	-	170	-

Bond [4], 3 cycles, 20°F	Tex-525-C	-	Pass	

[1] Provide certification that the min. % virgin-rubber was added.

[2] Before passing the test flame over the cup, agitate the sealing compound with a 3/8 to ½ in. (9.5 to 12.7 mm) wide, square-end metal spatula in a manner so as to bring the material on the bottom of the cup to the surface, i.e., turn the material over. Start at one side of the thermometer, move around to the other, and then return to the starting point using 8 to 10 rapid circular strokes. Accomplish agitation in 3 to 4 sec. Pass the test flame over the cup immediately after stirring is completed.

[3] Exception to AASHTO T 49: Substitute the cone specified in ASTM D 217 for the penetration needle.

[4] No crack in the crack sealing materials or break in the bond between the sealer and the mortar blocks over ¼ in. deep for any specimen after completion of the test.

a. Properties of Rubber Used in Sealer. The rubber shall be one of the following types;

   1) Type I - Ground tire rubber.

   2) Type II - A mixture of ground tire rubber and high natural reclaimed scrap rubber. The natural rubber content, determined by ASTM D 297, shall be a minimum of 25 percent.

b. Ground Rubber. The ground rubber shall comply with the following gradation requirements when tested by TxDOT Test Method Tex-200-F, Part I.

Table 15: Ground Rubber Gradation Requirements

Sieve Size		Percent Retained	
U.S.	SI	Type I	Type II
No. 8	2.36 mm	0	-
No. 10	2.00 mm	0-5	0
No. 30	600mm	90-100	50-70
No. 50	300mm	95-100	70-95
No. 100	150mm	-	95-100

The ground rubber shall be free from fabric, wire, cord or other contaminating materials.

c. Packaging. The rubber-asphalt crack sealing compound shall be packaged in boxes, which contain two 30-35 pound (14-16 kilogram) blocks that are individually packaged in a liner made of polyethylene, or other packaging approved by the Engineer or designated representative.

J. Performance Graded Binders

Performance graded binders must be smooth, homogeneous, show no separation when tested in accordance with Test Method Tex-540-C, and must meet the requirements in the following table.

Separation testing is not required if:

• a modifier is introduced separately at the mix plant either by injection in the asphalt line or mixer, or

• the binder is blended on site in continuously agitated tanks, or

• binder acceptance is based on field samples taken from an in-line sampling port at the hot mix plant after the addition of modifiers.

Table 16: Performance Graded Binder Requirements (Printer-friendly version in PDF)

Performance Grade	PG 58			PG 64				PG 70				PG 76				PG 82		
	-22	-28	-34	-16	-22	-28	-34	-16	-22	-28	-34	-16	-22	-28	-34	-16	-22	-28
Average 7-day Max Pavement Design Temperature, °C [1]	58			64				70				76				82		
Min Pavement Design Temperature, °C [1]	≥-22	≥-28	≥-34	≥-16	≥-22	≥-28	≥-34	≥-16	≥-22	≥-28	≥-34	≥-16	≥-22	≥-28	≥-34	≥-16	≥-22	≥-28
ORIGINAL BINDER																		
Flash Point, AASHTO T 48: Min,	230°C																	
Viscosity, AASHTO TP 48: [2, 3] Max, 3.0 Pas, Test Temperature,	135°C																	

Dynamic Shear, AASHTO TP 5: [4] G*/sin (δ), Min, 1.00 kPa Test Temperature @ 10 ead/sec.,	58°C			64°C				70°C				76°C				82°C			
Elastic Recovery, ASTM D 6084, 50°F, % Min	-	-	30	-	-	30	50	-	30	50	60	30	50	60	70	50	60	70	
ROLLING THIN FILM OVEN (Tex-541-C)																			
Mass Loss, Max, %	1.0																		
Dynamic Shear, AASHTO TP 5: G*/sin (δ) in, 2.20 kPa Test Temperature @10 red/sec.,	58°C			64°C				70°C				76°C				82°C			
PRESSURE AGING VESSEL (PAV) RESIDUE (AASHTO PP 1)																			
PAV Aging Temperature	100°C																		
Dynamic Shear, AASHTO TP 5: G*/sin (δ) Max, 5000 kPa Test Temperature10 rad/sec., °C	25	22	19	28	25	22	19	28	25	22	19	28	25	22	19	28	25	22	
Creep Stiffness, AASHTO TP 1: [5, 6] S, Max, 300 mPa, M - value, Min, 0.300 Test Temperature @ 60 sec., °C	-12	-18	-24	-6	-12	-18	-24	-6	-12	-18	-24	-6	-12	-18	-24	-6	-12	-18	
Direct Tension, AASHTO TP 3: [6] Failure Strain, Min, 1.0% Test Temperature @1.0 mm/min., °C	-12	-18	-24	-6	-12	-18	-24	-6	-12	-18	-24	-6	-12	-18	-24	-6	-12	-18	

[1] Pavement temperatures are estimated from air temperatures using an algorithm contained in the PGEXCEL3.xls software program, may be provided by the Department or by following the procedures as outlined in AASHTO MP 2 and PP 28.

[2] This requirement may be waived at the Department's discretion if the supplier warrants that the asphalt binder can be adequately pumped, mixed and compacted at temperatures that meet all applicable safety, environmental, and constructability requirements. At test temperatures where the binder is a Newtonian fluid, any suitable standard means of viscosity measurement may be used, including capillary (AASTHO T 201 or T 202) or rotational viscometry (AASHTO TP 48).

[3] Viscosity at 135°C is an indicator of mixing and compaction temperatures that can be expected in the lab and field. High values may indicate high mixing and compaction temperatures. Additionally, significant variation can occur from batch to batch. Contractors should be aware that variation could significantly impact their mixing and compaction operations. Contractors are therefore responsible for addressing any constructability issues that may arise.

[4] For quality control of unmodified asphalt binder production, measurement of the viscosity of the original asphalt binder may be substituted for dynamic shear measurements of G*/sin (δ) at test temperatures where the asphalt is a Newtonian fluid. Any suitable standard means of viscosity measurement may be used, including capillary (AASHTO T 201 or T 202) or rotational viscometry (AASHTO TP 48).

[5] Silicone beam molds, as described in AASHTO TP 1-93, are acceptable for use.

[6] If creep stiffness is below 300 mPa, direct tension test is not required. If creep stiffness is between 300 and 600 mPa, the direct tension failure strain requirement can be used instead of the creep stiffness requirement. The m-value requirement must be satisfied in both cases.

301S.4 - Equipment.

All equipment necessary to transport, store, sample, heat, apply, and incorporate asphalts, oils and emulsions shall be provided.

301S.5 - Construction

Typical materials used for specific applications are identified in Table 17. These are typical uses only and circumstances may require use of other material.

Table 17: Typical Material Use

Material Application	Typically Used Materials
Hot-Mixed, Hot-Laid Asphalt Mixtures	PG Binders, Modified PG Binders
Surface Treatment	AC-5, AC-10, AC-5 w/2% SBR, AC-10 w/2% SBR, AC-15P, AC-15-5TR, HFRS-2, MS-2, CRS-2, CRS-2H, HFRS-2P, CRS-2P,Surface Treatment
(Cool Weather)	RS-1P, CRS-1P, RC-250, RC-800, RC-3000, MC-250, MC-800, MC-3000, MC-

	2400L
Precoating	AC-5, AC-10, PG 64-22, SS-1, SS-1H, CSS-1, CSS-1H
Tack Coat	RC-250, SS-1, SS-1H, CSS-1, CSS-1H, EAP&T
Fog Seal	SS-1, SS-1H, CSS-1, CSS-1H
Hot-Mixed, Cold-Laid Asphalt Mixtures	AC-0.6, AC-1.5, AC-3, AES-300, AES-300P, CMS-2, CMS-2S
Patching Mix	MC-800, SCM I, SCM II, AES-300S
Recycling	AC-3, AES-150P, AES-300P, Recycling Agent, Emulsified Recycling Agent
Crack Sealing	SS-1P, Polymer Mod AE Crack Sealant, Rubber Asphalt Crack Sealers (Class A, Class B)
Prime	MC-30, AE-P, EAP&T, PCE
Curing Membrane	SS-1, SS-1H, CSS-1, CSS-1H, PCE
Erosion Control	SS-1, SS-1H, CSS-1, CSS-1H, PCE

301S.6 - Storage, Heating and Application Temperatures

Asphaltic materials should be applied at the temperature, which provides proper and uniform distribution. Within practical limits higher temperatures than necessary to produce the desired results shall be avoided. Satisfactory application usually should be obtained within the recommended ranges shown below.

No material shall be heated above the following maximum temperatures:

Table:18 Recommended Temperature Ranges

	Recommended Range; °F (°C)	Maximum Temperature; °F (°C) for	
Type-Grade	Application/Mixing	Allowable	Storage

		Application	
AC-5, 10,20,30	275—350 (135—177)	375 (191)	400 (204)
AC-5 or AC-10 + 2% SBR	300—375 (142—191)	390* (199)	375 (191)
AC-10 + 3% SBR, AC-45P	300—350 (142—191)	350 (177)	360 (182)
RC-250	125—180 (52—82)	200 (93)	200 (93)
RC-800	170—230 (77—110)	260 (127)	260 (127)
RC-3000	215—275 (102—135)	285 (141)	285 (141)
MC-30, AEP	70—150 (21—66)	175 (79)	175 (79)
MC-70	125—175 (52—79)	200 (93)	200 (93)
MC-250	125—210 (52—99)	240 (116)	240 (116)
MC-800, SCM I, SCM II	175—260 (79—127)	275 (135)	275 (135)
MC-3000 & MC-2400 Latex	225—275 (107—135)	290 (143)	290 (143)
HFRS-2, MS-2, CRS-2, CRS-2H, HFRS-2P, CRS-2P, CMS-2, CMS-2S, AES-300, AES-300S, AES-150P, AES-300P	120—160	180	180
SS-1, SS-1h, SS-1P, CSS-1, CSS-1h, PCE, EAP & T, SS-1P, RS-1P, CRS-1P, CSS-1P, recycling agent,	50—130 (10—54)	140 (60)	140

emulsified recycling agent, polymer modified AE crack sealant.			(60)
RS-2, RS-2h, MS-2, CRS-2, CRS-2h, CRS-2p, CMS-2, CMS-2S, HFRS-2, HFRS-2p, AES-300	110—160 (43—71)	170 (77)	170 (77)
Special Precoat Material	125—250 (52—121)	275 (135)	275 (135)
PG Binders, Modified PG Binders	275—350	350	350
Rubber Asphalt Crack Sealers (Class A, Class B)	350—375	400	-
Rubber-Asphalt Crack Sealer	350—375 (177—191)	400 (204)	-

* AC-5 + 2% SBR and AC-10 + 2% SBR, which is designated for surface treatment work, may be heated to a maximum temperature of 390°F (200°C) by the supplier loading through an in-line heater, or with the permission of the Engineer or designated representative, these materials may be heated to maximum of 390°F (200°C) by the Contractor just prior to application. When any of the SBR-modified asphalt cements are used in asphaltic concrete, the storage temperature at the mix plant should not exceed 350°F (177°C).

Attention is called to the fact that asphaltic materials (except emulsions) are very flammable and constitute fire hazards. Proper precautions should be used in all cases, especially with RC cutbacks.

Utmost care shall be taken to prevent open flames from coming in contact with the asphaltic material or the gases of it. The Contractor shall be responsible for any fires or accidents, which may result from heating the asphaltic materials.

301S.7 - Measurement and Payment

All asphaltic materials included in this specification will not be paid fr directly but shall be included in the unit price bid for the itme of construction in which this item is used.

End

SPECIFIC CROSS REFERENCE MATERIALS
Specification Item 301S "Asphalts, Oils and Emulsions"
American Association of State Highway and Transportation Officials (AASHTO)

Designation	Description
AASHTO T-44	Solubility of Bituminous Materials in Organic Solvents
AASHTO T-48	Flash and Fire Points by Cleveland Open Cup
AASHTO T-49	Penetration of Bituminous Materials
AASHTO T-50	Float Test for Bituminous Materials
AASHTO T-51	Ductility of Bituminous Materials
AASHTO T-53	Distillation of Road Tar
AASHTO T-55	Water in Petroleum Products and Bituminous Materials by Distillation
AASHTO T-59	Testing Emulsified Asphalt
AASHTO T-72	Saybolt Viscosity
AASHTO T-78	Distillation of Cut-Back Asphaltic (Bituminous) Products
AASHTO T-79	Flash Point with Tag Open-Cup Apparatus
AASHTO T-201	Kinematic Viscosity of Asphalts
AASHTO T-202	Viscosity of Asphalts by Vacuum Capillary Viscometer
AASHTO TP-1	Creep Stiffness
AASHTO TP-3	Direct Tension
AASHTO TP-5	Dynamic Shear
AASHTO TP-48	Rotational Viscometry
Texas Department of Transportation: Manual of Testing Procedures	
Designation	Description

Tex-200-F	Sieve Analysis of Fine and Coarse Aggregates
Tex-238-F	Laser Diffraction Particle Size Distribution Analyzer
Tex-509-C	Spot Test of Asphaltic Materials
Tex-525-C	Tests for Asphalt and Concrete Joint Sealers
Tex-533-C	Determination of Polymer Additive Percentages in Polymer Modified Asphalt Cements
Tex-539-C	
Tex-540-C	
Tex-541-C	
Tex-542-C	
Tex-543-C	
Tex-544-C	

American Society for Testing and Materials (ASTM)

Designation	Description
D 217	Test Methods for Cone Penetration of Lubricating Grease
D 297	Test Methods for Rubber Products-Chemical Analysis
D 2186 Method A	Test Methods for Deposit-Forming Impurities in Steam
D 6084	Test Method for Elastic Recovery of Bituminous Materials by Ductilolmeter

RELATED CROSS REFERENCE MATERIALS	
Specification Item 301S "Asphalts, Oils and Emulsions"	
City of Austin Standard Specifications	
Designation	Description
Item No. 206S	Asphalt Stabilized Base
Item No. 210S	Flexible Base
Item No. 302S	Aggregates for Surface Treatments
Item No. 306S	Prime Coat
Item No. 307S	Tack Coat
Item No. 310S	Emulsified Asphalt Treatment
Item No. 311S	Emulsified Asphalt Repaving
City of Austin Standard Specifications	
Designation	Description
Item No. 312S	Seal Coat
Item No. 313S	Rubber Asphalt Joint and Crack Sealant
Item No. 315S	Milling Asphaltic Concrete Paving
Item No. 320S	Two Course Surface Treatment
Item No. 340S	Hot Mix Asphaltic Concrete Pavement
Item No. 341S	Paving Fabric

Item No. 350S	Heating, Scarifying and Repaving
Item No. 351S	Recycling Agent

City of Austin Standard Details

Designation	Description
1000S-10	Local Street Sections
1000S-11(1)	Residential and Neighborhood collector Street Sections
1000S-11(2)	Industrial and Collector Street Sections
1000S-12(1)	Primary Collector Street Sections
1000S-12(2)	Primary Arterial Street Sections
1000S-13(1)	Minor Arterial Street Sections (4 Lanes)
1000S-13(2)	Minor Arterial Street Sections (4 Lanes divided)
1000S-14	Major Arterial Street

Texas Department of Transportation: Standard Specifications for Construction And Maintenance of Highways, Streets, and Bridges

Designation	Description
Item 300	Asphalts, Oils and Emulsions
Item 301	Asphalt Antistripping Agents
Item 310	Prime Coat (Cutback Asphaltic Materials)
Item 314	Emulsified Asphalt Treatment

Item 316	Surface Treatments
Item 345	Asphalt Stabilized Base (Plant Mixed)
Item 354	Planing and/or Texturing Pavement
Item 520	Weighing and Measuring Equipment

Texas Department of Transportation: Manual of Testing Procedures

Designation	Description
Tex-126-E	Molding, Testing and Evaluation of Bituminous Black Base Materials
Tex-207-F	Determination of Density of Compacted Bituminous Mixtures
Tex-211-F	Recovery of Asphalt from Bituminous Mixtures by Abson
Tex-215-	Determination of Asphalt Content of Rock Asphalt Process by Hot Solvent Method
Tex-217-F	Determination of Deleterious Material and Decantation Test for Coarse Aggregates
Tex-224-F	Determination of Flakiness
Tex-400-A	Method of Sampling Stone, Gravel, Sand and Mineral Aggregates
Tex-410-A	Abrasion of Coarse Aggregate Using the Los Angeles Machine
Tex-411-A	Soundness of Aggregate by Use of Sodium Sulfate or Magnesium Sulfate
Tex-438-A	Accelerated Polish Test for Aggregate
Tex-460-A	Determination of Crushed Face Particle
Tex-501-C	Test for Water in Petroleum Products and Other Bituminous Materials
Tex-502-C	Test for Penetration of Bituminous Material

Tex-503-C	Test for Ductility of Bituminous Materials
Tex-504-C	Test for Flash and Fire Points of Petroleum Materials by Cleveland Open Cup

Texas Department of Transportation: Manual of Testing Procedures

Designation	Description
Tex-505-C	Test for Softening Point of Bituminous Materials by Ring-and-Ball Method
Tex-506-C	Test for Loss on Heating of Oils and Asphaltic Compounds
Tex-507-C	Proportion of Bitumen Soluble in Trichloroethylene
Tex-510-C	Determining the Effect of Heat and Air on Asphaltic Materials when Exposed in Thin Films
Tex-512-C	Test for Flash Points of Volative Flammable Materials by Tag Open-Cup Apparatus
Tex-513-C	Test for Saybolt Viscosity
Tex-515-C	Distillation of Cut-Back Asphalt Products
Tex-519-C	Float Test for Bituminous Materials
Tex-520-C	Test for Residue of Specified Penetration
Tex-521-C	Testing Emulsified Asphalts
Tex-528-C	Test for Absolute Viscosity of Asphalt Cements
Tex-529-C	Test for Kinematic Viscosity of Asphalts

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Item No. 302S
Aggregates for Surface Treatments

302S.1 Description

This item shall govern aggregate and precoated aggregate to be used in the construction of surface treatments.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text, the inch-pound units are given preference followed by SI units shown within parentheses.

302S.2 Submittals

The submittal requirements of this specification item include:

A. Aggregate types, gradations and physical characteristics (i.e. flakiness index, % wear, soundness, polish value, etc).

B. Proposed proportioning of materials.

C. Aggregate precoat and fluxing material.

D. Type of mixing plant and associated equipage including chart indicating the calibration of each cold bin

E. Aggregate storage/stockpiling plans.

302S.3 Materials

A. Aggregates

Aggregates shall be composed of clean, tough and durable particles of gravel, crushed gravel, crushed stone, crushed slag or natural limestone rock asphalt. These materials shall not contain more than 2 percent by weight (mass) of soft particles and other deleterious materials as determined by TXDOT Test Method Tex-217-F, Part I. The natural limestone rock asphalt aggregate furnished shall have an average bitumen content from 4 to 7 percent by weight (mass) of naturally impregnated asphalt, as determined by TXDOT Test Method Tex-215-F and shall contain not more than 2 percent by weight (mass) of any one of or combination of iron pyrites or other objectionable matter, as determined by TXDOT Test Method Tex-217-F, Part I. No aggregate shall contain a total of more than 2 percent by weight (mass) of impurities or objectionable matter listed above.

The aggregate shall be either dark in color or be precoated. If not precoated, it shall be sufficiently washed as to produce a clean, dust free surface.

The aggregate shall not contain more than 1 percent loss from fine dust, clay-like particles and/or silt when tested in accordance with TXDOT Test Method Tex-217-F, Part II. The flakiness index for the aggregate, as determined by TXDOT Test Method Tex-224-F, shall not exceed 17 unless otherwise shown on the Drawings.

The percent of wear, as determined by TXDOT Test Method Tex-410-A (Los Angeles Abrasion Test), for each of the materials, except natural limestone rock asphalt (LRA), shall not exceed 35 percent. The percent of wear on natural limestone rock asphalt aggregate (LRA) shall not exceed 40 percent as determined by TXDOT Test Method Tex-410-A on that portion of the material retained on the No. 4 (4.75 mm) sieve, having a impregnated asphalt content of less than 1 percent.

Unless indicated otherwise on the drawings crushed gravel shall have a minimum of 85 percent of the particles retained on the No. 4 (4.75 mm) sieve with two or more mechanically induced crushed faces, as determined by TXDOT Test Method Tex-460-A, Part I.

The aggregate will be subjected to five (5) cycles of magnesium sulfate soundness testing in accordance with Test Method Tex-411-A. The loss shall not exceed 25 percent, unless indicated otherwise on the Drawings.

The polish value for the aggregate used in the surface or finish course shall be the value shown on the Drawings, when tested in accordance with TxDOT Test Method Tex-438-A. Unless otherwise shown on the Drawings, a minimum polish-value requirement of 30 will apply only to aggregate used in the travel lanes.

When aggregates requiring polish value are supplied from a source rated for a previous City of Austin roadway project or rated by TxDOT Materials and Tests Division, the Rated Source Polish Value (RSPV) for that source will be used to meet this requirement. When aggregates are supplied from a source that is not rated, the aggregate will be sampled and tested prior to use. The procedures will be in accordance with TxDOT Test Methods Tex-400-A and Tex-438-A, Part I. Blending of aggregates to achieve polish value will not be permitted, unless otherwise shown on the Drawings. If blending is allowed, TxDOT Test Method Tex-438-A, Part II, Method B will be used to determine the required blend percentages. However, a minimum of 50 percent by volume of non-polishing aggregate is required.

B. Precoat Material and Fluxing Material

1. The precoat material shall meet requirements for "Precoat Materials" as specified in Standard Specification Item No. 301S, "Asphalts, Oils and Emulsions".

2. The fluxing material shall meet the requirements for "Fluxing Material " as specified in Standard Specification Item No. 301S, "Asphalts, Oils and Emulsions".

3. Water in an amount not to exceed 3 percent by weight (mass) of the mixture may be used in preparing the mixture. The water shall be added as directed by the Engineer or designated representative during the mixing. In the event water is used in the mixing operation, adequate measuring devices shall be

used and the water shall be administered to the mix through an approved spray bar. Potable water from City of Austin supplies is preferred, but the Contractor may submit test results of other water sources for approval by the Engineer or designated representative before use.

302S.4 Types of Aggregates

The various types of aggregates are identified as follows:

A. Uncoated Aggregate Types.

Type	Description
A	gravel, crushed slag, crushed stone or natural limestone rock asphalt (LRA)
B	crushed gravel, crushed slag, crushed stone or natural limestone rock asphalt (LRA)
C	gravel, crushed slag or crushed stone
D	crushed gravel, crushed slag or crushed stone
E	Aggregate as shown on drawings
F	Trap Rock

B. Precoated Aggregate.

Precoated aggregate shall be aggregate of the type and grade specified above, coated with 0.5 to 1.5 percent, by mass, of residual bitumen from a precoating material. When indicated on the drawings, specific aggregates may be prohibited from being precoated.

Where limestone rock asphalt (LRA) is used, it shall be fluxed with 0.5 to 1.5 percent by mass of fluxing material. Limestone rock asphalt (LRA) that contains visual surface moisture or excessive quantities of fines shall not be precoated.

The grade of aggregate specified shall meet all requirements of sections 302S.3 and 302S.4 prior to the application of the precoat or fluxing material.

The materials may be mixed on the job or at a central mixing plant and shipped ready for use. Mixes that do not maintain flow qualities such that the precoated aggregate may be satisfactorily spread by approved mechanical spreading devices will not be acceptable.

Materials that are not uniformly and/or properly coated, in the opinion of the Engineer or designated representative, will not be accepted for use.

The various types of precoated aggregates are identified as follows:

Precoated Aggregate Types

Type	Description
PA	gravel, crushed slag, crushed stone or natural limestone rock asphalt (LRA)

PB	crushed gravel, crushed slag, crushed stone or natural limestone rock asphalt (LRA)
PC	gravel, crushed slag or crushed stone
PD	crushed gravel, crushed slag or crushed stone
PE	Aggregate as shown on drawings

302S.5 Grades

When tested by TXDOT Test Method Tex-200-F, Part I, the gradation requirements for the several grades of aggregate shall be as follows:

Sieve Designation		Percent Retained By Weight (Mass) for				
US	SI	Grade 1	Grade 2	Grade 3	Grade 4	Grade 5
1 inch	25.0 mm	0				
7/8 inch	22.4 mm	0 - 2	0			
3/4 inch	19.0 mm	20 - 35	0 - 2	0		
5/8 inch	16.0 mm	85 - 100	20 - 40	0 - 2	0	
1/2 inch	12.5 mm		80 - 100	20 - 40	0 - 5	0
3/8 inch	9.5 mm	95 -100	95 -100	80 -100	20 - 40	0 - 5
1/4 inch	6.25 mm			95 -100		
No. 4	4.75 mm				95 - 100	50 – 80
No. 8	2.36 mm	99 - 100	99 - 100	99 - 100	98 - 100	98 - 100

302S.6 Equipment For Precoating Aggregate

Mixing plants that will not continually meet all the requirements of this specification shall be rejected.

Mixing plants may be either the weigh batching type, the continuous mixing type or the drum mix type. Each type of plant shall be equipped with satisfactory conveyors, power units, aggregate handling equipment, aggregate screens and bins and shall consist of the essential pieces of equipment listed below:

If the Engineer or designated representative approves the use of emulsion as a precoat material, the Engineer or designated representative may also waive the requirement for a dryer, as specified below, if it is demonstrated that a satisfactory coating can be obtained without drying or heating the aggregate.

When using a low grade fuel oil or waste oil, the plant shall meet the requirements of article 340.4.(2) of TxDoT Specification Item 340, "Hot Mix Asphaltic Concrete Pavement".

A. Weigh Batching Type

1. Cold Aggregate Bin and Proportioning Device

The cold aggregate bins or aggregate stockpiles shall be of sufficient number and size to supply the amount of aggregate required to keep the plant in

continuous operation. The proportioning device shall be such as will provide a uniform and continuous flow of aggregate to the plant in the desired proportions.

2. Dryer

The dryer shall be of the type that continually agitates the aggregate during heating and in which the temperature can be so controlled that aggregate will not be damaged in the necessary drying and heating operations, which are required to obtain a mixture of the specified temperature.

3. Burner

The burner or combination of burners and type of fuel used shall be such that in the process of heating the aggregate to the desired or specified temperatures, no residue from the fuel shall adhere to the heated aggregate. A recording thermometer shall be provided which will record the temperature of the aggregate when it leaves the dryer. The dryer shall be of sufficient size to keep the plant in continuous operation. The dryer will not be required for precoating natural limestone rock asphalt.

4. Screening and Proportioning

The screening capacity and size of the bins shall be sufficient to screen and store the amount of aggregate required to properly operate the plant and keep the plant in continuous operation at full capacity. Proper provisions shall be made to enable inspection forces to have easy and safe access to the proper location on the mixing plant where accurate representative samples of aggregate may be taken from the bins for testing.

5. Weighing and Measuring Equipment

The weighing and measuring equipment shall be of sufficient capacity and of adequate design for proper batching. The following equipment, conforming to the requirements of the TxDOT Standard Specification, Item No. 520, "Weighing and Measuring Equipment", shall be furnished:

(a) Aggregate weigh box and batching scales.

(b) Bucket and scales for precoat material for flux oil.

A pressure type flow meter may be used to measure the precoat material or fluxing material for each batch.

If a pressure type flow meter is used to measure the asphaltic material, the requirements of TxDOT Specification Item 520, "Weighing and Measuring Equipment", shall apply.

Provisions of a permanent nature shall be made for checking the accuracy of the asphaltic material measuring device. The line to the measuring device shall be protected with a jacket of hot oil or other means approved by the Engineer to maintain the temperature of the line near the temperature specified for the precoating material.

6. Mixer

The mixer shall be of the pug mill type and shall have a capacity of not less than 3000 pounds (1 350 kilograms) in a single batch. The number of blades and the position of same shall be such as to give a uniform and complete circulation of the batch in the mixer. The mixer shall be equipped with an approved spray bar that will distribute the precoat material or fluxing material quickly and uniformly throughout the mixer. Any mixer that has a tendency to segregate the mineral aggregate or fails to secure a thorough and uniform mixing with the precoat material or fluxing material shall not be used. All mixers shall be provided with an automatic time lock that will lock the discharge doors of the mixer for the required mixing period. The dump door or doors and the shaft seals of the mixer shall be tight enough to prevent the spilling of aggregate or mixture from the pug mill.

B. Continuous Mixing Type

1. Cold Aggregate Bin and Proportioning Device.

Same as for weigh batching type of plant.

2. Dryer.

Same as for weigh batching type of plant.

3. Screening and Proportioning.

Same as for weigh batching type of plant. These requirements shall also apply to materials that are stockpiled and that are proposed for direct use by a continuous mixing plant without the use of plant bins.

4. Aggregate Proportioning Device.

The aggregate proportioning device shall be so designed, that when properly operated, a uniform and continuous flow of aggregate into the mixer will be maintained.

5. Spray Bar for Precoat Material and Fluxing Material.

The spray bar for the precoat material or fluxing material shall be so designed that the material will spray uniformly and continuously into the mixer.

6. Meter for Precoat Material or Fluxing Material.

An accurate recording meter for precoat material or fluxing material shall be placed in the line leading to the spray bar so that the accumulative amount of precoat material or fluxing material being used can be accurately determined. Provisions of a permanent nature shall be made for checking the accuracy of the meter output.

7. Mixer

The mixer shall be of the pug mill continuous type and shall have a capacity of not less than 40 tons (36 megagrams) of mixture per hour. Any mixer that

has a tendency to segregate the aggregate or fails to secure a thorough and uniform mixing of the aggregate with the precoat material or fluxing material shall not be used.

C. Drum Mix Plant

Unless otherwise indicated on the Drawings or if natural limestone rock asphalt is to be used, the Contractor may elect to use the drum-mixing process. The plant shall be adequately designed and constructed for the process of mixing aggregates and precoat material in the dryer-drum without preheating the aggregates. The plant shall be equipped with satisfactory conveyors, power units, aggregate-handling equipment and feed controls and shall consist of the following essential pieces of equipment.

1. Cold Aggregate Bin and Feed System

The number of compartments in the cold aggregate bin shall be equal to or greater than the number of stockpiles of individual materials to be used.

The bin shall be of sufficient size to store the amount of aggregate required to keep the plant in continuous operation and of proper design to prevent overflow of material from one compartment to another. There shall be vertical partitions meeting the requirements of article 340.4. (2) of TxDoT Specification Item 340, "Hot Mix Asphaltic Concrete Pavement". The feed system shall provide a uniform and continuous flow of aggregate in the desired proportion to the dryer. The Contractor shall furnish a chart indicating the calibration of each cold bin in accordance with the manufacturer's recommendations or in a method acceptable to the Engineer or designated representative.

The system shall provide positive weight (mass) measurement of the combined cold aggregate feed by use of belt scales or other approved devices. Provisions of a permanent nature shall be made for checking the accuracy of the measuring device, as required by TxDOT Specification Item 520, "Weighing and Measuring Equipment". When a belt scale is used, mixture production shall be maintained so that the scale normally operates between 50 percent and 100 percent of its rated capacity. Belt scale operation below 50 percent of the rated capacity may be allowed by the Engineer or designated representative if accuracy checks show the scale to meet the requirements of TxDOT Specification Item 520, "Weighing and Measuring Equipment", at the selected rate and it can be satisfactorily demonstrated to the Engineer or designated representative that mixture uniformity and quality have not been adversely affected.

2. Scalping Screen

A scalping screen shall be required, unless otherwise indicated on the Drawings and shall be located ahead of the combined aggregate belt scale.

3. Precoat Material Measuring System

An asphaltic material measuring device meeting the requirements of the TXDOT Item No. 520, "Weighing and Measuring Equipment", shall be placed

in the line leading to the drum mixer so that the accumulative amount of precoat material used can be accurately determined. Provisions of a permanent nature shall be made for checking the accuracy of the measuring device output. The measuring device and line to the measuring device shall be protected with a jacket of hot oil or other approved means to maintain the temperature of the line and measuring device near the temperature specified for the precoat material. The measuring system shall include an automatic temperature compensation device to maintain a constant percent by mass of precoating material in the mixture. Unless otherwise indicated, the temperature of the precoat material entering the measuring device shall be maintained at + 100 F (+60C) of the temperature at which the measuring set was calibrated and set.

4. Synchronization Equipment for Feed-Control Systems

The precoat material feed-control shall be coupled with the total aggregate weight (mass) measuring device in such a manner as to automatically vary the precoat material feed rate as required to maintain the required proportion.

5. Drum Mix System

The drum mix system shall be of the type that continually agitates the aggregate and precoat mixture during heating, and in which the temperature can be so controlled that aggregate and asphalt will not be damaged in the necessary drying and heating operations that are required to obtain a mixture at the specified temperature. A continuously recording thermometer shall be provided which will indicate the temperature of the mixture as it leaves the drum mixer.

6. Surge-Storage System

A surge-storage system will be required. It shall be adequate to minimize the production interruptions during the normal day's operations and shall be constructed to minimize segregation. A device such as a gob hopper or other similar devices approved by the Engineer or designated representative to prevent segregation in the surge-storage bin will be required.

7. Heating Equipment for Precoat Material and Fluxing Material

Heating equipment for precoat material and fluxing material shall be adequate to heat the amount of material required to the desired temperature. The material may be heated by steam coils, which shall be absolutely tight. Direct fire heating will be permitted, provided the heater used is manufactured by a reputable concern and there is positive circulation of the liquid throughout the heater. Agitation with steam or air will not be permitted. The heating apparatus shall be equipped with a recording thermometer with a 24-hour chart that will record the temperature of the precoat material of fluxing material where it is at the point of highest temperature.

302S.7 Storage, Proportioning and Mixing

A. Aggregate Storage

If the mineral aggregates are stored or stockpiled, they shall be handled in such a manner as to prevent segregation, mixing of the various materials or sizes and contamination with foreign materials. The grading of aggregates proposed for use and as supplied to the mixing plant shall be uniform. When directed by the Engineer or designated representative, aggregate materials shall not be added to stockpiles that have already been sampled for approval.

When asphalt cement is the precoating material, stockpile height shall be limited to approximately three (3) feet (one meter) immediately after production to limit the build up of heat. These stockpiles may be consolidated after cooling adequately, in the opinion of the Engineer or designated representative.

The use of limestone rock asphalt aggregate containing moisture in excess of the saturated surface-dry condition will not be permitted. Excess moisture will be evidenced by visual surface moisture on the aggregate or any unusual quantities of fines clinging to the aggregate.

B. Storage and Heating of Precoating Material or Fluxing Material

The precoating or fluxing material storage shall be ample to meet the requirements of the plant. The precoating materials shall not be heated in storage to a temperature in excess of 2500F (1200C) or the maximum temperature established in Standard Specification Item Number 301S, “Asphalts, Oils and Emulsions”. All equipment used in the storage and handling of precoat material or fluxing material shall be kept in a clean condition at all times and shall be operated in such manner that there will be no contamination with foreign matter.

C. Feeding and Drying of Aggregate

The feeding of various sizes of aggregate, other than natural limestone rock asphalt, to the dryer shall be done through the cold aggregate bin and proportioning device in such a manner that a uniform and constant flow of material in the required proportions will be maintained. The aggregate shall be heated to the temperature necessary to produce a mixture meeting the requirements of Article 302S.6.A.3 and 302S.7.

D. Proportioning

The proportioning of the various materials entering into the mixture shall be as directed by the Engineer or designated representative and in accordance with these specifications. Aggregate shall be proportioned by weight (mass) using the weigh box and batching scales herein specified when the weigh-batch type of plant is used and by volume using the aggregate proportioning device when the continuous mixer type of plant is used. The precoat material or fluxing material shall be proportioned by weight (mass) or by volume based on weight (mass) using the specified equipment.

E. Mixing

1. Batch Type Mixer

   In the charging of the weigh box and the charging of the mixer from the weigh box, such methods or devices shall be used as are necessary to secure a uniform mixture. In introducing the batch into the mixer, the mineral aggregate shall be introduced first; shall be mixed thoroughly, as directed, to uniformly distribute the various sizes throughout the batch before the precoat material or fluxing material is added; the precoat material or fluxing material shall then be added and the mixing continued until such time that the aggregate is properly coated. This mixing period may be varied, if in the opinion of the Engineer or designated representative the mixture is not uniform.

2. Continuous Type Mixer and Drum Mixer

   The amount of aggregate and precoat material or fluxing material entering the mixer and the rate of travel through the mixer shall be so coordinated that a uniform mixture of the specified grading and percent by weight (mass) of precoat material or fluxing material will be produced.

302S.8 Physical Properties of the Mixture

The materials shall be mixed at a central mixing plant and shipped ready for use. Mixes that do not remain workable over a sufficient period of time or do not maintain flow qualities such that the precoated aggregate may be satisfactorily spread by normal approved mechanical spreading devices will not be acceptable. Materials that are not uniformly and/or properly coated or fluxed, in the opinion of the Engineer or designated representative will not be accepted for use.

302S.9 Measurement and Payment

Aggregates and precoated aggregates provided in accordance with this specification will not be paid for directly but shall be included in the unit price bid for the item of construction in which this item is used.

End

SPECIFIC **CROSS REFERENCE MATERIALS**
Specification Item 302S "Aggregates for Surface Treatments"

City of Austin Standard Specifications

Designation	Description
Item No. 301S	Asphalts, Oils and Emulsions
Item No. 340S	Hot Mix Asphaltic Concrete Pavement

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges

Designation	Description
Item 520	Weighing and Measuring Equipment

Texas Department of Transportation: Manual of Testing Procedures

Designation	Description
Tex-200-F	Sieve Analysis of Fine and Coarse Aggregates
Tex-215-F	Determination of Asphalt Content of Rock Asphalt By Hot Solvent Method
Tex-217-F	Determination of Deleterious Material and Decantation Test For Coarse Aggregates
Tex-224-F	Determination of Flakiness
Tex-400-A	Method of Sampling Stone, Gravel, Sand and Mineral Aggregates
Tex-410-A	Abrasion of Coarse Aggregate Using the Los Angeles Machine
Tex-411-A	Soundness of Aggregate by Use of Sodium Sulfate or Magnesium Sulfate

Tex-438-A	Accelerated Polish Test for Aggregate
Tex-460-A	Determination of Crushed Face Particle

RELATED **CROSS REFERENCE MATERIALS**
Specification Item 302S "Aggregates for Surface Treatments"

City of Austin Standard Specifications

Designation	Description
Item No. 206S	Asphalt Stabilized Base
Item No. 210S	Flexible Base
Item No. 306S	Prime Coat
Item No. 307S	Tack Coat
Item No. 310S	Emulsified Asphalt Treatment
Item No. 311S	Emulsified Asphalt Repaving
Item No. 320S	Two Course Surface Treatment

City of Austin Standard Details

Designation	Description
1000S-10	Local Street Sections
1000S-11 (1)	Residential and Neighborhood collector Street Sections
1000S-11 (2)	Industrial and Collector Street Sections
1000S-12 (1)	Primary Collector Street Sections
1000S-12 (2)	Primary Arterial Street Sections
1000S-13 (1)	Minor Arterial Street Sections (4 Lanes)

1000S-13 (2)	Minor Arterial Street Sections- (4 Lanes divided)
1000S-14	Major Arterial Street

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges

Designation	Description
Item 300	Asphalts, Oils and Emulsions
Item 301	Asphalt Antistripping Agents
Item 310	Prime Coat (Cutback Asphaltic Materials)
Item 314	Emulsified Asphalt Treatment

Texas Department of Transportation: Manual of Testing Procedures

Designation	Description
Tex-126-E	Molding, Testing and Evaluation of Bituminous Black Base Materials
Tex-207-F	Determination of Density of Compacted Bituminous Mixtures

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ITEM NO. 306S - PRIME COAT 2-24-10

306S.1 - Description

This item shall govern the application of asphaltic material on the completed base course and/or other approved areas in accordance with the Drawings, these specifications or as directed by the Engineer or designated representative.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text, the inch-pound units are given preference followed by SI units shown within parentheses.

306S.2 - Submittals

The submittal requirements of this specification item include:

A. List of recommended materials (i.e. prime material, dispersal agent, etc.).

B. Temperature Viscosity data and proposed temperature of application.

C. Characteristics (i.e. manufacturer, rate of application, speed, etc.) of the proposed pressure distributor including calibration documentation.

D. List of facilities and equipment proposed for temperature measurements.

E. List of facilities and equipment proposed for storage and handling of asphaltic materials.

306S.3 - Materials

A. Asphalt Materials

The asphalt material for Prime Coat shall meet the requirements of Cutback Asphalt, MC-30, Emulsion, SS-1, Emulsion CSS-1 or AE-P, Standard Specification Item No. 301S, "Asphalts, Oils and Emulsions".

B. Water

Water shall be furnished by the Contractor and shall be clean and free from industrial wastes and other objectionable matter.

C. Dispersal Agent

Agent shall be added to water and sprayed on surfaces to be primed in accordance with asphalt manufacturer's recommendations.

306S.3 - Construction Methods

When, in the opinion of the Engineer or designated representative, the base course or other surface is satisfactory to receive the prime coat, the surface shall be prepared by sweeping or other approved methods as directed by the Engineer or designated representative. The surface shall be lightly sprinkled with water just prior to application of the asphaltic material unless this requirement is waived by the Engineer or designated representative. The Contractor shall submit a list of prime material(s) recommended for application on the work to the Engineer or designated representative for approval. When emulsions are approved, a dispersal agent shall be added to the water before sprinkling.

The asphaltic material shall be applied on the clean surface by an approved type of self-propelled pressure distributor operated so as to distribute the prime coat at a rate ranging from 0.1 to 0.3 gallons per square yard (0.45 to 1.36 liters per square meter) of surface area. The material shall be evenly and smoothly distributed under pressure sufficient to assure proper distribution. During the application of prime coat, care shall be taken to prevent splattering of adjacent pavement, curb and gutters or structures. The Contractor shall be responsible for cleaning all splattered areas.

Prime Coat may be applied when the temperature of the surface on which the prime coat is to be placed is 60°F (16°C) or above and the air temperature is above 50°F (10°C) and rising; the air temperature being taken in the shade and away from artificial heat. Asphaltic material shall not be placed when general weather conditions, in the opinion of the Engineer or designated representative, are not suitable.

The Contractor shall provide all necessary facilities and equipment for determining the temperature of the asphaltic material in all of the heating equipment and in the distributor, for determining the rate at which it is applied, and for securing uniformity at the junction of two (2) distributor loads.

The distributor shall have been calibrated within three (3) years from the date it is first used on this project. The Engineer or designated representative shall be furnished an accurate and satisfactory record of such calibration. After beginning the work, if the yield on the asphaltic material applied appears in error, the distributor shall be calibrated in a manner satisfactory to the Engineer or designated representative before proceeding with the work.

The Contractor shall be responsible for the maintenance of the surface until the work is accepted by the Engineer or designated representative. No traffic, hauling or placement of any subsequent courses shall be permitted over the freshly applied prime coat for a minimum of 48 hours or until the prime coat is accepted as dry and cured completely by the Engineer or designated representative.

All storage tanks, piping, retorts, booster tanks and distributors used in storing or handling asphaltic material shall be kept clean and in good operating condition at all times and they shall be operated in such a manner that there will be no contamination of the asphaltic material with foreign material. It shall be the responsibility of the Contractor to provide and maintain in good working order a recording thermometer at the storage heating unit at all times.

The Engineer or designated representative will approve the temperature of application based on the temperature-viscosity relationship that will permit application of the asphalt within the limits recommended in Standard Specification Item No. 301S, "Asphalts, Oils and Emulsions". The Contractor shall apply the asphalt at a temperature within 150F (80C) of the temperature specified in Standard Specification Item No. 301S, "Asphalt, Oils and Emulsions".

306S.5 - Measurement

The prime coat will be included in the unit price bid for Standard Specification Item No. 340S, "Hot Mix Asphaltic Concrete Pavement" unless included as a separate pay item in the contract. When included for payment, it shall be measured at point of delivery on the project in gallons (liters: 1 liter equals 0.264 gallons) at the applied temperature. The quantity to be paid for shall be the number of gallons used in the accepted prime coat.

306S.6 - Payment

The work performed and materials furnished as prescribed by this item, when included as a contract pay item, will be paid for at the unit bid price per gallon for "Prime Coat". The price shall include full compensation for cleaning the base course or other surface, for furnishing, heating, hauling and distributing the prime coat specified; for all freight involved and for all manipulations, labor, tools, equipment and incidentals necessary to complete the work.

Payment, when included as a contract pay item, will be made under:

Pay Item No. 306S:	Prime Coat	Per Gallon.

End

SPECIFIC CROSS REFERENCE MATERIALS	
Specification Item 306S "Prime Coat"	
City of Austin Standard Specifications	
Designation	Description
Item No. 301S	Asphalts, Oils and Emulsions
Item No. 340S	Hot Mix Asphaltic Concrete Pavement

RELATED CROSS REFERENCE MATERIALS	
Specification Item 306S "Prime Coat"	
City of Austin Standard Specifications	
Designation	Description
Item No. 206S	Asphalt Stabilized Base
Item No. 210S	Flexible Base
Item No. 307S	Tack Coat
Item No. 310S	Emulsified Asphalt Treatment
Item No. 311S	Emulsified Asphalt Repaving
Item No. 320S	Two Course Surface Treatment
City of Austin Standard Details	

Designation	Description
1000S-10	Local Street Sections
1000S-11 (1)	Residential and Neighborhood collector Street Sections
1000S-11 (2)	Industrial and Collector Street Sections
1000S-12 (1)	Primary Collector Street Sections
1000S-12 (2)	Primary Arterial Street Sections
1000S-13 (1)	Minor Arterial Street Sections (4 Lanes)
1000S-13 (2)	Minor Arterial Street Sections- (4 Lanes divided)
1000S-14	Major Arterial Street

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges

Designation	Description
Item 300	Asphalts, Oils and Emulsions
Item 301	Asphalt Antistripping Agents
Item 310	Prime Coat (Cutback Asphaltic Materials)
Item 314	Emulsified Asphalt Treatment
Item 345	Asphalt Stabilized Base (Plant Mixed)
Item 520	Weighing and Measuring Equipment

ITEM NO. 307S - TACK COAT 2-24-10

307S.1 - Description

This item shall govern the application of asphaltic material on completed base courses, existing pavement, bituminous surface, bridge deck, slab or prepared surface as indicated on the Drawings and as directed by the Engineer or designated representative. The application of asphaltic material on completed base courses shall only be applied after the prime coat has completely cured in accordance with Standard Specification Item No. 306S, "Prime Coat"

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text, the inch-pound units are given preference followed by SI units shown within parentheses.

307S.2 - Submittals

The submittal requirements of this specification item include:

A. List of recommended materials (i.e. tack coat material, sand type, etc.).

B. Temperature Viscosity data and proposed temperature of application.

C. Characteristics (i.e. manufacturer, rate of application, speed, etc.) of the proposed pressure distributor including calibration documentation.

D. List of facilities and equipment proposed for temperature measurements.

E. List of facilities and equipment proposed for storage and handling of asphaltic materials.

307S.3 - Materials

A. Asphalt Materials

The asphalt material for "Tack Coat" shall meet the requirements for Cutback Asphalt or Emulsified Asphalt, Standard Specification Item No. 301S, "Asphalts, Oils and Emulsions" as listed below. Cutback asphalt shall be made by combining 50 to 70 percent by volume of the asphaltic material as specified for the type of paving mixture with 30 to 50 percent by volume of gasoline and/or kerosene. The type of material shall be selected from the following table:

Temperature of Surface, °F (°C)	40—70°F (5 to 21°C)	Over 70°F (Over 21°C)
	RS-2	SS-1
	RS-2H	
	RC-250	MC-70
	CRS-2	CSS-1
	CRS-2H	CSS-1h

B. Water

Water shall be furnished by the Contractor and shall be clean and free from industrial wastes and other objectionable matter.

C. Sand

Sand may be Grade 1 conforming to Standard Specification Item No. 403S, "Concrete Structures" or washed sand, largely siliceous, with the following gradation:

Sieve Designation	Percent Retained by Weight (Mass) Natural Sand	
US		SI
No. 8	2.36 mm	0
No. 16	1.18 mm	0—40
No. 30	600mm	25—65
No. 50	300mm	65—85
No. 100	150mm	85—98
No. 200	75mm	98—100

There shall not be more than 50 percent of the aggregate retained between any 2 sieves listed above and not more than 25 percent of the aggregate retained between the No. 50 (300 µm) and the No. 100 (150 µm) sieves.

307S.4 - Construction Methods

Tack coat shall be applied when the surface on which the tack coat is to be placed is 600 F (160C) or above and the air temperature is above 500F (100C) and rising, where the air temperature is measured in the shade and away from any artificial heat. Asphaltic material shall not be placed when general weather conditions, in the opinion of the Engineer or designated representative, are not suitable.

Before the tack coat is applied, the surface shall be cleaned thoroughly to the satisfaction of the Engineer or designated representative. The asphaltic material shall be applied on the clean surface by an approved type of self-propelled pressure distributor, so operated as to distribute the tack coat at a rate not to

exceed 0.10 gallon per square yard (0.45 liters per square meter) of surface, evenly and smoothly with sufficient pressure to provide proper distribution.

In those instances where the pavement mixture will adhere to the surface on which it is to be placed without the use of a tack coat, the tack coat may be eliminated by the Engineer or designated representative. All contact surfaces of curbs and structures and all joints shall be cleaned thoroughly and painted with a thin uniform coat of the asphaltic material used for tack coat. The tack coat shall be rolled with a pneumatic tire roller to distribute the asphaltic material uniformly over the tacked area. During the application of tack coat, care shall be taken to prevent splattering of adjacent pavement, curb and gutters or structures. The Contractor shall clean splattered areas.

The distributor shall have been calibrated within three (3) years from the date it is first used on this project. The Engineer or designated representative shall be furnished an accurate and satisfactory record of such calibration. After beginning of the work, if the yield on the asphaltic material applied appears in error, the distributor shall be calibrated in a manner satisfactory to the Engineer or designated representative before proceeding with the work.

The Contractor shall provide all necessary facilities and equipment for determining the temperature of the asphaltic material in all of the heating equipment and in the distributor, for determining the rate at which it is applied, and for securing uniformity at the junction of two (2) distributor loads.

The Contractor shall be responsible for the maintenance of the surface until the HMAC is placed over the tack coat or the work is accepted by the Engineer or designated representative. No traffic, hauling or placement of any subsequent courses shall be permitted over the freshly applied tack coat unless it is blotted by the application of sand as directed by the Engineer or designated representative.

All storage tanks, piping, retorts, booster tanks and distributors used in storing or handling asphaltic material shall be kept clean and in good operating condition at all times and they shall be operated in such a manner that there will be no contamination of the asphaltic material with foreign material. It shall be the responsibility of the Contractor to provide and maintain in good working order a recording thermometer at the storage heating unit at all times.

The Contractor shall apply the asphalt at a temperature that will permit application of the asphalt within the limits recommended in Standard Specification Item No 301S, "Asphalts, Oils and Emulsions". The application temperature shall be within 150 F (80C) of 1600 F (710C).

307S.5 - Measurement

The asphaltic material for "Tack Coat" will be included in the unit price bid for Standard Specification Item 340S, "Hot Mix Asphaltic Concrete Pavement" unless included as a separate pay item in the contract. When included for payment, "Tack Coat" shall be measured at point of delivery on the project in gallons (liters: 1 liter equals 0.264 gallons) at the applied temperature. The quantity to be paid for shall be the number of gallons used.

307S.6 - Payment

The work performed and materials furnished as prescribed by this item, when included as a contract pay item, will be paid for at the unit bid price per gallon for "Tack Coat". The price shall include full compensation for cleaning the area to receive the "Tack Coat"; for furnishing, heating, hauling and distributing the tack coat specified; for all freight involved and for all manipulations, labor, tools, equipment and incidentals necessary to complete the work.

Payment, when included as a contract pay item, will be made under:

Pay Item No. 307S:	Tack Coat	Per Gallon.

End

SPECIFIC CROSS REFERENCE MATERIALS	
Specification Item 307S "Tack Coat"	
City of Austin Standard Specifications	
Designation	Description
Item No. 301S	Asphalts, Oils and Emulsions
Item No. 340S	Hot Mix Asphaltic Concrete Pavement

RELATED CROSS REFERENCE MATERIALS	
Specification Item 307S "Tack Coat"	
City of Austin Standard Specifications	
Designation	Description
Item No. 206S	Asphalt Stabilized Base
Item No. 210S	Flexible Base
Item No. 306S	Prime Coat
Item No. 310S	Emulsified Asphalt Treatment
Item No. 311S	Emulsified Asphalt Repaving
Item No. 320S	Two Course Surface Treatment

City of Austin Standard Details	
Designation	Description
1000S-10	Local Street Sections
1000S-11(1)	Residential and Neighborhood collector Street Sections
1000S-11(2)	Industrial and Collector Street Sections
1000S-12(1)	Primary Collector Street Sections
1000S-12(2)	Primary Arterial Street Sections
1000S-13(1)	Minor Arterial Street Sections (4 Lanes)
1000S-13(2)	Minor Arterial Street Sections- (4 Lanes divided)
1000S-14	Major Arterial Street

Texas Department of Transportation: Standard Specifications for Construction And Maintenance of Highways, Streets, and Bridges	
Designation	Description
Item 300	Asphalts, Oils and Emulsions
Item 301	Asphalt Antistripping Agents
Item 310	Prime Coat (Cutback Asphaltic Materials)
Item 314	Emulsified Asphalt Treatment
Item 345	Asphalt Stabilized Base (Plant Mixed)
Item 520	Weighing and Measuring Equipment

ITEM NO. 313S - CLEANING AND/OR SEALING JOINTS AND CRACKS (ASPHALTIC CONCRETE) 2-24-10

313S.1 - Description

This item shall govern the cleaning and/or sealing of joints and cracks that are 1/16 inch (1.5 mm) or greater in asphaltic concrete pavement in conformity to the lines, grades and details indicated on the Drawings or as established by the Engineer or designated representative.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text, the inch-pound units are given preference followed by SI units shown within parentheses.

313S.2 - Submittals

The submittal requirements of this specification item include:

A. Sealant Type (Polymer Modified Emulsion, Rubber-Asphalt or Self-Leveling Low Silicone) and method of application (crack sealing, joint sealing, squeegee, etc),

B. Manufacturer certification that the product to be supplied meets or exceeds the specification requirements,

C. Manufacturer recommended procedures for preparation, dispensing, application, curing etc of the sealant, and

D. Listing of the equipment proposed for the Work.

313S.3 - Materials

Joints and/or cracks shall be sealed with the materials indicated on the Drawings. The materials shall meet the requirements shown below:

Material	Specification	Recommended Use
Polymer Modified Emulsion	301S;Subarticle 301S.3.I.1	Fine Cracks 1/16 to 1/8 in (1.5 to 3 mm)
Rubber-Asphalt Crack Sealing Compound	301S; Subarticle 301S.3.I.2	Cracks: ≥1/8 inches (≥ 3.2 mm)
Self-Leveling Low Modulus Silicon	Class 5, TxDot DMS-6310	Joints

Fine aggregate used to cover the crack-sealing compound shall meet with the approval of the Engineer or designated representative.

The sealing compound shall be delivered in the manufacturer's original sealed containers. Each container shall be legibly marked with the name of the manufacturer, the trade name of the sealer, the manufacturer's batch number or lot, the pouring temperature, and the safe heating temperature.

313S.4 - Equipment

Equipment, tools and machinery necessary for proper prosecution of the Work shall be on the project and shall be approved by the Engineer or designated representative prior to the initiation of the joint and crack cleaning and sealing operations

313S.5 - Heating and Application Equipment

A. Polymer Emulsified Emulsion

Polymer Emulsified Emulsion may be heated in a conventional asphalt distributor or in an asphalt heater equipped with an agitator to insure that the emulsified asphalt is circulated during the heating process and achieves a uniform temperature rise. Temperature gauges shall be provided at strategic locations to enable the operator to accurately control the temperature of the emulsion to avoid overheating the material. The unit shall be equipped with a gear-driven asphalt pump with adequate pressure to dispense the emulsion in joints and cracks.

B. Rubber-Asphalt Crack Sealing Compound

The sealant shall be heated in a double jacketed heater using a heat transfer oil so that no direct flame comes in contact with the shell of the vessel containing the sealing compound. The heater reservoir shall be equipped with an agitator to insure that the sealing compound is circulated during the heating process to achieve a uniform temperature rise and to maintain the desired temperature. Accurate temperature gauges and positive temperature controls shall be provided to monitor the temperature of the vessel contents and prevent overheating the material. The heater shall be equipped with a gear-driven asphalt pump with adequate pressure to dispense the rubber-asphalt crack sealing compound.

C. Self-Leveling Low Modulus Silicone

The sealant shall be prepared and dispensed using the manufacturer's recommended equipment.

313S.6 - Joint and Crack Cleaning Equipment

All equipment used in cleaning joints and cracks shall be capable of delivering a sufficient volume of filtered air, free of oil, water or other contaminants, to insure the removal of all loose debris from the joints or cracks to be sealed.

When specified on the Drawings, joints shall be routed. The router shall be of sufficient size to rout the joints to the widths and depths shown on the Drawings.

313S.7 - Construction Methods

The bonding surface of cracks and joints shall be cleaned of infiltrated material with compressed air or other methods approved by the Engineer or designated representative to a depth at least twice the joint or crack width. When routing of the joints is indicated on the Drawings, the joints shall be routed and blown clean with filtered compressed air. All material removed from joints and cracks shall be removed from the paved surface of the roadway.

No sealing of any joints or cracks shall be done when the joints or cracks are damp, unless drying of the joints and cracks with compressed air can be demonstrated and meets with the approval of the Engineer or designated representative.

The joint or crack sealing material shall be applied using a pressure nozzle. Polymer modified emulsion and rubber- asphalt crack sealing compound shall penetrate and completely fill each crack and/or joint. All cracks and/or joints filled with these materials shall be squeegeed. The amount of sealing compound used shall be limited so that after the squeegee has been applied, the finished band shall be no more than 1½ inches (38 mm) wide and shall not exceed a depth of 1/8 in. (3.2 mm) above the pavement surface.

Self-leveling low modulus silicone joint sealing compound shall be applied so that it penetrates the joint and fills so that the top of the sealant shall be ¼ to 3/8 inch (6.4 to 9.5 mm) below the pavement surface.

When directed by the Engineer or designated representative, a light coating of fine aggregate shall be applied to the cracks and joints before opening to traffic to prevent tracking.

When the number of cracks is so great that crack sealing in the manner described previously is impractical, the area shall be squeegee sealed. Areas to be squeegee sealed shall be indicated on the Drawings or established by the Engineer or designated representative. When all cracks in the area have been cleaned, the crack sealing material shall be applied and the excess shall be squeegeed over the area between the cracks. All polymer modified emulsion or hot poured rubber squeegee sealed areas shall be covered immediately after application with a light coating of fine aggregate.

313S.8 - Measurement

Accepted work performed under this item shall be included in the unit price bid for other pay items and will not be measured and paid for unless a separate pay item is provided in the contract documents.

If a pay item is included in the contract documents, acceptable work for "Polymer Modified Emulsion", "Rubber Asphalt Joint and Crack Sealer" or "Self-leveling Low Modulus Silicone" shall be measured by the linear foot (meter: 1 meter equals 3.281 feet) of cracks sealed.

If a pay item is included in the contract documents, acceptable work for "Polymer Modified Emulsion", "Rubber Asphalt Joint and Crack Sealer" or "Self-leveling Low Modulus Silicone" shall be measured by the pound (kilograms: 1 kilogram equals 2.205 pounds) of crack sealer used.

If a pay item is included in the contract documents, acceptable work for Squeegee seal with "Polymer Modified Emulsion" or "Rubber Asphalt Joint and Crack Sealer" shall be measured by the square yard (square meter: 1 square meter equals 1.196 square yards) of surface area sealed. The square yard (square meter) calculations will be based on neat dimensions of the sealed area

313S.9 - Payment

When included as a pay item in the contract documents, the work performed and materials furnished as provided by this item and measured in accordance with Article 313S.8, "Measurement", will be paid for at the appropriate unit bid price bid. The unit bid prices shall include full compensation for cleaning and, if necessary, routing the crack/joint; furnishing, heating, hauling, and placing the crack sealer; all freight involved and all manipulations, labor, tools, equipment and incidentals necessary to complete the work.

Payment, when included as a contract pay item, will be made under:

Pay Item No. 313S-A:	Polymer Modified Emulsion Joint and Crack Sealer	Per Lineal Foot.
Pay Item No. 313S-B:	Rubber Asphalt Joint and Crack Sealer	Per Lineal Foot.
Pay Item No. 313S-C:	Self-leveling Low Modulus Silicone joint and Crack Sealer	Per Lineal Foot.
Pay Item No. 313S-	Polymer Modified Emulsion Joint and Crack Sealer	Per Pound of Sealer Used

D:		
Pay Item No. 313S-E:	Rubber Asphalt Joint and Crack Sealer	Per Pound of Sealer Used.
Pay Item No. 313S-F:	Self-leveling Low Modulus Silicone joint and Crack Sealer	Per Pound of Sealer Used.
Pay Item No. 313S-G:	Polymer Modified Emulsion Squeegee Sealing	Per square yard.
Pay Item No. 313S-H:	Rubber Asphalt Squeegee Sealing	Per square yard.

End

SPECIFIC CROSS REFERENCE MATERIALS	
Item No. 313S, "Cleaning and/or Sealing Joints and Cracks (Asphaltic Concrete)"	
City of Austin Standard Specifications	
Designation	Description
Item No. 301S	Asphalts, Oils and Emulsions
Texas Department of Transportation: Departmental Materials Specifications	
Designation	Description
DMS 6310	Joint Sealants and Seals

RELATED CROSS REFERENCE MATERIALS

Item No. 313S, "Cleaning and/or Sealing Joints and Cracks (Asphaltic Concrete)"	
City of Austin Standard Specifications	
Designation	Description
Item No. 413S	Cleaning and/or Sealing Joints and Cracks (Portland Cement Concrete)
Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges	
Designation	Description
Item No. 300	Asphalts, Oils and Emulsions
Item No. 352	Cleaning and/or Sealing Joints and Cracks (Asphaltic Concrete)
Item No. 433	Joint Sealants and Fillers
Item No. 438	Cleaning and/or Sealing Joints and Cracks (Portland Cement Concrete)

ITEM NO. 340S - HOT MIX ASPHALTIC CONCRETE PAVEMENT 9-26-12

340S.1 - Description

This item shall govern base, level up, and pavement surface courses composed of a compacted mixture of aggregate and asphaltic cement mixed hot in a mixing plant. The hot mix asphaltic (HMA) concrete pavement shall be constructed on a previously completed and approved subgrade, subbase material, base material, concrete slab or existing pavement.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text and accompanying tables, the inch-pound units are given preference followed by SI units shown within parentheses.

340S.2 - Submittals

The submittal requirements of this specification item may include:

A. A mix design submittal including the plant corrected Job Mix Formula (JMF) for the hot mix asphaltic concrete.

B. Certification that the aggregate materials meet appropriate quality requirements.

C. Particle-size gradation and specific gravity tests on all aggregate materials.

D. Certification that the asphalt cement for paving materials meet appropriate quality requirements.

340S.3 - Materials

The Contractor shall furnish materials to meet the requirements specified herein and shall be solely responsible for the quality and consistency of the product delivered to the Project.

A. Aggregate: The aggregate shall be composed of coarse aggregate, a fine aggregate and, if required or allowed, mineral filler and reclaimed asphalt pavement (RAP). RAP use will be allowed in all base course mixtures except as specifically excluded herein, in the Contract Documents or on the Drawings, provided no more than 20% RAP is used.

   RAP use will not be permitted in pavement surface courses.

   Aggregates shall meet the quality requirements of Table 1 and other requirements as specified herein. The aggregate contained in RAP will not be required to meet Table 1 requirements unless indicated otherwise on the Drawings.

   1. Coarse Aggregate: Coarse aggregate is defined as that part of the aggregate retained on the No. 10 (2.00 mm) sieve and shall consist of clean, tough, durable fragments of crushed stone or crushed gravel of uniform quality throughout.

      Gravel from each source shall be crushed to the extent that it has a minimum of 85% of the particles retained on the No. 4 (4.75 mm) sieve with two or more mechanically induced crushed faces as determined by TxDOT Test Method TEX-460-A (Part I). The material passing the No. 4 (4.75 mm) sieve and retained on the No. 10 (2.00 mm) sieve must be the produced from crushing aggregate that was originally retained on the No. 4 (4.75 mm) sieve.

   2. Reclaimed Asphalt Pavement (RAP): RAP is defined as a salvaged, milled, pulverized, broken or crushed asphaltic pavement. The RAP to be used in the mix shall be crushed or broken to the extent that 100 percent will pass the 2-inch (50 mm) sieve.

      The RAP shall be stockpiled in such a manner that assures that it will not become contaminated by dirt or other objectionable materials. Unless indicated otherwise on the Drawings, stockpiled, crushed RAP must not exhibit a decantation more than 5 percent or a plasticity index more than

8, when tested in accordance with TxDOT Test Method Tex-406-A, Part I, or Test Method Tex-106-E, respectively.

3. Fine Aggregate: Fine aggregate is defined as that part of the aggregate passing the No. 10 (2.00 mm) sieve and shall be of uniform quality throughout. A maximum of 15 percent of the total aggregate may be field sand or other uncrushed fine aggregate.

   Screenings shall be supplied from sources whose coarse aggregate meets the abrasion and magnesium sulfate soundness loss requirements shown in Table 1.

   a. Unless indicated otherwise on the Drawings, stone screenings, which are the product of a rock crushing operation, are required and shall meet the following gradation requirements when tested in accordance with TxDOT Test Method Tex-200-F, Part I.

Material	Percent by Weight (Mass)
Passing 3/8 inch (9.50 mm) sieve	100
Passing No. 10 (2.00 mm) sieve	70—100
Passing No. 200 (75 µm) sieve	0—15

   b. Crushed gravel screenings may be used with, or in lieu of, stone screenings only when indicated on the Drawings. Crushed gravel screenings must be the product of crushing aggregate that was originally retained on the No. 4 (4.75 mm) sieve and must meet the gradation for stone screenings shown above.

4. Mineral Filler: Mineral filler shall consist of thoroughly dried stone dust, Portland cement, fly ash, lime or other mineral dust approved by the Engineer or designated representative. The mineral filler shall be free from foreign matter.

   Portland cement manufactured in a cement kiln fueled by hazardous waste shall be considered as an approved product if the production facility is authorized to operate under regulation of the Texas Natural Resource Conservation Commission (TNRCC) and the U. S. Environmental Protection Agency (EPA). Supplier shall provide current TNRCC and EPA authorizations to operate the facility.

   Fly ash obtained from a source using a process fueled by hazardous waste shall be considered as an approved product if the production facility is authorized to operate under regulation of the Texas Natural Resource Conservation Commission (TNRCC) and the U. S. Environmental Protection Agency (EPA). Supplier shall provide current TNRCC and EPA authorizations to operate the facility.

   The addition of baghouse fines or other collected fines will be permitted if the mixture quality is not adversely affected in the opinion of the Engineer or designated representative. In no case shall the amount of material passing the No. 200 (75 µm) sieve exceed the tolerances of the job-mix formula or the master gradation limits.

When tested by TEX-200-F (Part I or Part III, as applicable), the mineral filler shall meet the following gradation requirements. Baghouse fines are not required to meet the gradation requirements.

Material	Percent by Weight (mass)
Passing No. 30 (600 µm) Sieve	95—100
Passing No. 80 (187.5 µm) Sieve, not less than	75
Passing No. 200 (75 µm) Sieve, not less than	55

TABLE 1: AGGREGATE QUALITY REQUIREMENTS *

Requirement	Test Method	Amount
COARSE AGGREGATE		
Deleterious Material, percent, maximum	Tex-217-F, I	1.5
Decantation, percent, maximum	Tex-217-F, II	1.5
Los Angeles Abrasion, percent, maximum	Tex-410-A	40
Magnesium Sulfate Soundness Loss 5 cycle, percent, maximum	Tex-410-A	30
FINE AGGREGATE		
Linear Shrinkage, maximum	Tex-107-E, II	3

COMBINED AGGREGATES		
Sand Equivalent Value, minimum	Tex-203-F	45

* - Aggregates, without added mineral filler or additives, combined as used in the job-mix formula (Plant Corrected).

B. Asphaltic Material:

1. Paving Mixture: Asphalt cement for the paving mixture shall conform to the requirements of Standardl Specification Item No. 301S, "Asphalts, Oils and Emulsions", for AC-20 or PG64-22, Styrene (SBS) Modified Asphalt Cement, AC-SBS Blend AC-45P or PG76-22S, unless otherwise indicated in the Project Documents.

2. Tack Coat: Tack Coat shall conform to Standard Specification Item No. 307S, "Tack Coat".

C. Additives: Additives to facilitate mixing and/or improve the quality of the asphaltic mixture or tack coat may be used with the authorization of the Engineer or designated representative. The Contractor may choose to use either lime or a liquid anti-stripping agent to reduce moisture susceptibility of the aggregate.

340S.4 - Paving Mixtures

An asphalt mixture design is developed by a laboratory process, which includes the determination of the quality and quantity of the asphalt cement and the individual aggregates, and the testing of the combined mixture (Laboratory Design). The Laboratory Design is subsequently revised to produce an appropriate job mix formula.

The job mix formula (JMF) lists the quantity of each component to be used in the mix after the laboratory design has been adjusted by running it through a particular plant (i.e. the mix design is Plant Corrected). The JMF will be the standard to which the Acceptance Plan will be applied. The JMF of one drum or batching unit shall not be used for another unit.

The Contractor shall submit to the Engineer on forms provided by the Engineer or designated representative, an asphalt mixture design reviewed, signed and sealed by a Registered Professional Engineer licensed in the State of Texas or certified by a TxDOT Level II Certified Asphalt Technician. An asphalt mixture design shall be submitted for a comprehensive review every two (2) years. Mix designs older than one year will not be accepted without a review of current test data of the proposed materials and current mix design to ensure that the materials meet specification requirements.

The JMF (Plant Corrected) shall be submitted to the Engineer or designated representative on a form provided by the Engineer through the Construction Inspector or Project Manager of the Project for review, for each individual Project, a minimum of three (3) working days before the mixture is to be placed. Under no circumstances will a mixture be placed before its use is reviewed and approved by the Engineer or designated representative.

Performance of the mix design shall remain the responsibility of the Contractor.

A. Mixture Design: The mix shall be designed in accordance with TxDOT Construction Bulletin C-14 and Test Method Tex-204-F to conform with the requirements herein. The master grading limits of the appropriate type and the JMF will be plotted on a graduated chart with sieve sizes raised to the 0.45 power and will be submitted to the Engineer or designated representative with the asphalt mixture design.

The Bulk Specific Gravity of aggregates in RAP will be determined on extracted aggregates.

B. Types: The blend of coarse aggregate, fine aggregate, and mineral filler, if allowed, that is established by TxDOT Test Method Tex-200-F, Dry Sieve Analysis, shall conform to the master gradation shown in Table 2 for the type of specified mixture. The voids in the mineral aggregate (VMA) will be determined as a mixture design requirement only, in accordance with TxDOT Test Method Tex-207-F, and shall not be less than the value indicated in Table 2.

TABLE 2: Master Grading - Percent Passing by Weight (Mass) or Volume

Sieve Size US (SI)	Type A Coarse Base	Type B Fine Base	Type C Coarse Surface	Type D Fine Surface	Type F Fine Mixture
1½″ (37.5 mm)	100				
1¼″ (31 mm)	95—100				
1″ (25 mm)		100			
7/8 ″ (22 mm)	70—90	95—100	100		
5/8 ″ (15.5 mm)		75—95	95—100		
½″ (12.5 mm)	50—70			100	
3/8 ″ (9.5 mm)		60—80	70—85	85—100	100
¼″ (6.25 mm)					95—100
No. 4 (4.75 mm)	30—50	40—60	43—63	50—70	
No. 10 (2.00 mm)	20—34	27—40	30—40	32—42	32—42
No. 40 (425 µm)	5—20	10—25	10—25	11—26	9—24
No. 80 (187.5 µm)	2—12	3—13	3—13	4—14	3—13
No. 200 (75	1—6*	1—6*	1—6*	1—6*	1—6*

µm)					
VMA % minimum	11	12	13	14	15
Rec. Min. Lift	3″ (75 mm)	2″ (50 mm)	1¾″ (70 mm)	1″ (50 mm)	¾″ (20 mm)

C. Tolerances: Fluctuations in the aggregate gradation and asphalt content of the Job Mix Formula (JMF) shall not vary by more than the following criteria but the aggregate gradation shall be limited to the range of the master gradation as established by TEX-210-F.

SIEVES	**Percent By Weight (Mass)**
2″ "(50 mm) Sieve through No. 10" (2.00 mm) Sieve	±5.0
No. 40 (425 µm) through No. 200 (75 µm) Sieve	±3.0
Asphalt Content	±0.5

D. Stability and Density: The mixture shall be designed at or near optimum density, as indicated on the Drawings, to conform to the following percent of Maximum Theoretical Density as measured by TxDOT Test Method TEX-227-F and Stability conforming to TxDOT Test Method TEX-208-F. The laboratory mixture shall be molded in accordance with TxDOT Test Method TEX-206-F and the Bulk Specific Gravity determined in accordance with TxDOT Test Method TEX-207-F.

	Optimum Laboratory Density (%)		**Laboratory Density (%)**		**Stability**
			Min.	**Max.**	
Local Streets Surface Courses	96	94.5	97.5	35 Min.	
Collectors & Arterials Surface Courses	96	94.5	97.5	40—60	
All Base Courses	96	94.5	97.5	35 Min.	

E. Job Mix Formula Field Adjustments: The Contractor shall produce a mixture of uniform composition closely conforming to the reviewed JMF, that falls within the limits of the tolerances given above and the Acceptance Plan.

If it is determined by the City of Austin that adjustments to the JMF are necessary to achieve the specified requirements, the Engineer or designated representative may allow adjustments of the JMF within the following limits without a laboratory redesign of the mixture. The adjusted JMF shall not exceed the master grading criteria for the type of mixture specified. The proposed JMF adjustments shall not exceed 5 percent on any one sieve, ½-inch (12.5 mm) size and larger, or 3 percent on the sieve size below the ½-inch (12.5 mm) sieve of the JMF (Plant Corrected) reviewed for the Project.

When the proposed adjustments exceed either the 5 or 3 percent limits, and the Engineer or designated representative determines that the impact of these changes may adversely affect pavement performance, a new laboratory mixture design will be required.

The asphalt content may be adjusted with the concurrence of the Engineer or designated representative to maintain desirable laboratory density near the optimum value while achieving other mix requirements. However, increasing the asphalt content of the mixture in order to reduce pavement air voids will not be allowed. Also, if the percent air voids is determined to be less than 4 percent, adjustments shall be made to the plant production by the Contractor, within the tolerances as outlined above, so that an adequate air void level is attained.

340S.5 - Equipment

The trucks that deliver the hot mix asphalt concrete material to the project shall be of sufficient number to insure a continuous paving operation. All equipment used for the production, placement and compaction of the mixture shall be maintained in good repair and operating conditions to the satisfaction of the Engineer or designated representative. All equipment shall be made available for inspection. If the Engineer or designated representative expresses concern about the condition of any equipment, it shall not be used until it is repaired to the satisfaction of the Engineer or designated representative.

A. Mixing Plants: Plants may be of the weigh-batch type, the modified weigh-batch type or drum-mix type equipped with suitable material conveyers, power units, mixing equipment, aggregate proportioning devices, dryers, bins, dust collectors and sensing and recording devices as appropriate for the mixing plant type. The mixing plants shall meet the requirements specified in Section 340.4, 'Equipment' of TxDOT Specification Item No. 340, "Hot Mix Asphaltic Concrete Pavement".

B. Spreading and Finishing Paving Machine: The paving machine shall be self-propelled and equipped with a heated compacting screed capable of producing a finish surface meeting the requirements of the street cross-section indicated on the Drawings and all surface criteria. Extensions to the screed shall have the same heating and compacting capabilities as the primary unit, except for use on variable depth tapered areas and/or as approved by the Engineer or designated representative.

The paving machine shall be equipped with an approved automatic dual longitudinal screed control system and an automatic transverse screed control system. The longitudinal controls shall be capable of operating from any longitudinal grade reference including a string line, ski, mobile string line or matching shoe. Unless indicated otherwise on the Drawings, the Contractor may use any one of these grade references. The selected grade reference equipment shall be maintained in good operating condition by personnel trained in the use of the specific type of equipment.

The Contractor shall furnish all labor and equipment required for establishing and maintaining appropriate grade reference.

C. Rollers: The Contractor shall select rollers conforming to Item 230S, "Rolling (Flat Wheel)" and Item 232S, "Rolling (Pneumatic Tire)". Rollers that do not conform to these requirements shall be immediately removed from the Project.

D. Motor Grader: A self-propelled power motor grader may only be used when its use is approved by the Engineer or designated representative. It shall have a blade of not less than 12 feet (3.66 meters) and a wheelbase of not less than 16 feet (4.88 meters). Smaller graders may be used for small irregular areas when approved by the Engineer or designated representative.

E. Material Transfer Equipment: Equipment for transferring the HMA mixture from the hauling units or the roadbed to the spreading and finishing machine will be allowed unless indicated otherwise on the Drawings.

Windrow pick-up equipment, if permitted by the Engineer or designated representative, shall be constructed in such a manner that substantially all of the HMA mixture deposited on the roadbed is picked up and loaded into the spreading and finishing machine. The HMA mixture shall not be contaminated with foreign material. The loading equipment shall be designed so that it does not interfere with the spreading and finishing machine in obtaining the required line, grade and surface without resorting to hand finishing.

F. Straightedges and Templates: The Contractor shall provide a ten-foot (3.05 meter) straightedge acceptable to the Engineer or designated representative for surface testing. Satisfactory templates shall be provided as required by the Engineer or designated representative.

340S.6 - Stockpiling Aggregates

Aggregates shall be stockpiled to facilitate blending. When the aggregate is not stockpiled on a hard, non-contaminant base, the bottom six-inch (150 mm) depth of the stockpiles shall not be used in asphaltic mixtures. Where space is limited at the plant site, the aggregate stockpiles shall be separated by walls or other appropriate barriers.

Aggregates shall be stockpiled and handled in a manner that will insure minimization of segregation and contamination. Aggregate and RAP stockpiles shall only contain material from a single source.

340S.7 - Mixture Temperature

The Contractor shall select a target temperature for discharge of the HMA mixture from the mixer between 250°F (120°C) and 350°F (176°C) that is suitable to weather and Project conditions. The target temperature shall be reported to the Engineer or designated representative daily and recorded in the Daily Progress Report. The HMA mixture temperature shall not vary by more than 25°F (14°C) from the target temperature for discharge from the mixer. HMA mixtures that are discharged from the mixer at a temperature exceeding 360°F (182°C) or a temperature more than 50°F (28°C) below the target temperature shall not be accepted and shall not be placed on the Project.

340S.8 - Mixture Storage

A surge-storage system may be used to minimize production interruptions during a normal day of operation. When approved by the Engineer or designated representative, overnight storage of HMA mixture in insulated storage bins may be used provided that material temperature and physical properties of the HMA mixture are not adversely affected. HMA mixtures that include hardened lumps shall not be used. Stored HMA mixtures shall not be exempt from any requirements provided in this specification.

When a surge-storage system is used, it shall be equipped with a device such as a gob hopper or other device approved by the Engineer or designated representative to prevent segregation in the surge-storage bin.

340S.9 - Mixture Moisture Content

Hot mix asphalt (HMA) mixtures produced from any plant shall not have a moisture content in excess of 1 percent by weight (mass) when discharged from the mixer. The moisture content shall be determined in

accordance with TxDOT Test Method Tex-212-F, Part II, except that the sample shall be left in the oven a total of not less than four (4) hours.

340S.10 - Construction Methods

A. General: The Contractor shall be responsible for the production, transportation, placement and compaction of the specified HMA paving mixture to the requirements of this specification. The Contractor shall also be responsible for providing a safe environment for inspection personnel to inspect the equipment and to acquire samples.

All hot mix asphalt concrete pavement surface courses shall be placed with a spreading and finishing (lay-down) machine only. All hot mix asphalt concrete pavement base layers with the possible exception of the first lift of the base layer shall also be placed with a spreading and finishing (lay-down) machine. Longitudinal pavement joints shall be located under the proposed lane lines. Density tests shall be taken prior to opening to traffic.

The first lift of a base layer may be placed with a motor grader if approved in advance by the Engineer or designated representative. The loose measure thickness of this first lift shall not exceed 6 inches (150 mm). If placed with a motor grader, the first lift shall achieve a minimum in-place relative density of 89% as determined by TxDOT test procedures TEX-207-F and TEX-227-F. All subsequent lifts should be placed with a spreading and finishing (lay-down) machine and shall be subject to the requirements of Section 340S.12, "Acceptance Plan". Density tests will be taken randomly to confirm compliance with the specification requirements.

For hot mix asphalt overlays, an automatic screed shall be used with outriggers.

Any material delivered to the Project that by visual inspection can reasonably be expected not to meet specification requirements (i.e. segregated or burned material, deficient or excess asphalt, low mixing temperature, visible contaminants, etc.), as determined by the Engineer or designated representative, shall not be used or left in place.

Equipment shall be inspected prior to use and, if found to be defective or in an operating condition that could potentially affect the quality of the finished pavement, as determined by the Engineer or designated representative, its use shall not be allowed. Leakage of fuels, oils, grease, hydraulic or brake fluids or other contaminants onto the prepared surface or newly-laid HMA layer will not be allowed and may require replacement of the affected pavement area.

The HMA paving mixture, when placed with a spreading and finishing machine, shall not be placed when the air temperature is below 50°F (10°C) and is falling, but it may be placed when the air temperature is above 40°F (4°C) and is rising.

The paving mixture, when used as a level-up course or when spread with a motor grader, shall not be placed when the air temperature is below 60°F (15°C) and is falling, but it may be placed when the air temperature is 50°F (10°C) and is rising. An HMA layer with a thickness of 1½ inches (37.5 mm) and less shall not be placed when the temperature of the surface on which the layer is to be placed is below 50°F (10°C). The temperature shall be taken in a shaded area away from artificial heat.

Additional surface temperature requirements may be included in the Contract Documents or indicated on the Drawings.

Surfaces to be paved shall be finished, primed, cured, broomed and tacked, as appropriate, to the satisfaction of the Engineer or designated representative. If the surface on which the first course of the paving mixture is to be placed is a flexible base course, and a cut-back asphalt is to be used as a prime coat, the flexible base shall have been primed and cured a minimum of 24 hours before the paving mixture may be placed. The 24-hour restriction will not apply to a flexible base that has been

primed with material other than a cutback. However, the surface on which the tack coat and/or paving mixture are to be placed shall be in a dry condition.

Pavement shall be opened to traffic as soon as possible after temporary pavement markings or permanent markings are in place as indicated on the Drawings) or as directed by the Engineer or designated representative. Construction traffic allowed on pavements open to the public will be subject to all laws governing traffic on streets and highways.

B. Tack Coat: The surface upon which the tack is to be placed shall be cleaned thoroughly to the satisfaction of the Engineer or designated representative. The surface shall be given a uniform application of tack coat as governed by Standard Specification Item No. 307S, "Tack Coat". The tack coat shall be applied, as directed by the Engineer or designated representative, with an approved sprayer at a rate not to exceed 0.05 gallons per square yard. (0.225 liters per square meter) of surface area. Where the paving mixture will adhere to the surface on which it is to be placed without the use of a tack coat, the tack coat may be eliminated when approved by the Engineer or designated representative. All contact surfaces of curbs, castings and all structures and all joints shall be painted with a thin uniform application of tack coat.

During the application of tack coat, care shall be taken to prevent splattering of adjacent pavement, curb and gutter and structures. Before the Work can be accepted, all splatter shall be removed by the Contractor at the Contractor's expense.

C. Transporting Hot Mix Asphaltic (HMA) Concrete: The HMA mixture shall be hauled to the Work site in tight vehicles that were previously cleaned of all foreign material. Dispatching of the vehicles shall normally be arranged so that all material delivered is placed and all rolling completed during daylight hours. Nighttime paving may be allowed, when approved in advance by the Engineer or designated representative.

In cool weather or for long hauls, truck bodies containing the HMA mixture shall be covered.

If necessary, to prevent the HMA mixture from adhering to the truck body, the inside of the truck may be given a light coating of a release agent satisfactory to the Engineer or designated representative.

D. HMA Placement: The HMA mixture shall be dumped and spread on the approved prepared surface with the spreading and finishing machine. When properly compacted, the finished pavement shall be smooth, of uniform texture and density and shall meet the requirements of the typical cross sections and the surface tests. In addition the placement of the HMA mixture shall be done without tearing, shoving, gouging or segregating the mixture and without producing streaks in the HMA layer.

Discharge of the HMA mixture into the finishing machine shall be controlled so that the spreading and finishing machine is not bounced or jarred and the required lines and grades shall be obtained without resorting to hand finishing except as permitted below in this Section.

Unless indicated otherwise on the Drawings, dumping of the HMA material in a windrow and then placing the HMA mixture in the finishing machine with windrow pick-up equipment will be permitted provided the temperature of the HMA mixture does not drop more than 50°F (28°C) below the target temperature before being placed by the finishing machine.

Under no circumstances will the HMA material be permitted to be dumped on or near the job site and then reloaded for hauling to the site of placement. Exceptions may be allowed if approved by the Engineer or designated representative.

The windrow pick-up equipment shall be operated in such a manner that substantially all the mixture deposited on the roadbed or prepared surface is picked up and loaded into the finishing machine without contamination by foreign material. The windrow pick-up equipment will also be so operated that the finishing machine will obtain the required line, grade and surface without resorting to hand finishing. Any operation of the windrow pick-up equipment resulting in accumulation and subsequent shedding of accumulated material into the HMA mixture will not be permitted.

When approved by the Engineer or designated representative, level-up courses may be spread with a motor grader that meets the requirements of this specification item.

The spreading and finishing machine shall be operated at a uniform forward speed consistent with the plant production rate, hauling capability and roller train capacity to result in a continuous operation. Stopping of the spreading and finishing machine between trucks is to be held to a minimum. If, in the opinion of the Engineer or designated representative, delivery of material is adversely affecting the condition of the HMA layer (excessive stopping of the spreading and finishing machine, loss of mixture temperature, etc.), the Engineer or designated representative may require paving operations to cease until acceptable methods are provided to minimize starting and stopping of the spreading and finishing machine.

The hopper gates of the spreading and finishing machine shall be adjusted to provide an adequate and consistent flow of material. This shall result in enough material being delivered to the augers so that they are operating approximately 85 percent of the time or more. The augers shall provide means to supply adequate flow of material to the center of the paver. Augers shall supply an adequate flow of material for the full width of the mat being placed, as approved by the Engineer or designated representative. Augers should be kept approximately one-half to three-quarters full of HMA mixture at all times during the paving operation.

When the HMA mixture is placed in a narrow strip along the edge of an existing pavement, or is used to level up small areas of an existing pavement or is placed in small irregular areas where the use of a finishing machine is not practical, the finishing machine may be eliminated when permitted by the Engineer or designated representative.

The paving material adjacent to castings and flush curb and gutter and structures shall be finished uniformly high so that when compacted, it will be slightly above but not more than 1/8 inch (3 mm) above the edge of the casting or gutter lip.

Construction joints of successive courses of HMA material shall be offset at least 6 inches (150 mm). Longitudinal joints in the layer shall be placed to coincide with lane lines as directed the Engineer or designated representative. Transverse joints shall be offset a minimum of 5 feet (1.5 meters).

E. Compaction: The pavement layers/lifts shall be compacted thoroughly and uniformly to obtain the compaction and cross section meeting the requirements indicated on the Drawings and this specification item.

Regardless of the method used for compaction, all rolling to achieve specified density shall cease before the temperature of the HMA mixture drops below 175°F (80°C).

Rolling with a pneumatic tire roller shall be used to seal the surface. Rolling with a tandem or other steel-wheel roller shall be provided if required to iron out any roller marks. Surface sealing and removal of roller marks may be accomplished at HMA temperatures below 175°F (80°C).

Vibratory rollers shall not be allowed in the vibrating mode on layers with a plan thickness less than 1½ inches (37.5 mm).

The motion of the rollers shall be slow enough to avoid other than usual initial displacement. If any displacement occurs, it shall be corrected to the satisfaction of the Engineer or designated representative.

The roller shall not be allowed to stand on pavement, which has not been compacted to minimum density requirements. In order to prevent adhesion of the surface mixture to the steel-wheel rollers, the wheels shall be thoroughly moistened with water; however an excess of water will not be allowed. Necessary precautions shall be taken to prevent the dropping of diesel, gasoline, oil, grease or other foreign matter on the pavement, either when the rollers are in operation or when standing.

The edges of the pavement along curbs, headers and similar structures, and all places not accessible to the roller, or in such positions as will not allow thorough compaction with the rollers, shall be thoroughly compacted with lightly oiled tamps.

Rolling with a trench roller will be required on widened areas, in trenches and other limited areas where satisfactory density cannot be obtained with the approved rollers.

340S.11 - Sampling and Testing

The HMA mixture shall be tested daily at the Project site for conformance to specification requirements. The Engineer or designated representative shall utilize a random selection method to determine sample locations based on the Contractor's anticipated production. Each day's anticipated production shall be divided into three (3) essentially equal single-pass, sub-area lots. Each day's sample locations shall be equally distributed over the three (3) sub-areas. If, due to the weather or plant malfunctions, the Contractor's daily-anticipated production is not attained, the random locations will not be recalculated. Also, no more than one location of the three (3) sub-areas shall be located in an irregular shaped area such as a cul-de-sac.

Unless directed otherwise by the Engineer or designated representative, a minimum of three bag samples and three correlating 6-inch (150-mm) cores will be obtained from each day's production.

Bag samples shall be taken during lay-down operations. The primary sampling point for the bag samples shall be from the windrow if a windrow elevator is used. If a windrow elevator is not used, the sample shall be taken from the middle of the paving machine hopper. This sampling location will require a stoppage in the paving operation in order for the Inspector to safely secure a sample from the hopper.One core shall be taken for every 2,000 single-pass square yards (1,675 single-pass square meters) with a minimum of three (3) cores for all projects. One core shall be taken at the same station and pass sampled for each of the bag samples. Cores shall be taken by the City's laboratory within 48 hours of pavement laydown unless otherwise directed by the Engineer or designated representative.

For total areas of less than 500 square yards (420 square meters), a total of only two bag samples and two correlating cores will be obtained. If the Contractor desires additional testing, it shall be at its own entire expense.

The Engineer or designated representative may alter, increase or waive the testing schedule to ensure that the Work performed and the material used meet specification requirements. Acceptability of the completed pavement shall be based on the average of test results for the Project as defined in Section 340S.12, "Acceptance Plan" of this item.

Gradation, asphalt content and stability value of the HMA mixture shall be reported for each of the bag samples. The stability value reported for each of the bag samples shall be the average of three (3) tests per bag.

Pavement thickness and density shall be determined from 6-inch (150 mm) field cores. For each day's placement, density of cores for which no corresponding bag samples were taken shall be determined by using the average Maximum Theoretical Density of the day's three (3) bag samples or as may otherwise be determined by the Engineer or designated representative.

When, in the opinion of the Engineer or designated representative, test results appear unrepresentative, additional testing may be authorized. The retesting will be at the expense of the Contractor and the results of the retesting shall be averaged with the results of the original testing. If the results of retesting indicate that the original test results were erroneous, the original test results will be discarded. In the instance of erroneous original test results the subsequent first set of retests will be at the expense of the City of Austin.

Pavements with low-density results may be recored; but the pavement shall not receive any additional compactive effort.

Pavements that will not or cannot be cored within 48 hours shall be closed to both public and construction traffic.

340S.12 - Acceptance Plan

For the purpose of the Acceptance Plan only, the "Paving Project" of each of the specified mixture types shall be defined by the Engineer or designated representative before the paving operation begins

Considerations for defining the Paving Project shall include paving operations staged due to traffic considerations, pavement structural section (i.e. with varying layer thicknesses), time required for paving, changes to the Job Mix Formula, phasing of large projects, or other factors affecting the consistency in the production, lay-down/compaction, use of completed portions, and/or aging of in-place material.

Acceptability of the completed pavement structure for a Paving Project shall be based on all daily averages of three test results and when approved by the Engineer or designated representative the overall average of all test results for each of the mixture/layer types specified on the Drawings.

Pay adjustments for two or more acceptance factors shall be accumulative. Pay adjustments of 100% unit price reduction shall require removal and replacement of the Work. Replacement materials shall be subject to all requirements of this specification. Alternatively, the Engineer or designated representative may allow the Work to remain in place without payment provided that the Work is warranted for an extended period under conditions as determined by the Engineer or designated representative. The decision of the Engineer or designated representative related to the removal and replacement of the Work shall be the final authority.

A. Non-Pay-Adjustment Acceptance Factors:

1. Surface Characteristics: Unless otherwise directed by the Engineer or designated representative, all pavements shall be tested for smoothness. Surfaces shall be tested with a 10-foot (3.05 meter) straightedge parallel to the roadway centerline and perpendicular to the centerline on flat, cross-slope sections. Maximum allowable deviation in 10 feet shall be 1/8 inch (1-mm per meter) parallel to the centerline and 1/4 inch (2-mm per meter) perpendicular to the centerline. Sections exceeding these maximums shall be corrected to the satisfaction of the Engineer or designated representative. The completed surface must meet the approval of the Engineer or designated representative for surface smoothness, finish and appearance.

   If the surface ravels, ruts or deteriorates in any manner prior to the end of the warranty period, it will be the Contractor's responsibility to correct this condition at its own entire expense to the satisfaction of the Engineer or designated representative in conformance with the requirements of this specification.

   For HMAC rehabilitation and overlay projects, if cracks develop in the pavement surface within the one-year warranty period, the Contractor shall seal the cracks in accordance with Standard Specification Item No. 313S, "Cleaning and/or Sealing Joints and Cracks (Asphaltic Concrete)".

   For new HMAC roadways constructed in accordance with the Drawings and specifications, if cracks less than 1/4 inch (6 mm) in width develop in the pavement surface within the one year warranty period the Contractor shall seal the cracks in accordance with Standard Specification Item No. 313S, "Cleaning and/or Sealing Joints and Cracks (Asphaltic Concrete)".

   If cracks equal to or greater than 1/4 inch (6 mm) in width develop in the pavement surface within the one-year warranty period, the cracking shall be reviewed and evaluated by the Engineer or designated representative before corrective action is taken.

2. Stability: Stability test results shall be used as indicators of potential problems. Where stability test results fall below the range specified in this specification, additional tests shall be taken as directed by the Engineer or designated representative for further evaluation and monitoring of the paving mixture. This additional stability testing will be at the expense of the Contractor.

When, in the opinion of the Engineer or designated representative, the stability is deemed unacceptable for the intended use of the pavement, the paving mixture shall be removed and replaced to the limits indicated by test results or may be left in place on conditions acceptable to the Engineer or designated representative. When the paving mixture is removed and replaced, it shall be at the sole expense of the Contractor.

3. Laboratory Density: Laboratory density results as determined by TxDOT Test Method Tex-207-F shall be used as indicators of potential problems. Where laboratory density test results are less than 94.5% or more than 97.5% of mix design maximum density, additional tests shall be taken as directed by the Engineer or designated representative for further evaluation and monitoring of the paving mixture. This additional laboratory density testing will be at the expense of the Contractor. When, in the opinion of the Engineer or designated representative, the laboratory density is deemed unacceptable for the intended use of the pavement, the paving mixture shall be removed and replaced to the limits indicated by test results.

   The removal and replacement of the paving mixture shall be at the sole expense of the Contractor.

4. Limited Areas: Irrespective of an acceptable overall Paving Project average for any or all of the Pay-Adjustment Acceptance Factors, limited substandard portions of the Work, as determined by the Engineer or designated representative, shall be remedied or removed and replaced to the satisfaction of the Engineer or designated representative at the sole expense of the Contractor.

B. Pay-Adjustment Acceptance Factors: Contract unit prices shall be adjusted for paving mixtures that fail to meet acceptance criteria for gradation, asphalt content, density and mat thickness in accordance with the following:

Gradation Acceptance Schedule (TEX-210-F)

Sieve	Deviation From Job Mix Formula		Percent Contract Unit Price Reduction
	Daily Average	Overall Average	
Total retained on No. 10 (2.00 mm)	±6.5 6.6±	±5.0 5.1±	0 10
Passing No. 200 (75 µm)	±3.9 4.0±	±3.0 3.1±	0 5

Asphalt Content Acceptance Schedule (TEX-210-F, Part II)

Deviation from the Job Mix Formula		Percent Contract Unit Price Reduction	
Daily Average	Overall Average	Local Streets*	All Others
±0.5	±0.4	0	0

±0.51 to ±0.60 +0.61 to +0.70 -0.61 to -0.70 Over ±0.70	±0.41 to ±0.50 +0.51 to +0.60 -0.51 to -0.60 Over ±0.60	15 25** 100: Remove and Replace 100: Remove and Replace	25 100; Remove and Replace 100; Remove and Replace 100; Remove and Replace
*A local or residential street that serves as access to residence or other abutting property.			
**If the street has an ADT of 500, or less, with 1%, or less, of truck traffic, plus a 2 year warranty; otherwise, Remove and Replace			

Density Acceptance Schedule (TEX-207-F/TEX-227-F)

***Percent Density**		**Percent Contract Unit Price Reduction**	
Daily Average	**Overall Average**	**1½" (38 mm) Thickness or Greater**	**Less than 1½" (38 mm) Thickness**
Above 96.5 90.5 to 96.5 90.5 to 87.6 Less than 87.6	Above 96 91 to 96 90.9 to 88.1 Less than 88.1	100; Remove and Replace 0 0.625 per 0.10% deficiency in density 100: Remove and Replace	100; Remove and Replace 0 0.50 per 0.10% deficiency in density 100; Remove and Replace
*Core bulk density divided by max. theoretical density			

Thickness Acceptance Schedule

Variance Percent of Thickness		**Percent Contract Unit Price Reduction**
Daily Average	**Overall Average**	
0—15.0 15.1—20.0 20.1—30.0 Over 30.0	0—10 10.1—16 16.1—25 Over 25	0 20 50 100; Remove and Replace or mill/overlay 1" (25 mm) minimum

The Density Acceptance Schedule For Irregularly Shaped Areas; Hike And Bike Trails And Utility Trenches (see following table) will apply to utility trenches of widths less than 4 feet (1.2 meter) and to irregular shaped areas and hike and bike trails in which an appropriate rolling pattern cannot be established making it difficult to achieve compaction.

Density Acceptance Schedule For Irregularly Shaped Areas; Hike And Bike Trails and Utility Trenches (TEX-207-F/TEX-227-F)

***Percent Density**	**Percent Contract Unit Price Reduction**	
Daily Average	1½" (38 mm) Thickness or Greater	Less than 1½" (38 mm) Thickness
Above 96.5	100; Remove and Replace	100; Remove and Replace
96.5 to 89.0	0	0
89.0 to 86.1	0.625 per 0.10% deficiency in density	0.50 per 0.10% deficiency in density
Less than 86.1	100: Remove and Replace	100; Remove and Replace
*Core bulk density divided by maximum theoretical density		

The Density Acceptance Schedule will apply to utility trenches 4 feet (1.2 meter) or wider.

Core thicknesses greater than Drawing requirements shall be factored into the average thickness calculation as the Drawing required thickness. If total thickness of lift(s) proves to be less than required, the Contractor may remove and replace the overlay deficient areas as agreed to by the Engineer or designated representative. Overlays to correct thickness deficiencies shall be not less than one (1) inch (25-mm) thick. Overlays shall require milling of the asphalt in order to prevent a "featheredge" of the overlaying pavement.

The extent of the area to be overlaid or removed and replaced shall be determined by additional cores with thicknesses greater than or equal to the required thickness. All additional coring that is necessary to determine the area shall be paid for by the Contractor.

340S.13 - Measurement

Work performed and material placed shall be measured under one of the following methods. When Drawing quantity measurement is specified, adjustment of quantity may be made as follows. If the quantity measured as outlined vary from those shown on the Drawings by more than 5%, either party to the Contract may request in writing and adjustment of the quantity by each separate bid item. The party to the Contract which requests the adjustment shall present to the other party one copy of measurements and calculations showing the revised quantity in question. This revised quantity, when approved by the Engineer or designated representative, shall constitute the final quantity for which payment will be made. However, no adjustment will be made for any quantity, which exceeds the Drawing required thickness.

A. Method A: Asphaltic concrete pavement shall be measured by the ton (2,000 pounds) of the type actually used in completed and accepted Work in accordance with the Drawings and specifications.

The measurement shall be made on approved truck scales that meet the requirements of the National Institute of Standards and Technology Handbooks 44 and 112 except that the required accuracy shall be 0.4 percent of the load being weighed. The Contractor shall furnish a report of calibration from a scale mechanic licensed by the Texas Department of Agriculture certifying that the scales meet this requirement.

B. Method B: Asphaltic concrete pavement shall be measured by the square yard of specified total thickness of the type of paving mixture actually used in completed and accepted Work in accordance with Drawings and specifications. Multiple lifts of the same type shall be considered as one for square yard measurement purposes.

C. Method C: Asphaltic concrete pavement shall be measured by the lineal foot of specified total thickness of the type of paving mixture actually used in completed and accepted Work in accordance with Drawings and specifications. Multiple lifts of the same type shall be considered as one for linear foot measurement purposes.

340S.14 - Payment

Work performed and materials furnished as prescribed by this item and measured as provided under "Measurement" will be paid for at the unit bid prices or pay adjusted unit price for Hot Mix Asphaltic Concrete Pavement, of the types and thicknesses specified. The unit bid prices shall include full compensation for furnishing all labor, equipment, time, materials and incidentals necessary to complete the Work.

Removal of existing hot mix asphalt concrete transition areas prior to overlay, tack coat, saw cutting and temporary pavement markings will not be measured or paid for directly but shall be included in the unit price bid for Standard Specification Item No. 340S, "Hot Mix Asphaltic Concrete Pavement."

Payment for Work meeting these specifications will be made under one of the following:

Pay Item No. 340S-A:	Hot Mix Asphaltic Concrete Pavement, Type ___,	Per Ton
Pay Item No. 340S-B:	Hot Mix Asphaltic Concrete Pavement, ___ inches, Type ___.	Per Square Yard.
Pay Item No. 340S-C:	Hot Mix Asphaltic Concrete Pavement, ___ Inches, Type ___.	Per Lineal Foot.
Pay Item No. 340S-PQ:	Hot Mix Asphaltic Concrete Pavement, ___ Inches, Type ___, Plan Quantity	Per Ton.
Pay Item No. 340S-L:	Hot Mix Asphaltic Concrete Pavement, ___ in., Type ___, Level-up Course.	Lump Sum
Pay Item No. 340S-M:	Crack Sealing Mobilization,	Lump Sum
Pay Item No. 340S-	Crack Sealing,	per Lineal Foot

S:		

End

SPECIFIC CROSS REFERENCE MATERIALS	
Special Specification Item 340S, "Hot Mix Asphaltic Concrete Pavement"	
City of Austin Standard Specifications	
Designation	Description
Item No. 230S	Rolling (Flat Wheel)
Item No. 232S	Rolling (Pneumatic Tire)
Item No. 301S	Asphalts, Oils and Emulsions
Item No. 307S	Tack Coat
Item No. 313S	Cleaning and/or Sealing Joints and Cracks (Asphaltic Concrete)
Texas Department of Transportation: Manual of Testing Procedures	
Designation	Description
Tex-106E	Method of Calculating the Plasticity Index of Soils
Tex-107E	Determination of Bar Linear Shrinkage of Soils
Tex-200-F	Sieve Analysis of Fine and Coarse Aggregates
Tex-203-F	Sand Equivalent Test
Tex-204-F	Design of Bituminous Mixtures

Tex-207-F	Determination of Density of Compacted Bituminous Mixtures
Tex-208-F	Test for Stabilometer Value of Bituminous Mixtures
Tex-210-F	Determination of Asphalt Content of Bituminous Mixtures by Extraction
Tex-212-F, Part II	Determination of Moisture Content of Bituminous Mixtures (by oven drying)
Tex-217-F	Determination of Deleterious Material and Decantation Test For Coarse Aggregates
Tex-227-F	Theoretical Maximum Specific Gravity of Bituminous Mixtures
Tex-410-A	Abrasion of Coarse Aggregate Using the Los Angeles Machine
Tex-460-A	Determination of Crushed Face Particle

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges

Designation	Description
Item 340	Hot Mix Asphalt Concrete Pavement

RELATED CROSS REFERENCE MATERIALS

Special Specification Item 340S, "Hot Mix Asphaltic Concrete Pavement"

City of Austin Standard Specifications

Designation	Description
Item No. 206S	Asphalt Stabilized Base
Item No. 210S	Flexible Base

Item No. 306S	Prime Coat
Item No. 310S	Emulsified Asphalt Treatment
Item No. 311S	Emulsified Asphalt Repaving
Item No. 320S	Two Course Surface Treatment
Texas Department of Transportation: Manual of Testing Procedures	
Designation	Description
Tex-215-F	Determination of Asphalt Content of Rock Asphalt By Hot Solvent Method
Tex-224-F	Determination of Flakiness
Tex-400-A	Method of Sampling Stone, Gravel, Sand and Mineral Aggregates
Tex-411-A	Soundness of Aggregate by Use of Sodium Sulfate or magnesium Sulfate
Tex-438-A	Accelerated Polish Test for Aggregate

Item No. 360S
Concrete Pavement

360S.1 Description

This item shall consist of a pavement and/or base of Portland Cement concrete, with or without reinforcement as indicated on the Drawings, with or without monolithic curbs, constructed as herein specified, on prepared subgrade or base course in conformity with the thickness and typical cross sections indicated on the Drawings. Concrete to be considered of satisfactory quality provided it is made (a) of materials accepted for job, (b) in the proportions established by the Contractor and (c) mixed, placed, finished and cured in accordance with the requirements of this specification.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text and accompanying tables, the inch-pound units are given preference followed by SI units shown within parentheses.

360S.2 Submittals

The submittal requirements of this specification item may include:

Mix design option(s) of the class of concrete required on the project,

The supplier of the concrete mix design(s) and type of mixing equipment, and

Type of admixtures to be used with the concrete mixes.

360S.3 Materials

A. Cementatious Materials

Portland cement shall conform to ASTM C 150, Type I (General Purpose) and Type III (High Early Strength). Type III cement shall be used when high early strength concrete is indicated on the Drawings. If the use of high early cement is not specified and the Contractor desires to use it, the Contractor shall obtain written permission from the Engineer or designated representative prior to its use and shall assume all additional costs incurred by the use of such cement. All cement shall be of the same type and from the same source for a project unless written permission if first received from the Engineer or designated representative.

Portland cement manufactured in a cement kiln fueled by hazardous waste shall be considered as an approved product if the production facility is authorized to operate under regulation of the Texas Commission on Environmental Quality (TCEQ) and the United States Environmental Protection Agency (USEPA). Supplier shall provide current TCEQ and EPA authorizations to operate the facility.

Bulk or sacked cement may be used and a bag shall contain 94 pounds (42.6 KG) net. All bags shall be in good condition at the time of inspection. Bulk cement shall be weighed on approved scales as herein prescribed.

All cement shall be stored in a suitable weather tight building or bin, which will protect the cement from dampness. The cement shall be so stored as to provide easy access for proper inspection. Any cement, which has become partially set or which contains hard lumps or cakes or cement salvaged from discarded or used bags, shall not be used.

Fly ash (denoted by Texas DOT designations Type A and Type B) may replace 20 to 35 percent of a mix design's Portland cement content by absolute volume. Fly ash shall not be used in mix designs with less that five (5) sacks of Portland cement per cubic yard [six and a half (6.5) sacks of Portland cement per cubic meter] unless specifically permitted by the Contract plans of project manual. Fly ash shall conform to the requirements of Item 405S, "Concrete Admixtures".

B. Admixtures

Concrete admixtures conforming to Item No. 405S, "Concrete Admixtures" may be used when approved by the Engineer or designated representative to minimize segregation, improve workability, reduce the amount of mixing water and to provide normal hot weather concreting provisions. The use of admixtures shall not alter the approved mix designs, except for water content.

C. Coarse Aggregate

Coarse aggregate shall consist of durable particles of gravel, crushed blast furnace slag and/or crushed stone of reasonably uniform quality throughout, free from injurious amounts of salt, alkali, vegetable matter or other objectionable material, either free or as an adherent coating on the aggregate. It shall not contain more than 0.25 percent by weight of clay lumps nor more than 1.0 percent by weight of shale nor more than 5.0 percent by weight of laminated and/or friable particles when tested in accordance with TxDOT Test Method Tex-413-A.

Coarse aggregate shall have a wear of not more than 45 percent when tested according to TxDOT Test Method Tex-410-A and when tested by standard laboratory methods shall meet the following grading requirements:

Retained on 1 3/4 inch (43.75 mm) sieve	0%
Retained on 1 1/2 inch (37.5 mm) sieve	0 to 5%
Retained on 3/4 inch (19.0 mm)sieve	30 to 65%
Retained on 3/8 inch (9.5 mm) sieve	70 to 90%
Retained on No. 4 (4.75 mm) sieve	95 to 100%

Loss by Decantation TxDOT Test Method *Tex-406-A. 1.0% Maximum

* In the case of aggregate made primarily from crushing of stone. If the material finer than the 200 sieve is definitely established to be the dust of fracture

essentially free from clay or shale as established by Part III of TxDOT Test Method Tex-406-A, the percent may be increased to 1.5.

When the plans do not require a monolithic pour of curb or curb and gutter, the Contractor may elect to use the following gradation of coarse aggregate for curb or curb and gutter:

Retained on 1 1/2 inch (37.5 mm) sieve	0%
Retained on 3/8 inch (9.5 mm) sieve	5 to 30%
Retained on No. 4 (4.75 mm) sieve	75 to 100%

Where the coarse aggregate is delivered on the job in 2 or more sizes or types, each type and/or size shall be batched and weighed separately.

All aggregates shall be handled and stored in such a manner as to prevent size segregation and contamination by foreign substances and maintain as nearly as possible in a uniform condition of moisture. When segregation is apparent, the aggregate shall be remixed with suitable equipment as required. At time of its use, the aggregate shall be free from frozen material and aggregate containing foreign materials will be rejected. Coarse aggregate that contains more than 0.5 percent free moisture by weight shall be stockpiled for at least 24 hours prior to use.

Adequate storage facilities shall be provided for approved materials. The intermixing of non-approved materials with approved materials either in stockpiles or in bins will not be permitted. Aggregates from different sources shall be stored in different stockpiles unless otherwise approved by the Engineer or designated representative.

D. Fine Aggregate

Fine aggregate shall be free from injurious materials of salt, alkali or vegetable matter. It shall not contain more than 0.5 percent by weight of clay lumps. When subjected to the color test for organic impurities, TxDOT Test Method Tex-408-A, the fine aggregate shall not show a color darker than standard.

Unless shown otherwise on the drawings, fine aggregate shall have an acid insoluble residue of at least 60% by weight when tested in accordance with Tex 612-J.

Unless specified otherwise, fine aggregate shall meet the following grading requirements:

Retained on 3/8 inch (9.5 mm) sieve	0%
Retained on No. 4 (4.75 mm) sieve	0 to 5%

Retained on No. 8 (2.36 mm) sieve	0 to 20%
Retained on No. 16 (1.185 mm) sieve	15 to 30%
Retained on No. 30 (600 µm) sieve	35 to 75%
Retained on No. 50 (300 µm) sieve	70 to 90%
Retained on No. 100 (150 µm) sieve	90 to 100%
Retained on No. 200 (75 µm) sieve	97 to 100%

Fine aggregate will be subjected to the Sand Equivalent Test, TxDOT Test Method Tex-203-F. The sand equivalent value shall not be less than 80.

E. Mineral Filler

Mineral filler shall consist of clean stone dust, crushed sand, crushed shell or other approved inert material. It shall meet the following requirements when tested in accordance with TxDOT Test Method Tex-401-A:

Retained on No. 30 (600 µm) sieve	0%
Retained on No. 200 (75 µm) sieve	0 to 35%

Where fine aggregate is delivered to the job in 2 or more sizes or types, each type and/or size of material shall be batched and weighed separately. Where mineral filler is used, it shall be batched and weighed separately. At the time of its use the fine aggregate shall be free from frozen material and aggregate containing foreign material will be rejected.

All fine aggregate shall be stockpiled for at least 24 hours prior to use.

F. Mixing Water

Water for use in concrete and for curing shall be free from oils, acids, organic matter or other deleterious substances and shall not contain more than 1,000 parts per million of chlorides as Cl nor more than 1,000 parts per million of sulfates as SO4.

Water from municipal supplies approved by the State Health Department will not require testing. Contractor shall sample and test water from other sources and submit test results to the Engineer or designated representative for approval 10 days prior to proposed use.

Tests shall be made in accordance with "Standard Method of Test for Quality of Water to be used in Concrete", AASHTO Method T-26.

G. Transit-mixed Concrete

The use of transit-mixed (ready-mixed) concrete will be permitted by the Engineer or designated representative provided the batching plant and mixer trucks meet requirements of quality specified herein.

When ready-mixed concrete is used, additional mortar (1 sack cement, 3 parts sand and sufficient water) shall be added to each batch to coat the drum of the mixer or agitator truck. Delivery of concrete to the site of the work and its discharge from the truck mixer, agitator or non-agitating equipment shall be in accordance with the requirements of Item No. 410S, "Concrete Structures".

Ready-mixed concrete, batching plant and mixer truck operation shall include the following:

1) A ticket system will be used that includes a copy for the construction inspector. The ticket will have machine stamped time/date of the concrete batch, weight of cement, sand and aggregates; exact nomenclature and written quantities of admixtures and water. Any item missing or incomplete on the ticket may be cause for rejection of the concrete.

2) Sufficient trucks will be available to support continuous slab placements. The Contractor will satisfy the Engineer or designated representative that adequate standby trucks are available to support monolithic placement requirements.

3) A portion of the mixing water, required by the batch design to produce the specified slump, may be withheld and added at the job site but only with the permission of the Engineer or designated representative and under the Inspector's observation. When water is added under these conditions, it will be thoroughly mixed before any slump or strength samples are taken.

H. Joint Sealer

Unless otherwise shown on the plans, joint sealant for concrete pavement used on airport runways and/or taxiways shall be TxDOT Class 5. All other joint sealant shall be TxDOT Class 2.

As a minimum, the joint sealant shall comply with the following. The manufacturer of the joint sealant shall furnish certification that the product to be supplied meets or exceeds the specification.

1) Class 2 (Hot Poured Rubber-Asphalt). This sealer shall conform to Standard Specification Item No. 313S, “Cleaning and/or Sealing Joints and Cracks (Asphaltic Concrete)The sealer must be compatible with asphaltic concrete.

2) Class 5 (Low Modulus Silicone Sealant for Concrete Pavement). This material shall conform to Item 413S, “Cleaning and/or Sealing Joints and Cracks (PCC) and shall be furnished in a one-part silicone formulation, which does not require a primer for bond to concrete. A backer rod shall be

required which will be compatible with the sealant. No bond or reaction shall occur between the rod and sealant. The sealant shall adhere to the sides of the concrete joint. It shall not crack or break when exposed to temperatures below 32°F (0°C).

The sealant material shall have the following properties:

Color	Gray
Flow, MIL-2-8802D, Sec 4.8.4, max	0.2
Working time, minutes	10
Tack-free time at 77° F +/- 2 o F (25°C +/- 1.1°C), MIL-2-8802D,	
Sec 4.8.7, minutes	60
Cure time at 77°F (25oC), days	7-14
Full Adhesion, days	14-21

As Cured - after 7 days at 77°F (25°C), and 40% Relative Humidity

Elongation, minimum percent	1200
Durometer Hardness, Shore A, ASTM D 2240, min	15
Joint movement capability, percent	+100/-50
Tensile Strength, maximum elongation, percent	100
Peal strength, psi	25 (172 kPa)

I. Backer Rod

Backer Rod shall be expanded closed cell polyethylene foam compatible with sealant. No bond or reaction shall occur between rod and sealant. Backer Rod shall be of sufficient width to be in compression after placement.

J. Joint Filler

Boards for expansion joint filler and for contraction and longitudinal joints shall be of the size, shape and type indicated.

Board shall be obtained from Redwood, Cypress, Gum, Southern Yellow Pine or Douglas Fir timber. They shall be solid heartwood and shall be free from sapwood, knots, clustered birdseye, checks and splits. Occasional sound or hollow birdseye, when not in clusters, will be permitted provided the board is free from any other defects that will impair its usefulness as a joint filler. With the exception of Redwood and Cypress, all boards shall have a creosote or pentachlorophenol treatment of 6 pounds per cubic foot (96 kg/m3). When oven dried at 230°F (110oC) to a constant weight, the weight of the board per cubic foot (minus treatment), shall not be less than 20 pounds nor more than 35 pounds (not less than 320 nor more than 561 kgs per cubic meter).

K. Asphalt Board

Asphalt board when used as indicated shall be of required size, full depth of concrete placement and uniform thickness. When used in transverse joints, it shall conform approximately to shape of the pavement crown as indicated. Asphalt board shall consist of 2 liners of 0.016-inch (0.4 mm) asphalt impregnated paper filled with a mastic mixture of asphalt and vegetable fiber and/or mineral filler. Boards shall be smooth, flat and straight throughout and shall be sufficiently rigid to permit easy installation. Boards that crack or shatter during installing and finishing operations will not be acceptable. Board shall be furnished in lengths equal to 1/2 the pavement width or in lengths equal to the width between longitudinal joints and may be furnished in strips or scored sheets of the required shape. When tested in accordance with TxDOT Test Method Tex-524-C the asphalt boards shall not deflect from the horizontal more than 3/4 inch in 3 1/2 inches (19.3 cm in 90 cm). The asphalt board shall be placed such that they will not interfere with the bonding of the joint sealer.

L. Load Transmission Devices for Expansion and Contraction Joints

Approved load transmission devices, when indicated, shall meet the requirements specified herein:

Smooth steel bar dowels, used when indicated, shall be of the size and type indicated and shall be open-hearth, basic oxygen or electric-furnace steel conforming to the properties specified for grade 60 in ASTM A 615. The free end of dowel bars shall be smooth and free of shearing burrs.

When indicated, one end of each dowel bar shall be encased in an approved cap having an inside diameter of 1/16 inch (16 mm) greater than the diameter of the dowel bar. The cap shall be of such strength, durability and design as to provide free movement of the dowel bar and shall be approved by the Engineer or designated representative prior to use. One end of the cap shall be filled with a soft felt plug or shall be void in order to permit free movement of the dowel bar for a distance equivalent to 150 percent of the width of the expansion joint used. The dowel caps and dowel bars shall be held securely in place by bar ties as indicated on the drawings. Mechanical methods of implanting dowel bars in the plastic concrete may be used when approved by the Engineer or designated representative.

Where required, dowel bars shall be coated with a plastic material meeting the requirements indicated.

Where red lead and oil bar coating is indicated, the red lead may be of any standard commercial grade and the oil shall be clean and no lighter than Standard No. 30 SAE grade. Approved thinner and dryer may be added to the red lead, but the material upon application shall be of such consistency that will provide a uniform and heavy coating on the bar. Where asphalt bar coating is indicated, the material may be any standard grade of oil asphalt and shall be applied hot. Cutback asphalt will not be permitted for bar coating.

M. Metal Installing Devices for Joint Assembly

Metal installing devices for expansion and contraction joint assemblies (such as welded wire bar chairs, bar stakes and marker channels, channel caps, etc.) shall be as indicated or may be similar devices of equivalent or greater strength, approved by the Engineer or designated representative, that will secure joint assembly in correct position during the placing and finishing of concrete. Load transmission devices used in joint assemblies shall be secured in position by a transverse metal brace of the type and design indicated or may be secured in position by other approved devices of equivalent or greater strength that will provide positive mechanical connection between the brace and each unit (or than by wire tie) and prevent transverse movement of each load transmission device.

N. Steel Reinforcement

Steel reinforcing bars as required including tie bars shall be open-hearth, basic oxygen or electric-furnace new billet steel of Grade 60 or Grade 40 for concrete reinforcement as indicated. Bars that require bending shall be Grade 40 conforming to the requirements of ASTM A 615.

High yield reinforcing steel shall be either (a) open-hearth, basic oxygen or electric-furnace new billet steel conforming to ASTM A 615 Grade 60 or (b) rail steel bars for concrete reinforcement, conforming to ASTM A 616 Grade 60. Bars produced by piling method will not be accepted. High yield reinforcing steel bars shall be further identified by a special marking rolled into each bar. All reinforcing steel shall be deformed bars conforming to the requirements of pertinent ASTM Specifications.

Where prefabricated deformed wire mats are indicated or permitted, the wire shall be cold worked deformed steel wire conforming to the requirements of ASTM A 496, except that steel shall be made by open-hearth, electric-furnace or basic oxygen processes. The prefabricated deformed wire mats shall conform to the requirements of ASTM A 497, except that wires used shall be deformed and transverse wires shall project beyond the centerline of each edge longitudinal wire as indicated. Mats that have been bent or wires dislocated or parted during shipping or project handling shall be realigned to within 1/2 inch (13 mm) of original horizontal plane of the mat. Mats with any portion of the wires out of vertical alignment more than 1/2 inch (13 mm) after realignment and/or wires dislocated or mutilated so that, in the opinion of the Engineer, they do not represent the original mat, shall be rejected. The reinforcement may be clamped or wired so that the reinforcement will retain the horizontal and vertical alignment as indicated or as approved by the Engineer or designated representative. Deformed wire may be

used for tie bars and load transfer bars that require bending. The nominal size, area and theoretical weight of reinforcing steel wires covered by this provision are as listed in Table II. When fabricated steel bars or rod mats are indicated, the mats shall meet requirements of ASTM A 184.

Steel wire fabric reinforcement shall be of the gage and spacing indicated and shall conform to the requirements of ASTM A 82. Longitudinal and transverse wires shall be electrically welded together at all points of intersection and the welds shall be of sufficient strength that they will not be broken during handling or placing. All welding and fabrication of fabric sheets shall conform to the requirements of ASTM A 185. Welded steel wire fabric shall be furnished in sheets as indicated and steel having been previously bundled into rolls will not be accepted. An approved hinge will be permitted in each sheet to provide for each sheet longitudinally. When wire fabric is used, it will replace only the longitudinal and transverse bars. The tie bars and load transmission units at joints will not be affected.

Table II: DIMENSIONAL REQUIREMENTS FOR DEFORMED STEEL WIRE FOR CONCRETE REINFORCEMENT

Deformed Wire	Unit Weight	Diameter	Cross-Sectional Area,	Perimeter
Size No	Pounds Per Ft. (Kgs per Meter)	Inches (Centimeters)	Sq. inches (Sq, Centimeters)	Inches (Centimeters)
D-1	0.034 (.051)	0.113 (.287)	0.01 (.06)	0.355 (.902)
D-2	0.068 (.101)	0.159 (.404)	0.02 (.13)	0.499 (1.267)
D-3	0.102 (.152)	0.195 (.495)	0.03 (.19)	0.612 (1.554)
D-4	0.136 (.202)	0.225 (.572)	0.04 (.26)	0.706 (1.793)
D-5	0.170 (.253)	0.252 (.640)	0.05 (.32)	0.791 (2.009)
D-6	0.204 (.304)	0.276 (.701)	0.06 (.39)	0.867 (2.202)
D-7	0.238 (.354)	0.296 (.752)	0.07 (.45)	0.936 (2.377)
D-8	0.272 (.405)	0.319 (.810)	0.08 (.52)	1.002 (2.545)
D-9	0.306 (.455)	0.338 (.859)	0.09 (.58)	1.061 (2.695)
D-10	0.340 (.506)	0.356 (.904)	0.10 (.65)	1.118 (2.840)

D-11	0.374 (.557)	0.374 (.950)	0.11 (.71)	1.174 (2.982)
D-12	0.408 (.607)	0.390 (.991)	0.12 (.77)	1.225 (3.112)
D-13	0.442 (.658)	0.406 (1.031)	0.13 (.84)	1.275 (3.239)
D-14	0.476 (.708)	0.422 (1.072)	0.14 (.90)	1.325 (3.366)
D-15	0.510 (.759)	0.437 (1.110)	0.15 (.97)	1.372 (3.485)
D-16	0.544 (.810)	0.451 (1.146)	0.16 (1.03)	1.416 (3.600)
D-17	0.578 (.860)	0.465 (1.181)	0.17 (1.10)	1.460 (3.708)
D-18	0.612 (.911)	0.478 (1.214)	0.18 (1.16)	1.501 (3.813)
D-19	0.646 (.961)	0.491 (1.247)	0.19 (1.23)	1.542 (3.917)
D-20	0.680 (1.012)	0.504 (1.280)	0.20 (1.29)	1.583 (4.021)
D-21	0.714 (1.063)	0.517 (1.313)	0.21 (1.35)	1.624 (4.125)
D-22	0.748 (1.113)	0.529 (1.344)	0.22 (1.42)	1.662 (4.221)
D-23	0.782 (1.164)	0.541 (1.375)	0.23 (1.48)	1.700 (4.318)
D-24	0.816 (1.214)	0.553 (1.405)	0.24 (1.55)	1.737 (4.412)
D-25	0.850 (1.265)	0.564 (1.433)	0.25 (1.61)	1.772 (4.500)
D-26	0.884 (1.316)	0.575 (1.461)	0.26 (1.68)	1.806 (4.587)
D-27	0.918 (1.366)	0.586 (1.488)	0.27 (1.74)	1.841 (4.676)
D-28	0.952 (1.417)	0.597 (1.516)	0.28 (1.81)	1.876 (4.765)
D-29	0.986 (1.467)	0.608 (1.544)	0.29 (1.87)	1.910 (4.851)
D-30	1.020 (1.518)	0.618 (1.570)	0.30 (1.94)	1.942 (4.933)
D-31	1.054 (1.569)	0.628 (1.595)	0.31 (2.00)	1.973 (5.011)

O. Polyethylene Film

Polyethylene film shall be opaque pigmented white in color and shall be manufactured from virgin resin without additives or scrap. It shall be sufficiently strong and tough to permit its use under the conditions existing on street paving work without being torn or otherwise rendered unfit for the purpose during the curing period. The film shall have a minimum thickness of 4 mils (0.004 inch), shall have a minimum tensile strength of 1,700 psi at 77°F (11,720 kPa at 25°C) in the longitudinal direction and 1,200 psi at 77°F (8,275 kPa at 25°C) in the transverse direction and shall have a minimum elongation of 200 percent at 77°F (25°C)in the longitudinal direction and 150 percent at 77°F(25°C) in the transverse direction. The permissible percent moisture loss shall not exceed 2 percent after 24 hours and 4 percent after 72 hours. Tests for tensile strength and elongation will be conducted in accordance with ASTM Designation: D 882, Method A. Tests for moisture retention will be conducted in accordance with ASTM Designation: C 156.

P. Membrane Curing Compound

Membrane curing compound shall conform to Item No. 409S, "Membrane Curing", Type 2 white pigmented.

Q. Asphalt Curing

Where asphalt is to be placed on a concrete base, asphalt shall be used for curing concrete base, the material shall conform to Item No. 301S, "Asphalts, Oils and Emulsions" for RS-2 or RS-2h or as indicated on the drawings.

360S.4 Equipment

A. General

All equipment necessary for construction of this item shall be on the Project and shall be approved by Engineer or designated representative as to conditions before the Contractor will be permitted to begin construction operations on which the equipment is to be used. When approved by the Engineer or designated representative in writing, a commercial or independently operated batching plant for measuring materials outside limits of the project may be used.

B. Mixer

The mixer furnished may be either a paving mixer (operated at site of construction or centrally located), a stationary mixer (central mixer) or a paving mixer (truck mounted) that will produce adequately mixed concrete meeting the specified requirements. The mixer, or mixers, shall conform to the following requirements:

1) Each mixer shall have attached in a prominent place a manufacturer's plate showing rated capacity of the drum in terms of volume of mixed concrete and the recommended speed of rotation of the mixing drum or blades.

2) The stationary mixer (central mixer) or truck mounted paving mixer shall be operated at the manufacturer's recommended speed.

3) The size of the paving mixer shall not be less than that of a 27-E paver, as established by the Mixer Manufacturer's Bureau of Associated General Contractors. The paving mixer shall be operated at a drum speed of not less than 16 revolutions per minute and not more than 22 revolutions per minute. Pickup and throw over blades in the drum of the mixer shall be replaced when worn down 3/4 inch (19 mm) or more.

4) Each truck mounted paving mixer shall be approved by the Engineer or designated representative prior to use on the project. It shall be classified as a "paving mixer" by the manufacturer and shall be so designed that a uniform and low slump concrete (approximately 1 1/2 inch [38 mm] slump) can be mixed without aggregate size segregation. The mixer shall be capable of discharging the low slump concrete at a speed of 10 seconds per cubic yard (13 seconds per cubic meter) or faster.

5) Each mixer shall be equipped with an approved automatic device for satisfactorily timing the mix and locking the discharging device in order to prevent the discharging of the mixer before the end of the required mixing period. This timing device shall operate a sounding device to signal plainly the completion of the mixing time. When permitted by the Engineer a light signal device may be used.

6) Multiple drum mixers will be permitted provided their operation is properly synchronized. The mixing time shall be determined exclusive of the time required to transfer concrete from one drum to the next drum.

7) Each mixer shall be equipped with a water-measuring device so constructed that it will measure the water within 1 percent of the total amount required for each batch. Unless the water is to be weighed, the water measuring equipment shall include an auxiliary tank with a capacity greater than that of the measuring tank and from which the measuring tank will be filled by gravity flow. The measuring tank shall be open to the atmosphere and shall be so placed and constructed that the water for a batch can be discharged into a calibrated tank or weighing device for checking the accuracy of water measurement without seriously delaying the paving operations. The Contractor shall have a calibrated tank or weighing device available at all times at a location satisfactory to the Engineer or designated representative.

8) If a paving mixer is furnished and operated at the site of construction, it shall be equipped with a power controlled boom and bucket, so designed as to permit uniform distribution of the concrete for the full width between pavement forms. Alternate equipment for distributing concrete may be substituted when approved by the Engineer in writing, provided uniform distribution is obtained without segregation.

9) If central mixed concrete is used on the project, the Contractor shall provide equipment designed to provide uniform distribution for the concrete for the full width between pavement forms without segregation.

C. Transit-mix Trucks

When transit-mix (ready-mix) concrete is used, additional mortar (1 sack cement, 3 parts sand and sufficient water) shall be added to the batch to coat the drum of the mixer or agitator truck. This shall be required for every load of concrete. The mixing speed shall be attained as soon as all ingredients are in the mixer. Each complete batch (containing all the required ingredients) shall be mixed not less than 70 nor more than 100 revolutions of the drum at mixing speed.

A portion of the mixing water, required by the batch design to produce the desired slump, may be withheld and added at the job site, but only with permission of the Engineer and under the Engineer's supervision. When water is added at the job site, 25 revolutions (minimum) at mixing speed, will be required to flush down the blades after charging shall be accurately measured and included in the quantity of mixing water. The introduction of the initial mixing water, except blade wash down water and that permitted in this Article shall be prior to or simultaneous with the charging of the aggregates and cementitious material.

Mixing and agitating speed shall be as designated by the mixer manufacturer. All revolutions after prescribed mixing shall be at agitating speed. Except for short periods of time during discharge, the drum shall be kept in continuous motion from the time the mixing is started until the discharge is completed.

Additional mortar, consisting of 1 sack cement, 3 parts sand and sufficient water, shall be added to the batch to coat the drum of the transit mixer or agitator truck. This shall be required for every load of concrete.

The loading of transit-mixers shall not exceed 63 percent of the drum volume. When used as an agitator only, the loading of truck mixers shall not exceed 80 percent of the drum volume.

The batching plant and transit-mix trucks shall operate under the following system:

1) A ticket system will be used that includes a copy for the construction inspector. The ticket will have machine stamped time/date of water/cement batch; weight of cement, fly ash (if applicable), water, sand and aggregates; exact nomenclature and quantities of admixture. Any item missing or incomplete on the ticket will be cause for rejection. Coded readouts may be used if approved in advance by the Engineer.

2) Sufficient trucks will be available to support continuous placements. The Contractor will satisfy the Engineer that adequate standby trucks are available to support monolithic placement when required.

3) A portion of the mixing water, required by the batch design to produce the desired slump, may be withheld and added at the job site, but only with the permission of the Engineer and under the Inspector's observation. When water is added under these conditions, it will be thoroughly mixed before any slump or strength beam samples are taken.

D. Hauling Equipment

Batch hauling equipment for the transportation of measured materials from the batching plant to the mixer shall be equipped with tight covers, which shall be used to prevent excessive evaporation of moisture or any loss of material.

If a central mixer is used, concrete may be transported to the point of delivery in truck agitators or non-agitating trucks.

If a truck mounted paving mixer is used, it may be used to transport the concrete after mixing is complete.

If non-agitator trucks are used they shall conform to the following requirements:

The bed of non-agitating hauling equipment shall be a smooth, mortar-tight, metal container. The hauling equipment shall be capable of delivering the concrete to the work site in a thoroughly mixed and uniform mass and capable of discharging the concrete at a satisfactory controlled rate without segregation. If in the opinion of the Engineer any appreciable segregation or accumulation of excess water and/or mortar occurs on the surface of the concrete, this may be cause for rejection and this method of transporting the concrete to the point of delivery shall be suspended as directed by the Engineer.

E. Subgrade or Subbase Planer and Templates

Unless a stabilized subbase is provided, an approved subbase planer shall be provided, mounted on visible rollers riding on the forms and having adjustable cutting blades which shall trim the subgrade to the exact section as indicated. The planer frame shall be heavy enough to remain on the forms at all times and shall be of such strength and rigidity that, under a test made by changing the support from the wheels to the center, it shall not develop a deflection for more than 1/8 inch (3 mm). Tractive power equipment used to pull the planer shall not be such as to produce ruts or indentations in the subgrade.

When the slip form method of paving is to be used, the subgrade planer will be operated on a prepared track grade or controlled by an electronic sensor system operated from a string line that establishes the horizontal alignment and the elevation of the subbase.

A template for checking the contour of the subbase shall be provided and operated by the Contractor. The template shall rest upon the side forms and shall be of such strength and rigidity that, under a test made by changing the support to the center, it shall not show a deflection of more than 1/8 inch (3 mm). It shall be provided with accurately adjustable rods projecting downward to the subgrade at 1-foot (30 cm) intervals and these rods shall be adjusted to the required cross section of the bottom of the slab when the template is resting upon the side forms. Where stabilized subbase is provided, use of a scratch template will be required.

F. Forms

Side forms shall be of metal of approved cross section. The preferred depth of the form shall be equal to the required edge thickness of the pavement. Forms with depth greater than the required edge thickness of the pavement will be permitted.

The length of form sections shall not be less than 10 feet (0.3 meters) and each section shall provide for staking in position with not less than 3 pins. Flexible or curved forms of wood or metal of proper radius shall be used for curves of 200-foot (61 meter) radius or less. Forms shall be of ample strength and shall be provided with adequate devices for secure setting so that when in place they will withstand, without visible springing or settlement, the impact and vibration of the spreading

and finishing machinery. In no case shall the base be less than 6 inches (15.2 cm) for a form 6 inches (15.2 cm) or more in height. The forms shall be free from warps, bends or kinks and shall be sufficiently true to provide a reasonably straight edge on the concrete. The top of each form section, when tested with a straightedge shall conform to the requirements specified for the surface of the completed pavement. Sufficient forms shall be provided for satisfactory prosecution of the work.

Outside curb forms shall be of wood or metal of a section satisfactory to the Engineer or designated representative, straight, free of warp and shall be in a depth at least equal to the depth of the curb. They shall be mounted on the paving forms and securely attached thereto and maintained in true position during the placing of the concrete. Inside curb forms, if required, shall be of approved material and of such design as to provide the curb required and shall be rigidly attached to the outside forms.

G. Concrete Spreader

Use of concrete spreader shall be required and it shall be a self-propelled machine having sufficient power and traction to spread and strike off concrete without slippage on the forms. It shall be equipped with a power driven device for spreading the concrete uniformly between the forms. The spreading device may be either a reciprocating blade, a screw conveyer or a belt conveyer. The spreader shall be capable of striking off the surface of the concrete between the forms in the longitudinal direction of the slab at any required elevation.

Mechanically operated concrete spreaders of other designs, which uniformly distribute the concrete with a minimum of segregation, may be used when approved by the Engineer.

H. Slipform Paver

With prior approval, the Contractor may place concrete with slip form paver. This paver shall be equipped with a longitudinal trans-angular finishing float adjustable to crown and grade and be satisfactory to the Engineer or designated representatve. The float shall extend across the pavement practically to the side forms and/or the edge of slab. A "string line" shall be used to provide grade control for the paver.

I. Mechanical Vibratory Equipment

All concrete placed for pavement shall be consolidated by approved mechanical vibrators operated ahead of the transverse finishing machine and designed to vibrate the concrete internally and/or from the surface. Vibratory members shall extend across the pavement practically to, but shall not come in contact with the side forms. Mechanically operated vibrators shall be mounted in such manner as not to interfere with transverse or longitudinal joints. The internal-type vibrators shall be spaced at not more than 24 inches (61 cm) and shall be equipped with synchronized vibratory units. Separate vibratory units shall be spaced at sufficiently close intervals to provide uniform vibration and consolidation to the entire width of the pavement. The frequency in air of the interval spud type vibratory units shall be not less than 8,000 cycles per minute and not less than 5,000 cycles per minute for tube types and the method of operation shall be as

directed by the Engineer or designated representative. The Contractor shall have a satisfactory tachometer available for checking vibratory the elements.

The pavement vibrators shall not be used to level or spread the concrete but shall be used only for purposes of consolidation. The vibrators will not be operated where the surface of the concrete, as spread, is below the elevation of the finished surface of the pavement, except for the first lift of concrete where double strike off method of placement is employed and the vibrators shall not be operated for more than 15 seconds while the machine upon which they are installed is standing still.

The pan type vibratory units shall apply the vibrating impulses directly to the surface of the concrete. The operating frequency shall be not less than 3,500 cycles nor more than 4,200 cycles per minute in air. The Contractor shall have a satisfactory tachometer available for checking the speed of the vibratory elements.

Approved hand manipulated mechanical vibrators shall be furnished in the number required for provision of proper consolidation of the concrete along forms, at joints and in areas not covered by mechanically controlled vibrators. These vibrators shall be sufficiently rigid to insure control of the operating position of the vibrating head.

Complete and satisfactory consolidation of the concrete pavement is a most important requirement of this specification. Cores taken shall be carefully examined for voids, honeycombing or other evidence of incomplete consolidation. If such evidence is present, changes in the consolidation procedures and/or equipment will be made to insure satisfactory consolidation.

J. Finishing Equipment

1. Transverse Finishing Machine

The Transverse finishing machine shall be provided with 2 screeds accurately adjusted to the crown of the pavement, shall be self-propelled and mounted in a substantial frame equipped to ride on the forms, or may be slip form finished, and shall be so designed and operated as to strike off and consolidate the concrete.

2. Longitudinal Finishing

A transverse drag float may be used in lieu of the longitudinal finishing machine with the Engineer's approval. Finishing machines shall be maintained in a tight and good operating condition, accurately adjusted to the required crown or profile and free from deflection, wobble or vibration tending to affect the precision of finish. Machines failing to meet these requirements will be rejected by the Engineer or designated representative and the Contractor shall provide approved equipment.

Where hand finishing is permitted under this specification, the Contractor shall provide a strike template and a tamping template both of 4 by 10 inch (10 by 25 cm) lumber or equivalent metal section and at least 2 feet longer than the width of the pavement. Both templates to conform to the crown section of the pavement and the tamp, if of wood, shall have a steel face not less than 3/8 inch (9.5 mm) in thickness. The Contractor shall also provide a

longitudinal float of approved design and not less than 14 feet (4.25 meters) in length.

The Contractor shall furnish and maintain at least two standard 10-foot (3.05 meter) steel straightedges on the work site at all times during the paving operations. The Contractor shall operate same in the presence of the Engineer or designated representative.

The Contractor shall furnish a sufficient number of bridges to ride on the forms and span the pavement for finishing operations and for the installation and finishing of joints. All necessary finishing and edging tools shall be furnished as may be required to complete the pavement as indicated.

360S.5 Proportioning of Concrete

A. Proportions

Concrete shall be composed of Cementitious Materials, fine aggregate, coarse aggregate, mineral filler and/or admixture if used and water, mixed in the proportions designated by the approved Mix Design and in the manner set forth in this specification. On the basis of job and laboratory investigations of the proposed materials, the Contractor will fix proportions by weight of water, coarse aggregate, fine aggregate, cementitious materials, admixture and mineral filler where required, in order to produce concrete of the specified strength and workability for the actual delivery time and site conditions to be encountered. Where curbs are placed separately, the Engineer or designated representative. may allow aggregate gradation conforming to Class A Concrete, Item No. 403S, "Concrete for Structures".

B. Concrete Strength

The concrete mix to be designed to produce a concrete with the following requirements:

Table 1: CONCRETE PAVEMENT		
Item	Test	Value
Entrained Air	Tex-416-A	3 to 6 percent
Water-Cement Ratio gal.(liter)/sack, Maximum		6.25 (23.66)
Sacks Cement, Minimum, 4 pounds (42.6 KG) ea		6 per cubic yard (7.85 per cubic meter)
Coarse Aggregate Factor		0.65 min - 0.85 max.
Compressive Strength after 7 Day, psi	Tex-418-A	4000 (27,600)

(kPa)		
Compressive Strength after 28 day, psi (kPa)	Tex-418-A	4500 (31,000)
Maximum Concrete Mix Temperature °F (°C)		95 (35)
Retarder: Regular Concrete increase in time over 360S.7(3) , minutes, Maximum		60

Table 2: HIGH EARLY STRENGTH CONCRETE		
Item	Test	Value
Cement Type		III
Entrained Air	Tex-416-A	3 to 6 percent
Water-Cement Ratio gal. .(liter)/sack, Maximum		6.25 (23.66)
Sacks Cement, Minimum, 94 pounds (42.6 KG) ea		7 per (9.16 per cubic meter)
Coarse Aggregate Factor		0.65 min-0.85 max
Slump, inches (Centimeters)	Tex-415-A	1/2 to 2 (1.25 to 5.0)
Compressive Strength, after 24 hours, psi (kPa)	Tex-418-A	2,100 (14,500)
Compressive Strength, after 3 days, psi (kPa)	Tex-418-A	2,750 (19,000)
Compressive Strength, after 7 Days, psi (kPa)	Tex-418-A	4,500 (31,000)
Compressive Strength, after 28 Days,	Tex-418-A	4,925 (34,000)

psi (kPa)		
Maximum Concrete Mix, Temperature oF (oC)		95 (35)

The Contractor may submit a mix design using high range water reducing admixtures conforming to Item No. 405S, "Concrete Admixtures" in lieu of the concrete pavement mix specified and shall meet the following requirements:

Table 3: HIGH RANGE WATER REDUCING ADMIXTURES: SUPERPLASTERSIZER
CONFORMING TO SPECIFICATION ITEM NO. 405S, "CONCRETE ADMIXTURES"

Item	Test	Value
Entrained Air	Tex-416-A	3 to 6 percent
Water-Cement Ratio, (liter)//sack, Maximum		6.25
Sacks Cement, Minimum, 94 pounds (42.6 KG) ea		6 per cubic yard(7.85 per cubic meter)
Coarse Aggregate Factor		0.65 min. - 0.85 max.
Slump, inches (cms) before Admixture	Tex-415-A	1/2 to 2 (1.25 to 5)
Slump, Inches (cms) after Admixture	Tex-415-A	4 to 10 (2.5 to 25)
Compressive Strength, after 3 days, psi (kPa)	Tex-418-A	3,125 (21,500)
Compressive Strength, after 7 days, psi, (kPa)	Tex-418-A	4,500 (31,000)
Compressive l Strength, after 28 days, psi (kPa)	Tex-418-A	4,925 (34,000)
Maximum Concrete Mix, Temperature, ºF (ºC)		100 (37.8)
Retarder, Regular Concrete Over 360S.7C, Minutes, Maximum		120

Table 4: Over Design Required to Meet Compressive Strength Requirements1					
Number Of Tests2,3	Standard Deviation, psi (mPa)				
	300 (2.06)	400 (2.75)	500 (3.44)	600 (4.13)	700 (4.82)
15	470 (3.24)	620 (4.27)	850 (5.85)	1,120 (7.71)	1,390 (9.57)
20	430 (2.96)	580 (3.99)	760 (5.23)	1,010 (6.95)	1,260 (8.67)
30 or more	400 (2.75)	530 (3.65)	670 (4.61)	900 (6.20)	1,130 (7.78)

Notes:

1. When designing the mix, add the tabulated amounts to the minimum design strength in Tables 1, 2 or 3.Maximum water-cement or water-cementitious ratio by weight

2. Number of tests of a concrete mixture used to estimate the standard deviation of a concrete production facility. Test of another mix within 1,000 psi (6.88 MPa) of the specified strength may be used.

3. If less than 15 prior tests are available, the overdesign should be 1,200 psi (8.26 MPa) for specified strengths from 3,000 to 5,000 psi (20.65 to 34.42 MPa) and 1,400 psi (9.64 MPa) for specified strengths greater than 5,000 psi (34.42 MPa).

High range water reducing admixtures shall be capable of maintaining the original slump until placement and screeding, which may be 2 hours, without the addition of water, additional admixture or other retempering or remixing techniques.

C. Workability of Concrete

Concrete shall be uniformly plastic, cohesive and workable. Workable concrete is defined as concrete which can be placed without honeycomb and without voids in the surface of the pavement after the specified finishing machine has been over a given area twice. Workability shall be obtained without producing a condition such that free water appears on the surface of the slab when being finished as specified. Where water appears on the surface of the concrete after finishing and this condition cannot be corrected by reasonable adjustment in the batch design, the bleeding to be immediately corrected by one of the following measures or a combination of two or more of the following listed measures:

1. Redesign of the batch.

2. Addition of mineral filler to fine aggregates.

3. Increase of cement content.

4. Use of an approved air entraining agent or approved admixture.

In the event that the measures taken do not eliminate the bleeding immediately, concrete placement operations will be suspended, as directed by the Engineer or designated representative, by placing a bulkhead or "header" as indicated and

according to applicable requirements for intentional stoppage of placement of concrete under Item No. 360S, "Concrete Pavement" and will remain suspended until such time as additional trial mixes demonstrate that a non-bleeding batch design has been achieved. Failing to achieve a satisfactory laboratory batch design the Contractor will be required to use different materials and to submit samples thereof for additional trial mixes and pilot beams.

The mix will be designed with the intention of producing concrete, which will have a slump of 1 1/2 inches (3.8 cms). The slump shall not be less than 1/2 inch (1.25 cms) nor more than 2 inches (5 cms).

D. Mix Design

The Contractor shall be responsible for the design of the concrete mix. The mix design shall be prepared and sealed by a person qualified and experienced in such work. Establish proportions on the basis either of laboratory trial batches or of field experience with the materials to be employed.

When ice is used to lower the concrete temperature during hot weather concrete placement (Section 13 of Standard Specification Item No.410S, “Concrete Structures”), the Contractor shall furnish a mix design (Section 6 of Standard Specification Item No. 403S, “Concrete for Structures”) acceptable to the Engineer or designated representative for class of concrete specified. The addition of ice shall not exceed 50% of the total mix water weight.

Complete concrete mix design data shall be submitted to the Engineer or designated representative for approval at least 10 days before concrete placement begins. Submittal of the mix shall be accompanied by such test data and certifications as may be necessary to demonstrate compliance with specification requirements. Approval of this mix design shall in no way relieve the Contractor of responsibility for the quality of the concrete.

It shall also be the responsibility of the Contractor to determine and measure batch quantity of each ingredient, including water, not only for batch designs but for all concrete produced for the project, so that the mix conforms to these specifications.

Trial batches shall be made and tested using all the proposed ingredients prior to the placing of concrete and also when the aggregate and/or type, brand or source of cement or admixture is changed. When the brand and/or source of cement only is changed, the Engineer or designated representative may waive trial batches only if a prior record of satisfactory performance of the cement has been established.

Mix designs used successfully on previous or concurrent jobs may be approved by the Engineer or designated representative without trial batches if it is shown that there is no substantial change in any of the proposed ingredients.

The Contractor shall prepare a minimum of four concrete test beams of each mix design, cure and test two each at the age of 7 and 28 days. From these preliminary tests the water-cement ratio required to produce concrete of the specified strength will be selected by the Contractor for approval by the Engineer or designated representative. The Contractor may at any time present in writing a suggested mix design and if the Engineer or designated representative concurs with the suggested design, the Contractor shall conduct trial batches necessary to determine its acceptability under these specification requirements.

The Contractor shall furnish and operate the mixer approved for use on this project unless the concrete is to be furnished from a transit mix (ready-mix) plant. For mixing the concrete to be used in making the preliminary test specimens, a minimum 1 cubic yard (1 cubic meter) batch shall be mixed or a batch of sufficient size to afford proper mixing, whichever is the greater. In lieu of the above mixer and procedure, the Contractor may furnish a portable mixer of sufficient rated capacity to mix a minimum 3-sack batch; in which case, the batch mixed for the preliminary test not to be less than the rated capacity of the mixer furnished. A coating batch will be mixed prior to mixing for test beams.

No additional compensation to be allowed for equipment, materials or labor involved in making job mix design test specimens.

After the mix proportions and water-cement ratio required to produce concrete of the specified strength have been determined, placing of the concrete may be started. The strength of the concrete in the completed pavement will be determined by a minimum of four compressive strength test specimens made, cured with a minimum of two each tested at 7 and 28 days as provided in Texas DOT Bulletin C-11. Modifications of the mix design may be requested by the Contractor on basis of conformity of the strength of these test specimens with the requirements and intent of this specification.

Changes in the water-cement ratio and the mix design, including an increase in cement factor if necessary, will be made when the average 7 day and/or 28 day compressive strength of the concrete, as indicated by the last 10 compressive strength values obtained from tests of cylinders made from concrete of the same water-cement ratio, departs from the desired minimum average strength by more than 4 percent.

E. Construction Testing:

Straightedge surface testing to be carried out as prescribed above.

The Engineer shall take test cylinders for compressive strength values on a random basis. The comparative results shall consist of the average of 2 cylinders each at 7 and 28 days for regular concrete, High early strength concrete and high range water reducing admixture concrete. Tests shall be made for each 500 square yards constructed, in accordance with TxDOT Bulletin C-11. Additional tests may be taken as determined by the concrete placement conditions or for adequately determining the strength of concrete where the early opening of the pavement to traffic is dependent upon concrete strength tests. No extra compensation will be allowed for materials and work involved in fulfilling these requirements.

360S.6 Construction Methods

A. Preparation of Subgrade

Where stabilized subbase is not provided, the subgrade shall be excavated as required, all unstable or otherwise objectionable material removed and all holes, ruts and depressions filled with approved material and compacted. Rolling and sprinkling shall be performed when and to the extent required and the roadbed

shall be completed to or above the plane of the typical sections, lines and grades indicated or as established by the Engineer or designated representative. The subgrade shall be proof rolled and any soft areas shall be repaired before the forms are placed. In the event that the proof rolled subgrade is exposed to rainfall or other conditions, which may soften the subgrade, corrective measures shall be taken and the subgrade shall be proof rolled again.

The subgrade planer shall be operated from approved forms immediately ahead of paving operations and the subgrade shall be finished to the exact section of the bottom of the pavement as indicated. Where traveling form pavers are used, the subgrade planer shall operate on a prepared track grade or be controlled by electronic sensors operating from a stringline that establishes line and grade. It shall be tested with the approved template, operated and maintained by the Contractor. The subgrade shall be maintained in a smooth, compacted condition in conformity with the required section and established grade until the pavement is placed and shall be kept thoroughly wetted down sufficiently in advance of placing any pavement to insure its being in a firm and moist condition for at least 2 inches (5 cms) below the prepared surface. Sufficient subgrade shall always be prepared in advance to insure satisfactory prosecution of the work.

No equipment or hauling shall be permitted on the prepared subgrade, except by special permission of the Engineer or designated representative, which will be granted only in exceptional cases and only where suitable protection in the form of 2-ply timber mats or other approved material is provided.

B. Placing and Removing Forms

The subgrade under the forms shall be firm and cut true to grade so that each form section when placed will be firmly in contact for its whole length and base width and exactly at the established grade. Any subgrade under the forms below established grade shall be corrected, using suitable material, placed, sprinkled and rolled as directed. Forms shall be staked with at least 3 pins for each 10-foot (3-meter) section. A pin shall be placed at each side of every joint. Form sections shall be tightly joined and keyed to prevent relative displacement. Forms shall be cleaned and oiled each time they are used.

Forms shall be set for a sufficient distance in advance of the point where concrete is being placed to permit a finished and approved subgrade length of not less than 300 feet (90 meters) ahead of the mixer. Conformity of the grade and alignment of forms shall be checked immediately prior to placing concrete and necessary corrections made by the Contractor. Where any form has been disturbed or any subgrade becomes unstable, the form shall be reset and rechecked. In exceptional cases, the Engineer or designated representative may require suitable stakes driven to the grade of the bottom of the forms to afford additional support. Sufficient stability of forms to support the equipment operated and to withstand its vibration without springing or settlement shall be required. If forms settle and/or deflect over 1/8 inch (3 mm) under finishing operations, paving operations shall be stopped and the forms shall be reset to line and grade.

Forms shall be leveled using cement-stabilized material containing not less than 1 1/2 sacks of cement per ton (1 2/3 sacks of cement per MG) of mix as placed. The aggregate gradation and water content shall be determined by the Contractor. The cement-stabilized material shall be sufficiently plastic to insure filling voids

underneath the paving forms. Paving equipment will not to be permitted on the forms until the cement-stabilized material has cured for at least 12 hours.

Forms shall remain in place for not less than 8 hours after the concrete has been placed. Forms shall be carefully removed in such a manner that little or no damage will be done to the edge of the pavement. Any damage resulting from this operation shall be immediately repaired. After the forms have been removed, the ends of all joints shall be cleaned and any honeycombed areas pointed up with approved mortar and the surfaces protected with curing material conforming to Item No. 409S, "Membrane Curing".

Immediately after pointing is complete, the form trench, if used, shall be filled with granular material or earth from the shoulders in such manner as to shed water from rainfall and prevent curing material from washing away from the edge of pavement. On completion of the required curing, the subgrade or shoulders adjacent to the pavement shall be placed and compacted in condition to maintain drainage.

360S.7 Concrete Mixing and Placing

A. Mixing Methods

The concrete shall be mixed in a mixer conforming to the requirements of this item.

B. Mixing

The aggregates, mineral filler if required, cementitious materials and water shall be measured separately, introduced into the mixer and mixed for a period of not less than 50 seconds nor more than 90 seconds, measured from the time the last aggregate enters the drum to the time discharge of the concrete begins. The required water shall be introduced into the mixing drum during the first 15 seconds of mixing. The entire contents of the drum shall be discharged before any materials of the succeeding batch are introduced.

The Engineer or designated representative may increase the minimum mixing time to that necessary to produce thoroughly mixed concrete based on inspection or appropriate uniformity tests. The mixing time may be varied at any time as necessary to produce acceptable concrete.

If a central mixer is used, the concrete shall be discharged into the specified hauling equipment and delivered to the road site. If truck agitators are used, the concrete shall be continuously agitated at not less than 1 nor more than 6 rpm as directed by the Engineer or designated representative.

The maximum size of the concrete batch, absolute volume, shall not exceed 120 percent of the rated size of the mixer (40.8 cubic feet maximum batch for 34 cubic foot paver-1.2 cubic meter maximum batch for 1 cubic meter paver). Spilling of material from the mixer drum shall be corrected by reducing the size of the batch. Retempering or remixing of concrete will not be permitted.

The initial batch of concrete mixed after each time the mixer is washed out shall be enriched by additional mortar. The additional mortar shall be 1 sack of cement and 3 parts of sand.

When transit-mix (ready-mix) concrete is permitted, the batching plant shall meet the requirements of Item 403S, "Concrete for Structures".

C. Placement

Unless otherwise indicated, the concrete may be placed by using forms or by use of a slipform paver. Any concrete not placed as herein prescribed within 30 minutes after mixing shall be rejected and disposed of as directed except as provided otherwise herein. If in the opinion of the Engineer or designated representative, the temperature, wind and/or humidity conditions are such that the quality of concrete will not be adversely affected, the specified placing time may be extended by a maximum of 45 minutes. Concrete with high range water reducing admixture shall not be placed after the slump has dropped by 3 inches (7.5 cms) or more. Except by specific written authorization of the Engineer or designated representative, concrete shall not be placed when the temperature is below 40oF (4.5oC) and falling but may be placed when the temperature is above 35oF (1.7oC) and rising, the temperature being taken in the shade and away from artificial heat.

When the temperature of the air is above 85oF (29.4oC), an approved retarding agent will be required in concrete. The maximum temperature of all regular concrete placed shall not exceed 95oF (35.0oC), unless otherwise specified.

When concrete is being placed in cold weather, the Contractor shall have available a sufficient supply of an approved covering material to immediately protect concrete if the air temperature falls to 32oF (0oC) or below, before concrete has been placed 4 hours. Such protection shall remain in place during the period the temperature continues below 32oF (0oC) or for a period of not more than 5 days. Neither salt nor other chemical admixtures shall be added to the concrete to prevent freezing. The Contractor shall be responsible for the quality and strength of concrete under cold weather conditions and any concrete damaged by freezing shall be removed and replaced at the Contractor’s expense. Concrete shall not be placed before sunrise and shall not be placed later than will permit finishing of the pavement during sufficient natural light.

Concrete shall be placed only on approved subgrade or subbase and unless otherwise indicated on the drawings, the full width of the pavement shall be constructed monolithically. The concrete shall be deposited on the subgrade or subbase in such manner as to require as little rehandling as possible. Where hand spreading is necessary, concrete shall be distributed to the required depth by use of shovels. The use of rakes will not be permitted. Workers will not be permitted to walk in the concrete with any earth or foreign material on their boots or shoes. The placing of concrete shall be rapid and continuous.

When the concrete is to be placed in separate lanes, the junction line shall not deviate from the true line more than 1/2 inch (1.25 cm) at any point and shall be finished as indicated on the drawings.

The mixer shall not be located on completed pavement, except as herein provided, but may be located on the subgrade of that lane of the pavement being constructed, as provided under "Preparation of Subgrade". When limited space, in the opinion of the Engineer or designated representative, requires operation of the mixer on completed pavement, the mixer may be so operated provided the concrete has attained the minimum average compressive strength required and

provided suitable protection to the pavement in the form of 2 ply timber mats or otherwise approved material is provided.

Concrete shall be distributed to such depth that when consolidated and finished, the slab thickness indicated will be obtained at all points and the surface shall not, at any point, be below established grade. Special care shall be exercised in placing and spading concrete against forms and at all joints to prevent the forming of honeycombs and voids.

Concrete for the monolithic curbs shall be the same as for the pavement and if carried back from the paving mixer shall be placed within 20 minutes after being mixed. It may be placed from the separate mixer, if desired, but in any case must be placed while the pavement concrete is still plastic. When sawed joints are used, curbs shall be doweled as indicated and poured after sawing. Curbs doweled on and placed separately may be placed with an extrusion machine.

If a central mixer or batcher is used, the Contractor shall provide a system satisfactory to the Engineer or designated representative for determining that concrete delivered to the road meets the specified requirements for mixing and time of placing.

Unless otherwise indicated, 2 mixers or transit mixers will be required where the double strike off method is employed.

D. Reinforcing Steel and Joint Assemblies

All reinforcing steel, including steel, welded wire fabric reinforcement, tie bars, dowel bars and load transmission devices used in accordance with plan provisions shall be accurately placed and secured in position in accordance with details indicated on the drawings. Reinforcing bars shall be securely wired together at alternate intersections, following a pattern approved by the Engineer or designated representative and at all splices and shall be securely wired to each dowel intersected. When wire fabric is used, it shall replace only the longitudinal and transverse bars and shall be securely wired together at all splices and to each dowel intersected. When welded wire fabric is selected, the Contractor shall pour the lower half of the slab, place the welded wire fabric and place the remaining concrete. Tie bars shall be installed in the required position by the method and device indicated. Bar coating indicated and of material specified, shall be completed and the bars and coating shall be free of dirt or other foreign matter at the time of installation in the concrete.

Tightly adhered scale or rust which resists removal by vigorous wire brushing need not be removed except that excessive loss of section to the reinforcement due to rust shall be cause for rejection. Excessive loss of section shall be defined as loss of section to the extent that the reinforcement will no longer meet the physical requirements for the size and grade of steel specified.

Where indicated on the drawings, an assembly of parts at pavement joints, the assembly shall be completed, placed at required location and elevated and all parts rigidly secured in required position by the method and devices indicated on the drawings. Dowel bars shall be accurately installed in joint assemblies as indicated on the drawings, each parallel to the pavement surface and to the center line of the pavement and shall be rigidly secured in the required position by such

means as indicated that will prevent their displacement during placing and finishing of the concrete. Unless specifically authorized by the Engineer or designated representative in writing, the load transmission devices shall be accurately installed in joint assemblies indicated, each unit vertical with its length parallel to the center line of the pavement and all units shall be rigidly secured in required position by such means as indicated that will prevent their displacement during placing and finishing of the concrete. Header boards, joint filler and other material used for forming joints shall be accurately notched to receive each load transmission device. All load transmission devices shall be free of rust and clean when installed in the concrete.

The Contractor has the option of substituting welded wire fabric in place of reinforcement bars. The welded wire fabric selected shall have an area and distribution of steel at least equal to the plan requirements. The Contractor shall submit their proposed design to the Engineer for approval before any material is ordered.

If welded wire fabric is used, the entire width of the bottom layer of concrete shall be struck off to conform to the cross section and elevation indicated on the drawings. The reinforcement shall then be placed immediately upon the concrete, after which the top layer of concrete shall be placed, struck off and screeded. Any portion of the bottom layer of concrete which has been placed more than 15 minutes without being covered with the top layer of concrete shall be removed and replaced with freshly mixed concrete at the Contractor's expense.

E. Joints

1. General

All transverse and longitudinal joints when required in the pavement shall be of the types indicated and shall be at required location, on required alignment, in required relationship to tie bars and joint assemblies and in accordance with details indicated. When no transverse joints are indicated, joints shall not exceed 40 feet (13.1 meters). Such stakes, braces, brackets or other devices shall be used as necessary to keep the entire joint assembly in true vertical and horizontal position. Where concrete base is overlaid by asphaltic concrete, the joints to be prepared as specified herein, but joint sealing will not be required unless indicated.

If necessary for proper installation of the sealer, excessive spalling of the joint groove shall be repaired to the satisfaction of the Engineer.

Care shall be exercised during the construction of all joints to insure that the concrete sections are completely separated by an open joint or by the joint materials and to insure that the joints will be true to the outline indicated on the drawings. The Contractor shall install joint materials, which will function as a compatible system. Joint sealer shall not be placed where a bond breaker is present.

Green concrete or wet sawed joints are permitted provided the Contractor cleans the joint within 5 minutes after cutting with a 3000 psi (20.7 mPa) water blast followed by a minimum of 7 day cure and sand blast the saw cut immediately prior to placing joint sealer.

Dry sawed joints are permitted provided the Contractor sand blasts the saw cut immediately prior to placing joint sealer.

2. Expansion Joints

Transverse expansion joints shall be formed perpendicular to the centerline and surface of pavement and shall be constructed in accordance with the sequence of operations indicated on the drawings. After the transverse finishing machine and before the longitudinal finishing machine have passed over the joint, the Contractor shall test the joint filler for correctness of position and make any required adjustment in the position of the filler and shall install the joint seal space form as indicated on the drawings. After removal of the joint seal form as indicated on the drawings, the joint seal space above the joint filler shall be thoroughly sandblasted or machine routed to remove all projecting concrete, laitance, dirt or foreign matter. The concrete faces of the joint seal space shall be left true to line and section throughout the entire length of the joint. On completion of curing of the pavement, the joint sealing filler of the type specified shall be placed as indicated. The faces of the joint seal space shall be clean and surface dry at the time joint sealing filler is placed. On completion of the joint seal, the pavement adjacent to the joint shall be left free of joint sealing material. The joint seal space shall be exactly above and not narrower than the joint filler with no concrete overhangings.

3. Weakened Plane Joints

Weakened plane joints shall consist of transverse contraction joints and longitudinal joints and shall be formed or sawed as indicated on the drawings. When the joints are sawed, the saw shall be power driven, shall be manufactured especially for the purpose of sawing concrete and shall be capable of performing the work. Saw blades shall be as indicated. Tracks adequately anchored, the chalk, string line or other approved methods shall be used to provide true alignment of the joints. The concrete saw shall be maintained in good operating condition and the Contractor shall keep a standby power saw on the project at all times when concrete operations are under way.

If membrane curing is used, the portion of the seal, which has been disturbed by sawing operations, shall be restored by the Contractor by spraying the areas with additional curing seal.

Forming, finishing and sealing of the joint seal space shall conform to this item, described above and details indicated on the drawings.

4. Contraction Joints

Transverse contraction joints shall be formed or sawed joints perpendicular to the centerline and surface of the pavement and shall be constructed by the method and in the sequence of operations as indicated. Where sawed joints are used, contraction joints at intervals indicated shall be sawed as soon as sawing can be accomplished without damage to the pavement and before 24

hours after the concrete has been placed, the exact time to be approved by the Engineer or designated representative. The remaining contraction joints shall be sawed in a uniform pattern as directed by the Engineer or designated representative and they shall be completed before uncontrolled cracking of the pavement takes place. All joints shall be completed before placing concrete in succeeding lanes and before permitting traffic to use the pavement.

5. Longitudinal Joints

Longitudinal joints shall be of the type or alternate types indicated and shall be constructed of specified materials in accordance with provisions indicated on the drawings. Longitudinal joints shall be constructed accurately to required lines, shall be perpendicular to the pavement surface at the joint and the pavement surface over and adjacent to the joint shall be finished as specified on the drawings.

Longitudinal joints shall be sawed as soon as sawing can be accomplished without damage to the pavement. Sawing shall not cause damage to the pavement and the groove shall be cut with a minimum of spalling. No traffic (including construction traffic) shall be permitted on pavement until the longitudinal joint is cut.

6. Construction Joints

Intentional stoppage of the placing of the concrete shall be at either an expansion joint or at a weakened plane joint. The following provisions shall govern for each type of joint at which the placing of concrete is stopped:

a) When the placing of concrete is stopped at an expansion joint, the complete joint assembly shall be installed and rigidly secured in required position as indicated. A bulkhead of sufficient cross sectional area to prevent deflection, accurately notched to receive the load transmission devices or dowels, as the case may be, and shaped accurately to the cross section of the pavement shall be provided and installed as a back-up for the joint filler and rigidly secured in required position to permit accurate finishing of the concrete up to the joint. After the concrete has been finished to the joint, formation of the joint seal space and finishing of the joint shall be executed as specified herein and as indicated. The backup bulkhead shall remain in place until immediately prior to the time when concrete placement is resumed, then it shall be carefully removed in such manner that no element of the joint assembly will be disturbed. The exposed portion of the joint assembly shall be free of adherent concrete, dirt or other material at the time placing of concrete is resumed.

b) When placing of concrete is stopped at a weakened plane joint, all applicable provisions of paragraph (a) above shall apply in addition to the following requirement:

The face of the bulkhead adjoining the slab end shall be notched and grooved to fit the exposed half section of the joint assembly and shall be shaped to form the slab end at the center of the joint as indicated on

the drawings. The 1/2 width of joint seal space may be formed by a strip of required section placed and removed as indicated for construction of transverse contraction joints. The Contractor shall have available a bulkhead shaped to section of the pavement. This bulkhead must be drilled to permit the continuation of all longitudinal reinforcing steel through the construction joint and shall be of sufficient section and strength to prevent deflection.

c) When load transmission devices are not provided in the design, intentional stopping of placement of concrete shall occur in the middle of a slab. Provisions shall be made to provide a bulkhead, which will accommodate tie bars of the same length, size and spacing as tie bars used for the longitudinal joints. When the concrete placement is resumed, the bulkhead shall be removed without bending tie bars or damaging the concrete. The joint seal space and sealer shall be the same as for longitudinal joints.

Immediately upon the unintended stoppage of the placing of concrete, the Contractor shall place the available concrete to a line and install the above-described bulkhead at right angles to the centerline of the pavement, perpendicular to the surface and at the required elevation. Concrete shall be placed and finished to this bulkhead. Any concrete remaining on the subgrade ahead shall be removed and disposed of as directed by the Engineer or designated representative. When placing of concrete is resumed before the concrete has set to the extent that the concrete will stand on removal of the bulkhead, the new concrete shall be rodded with the first. An edge created by a construction joint of this type shall have a joint seal space and shall be sealed as required for contraction joints.

F. Joint Sealers

1. Class 2 Material

This material shall conform to Standard Specification Item No. 313S, ““Cleaning and/or Sealing Joints and Cracks (Asphaltic Concrete).

For placement in vertical joints (curb faces, etc.) either of the following procedures may be used.

a) An amount of the mixed material may be set aside until partial curing has taken place and carefully trowelled into the joint with a suitable tool.

b) The portion of the joint in the roadway shall be poured and cured. The vertical curb faces shall then be taped or formed and the material poured into the vertical joint from the top.

2. Class 5 Material

This material together with backer rods shall be applied as indicated in accordance with manufacturer's recommendations.

G. Asphalt Board

Premolded materials, wherever used, shall be anchored to the concrete on one side of the joint by means of copper wire or nails not lighter than No. 12 B and S gage. Such anchorage shall be sufficient to overcome the tendency of the material to fall out of the joint. The Contractor shall not contaminate joints to receive Class 5 Joint Material with asphalt from the asphalt board.

H. Curbs

The curb shall be constructed in lengths equal to the adjoining pavement slab lengths and expansion joints shall be provided in the curb opposite each transverse expansion joint in the pavement. Expansion joint material shall be of the same thickness, type and quality as indicated for the pavement and shall be of the section as indicated for the curb. All expansion joints shall be carried through the curb, sidewalk and retaining walls when these items are indicated.

When sawed joints are provided for the pavement, the curb placement shall be delayed until all transverse joints have been sawed. To provide bond for the curb, dowel bars shall be placed as indicated on the drawings, while the pavement concrete is still plastic.

Weakened plane joints shall be formed in monolithic curbs at a spacing to coincide with the joints in the concrete pavement. The joints shall be formed by inserting in the curb an asphaltic board strip cut to conform to the shape of the curb. When the concrete is sufficiently set, the joint on the top and face of curb shall be grooved with an approved type of grooving tool.

A finish coat of mortar shall be applied on the exposed surfaces of the monolithic curbs. The mortar shall be composed of 1 part of Portland Cement and 2 parts of fine aggregate. A mortar coat will not be required for extruded curbs.

The curb face, lower radius and top of curb shall be plastered with the sand-cement mortar. The mortar shall be applied with a template or "mule" made to conform to the curb dimensions as indicated. All exposed surfaces of the curb shall be finished with a steel trowel and brushed to a smooth and uniform surface. The mortar finish as required shall be included in the unit price bid for this item.

I. Machine Finishing

All concrete pavement shall be finished mechanically with approved self-propelled machines, except as herein provided. Hand finishing will be permitted on the transition from a crowned section to a superelevated section without crown on curves, on straight line superelevation sections less than 300 feet (91.4 meters) in length, on that portion of a widened pavement outside normal pavement width and on sections where the pavement width is not uniform, isolated, narrow in width or required monolithic widths are greater than that of available finishing machines.

Machine finishing of pavement shall include the use of power-driven vibrators, power-driven transverse strike off and screed or such alternate equipment as may be substituted and approved under this item.

All concrete pavement shall be consolidated by a mechanical vibrator. As soon as concrete has been spread between the forms, the approved mechanical vibrator

shall be operated to consolidate the concrete and remove all voids. Hand manipulated vibrators shall be used for areas not covered by the mechanical vibratory unit.

The transverse finishing machine shall first be operated to compact and finish pavement to the required section and grade, without surface voids. The machine shall be operated over each area as many times and at such intervals as directed. At least 2 trips will be required and the last trip over a given area shall be a continuous run of not less than 40 feet (12.2 meters). After completion of finishing with the transverse finishing machine, a transverse drag float may be used.

The consistency of the concrete as placed should allow completion of finishing operations without the addition of water to the surface. When field conditions are such that additional moisture is needed for the final concrete surface finishing operation, the required water shall be applied to surface by fog spray only and shall be held to a minimum.

After finishing is complete and the concrete still workable, the surface shall be tested by the Contractor for trueness with an approved 10 foot (3.05 meter) straightedge. The straightedge shall be operated from the side of the pavement, placed parallel to the pavement centerline and passed across the slab to reveal any high spots or depressions. The straightedge shall be advanced along the pavement in successive stages of not more than 1/2 its length. Practically perfect contact of the straightedge with the surface will be required and the pavement shall be leveled to this condition, in order to insure conformity with the surface test required below after the pavement has fully hardened. Any correction of the surface required shall be accomplished by adding concrete if required and by operating the longitudinal float over the area. The surface test with the straightedge shall then be repeated.

For one lane pavement placement and uniform widening, the equipment for machine finishing of concrete pavement shall be as directed by the Engineer or designated representative but shall not exceed requirements of these specifications.

After completion of the straightedge operation, as soon as construction operations permit, texture shall be applied with 1/8 inch (3 mm) wide metal tines with clear spacing between the tines being not less than 1/4 inch (6.3 mm)nor more than 1/2 inch (12.7 mm).

If approved by the Engineer or designated representative, other equipment and methods may be used, provided that a surface texture meeting the specified requirements is obtained. The texture shall be applied transversely. It is the intent that the average depth resulting from the number of tests directed by the Engineer or designated representative be not less than 0.060 inch (1.52 mm) with a minimum texture depth of 0.050 inch (1.27 mm) for any one test when tested in accordance with TxDOT Test Method Tex-436-A. Should the texture depth fall below that intended, the finishing procedures shall be revised to produce the desired texture.

1. Emergency Procedures

The Contractor shall have available at all times hand rakes with tines for the purpose of providing textures in the event of equipment breakdown.

The Contractor also shall have available a conventional garden spray type can containing a commercially available monomolecular film compound. This shall be applied in the case of equipment breakdown or other emergencies to prevent the pavement from drying too rapidly. The use of this product will give the Contractor additional time to provide adequate texturing.

After completion of texturing and about the time the concrete becomes hard, the edge of the slab and joints shall be carefully finished with an edger and the pavement shall be left smooth and true to line.

J. Hand Finishing

Hand finishing shall be resorted to only in those conditions provided for above and upon specific authorization by the Engineer or designated representative. When hand finishing is permitted, concrete shall be struck off with an approved strike off screed to such elevation that when consolidated and finished the surface of the pavement to conform to the required section and grade. The strike template shall be moved forward with a combined transverse and longitudinal motion in the direction work is progressing, maintaining the template in contact with the forms and maintaining a slight excess of material in front of the cutting edge. The Concrete shall then be tamped with an approved tamping template to compact the concrete thoroughly and eliminate surface voids and the surface screed to required section.

After completion of a strike off, consolidation and transverse screeding, a hand-operated longitudinal float shall be operated to test and level the surface to the required grade.

Workers shall operate the float from approved bridges riding on the forms and spanning the pavement. The longitudinal float shall be held in contact with the surface and parallel to the centerline and operated with short longitudinal strokes while being passed from one side of the pavement to the other. If contact with the pavement is not made at all points, additional concrete shall be placed, if required and screed and the float shall be used to produce a satisfactory surface. Care shall be exercised to keep the ends of the float from digging into the surface of the pavement. After a section has been smoothed so that the float maintains contact with the surface at all points in being passed from one side to the other, the bridges may be moved forward half the length of the float and the operations repeated.

Other operations and surface tests shall be as required for machine finishing.

K. Surface Testing:

After the concrete has been placed 12 hours or more, the Engineer or designated representative will test the surface of the pavement with a 10-foot (3.05 meter) straightedge placed parallel to the centerline. Unless specified otherwise, the surface shall not vary from the straightedge by more than 1/16 inch per foot (5 mm per meter) from the nearest point of contact and in no case shall the maximum

ordinate from a straightedge to the pavement be greater than 1/8 inch (3 mm). Any high spots causing a departure from the straightedge in excess of that specified shall be ground down by the Contractor to meet the surface test requirements. Where the texture of the pavement is removed by extensive grinding, the texture shall be restored by grooving the concrete to meet the surface finishing specifications.

L. Curing

All concrete pavement shall be cured by protecting it against loss of moisture for a period of not less than 72 hours from the beginning of the curing operations. Immediately after finishing operations have been completed, the entire surface of the newly laid concrete shall be covered and cured in accordance with the requirements specified for whichever of the following methods the Contractor may elect. Newly laid concrete base to be overlaid by asphaltic concrete shall not be cured by "Membrane Curing" and surfaces not to be overlaid by asphaltic concrete shall not be cured by "Asphalt Curing". In all cases in which curing requires the use of water, the curing shall have prior right to water supply or supplies. Failure to provide sufficient cover material of the type the Contractor elects to use, failure to maintain saturation in wet curing methods, lack of water to adequately take care of both curing and other requirements or other failures to comply with curing requirements shall be cause for immediate suspension of concreting operations. The covering material used in curing shall be removed as necessary to saw joints or to comply with the requirements for "Surface Test". The concrete surface shall be maintained wet with a water spray if indicated and the covering material replaced immediately on completion of sawing and testing and any required surface correction.

1. Waterproofed Paper Curing

Immediately after the finishing of the surface has been completed and the concrete has taken its initial set, it shall be wetted with water applied in the form of a fine spray and covered with waterproofed paper so placed and weighted as to cause it to remain in intimate contact with the surface. Waterproofed paper used for the curing of concrete pavement shall be of a type and quality approved by the Engineer. It shall be sufficiently strong and tough to permit its use under the conditions existing on street paving work without being torn or otherwise rendered unfit for the purpose during the curing period. The paper covering shall be maintained in place continuously for not less than the specified curing period.

The waterproofed paper shall be prepared to form blankets of sufficient width to cover the entire surface and both edges of the pavement slab and such blankets shall not be more than 60 feet in length. All joints in the blankets occasioned by joining paper sheets shall lap not less than 5 inches (12.7 cms) and shall be securely sealed with asphalt cement having a melting point of approximately 180o F (82.2 oC). Blankets shall be placed to secure an overlap of at least 12 inches (30.5 cms) and this lap securely weighted to form a closed joint.

The waterproofed paper blankets shall be adequately weighted to prevent displacement or billowing due to wind and the paper folded down over the

side of the pavement shall be secured by a continuous bank of earth. Plowing of this windrow into place will not be permitted.

All tears or holes appearing in the paper during the curing period shall be immediately repaired by cementing patches over such defects. It shall be the Contractor's responsibility to prevent damage to paper blankets, which would affect their serviceability and effectiveness as a concrete curing method. Blankets may be rejected by the Engineer or designated representative at any time if it appears they do not provide an airtight covering.

Paper blankets rejected on account of pinholes or minor tears may be continued in service by folding the blanket over lengthwise, first thoroughly spraying 1/2 the blanket with the asphalt cement used for seams. The 2 thicknesses shall be firmly pressed together and well cemented. Blankets shall be of a width sufficient to cover the pavement surface and both edges. Doubled blankets may be rejected for the same cause as provided for single blankets. All paper blankets rejected by the

Engineer shall be immediately marked by the Contractor for identification and then destroyed or stored entirely separate from approved blankets.

No walking on paper shall be permitted at any time and, in locations where pedestrian traffic cannot be entirely controlled, the Contractor shall provide walkways and barricades or shall substitute other permissible curing methods on such sections of pavement.

2. Polyethylene Film Curing

Immediately after the finishing of the surface has been completed and the concrete has taken its initial set, it shall be wetted with water applied in the form of a fine spray and covered with the polyethylene film so placed and weighted as to cause it to remain in intimate contact with the surface. The polyethylene film covering shall be maintained in place continuously for not less than the specified curing period.

The film shall be prepared to form blankets of sufficient width to cover the entire surface and both edges of the pavement slab. All joints in the blankets occasioned by joining film sheets shall lap not less than 12 inches (30.5 cms). All joints shall be sealed in a manner acceptable to the Engineer or designated representative to provide a moisture-proof lap.

The polyethylene film blankets shall be adequately weighted to prevent displacement or billowing due to wind and the film folded down over the side of the pavement shall be secured by a continuous bank of earth. Plowing of this windrow into place not to be permitted.

All tears or holes appearing in the polyethylene film during the curing period shall be immediately repaired by placing acceptable moisture proof patches over such defects or by replacing the blankets. It shall be the Contractor's responsibility to prevent damage to the film blankets, which would affect their serviceability and effectiveness as a concrete curing method. Blankets may be rejected by the Engineer at any time if it appears they do not provide an airtight covering.

Polyethylene film blankets rejected on account of pinholes or minor tears may be continued in service when repaired to an airtight condition. All polyethylene film blankets rejected by the Engineer or designated representative shall be immediately marked by the Contractor for identification and then destroyed or stored entirely separate from approved blankets.

Should the film blanket be damaged or torn for any cause during the first 72 hours of the curing period such damage shall be repaired immediately.

3. Membrane Curing

Immediately after the finishing of pavement has been completed and after the free surface moisture has disappeared, the pavement shall be sprayed uniformly with a curing compound. Membrane curing shall conform to Standard Specification Item No. 409S, "Membrane Curing", Type 2 white pigmented. Should the film of compound be damaged from any cause before the expiration of 72 hours after original application, the damaged portions shall be repaired with additional compound. Unless otherwise indicated on the drawings, membrane curing shall be used when the concrete (except that concrete to be used as a base) is placed with a slip form paver.

4. Asphalt Curing

Where emulsified asphalt is used for curing concrete base, the material shall conform to Item No. 301S, "Asphalts, Oils and Emulsions", for the type and grade shown on the drawings. The rate of application may vary between the limits of 1 gallon per 180 square feet and 1 gallon per 90 square feet (1 liter per 4.4 square meters and 1 liter per 2.2 square meters). The rate of application will be determined by the Engineer or designated representative, after observation of sections where amounts varying between the above limits have been applied. If it is found necessary to add water to the emulsion for the proper distribution through the spray, this may be done upon approval of the Engineer or designated representative. When the emulsion is diluted with water the amount of the applied mixture shall be increased to give a coverage of the original emulsion between the limits as set out herein. Care shall be taken to properly mix the emulsion and water and to keep the mixture well agitated during application.

M. Protection of Pavement

The Contractor shall erect and maintain the barricades indicated on the drawings and such other standard and approved devices as will exclude public traffic and traffic of the Contractor's employees and agents from the newly placed pavement for a minimum of 14 days. Portions of the roadway or crossings of the roadbed required to be maintained open for use by traffic shall not be obstructed by above required barricades. Crossings of the pavement indicated on the drawings or by construction sequence, during the period prior to opening to traffic as herein indicated, shall be provided with an adequate and substantial bridge approved by the Engineer or designated representative.

Curb shall be backfilled to the full height of the concrete, tamped and sloped as indicated on the drawings or as directed by the Engineer. The top 4 inches (10

cms) of backfill shall be of clean, friable soil capable of supporting plant life. This material shall also be free of stones and all other debris.

N. Opening Pavement to Traffic

The pavement shall be closed to traffic, including vehicles of the Contractor, until the concrete is at least 14 days old and has attained an average compressive strength acceptable to the Engineer or designated representative. This period of closure to traffic may be extended if, in the opinion of the Engineer or designated representative, weather or other conditions make it advisable to provide an extension of the time of protection.

At the end of the 14 day period and as long thereafter as ordered by the Engineer or designated representative and if so desired by the Contractor, the pavement may be opened for use by vehicles of the Contractor provided the gross weight (vehicle plus load) of such vehicles does not exceed 14,000 pounds (6350 KGs). Such opening, however, shall in no manner relieve the Contractor from responsibility for the Contractor's work. On those sections of the pavement thus opened to traffic, all joints shall first be sealed, the pavement cleaned and topsoil placed against the pavement edges or behind the curb where turf or vegetation is to be established before permitting vehicles thereon.

After the concrete in any section is 14 days old or as long thereafter as ordered by the Engineer, such section of pavement may be opened to all traffic indicated on the drawings or when so directed by the Engineer or designated representative. On those sections of the pavement thus opened to traffic, all joints shall first be sealed, the pavement cleaned and 4 inches (10 cms) of top soil placed against the pavement edges and all other work performed as required for the safety of traffic. Such opening, however, shall in no manner relieve the Contractor from responsibility for the Contractor's work.

When High Early Strength Concrete, resulting from the use of Type III cement as indicated on the drawings is used, the pavement may be opened to all traffic after the concrete is 7 days old or as long thereafter as ordered by the Engineer or designated representative, subject to the same provisions governing the opening after 14 days as above indicated.

Where the Contractor desires to move any equipment not licensed for operation on public streets, on or across any pavement opened to traffic, the Contractor shall protect the pavement from damage by means of 2 ply timber mats of 2 inch (5 cm) stock or runways of heavier material laid on a layer of earth, all as approved by the Engineer or designated representative.

1. Emergency Opening to Traffic

The Engineer or designated representative may require the opening of pavement to traffic prior to the minimum time specified above under conditions of emergency, which in the Engineer's or designated representative's opinion require such action in the interest of the public. In no case will the Engineer or designated representative order opening of the pavement to traffic within less than 72 hours after the last concrete in the section is placed. The Contractor shall remove all obstructing materials, place earth against pavement edges and perform other work involved in

providing for the safety of traffic as required by the Engineer or designated representative in ordering emergency opening. Orders for emergency opening of the pavement to traffic will be issued by the Engineer or designated representative in writing.

360S.8 Penalty for Deficient Pavement Thickness or Strength

The adjustment in unit prices provided for in this item will apply only when measurement for payment is by the square yard.

It is the intent of this specification that the pavement be constructed in strict conformity with the thickness, strength and typical sections indicated on the drawings. Where any pavement is found not so constructed, the following rules relative to adjustment of payment for acceptable pavement and to replacement of faulty pavement shall govern.

A. Pavement

The pavement will be core drilled after any grinding operations have been completed for surface corrections prior to final acceptance. Locations of core tests may be selected by the Engineer or designated representative; however, spacing interval for core tests, as specified herein, shall be maintained. The thickness of the pavement will be determined by measurement of the cores in accordance with TxDOT Test Method Tex-424-A.

For the purpose of establishing an adjusted unit price for pavement, units to be considered separately are defined as 1000 linear feet of pavement in each traffic lane starting at the end of the pavement bearing the smaller station number. The last unit in each lane shall be 1000 feet plus the fractional part of 1000 feet remaining. Traffic lane width will be as shown on typical sections and pavement design standards.

For the purpose of establishing an adjusted unit price for ramps, widening, acceleration and deceleration lanes that are machine placed, isolated pavements of traffic lane width but less than 1000 feet in length and other areas designated by the Engineer or designated representative, units will be considered separately and are defined as 1000 square yards of pavement or fraction thereof.

One core will be taken at the location selected by the Engineer or designated representative or at random in each unit. When the measurement of the core from any unit is not deficient more than 0.2 inches from the plan thickness, full payment will be made. When the measurement of the core from any unit is deficient more than 0.2 inch but not more than 0.75 inch from the plan thickness, 2 additional cores will be taken from the unit and the average of the 3 cores determined. The 2 additional cores from any 1000-foot unit will be taken at intervals of not less than 300 feet. The 2 additional cores from any 1000 square yard unit will be taken at locations such that the pavement in the unit will be well represented. If the average measurement of these 3 cores is not deficient more than 0.2 inches from the plan thickness, full payment will be made. If the average thickness of the 3 cores is deficient by more than 0.2 inch but not more than 0.75 inch from the

indicated thickness, an adjusted unit price as provided below will be paid for the areas represented by these cores.

In calculating the average thickness of the pavement, measurements which are in excess of the specified thickness by more than 0.2 inch will be considered as the specified thickness plus 0.2 inch and measurements which are less than the specified thickness by more than 0.75 inch will be considered as the specified thickness less 0.75 inch.

When the measurement of any core is less than the specified thickness by more than 0.75 inch, the actual thickness of pavement in this area will be determined by taking additional cores at 10 foot intervals parallel to the center line in each direction from the deficient core until, in each direction, a core is taken which is not deficient by more than 0.75 inch. Exploratory cores for deficient thickness will not be used in averages for adjusted unit price. Exploratory cores are to be used only to determine the length of pavement in a unit that is to be left in place without pay and/or removed and replaced as provided herein.

For new Concrete Pavement roadways, and for Concrete Pavement rehabilitation and overlay projects, if cracks develop in the pavement surface within the one year warranty period, the Contractor shall seal the cracks in accordance Standard Specification Item No. 313S, “Cleaning and/or Sealing Joints and Cracks (Asphaltic Concrete), or perform other corrective measures as directed by the Engineer. Payment for this work will be included in the unit price bid for Concrete Pavement, unless included as a separate pay item in the Contract.

For new Concrete Pavement roadways constructed in accordance with the plans and specifications, if cracks greater than or equal to 1/4 inch in width develop in the pavement surface within the one year warranty period, complete replacement of pavement sections as directed by the Engineer shall be performed by the Contractor at no additional expense to the City.

Irrespective of an acceptable overall project average for any or all of the Pay-Adjustment Acceptance Factors, limited substandard portions of the work, as determined by the Engineer or designated representative, shall be remedied or removed and replaced to the satisfaction thereof.

B. Price Adjustments

After any grinding or milling operations have been completed to meet the surface-testing requirement of this specification, if average thickness of pavement is deficient in thickness by more than 0.2 inch, but not more than 0.75 inch, payment will be made at an adjusted price as specified in the following table:

Concrete Pavement Deficiency	
Deficiency in Thickness Determined by Cores, Inches	Proportional Part of Contract Price Allowed

0.00 to 0.20	100 percent
0.21 to 0.30	80 percent
0.31 to 0.40	72 percent
0.41 to 0.50	68 percent
0.51 to 0.75	57 percent

Any area of pavement found deficient in thickness by more than 0.75 inch but not more than 1 inch or 1/8 of the indicated thickness, whichever is greater, shall be evaluated by the Engineer. If, in the judgment of the Engineer, the area of such deficiency should not be removed and replaced, there will be no payment for the area retained. If, in the judgment of the Engineer, the area of such deficiency warrants removal, the area shall be removed and replaced at the Contractor's entire expense, with concrete of the thickness indicated on the drawings.

Any area of pavement found deficient in thickness by more than 1 inch or more than 1/8 of the indicated thickness, whichever is greater, shall be removed and replaced, at the Contractor's entire expense, with concrete of the thickness indicated on the drawings.

No additional payment over the Contract unit price will be made for any pavement of a thickness exceeding that indicated on the drawings.

If the average compressive strength based on concrete test cylinders at 28 days is less than the specified minimum strength of the concrete, then payment will be made at an adjusted price as specified in the following table.

Pay Adjustment Factor for Deficient Compressive Strength	
Ratio of Average Strength from Test Cylinders to Specified Minimum Compressive Strength both at 28 Days	Proportional Part of Contract Price Allowed
More then 0.95	100 percent
0.90 to 0.95	85 percent
0.85 to 0.90	70 percent
0.80 to 0.85	60 percent
Less than 0.80	0 percent (Remove & Replace)

When, in the opinion of the Engineer or designated representative, the compressive strength test results appear unrepresentative, additional testing of field cores may be authorized. To be considered acceptable for consideration the

field cores shall be acquired, properly handled and tested in accordance with ASTM C 42/C 42M, "Standard Test Method for Obtaining and Testing Drilled Cores and Sawed Beams of Concrete" within 45 days of the original concrete placement date. The retesting will be at the expense of the Contractor and the results of the retesting shall be averaged with the results of the original testing. If the results of retesting indicate that the original test results were erroneous in the opinion of the Engineer or designated representative, the original test results will be discarded. In the instance of erroneous original test results the subsequent first set of retests will be at the expense of the City of Austin.

When, in the opinion of the Engineer or designated representative, the concrete compressive strength is deemed unacceptable for the intended use of the pavement, the concrete shall be removed and replaced to the limits indicated by test results.

360S.9 Measurement

A. When indicated, concrete pavement will be measured by the square yard of surface area of completed and accepted work. The surface area shall be so measured to also include that portion of pavement slab extending beneath the curb. When concrete pavement is to be measured by the square yard and monolithic curb is required, measurements for "Monolithic Curb" will be by the linear foot complete in place.

B. When indicated on the drawing, concrete pavement, including monolithic curb when required, will be measured by the cubic yard of absolute volume of materials entering the mixture.

360S.10 Payment

The work performed and materials furnished as prescribed by this item and measured as provided under "Measurement" will be paid for at the unit price bid for "Concrete Pavement", of the depth indicated on the drawings, "Concrete Pavement (High Early Strength)" of the depth indicated on the drawings and "Monolithic Curb" of the type indicated on the drawings (when pavement is measured by the square yard), as required or adjusted unit price for pavement of deficient thickness as provided under "Deficient Pavement Thickness", which price shall be full compensation for shaping and fine grading the roadbed, including furnishing and applying all water required; for furnishing, loading and unloading, storing, hauling and handling all concrete ingredients, including all freight and royalty involved; for placing and adjusting forms, including supporting material or preparing track grade; for mixing, placing, finishing, sawing, cleaning and sealing joints and curing all concrete; for furnishing and installing all reinforcing steel; for furnishing all materials for sealing joints and placing longitudinal, expansion and weakened plane joints, including all steel dowel caps and load transmission devices required and wire and devices for placing, holding and supporting steel bars, load transmission devices and joint filler material in proper position, for coating steel bars where complete the work.

Excavation required by this item in the preparation of the subgrade and for completion of the parkway will be measured and paid for in accordance with provisions governing the Items of "Street Excavation" and "Borrow", respectively, with provision that yardage to be measured and paid for once only, regardless of manipulations involved. Measurement of subgrade excavation for payment shall be limited to a total width of that of pavement plus 1 foot on each side.

Sprinkling and rolling required for the compaction of the rough subgrade in advance of fine grading will be measured and paid for as indicated in the governing items of excavation. Maintenance of a moist condition of the subgrade in advance of fine grading and concrete placing will not be paid for directly but shall be included in the unit price bid, as provided above.

Payment will be made under one of the following:

Pay Item No.	360S-A:	__In. Concrete Pavement	Per Square Yard.
Pay Item No.	360S-AH:	__In. Concrete Pavement (High Early Strength)	Per Square Yard.
Pay Item No.	360S-AS:	__In. Concrete Pavement (High Range Water Reducing Admixture)	Per Square Yard.
Pay Item No.	360S-B:	Monolithic Curb	Per Linear Foot.
Pay Item No.	360S-C:	__Concrete Pavement Including Monolithic Curb	Per Cubic Yard.

End

SPECIFIC CROSS REFERENCE MATERIALS
Standard Specification Item 360S, "Concrete Pavement"

City of Austin Standard Specification Items

Designation	Description
Item No. 301S	Asphalts, Oils and Emulsions
Item No. 313S	Cleaning and/or Sealing Joints and Cracks (Asphaltic Concrete)
Item No. 403S	Concrete for Structures
Item No. 405S	Concrete Admixtures
Item No. 410S	Concrete Structures
Item No. 411S	Surface Finishes for Concrete
Item No. 413S	Cleaning and/or Sealing Joints and Cracks (PCC)

American Society for Testing and Materials, ASTM

Designation	Description
ASTM C 42/C 42M	Standard Test Method for Obtaining and Testing Drilled Cores and Sawed Beams of Concrete"
ASTM A 82	Specification for Steel Wire, Plain, for Concrete Reinforcement
ASTM A 184	Specification for Fabricated Deformed Steel Bar Mats for Concrete Reinforcement
ASTM A 185	Specification for Steel Welded Wire Fabric, Plain, for Concrete Reinforcement
ASTM A 496	Specification for Steel Wire, Deformed, for Concrete Reinforcement
ASTM A 497	Specification for Steel Welded Wire Fabric, Deformed, for Concrete Reinforcement

ASTM A 615	Specification for Deformed and Plain Billet-Steel Bars, for Concrete Reinforcement
ASTM A 616	Specification Rail-Steel Deformed and Plain Bars for Concrete Reinforcement
ASTM C 94	Specification for Ready-Mixed Concrete
ASTM C 150	Specification for Portland Cement
ASTM C 156	Test Method for Water Retention by Concrete Curing Materials
ASTM D 2240	Test Method for Rubber Property-Durameter Hardness
ASTM D 882, Method A	Test Methods for Tensile Properties of Thin Plastic Sheeting

Texas Department of Transportation: Publications

Designation	Description
Bulletin C-11	Construction Bulletin

Texas Department of Transportation: Manual of Testing Procedures

Designation	Description
TEX-203-F	Sand Equivalent Test
TEX-401-A	Sieve Analysis of Fine and Coarse Aggregate
TEX-406-A	Mineral Finer than 75 µm (No. 200) Sieve in Mineral Aggregates (Decantation Test for Concrete Aggregates)
TEX-408-A	Organic Impurities in Fine Aggregate for Concrete
TEX-410-A	Abrasion of Coarse Aggregate Using The Los Angeles Machine
TEX-411-A	Soundness of Aggregate by Use of Sodium Sulfate or Magnesium Sulfate
TEX-413-A	Determination of Deleterious Materials in Mineral Aggregate

TEX-415-A	Slump of Portland Cement Concrete
TEX-416-A	Air Content of Freshly-Mixed Concrete by the Pressure Method
Tex-424-A	Obtaining and Testing Drilled Cores of Concrete
Tex-436-A	Measurement of Texture Depth by the Sand Patch Method
Tex 418-A	Compressive Strength of Cylindrical Concrete
Tex-524-C	Testing Premolded Joint Filler for Concrete
Tex-612	Acid Insoluble Residue

Texas Department of Transportation: Departmental Material Specifications

Designation	Description
DMS 8900	Fly Ash

American Association of State Highway & Transportation Officials, AASHTO Standard

Designation	Description
Method T 26	Quality of Water to be Used in Concrete

RELATED CROSS REFERENCE MATERIALS
Standard Specification Item 360S, "Concrete Pavement"

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges

Designation	Description
Item 360	Concrete Pavement
Item 420	Concrete Structures

Item 421	Hydraulic Cement Concrete
Item 427	Surface Finishes for Concrete
Item 431	Pneumatically Placed Concrete
Item 520	Weighing and Measuring Equipment

Texas Department of Transportation: Departmental Material Specifications

Designation	Description
DMS-4650	Hydraulic Cement Concrete Curing Materials and Evaporation Retardants"
DMS-6100	Epoxy and Adhesives

American Society for Testing and Materials, ASTM

Designation	Description
ASTM C 685	Concrete Made By Volumetric Batching and Continuous Mixing
ASTM C-1260	Standard Test Method for Potential Alkali Reactivity of Aggregates
ASTM D-512	Test Methods for Chloride Ion in Water
ASTM D-516	Test Methods for Sulfate Ion in Water
ASTM D-4191	Test Method for Sodium in Water by Atomic Absorption
ASTM D-4192	Test Method for Potassium Water by Atomic Absorption

American Concrete Institute, ACI

Designation	Description
ACI 211.1	Standard Practice for Selecting Proportions for Normal, Heavyweight, and Mass Concrete

Texas Department of Transportation: Manual of Testing Procedures

Designation	Description
TEX-418-A	Compressive Strength of Cylindrical Concrete Specimens

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ITEM NO. 401S - STRUCTURAL EXCAVATION AND BACKFILL 9-26-12

401S.1 - Description

This item shall govern the excavation for placement of structures, except pipe sewers, the disposal of such excavated material and the backfill around completed structures to the level of the original ground or grade indicated on the Drawings. The work shall include all necessary pumping or bailing, sheathing, drainage, and the construction and removal of any required cofferdams. Unless otherwise indicated on the Drawings, the work included hereunder shall provide for the removal of old structures or portions thereof (abutments, buildings, foundations, wingwalls, piers, etc.), trees and all other obstructions necessary to the proposed construction.

Where excavation is not classified, it will be grouped under "Unclassified Structural Excavation", which shall include the removal of all materials encountered regardless of their nature or the manner in which they are removed.

Where excavation is classified, it shall be classed as "Common Structural Excavation" or "Rock Structural Excavation" in accordance with the following criteria:

"Common Structural Excavation" shall include the removal of all materials other than rock.

"Rock Structural Excavation" shall include the removal of firm and compact materials that cannot be excavated with power equipment, without first being loosened or broken by blasting, sledging or drilling.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text and accompanying tables, the inch-pound units are given preference followed by SI units shown within parentheses.

401S.2 - Submittals

The submittal requirements of this specification item may include:

Supplier and certified test results for fine aggregate/sand material

Supplier and certified test results for flexible base material

Mix design and test results for lime stabilized subgrade material

Mix design and test results for Class J Concrete Base

Supplier and certified test results for granular material (coarse aggregate, foundation rock and pea gravel)

Mix design and test results for cement-stabilized backfill

Mix design and test results for controlled low strength material (CLSM)

Excavation Safety System Plan for proposed cofferdams, trench excavation and special shoring installations

401S.3 - Materials

A. **Sand**

1. Fine aggregate sand shall be Grade 1 conforming to Standard Specification Item No. 302S, "Aggregates for Surface Treatments".
2. Native Sand shall be local material obtained from approved sources and subject to the approval of the Engineer or designated representative.

B. **Flexible Base**

Flexible base shall conform to the requirements of Standard Specification Item No. 210S, "Flexible Base".

C. **Lime Stabilized Base**

Lime stabilized base shall conform to the requirements of Standard Specification Item No. 202S, "Hydrated Lime and Lime Slurry" and Item No. 203S, "Lime Treatment for Materials in Place".

D. **Concrete Base**

Concrete base shall conform to a Class J Concrete as defined in Standard Specification Item No. 403S, "Concrete for Structures".

E. **Granular Material**

1. Coarse aggregate shall conform to the requirements of section 403S.3.C of Standard Specification Item No. 403S "Concrete for Structures".

2. Foundation Rock

   Foundation rock shall be well graded, hard, durable coarse aggregate ranging in size from 2 to 6 inches (50 to 150 mm).

3. Pea Gravel

   Pea gravel shall consist of hard, durable, opaque gravel, free of clay, loam, sand or other foreign substances, ranging in size from ¼ inch to 3/8 inch (6.4 to 9.5 mm) conforming to ASTM C 33.

F. **Cement Stabilized Backfill**

Cement stabilized backfill shall contain aggregate, water and a minimum of 7% hydraulic cement based on the dry weight of the aggregate in accordance with TxDoT Test Method Tex-120-E, "Soil-Cement Testing. Unless directed otherwise on the Drawings, the aggregate shall be clean sand approved by the Engineer or designated representative.

G. **Controlled Low Strength Material**

Controlled low strength material (CLSM) shall conform to Standard Specification Item No. 402S, "Controlled Low Strength Material" and shall be approved by the Engineer or designated representative.

401S.4 - Construction Methods

A. **Erosion Control and Tree Protection**

Prior to commencement of this work, all required erosion control and tree protection measures indicated on the Drawings shall be in place. The existing utilities shall be located and protected as specified in the Standard Contract Documents, Section 00700, "General Conditions" and/or indicated on the Drawings. A permit shall be required when utility adjustments are to be made in preparation for construction in the right-of-way, as specified in Section 5.2.0 of the City of Austin Utilities Criteria Manual.

Areas within the construction limits indicated on the Drawings shall be cleared of all trees, stumps, brush, etc., except trees or shrubs scheduled for preservation which shall be carefully trimmed as directed by the Engineer or designated representative, in accordance with Standard Specification Item No. 610S, " Preservation of Trees and Other Vegetation" and shall be protected from scarring, barking or other injuries during construction operations. All exposed cuts over 2 inches (50

millimeters) in diameter, exposed ends of pruned limbs or scarred bark shall be treated with an approved asphalt material within 24 hours of the pruning or injury.

Construction equipment shall not be operated nor construction materials stockpiled under the canopies of trees, unless otherwise indicated on the Drawings and/or specified in the Contract Documents. Excavation or embankment materials shall not be placed within the drip line of trees until tree wells are constructed.

Within the construction limits or areas indicated, all obstructions, stumps, roots, vegetation, abandoned structures, rubbish and objectionable material shall be removed to the following depths:

1. In areas to receive 6 inches (150 mm) or more embankment, a minimum of 12 inches (300 mm) below natural ground.
2. In areas to receive embankment less than 6 inches (150 mm), a minimum of 18 inches (450 mm) below the lower elevation of embankment, structure or excavation.
3. In areas to be excavated a minimum of 18 inches (450 mm) below the lower elevation of the embankment, structure or excavation.
4. In all other areas a minimum of 12 inches (300 mm) below natural ground.

When abandoned storm drains, sewers or other drainage systems are encountered they shall be removed as required to clear the new structure and plugged in a manner approved by the Engineer or designated representative.

Holes remaining after removal of all obstructions, objectionable material, trees, stumps, etc. shall be backfilled with select embankment material and compacted by approved methods. All cleared and grubbed material shall be disposed of in a manner satisfactory to the Engineer or designated representative. Unless otherwise provided, all materials as described above shall become the property of the Contractor and removed from the site and disposed of at a permitted disposal site.

Burning materials at the site shall conform to Standard Contract Document Section 01550, "Public Safety and Convenience".

B. **Excavation**

1. Excavation shall be done in accordance with the lines and depths indicated on the Drawings or as established by the Engineer or designated representative. Unless otherwise indicated on the Drawings or permitted by the Engineer or designated representative no excavation shall be made outside a vertical plane 3 feet (0.9 meter) from the footing lines and parallel thereto.

When structures are installed in streets, highways or other paved areas, the pavement and base shall be cut to neat lines. After completion of the excavation and backfilling, the pavement structure shall be restored to the satisfaction of the Engineer or designated representative.

2. Slopes, benching, sheeting, bracing, pumping and bailing shall be provided as necessary to maintain the stability and safety of excavations up to 5 feet (1.5 meters) deep. Excavation protection for excavations deeper than 5 feet (1.5 meters) shall be governed by Standard Specification Item No. 509S, "Excavation Safety Systems".
3. Excavation shall conform to elevations indicated on the Drawing or raised or lowered by written order of the Engineer or designated representative, when such alterations are judged proper. When it is deemed necessary to increase or decrease the plan depth of footings, the alterations in the details of the structure shall be as directed by the Engineer or designated representative. The Engineer or designated representative shall have the right to substitute revised details resulting from consideration of changes in the design conditions.
4. When a structure is to rest on an excavated surface other than rock, special care shall be taken not to disturb the bottom of the excavation and the final excavation to grade shall not be performed until just before the footing is placed. Equipment selected and used by the Contractor

for excavation which results in disturbance of what was otherwise stable subgrade material, as shown by laboratory tests, will not be used as a justification for payment for excavating to extra depth or for payment for stabilizing materials which may be ordered by the Engineer or designated representative.

5. Excavated material required to be used for backfill may be deposited by the Contractor in storage piles as indicated on the Drawing or at points convenient for its rehandling during the backfilling operations, subject to the approval of the Engineer or designated representative, who may require that the survey center line of the structure and the transverse or hub line of any unit of the structure be kept free of any obstruction. The Contractor shall adjust any stockpiles, to facilitate surveying and the work of other Contractors working in the immediate proximity, as directed by the Engineer or designated representative.

6. Excavated material required to be wasted shall be disposed of as directed by the Engineer or designated representative, in a manner which will not obstruct the stream or otherwise impair the efficiency or appearance of the structure or other part of the work.

7. For all single and multiple box culverts, pipe culverts, pipe arch culverts and box sewers of all types, where the soil encountered at established footing grade is a quicksand, muck or similar unstable material, the following procedure shall be used unless other methods are indicated:

   a) The depth to which unstable material is removed will be determined by the Engineer or designated representative. It will not exceed 2 feet (0.6 meter) below the footing of culverts that are 2 feet (0.6 meter) or more in height and will not exceed the height of culverts for those less than 2 feet (0.6 meter) high. Excavation shall be carried at least 1 foot (0.3 meter) horizontally beyond the limits of the structure on all sides. All unstable soil removed shall be replaced with suitable stable material, in uniform layers of suitable depth for compaction as directed by the Engineer or designated representative. Each layer shall be wetted, if necessary and compacted by rolling or tamping as required to provide a stable foundation for the structure. Soil, which has sufficient stability to properly sustain the adjacent sections of the roadway embankment, will be considered a suitable foundation material.

   b) When, in the opinion of the Engineer or designated representative, it is not feasible to construct a stable footing as outlined above, the Contractor shall construct it by the use of special materials, such as flexible base, cement stabilized base, cement stabilized rockfill or other material, as directed by the Engineer or designated representative. This work will be paid for as provided in Section 401S.9, "Payment".

8. When the material encountered at footing grade of a culvert is found to be partially rock or incompressible material and partially a compressible soil which is satisfactory for the foundation, the incompressible material shall be removed for a depth of 6 inches (150 mm) below the footing grade and backfilled with a compressible material similar to that used for the rest of the structure.

9. When the material encountered at footing grade of a bridge bent or pier is found to be partially of rock or incompressible material, and partially of a compressible material, the foundation shall not be placed until the Engineer or designated representative has inspected the footing and authorized such changes found necessary to provide an adequate foundation.

401S.5 - Bridge Foundations and Retaining Walls

The material below the bottom of the footing grade shall not be disturbed. Backfill material shall not be used to compensate for excavation that is extended below the proposed footing grade. When excavation is carried below the proposed footing grade, the over excavated area shall be filled with concrete at the time the footing is placed. The additional concrete placement shall be at the Contractor's sole expense.

When required by the Engineer or designated representative, cores shall be taken to determine the character of the supporting material(s). The cores shall be taken when the excavation is nearing completion and shall be an intact sample adequate to judge the character of the founding material. The

cores shall be acquired at a minimum depth of 5 feet (1.5 meters) below the proposed footing founding grade.

When the founding stratum is rock or other hard material, all loose material shall be removed and the founding grade cleaned and cut to a firm surface that is level, stepped or serrated as directed by the Engineer or designated representative. All soft seams shall be cleaned and filled with concrete at the time the footing is placed.

When the material at the footing grade of a retaining wall, bridge bent or pier is a mixture of compressible and incompressible material, the foundation shall not be placed until the Engineer or designated representative has inspected the excavation and authorized changes to provide a uniform bearing condition.

401S.6 - Cofferdams

The term cofferdams, whenever used in this specification, designates any temporary or removable structure constructed to hold the surrounding earth, water or both, out of the excavation, whether the structure is formed of earth, timber, steel, concrete or a combination of these. It includes earthen dikes, timber cribs, any type of sheet piling, removable steel shells and the like and all necessary bracing and it shall be understood also to include the use of pumping wells or well points for de-watering. The cost of cofferdams, when required, shall be included as a part of the bid price for excavation.

It is the intent of this specification to require that a suitable cofferdam be provided, when necessary, to insure that the foundation may be placed in a dry condition, as to preclude sliding and caving of the walls of the excavation. The cofferdam shall conform with the requirements of Standard Specification Item No. 509S, "Excavation Safety Systems" and shall provide a safe work area with sufficient clearance for the construction, inspection and removal of required forms and, if necessary, sufficient room to allow pumping outside the forms. Where no ground or surface water is encountered, the cofferdam need be sufficient only to protect the workers and to avoid cave-ins or slides beyond the excavation limits.

Unless otherwise indicated on the Drawings, cofferdams shall be removed by the Contractor after the completion of the substructure without disturbing or marring the structure.

401S.7 - De-Watering

Structures shall not be constructed or placed in the presence of water unless otherwise approved by the Engineer or designated representative. Precast members, pipe and concrete shall only be placed on a dry, firm surface. Water shall be removed by bailing, pumping, well-point installation, deep wells, underdrains or other approved method.

When structures are approved for placement in the presence of water, standing water shall be removed in a manner that shall preclude the possibility of the movement of water through or alongside any concrete being placed. Pumping or bailing will not be permitted during the placing of concrete or for a period of at least 36 hours thereafter, unless from a suitable sump separated from the concrete work by a water-tight wall.

Pumping or bailing during placement of seal concrete shall only be allowed to the extent necessary to maintain a static head of water within the cofferdam. De-watering inside a sealed cofferdam shall not commence until the seal has aged a minimum of 36 hours.

When the bottom of an excavation cannot be de-watered to the point that the subgrade is free of mud or it is difficult to keep the reinforcing steel clean a stabilizing material (e.g. flexible base, cement-stabilized-backfill or lean concrete) shall be placed in the bottom of the excavation. When a lean concrete is used, the concrete shall include a minimum of 275 Pounds of cement per cubic yard (163 kilograms of cement per cubic meter) and be placed to a minimum depth of 3 inches (75 mm). Stabilizing material that is placed for the convenience of the Contractor will be at the Contractor's own expense.

401S.8 - Backfilling

A. **General**

As soon as practicable, all portions of excavation not occupied by the permanent structure shall be backfilled. Back-fill material shall be free from stones large enough to interfere with compaction, large or frozen lumps that will not break down readily under compaction, wood or other extraneous material. Backfill material shall be approved by the Engineer or designated representative.

That portion of backfill which will support any portion of completed roadbed, retaining wall or embankment shall be placed in layers not more than 8 inches (200 mm) in depth (loose measurement) and shall be compacted to meet the density requirements of the roadbed, retaining wall, embankment material, or as indicated on the Drawings.

That portion of backfill which will not support any portion of completed roadbed or embankment shall be placed in layers not more than 10 inches (250 mm) in depth (loose measurement) and shall be compacted to a minimum of 95 percent of maximum density as determined by TxDoT Test Method Tex-114-E and the re-excavated to the proper grade and dimensions.

If the excavation has been made through a hard material resistant to erosion, the backfill around piers and in front of abutments and wings may be ordered by the Engineer or designated representative to be of stone or lean concrete. Unless otherwise indicated on the Drawings, such backfill shall be paid for as extra work.

That portion of the backfill which will support any portion of the roadbed, retaining wall or embankment shall be placed in uniform layers not more than 8 inches (200 mm) in depth (loose measurement) and shall be compacted to a minimum of 95 percent of maximum density, as determined by TxDoT Test Method Tex-114-E and then re-excavated to the proper grade and wetted uniformly to the moisture content required to obtain the specified density and shall be compacted to that density by means of mechanical tampers or rammers, except that the use of rolling equipment of the type generally used in compaction embankments will be permitted on portions which are accessible to such equipment.

All portions of embankment too close to any portion of a structure to permit compaction by the use of the blading and rolling equipment used on adjoining sections of embankment, shall be placed and compacted with mechanical tamps and rammers to avoid damage to the structure.

These provisions require mechanical compaction by means of either rolling equipment or mechanical tampers or rammers, of all backfill and embankment adjoining the barrels and wingwalls or culverts and adjoining all sides of bridge abutments and retaining walls, regardless of whether or not such embankment or backfill is above or below the original surface of the ground and regardless of whether the excavation at structure site was performed conforming to Standard Specification Item No.111S, "Excavation", this item 401S, "Structural Excavation", Standard Specification Item No. 110S, "Street Excavation" or Standard Specification Item No. 120S, "Channel Excavation". Unless otherwise indicated on the Drawings, hand tamping will not be accepted as an alternate for mechanical compaction.

As a general rule, material used in filling or backfilling the portions described in this paragraph shall be an earth, free of any appreciable amount of gravel or stone particles larger than 4 inches (100 mm) in greater dimension and of a gradation that permits thorough compaction. When, in the opinion of the Engineer or designated representative, such material is not readily available, the use of rock or gravel mixed with earth will be permitted, provided that no particles larger than 12 inches (300 mm) or smaller than 6 inches (150 mm) may be used. The percentage of fines shall be sufficient to fill all voids and insure a uniform and thoroughly compacted mass of proper density. When required by the Drawings or by written order of the Engineer or designated representative, cement-stabilized-backfill material shall be used for backfilling.

All portions of fill and backfill described in the preceding paragraph shall be compacted to the same density requirements specified for the adjoining sections of embankment in accordance with the governing specifications. Where no embankment is involved on the project and no relevant specifications are included in the contract, all backfill shall be compacted to a density comparable with the adjacent undisturbed material.

No backfill shall be placed against any abutment or retaining wall until such structure has been in place at least 7 days. No backfill shall be placed adjacent to or over single and multiple boxes until the top slab has attained 500 psi (3450 kPa) flexural strength. Backfill placed around abutments and piers shall be deposited on both sides to approximately the same elevation at the same time.

Care shall be taken to prevent any wedging action of backfill against the structure and the slopes bounding the excavation shall be stepped or serrated to prevent such action. Backfill shall be uniformly placed around bridge foundations.

B. **Pipe Culverts**

The following requirements shall apply to the backfilling of pipe culverts in addition to the pertinent portions of the general requirements given in the preceding section.

Selected materials from excavation, borrow or other approved material shall be wetted, if required and placed along both sides of the pipe equally, in uniform layers not exceeding 8 inches (200 mm) in depth (loose measurement) and thoroughly compacted so that there shall be a berm of thoroughly compacted material on each side of the pipe. The method and degree of compaction shall be the same as specified above for portions of backfill within the limits of embankment or roadbed.

Filling and/or backfilling shall be continued in this manner to the elevation of the top of the pipe. Special care shall be taken to secure thorough compaction of the material placed under the haunches of the pipe to prevent damage or displacement of the pipe. All fill or backfill below the top of pipe shall be compacted mechanically in the manner and to the density prescribed above, regardless of whether or not such material is placed within the limits of the embankment or roadbed. In the case of pipe placed in trenches, that portion of the backfill above the top of the pipe which supports embankment or the roadbed shall receive mechanical compaction as specified above and the portion which will not support any portion of embankment or roadbed shall be placed in layers not more than 8 inches (200 mm) in depth (loose measurement) and shall be compacted by whatever means the Contractor chooses, to a density comparable with the adjacent, undisturbed material. Embankments above the top of pipe shall be placed conforming to Item No. 132S, "Embankment". During construction adequate cover must be provided to protect the structure from damage.

The Engineer or designated representative may reject backfill material that contains more than 20% by weight of material retained on a 3-in (75 mm) sieve, with large lumps not easily broken down, or that cannot be spread in loose layers. Material excavated by a trenching machine will generally meet the requirements of this Section as long as large stones are not present.

Where pipe extends beyond the toe of slope of the embankment and the depth of cover provided by backfill to the original ground level is less than the minimum required by the specifications for the type of pipe involved, additional material shall be placed and compacted until the minimum cover has been provided.

Whenever excavation is made for installing pipe culverts or box sewers across private property or beyond the limits of the embankment, the top soil removed in excavating the trench shall be kept separate and replaced as nearly as feasible in its original position and the entire area involved in the construction operations shall be restored to a presentable condition.

C. **Cement Stabilized Backfill**

When indicated on the Drawings, trenches shall be backfilled to the elevations shown with cement stabilized backfill. The cement-stabilized backfill shall be placed equally along the sides of structures to prevent strain on or displacement of the structure.

Cement stabilized backfill below the spring line of pipe culverts shall be sufficiently plastic to completely fill all voids in the trench. Hand operated tampers may be used if necessary to fill the voids. The pipe shall be held in alignment by jacks or other suitable means to prevent the mortared joints from cracking due to displacement caused by placing the backfill material.

Cement stabilized backfill above the spring line of pipe culverts may be dry enough to be transported without special mixing equipment.

On structures other than pipe culverts, special mixing equipment will not be required to transport the cement stabilized backfill unless otherwise indicated on the Drawings.

D. **Controlled Low Strength Material (CLSM)**

When indicated on the Drawings the excavation shall be backfilled with CLSM to the elevations shown. The structure shall be prevented from being displaced or "floated out" during the placement of CLSM. The CLSM shall be prevented from entering culverts and drainage structures.

401S.9 - Measurement

Unless otherwise indicated on the Drawings, structural excavation for pipe headwalls, inlets, manholes, culvert widening (extensions), bridge abutments and side road and private entrance pipe culverts will not be measured in the field but shall be included in the Plan Quantity unit price bid by the cubic yard (cubic meters: 1 cubic meter is equal to 1.308 cubic yards) Determination of plan quantities for structural excavation shall be made by the method of average end-areas using the following limits to establish templates for measurement.

A. For all structures requiring measurement, except the barrels of pipe culverts, no material outside of vertical planes 1 foot (300 mm) beyond the edges of the footings and parallel thereto will be included.

B. For the barrels of pipe culverts of 42 inches (1.09 meters) or less nominal or equivalent diameter, no material outside of vertical planes 1 foot (300 mm) beyond the horizontal projection of the outside surfaces of the pipe and parallel thereto will be included. For the barrels of pipe culverts more than 42 inches (1.09 meters) in nominal or equivalent diameter, no material outside of vertical planes located 2 feet (600 mm) beyond the horizontal projection of the outside surfaces of the pipe and parallel thereto will be included.

C. If a cofferdam, as herein defined, is used, the limitations indicated above shall apply just as if no cofferdams were used.

D. Where excavation in addition to that allowed for the footings is required for other portions of the structure, such as for the cap, cross strut or tie beam of a pier or bent or for the superstructure, measurements for such additional excavation will be limited laterally by vertical planes 1 foot (300 mm) beyond the face of the member and parallel thereto and vertically to a depth of 1 foot (300 mm) below the bottom of such member.

E. Except as allowed by the above conditions, no account will be taken of any excavation necessary for placing forms or falsework.

F. Except at side road culverts, all street excavation called for on the contract drawings at all structure sites shall be assumed to be completed before starting the structural excavation and the measurement of structural excavation will include only material below or outside the limits of the completed street excavation. Excavation for side road and private entrance pipe culverts will not be measured for payment but shall be included in the unit price bid for this specification item.

G. On all structures of bridge classification where the contract drawings call for channel excavation at the structure site, it shall be assumed to have been completed before starting the structural excavation and the measurement of structural excavation will include only material below or outside the limits of the completed channel section. The method of measurement for payment will be in accordance with this procedure regardless of the actual construction methods followed.

H. Where excavation diagrams are indicated on the Drawings, they shall take precedence over these provisions.

I. Measurement will not include materials removed below footing grades to compensate for anticipated swellage due to pile driving and it will not include material required to be removed due to swellage beyond the specified limits during pile driving operations.

J. Measurement will not include additional yardage caused by slips, slides, cave-ins, siltings or fillings due to the action of the elements or the carelessness of the Contractor. Water will not be classed as excavated material.

K. Where rock, other incompressible or unstable material is undercut to provide suitable foundation for pipe or box culverts, such material below grade, ordered by the Engineer or designated representative to be removed, will be measured for payment.

L. Except for any required undercut, quantities for "Structural Excavation", as indicated on the Drawings, shall be considered as final quantities and no further measurement will be required, unless the alignment, grades or structure locations are revised by the Engineer or designated representative during construction. Final determination of quantities for individual structures will be made, if in the opinion of the Engineer or designated representative or upon evidence furnished by the Contractor, substantial variations exist between quantities indicated on the Drawings and actual quantities due to changes in cross sections or apparent errors. Excavation quantities for foundations indicated on the Drawings where cofferdams are required shall be considered as final quantities and no further measurement will be made.

M. For any footing, foundation or other structure unit within the scope of this specification, additional measurement will be made of the volume of excavation involved in the lowering or raising of the elevation of a footing, foundation or structure unit, when such grade change is authorized by the Engineer or designated representative. Measurement will be made by the addition to or the deduction from, the original quantities for the volume of excavation involved in the authorized grade change.

N. Cement stabilized backfill shall be measured by the backfill diagram as indicated on the Drawings. The quantity of "Cement Stabilized Backfill" as indicated on the Drawings shall be considered as final quantities and no further measurement will be required, unless alignment or grade elevations as indicated are revised by the Engineer or designated representative. If such revisions result in an increase or decrease in this quantity, the final quantity will be revised by the amount represented by the changes in alignment or grade elevations.

401S.10 - Payment

Payment for all work prescribed under this item and measured as provided above will be made at the unit bid price per cubic yard for the particular class of excavation specified on the Drawings in the amount shown on the Drawings and in the proposal. Payment for revised quantities will be made as specified above and for the removal of unstable and incompressible material as noted below.

Payment for removal and replacement of unstable or incompressible material below the footing grades of culverts and box sewers as indicated above will be made as follows:

When indicated on the Drawings or the Engineer or designated representative directs the use of special materials such as flexible base, concretebase, cement stabilized backfill, controlled low strength material or other special material, payment for excavation below the footing grades shall be made at the unit bid price for "Unclassified Structural Excavation", "Common Structural Excavation" or "Rock Structural Excavation", as the case may be. Payment for furnishing, hauling, placing and compacting the flexible

base, concretebase, cement stabilized backfill, controlled low strength material or other special material will be made at the unit bid price for these items in the bid or in accordance with pertinent provisions for extra work.

Where special materials are not required or specified, the removal and replacement of the unstable material will be performed as described above. Payment therefore will be made at a price equal to 200 percent of the unit bid price per cubic yard for "Unclassified Structural Excavation", "Common Structural Excavation" or "Rock Structural Excavation", as the case may be. The unit bid price shall include full compensation for removing the unstable or incompressible material, for furnishing, hauling, placing and compacting suitable material required to replace it and for all labor, equipment, tools and incidentals necessary to complete the work.

Payment for "Concrete Base", "Cement Stabilized Backfill" and "Controlled Low Strength Material" measured as prescribed above shall be made at the unit bid price per cubic yard. The unit bid price shall include full compensation for furnishing all materials, tools, labor, equipment, sheathing and incidentals required to perform the applicable work prescribed herein.

When the Engineer or designated representative judges it necessary to lower the structure footings to an elevation below the grade indicated on the Drawings, payment for the "Unclassified Structural Excavation", "Common Structural Excavation" or "Rock Structural Excavation" as the case may be, required below plan grade down to and including an elevation 5 feet (1.525 meters) below drawing grade for any individual footing will be made at a unit price equal to 115 percent of the contract unit bid price. Payment for the excavation from an elevation over 5 feet (1.525 meters) below plan grade down to and including an elevation 10 feet (3.05 meters) below plan grade will be made at a unit price equal to 125 percent of the contract unit bid price for "Unclassified Structural Excavation", "Common Structural Excavation" or "Rock Structural Excavation" as the case may be. No increase in unit price will be allowed for other bid items of the contract and no additional compensation will be allowed for any required cofferdam adjustments made necessary by such lowering of footings. These provisions shall not apply to the lowering of culverts, except when the flow line grade is lowered 1 foot (300 mm) or more below plan grade.

In cases where the extra depths required for any footing or footings exceeds 10 feet (3.05 meters), a supplemental agreement shall be made covering the quantities removed from depths in excess of 10 feet (3.05 meters) below plan grade.

No direct payment will be made for filling or backfilling around structures. Payment for the backfilling and compacting of areas, which were removed as structural excavation shall be included in the unit bid prices for the various classes of structural excavation.

At the end of each estimate period, the Engineer or designated representative shall determine the completed portion of the total work under Standard Specification Item No. 401S "Structural Excavation and Backfill" and payment shall be made accordingly.

Filling or backfilling of areas above the natural ground level or above the limits of street excavation or channel excavation sections shall be considered as Standard Specification Item No. 132S, "Embankment" and payment therefore shall be included in the unit prices bid for the various classes of Standard Specification Item No. 110S, "Street Excavation", Standard Specification Item No. 120S, "Channel Excavation" or Standard Specification Item No. 130S, "Borrow".

Where no channel excavation is provided for at culvert sites and where it is necessary to excavate beyond the limits of structural excavation, as herein described in order that the culvert may function properly, such excavation shall be included with structural excavation as may be indicated on the Drawings.

Payment for all work prescribed under this item shall include full compensation for all excavation and backfill including compaction, all soundings, construction of all cofferdams, all dewatering and for

furnishing all materials, labor, equipment, tools, sheathing, bracing, cofferdams, pumps, drills, explosives and incidentals necessary to complete the work, except for specific allowances stated above.

Special materials used or additional excavation made for the Contractor's convenience to expedite the work will not be paid for directly, but shall be included in the unit price bid for this specification item. In addition, if the Contractor's construction methods and equipment creates conditions necessitating usage of special materials or additional excavation, the work and materials will not be paid for directly, but shall be included in the unit price bid for this specification item.

When specified in the contract bid form as a separate pay item(s), the item(s) will be paid for at the contract unit price(s) for "Flexible Base", "Lime Stabilized Base" and "Controlled Low Strength Material". The bid prices shall include full compensation for all Work herein, specified, including the disposal of all material not required in the Work, the furnishing of all material, equipment, tools, labor and incidentals necessary to complete the Work.

Payment will be made under one of the following:

Pay Item No. 401S-A:	Unclassified Structural Excavation, Plan Quantity	Per Cubic Yard.
Pay Item No. 401S-B:	Common Structural Excavation	Per Cubic Yard.
Pay Item No. 401S-C:	Rock Structural Excavation	Per Cubic Yard.
Pay Item No. 401S-D:	Concrete Base	Per Cubic Yard.
Pay Item No. 401S-E:	Cement Stabilized Backfill	Per Cubic Yard.
Pay Item No. 401S-F:	Flexible Base	Per Cubic Yard.
Pay Item No. 401S-G:	Lime Stabilized Base	Per Square Yard.
Pay Item No. 401S-H:	Controlled Low Strength Material	Per Cubic Yard.
Pay Item No. 401S-I:	Cofferdams, type	Per Cubic Yard.
Pay Item No. 401S-J:	Dewatering	Per Cubic Yard.

End

SPECIFIC CROSS REFERENCE MATERIALS
Standard Specification Item 401S, "Structural Excavation and Backfill"

City of Austin Standard Contract Documents	
Designation	Description
Section 00700	General Conditions
Section 01550	Public Safety and Convenience

City of Austin Utilities Criteria Manual	
Designation	Description
Section 5.2.0	Permit Information and Format

City of Austin Standard Specification Items	
Designation	Description
Item No. 110S	Street Excavation
Item No. 111S	Excavation
Item No. 120S	Channel Excavation
Item No. 130S	Borrow
Item No. 132S	Embankments
Item No.	Hydrated Lime and Lime Slurry

202S	
Item No. 203S	Lime Treatment for Materials in Place
Item No. 210S	Flexible Base
Item No. 302S	Aggregates for Surface Treatments
Item No. 402S	Controlled Low Strength Material
Item No. 403S	Concrete for Structures
Item No. 509S	Excavation Safety Systems
Item No. 610S	Preservation of Trees and Other Vegetation

Texas Department of Transportation: Departmental Material Specifications

Designation	Description
DMS-4640	Chemical Admixtures for Concrete

American Society for Testing and Materials, ASTM

Designation	Description
ASTM C 33	Specification For Concrete Aggregates

Texas Department of Transportation: Manual of Testing Procedures	
Designation	Description
Tex-114-E	Laboratory Compaction Characteristics & Moisture-Density Relationship of Subgrade & Embankment Soil
Tex-120-E	Soil-Cement Testing

RELATED CROSS REFERENCE MATERIALS	
Standard Specification Item 401S, "Structural Excavation and Backfill"	
Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges	
Designation	Description
Item 110	Excavation
Item 132	Embankment
Item 400	Excavation and Backfill for Structures
Item 401	Flowable Backfill
Item 402	Trench Excavation Protection
Item 403	Temporary Special Shoring
Item 421	Hydraulic Cement Concrete

ITEM NO. 402S - CONTROLLED LOW STRENGTH MATERIAL 11-13-07

402S.1 - Description

This item governs Controlled Low Strength Material (CLSM) used for trench backfill and for filling abandoned culverts, pipes, other enclosures, and for other uses as indicated on the drawings, Standard Details or as approved by the Engineer or designated representative. CLSM is a low strength, self-compacting, flowable, cementitious material used in lieu of soil backfill. It is intentionally prepared at low strength to allow for future removal using conventional excavation equipment.

The CLSM shall be composed of Portland cement or fly ash, or both, filler aggregate and water. The CLSM, specified for use in filling abandoned culverts, pipes, or other enclosures, shall contain a settlement compensator, in addition to the other ingredients, to minimize settlement of the CLSM within the enclosure.

Normal Set CLSM shall be specified whenever the material will remain uncovered or will not be subjected to traffic or other loads within 24 hours after placement. Fast Set CLSM shall be specified whenever the material will be covered, subjected to traffic or other loads within 24 hours, or needed to expedite construction.

CLSM can be used for permanent subgrade repairs below the base layer, but shall not be used for permanent pavement repairs. For temporary traffic applications, a minimum 2 inch (50 mm) cap composed of Hot Mix-Cold Laid Asphaltic Concrete (TxDoT Standard Specification Item 334) shall be placed on the CLSM.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text and accompanying tables, the inch-pound units are given preference followed by SI units shown within parentheses.

402S.2 - Submittals

The submittal requirements of this specification item include:

A. A mix design submittal including the results of unconfined compressive strength tests, air entrainment (if applicable), flow consistency, hardened unit weight, and timed Ball Drop and corresponding Penetrometer tests.

B. Certifications and test results for the cement fly ash, and admixtures.

C. Particle-size gradation and specific gravity tests on the filler aggregate.

402S.3 - Materials

A. Cement.

Portland cement shall conform to ASTM C 150, Type I (General Purpose).

Portland cement manufactured in a cement kiln fueled by hazardous waste shall be considered as an approved product if the production facility is authorized to operate under regulation of the Texas Natural Resource Conservation Commission (TNRCC) and the U. S. Environmental Protection Agency (EPA). Supplier shall provide current TNRCC and EPA authorizations to operate the facility.

B. Fly Ash

Fly ash shall conform to the requirements of Standard Specification Item No. 405, "Concrete Admixtures" and TxDOT Specification Item 437.

C. Filler Aggregate.

Filler aggregate shall consist of sand, stone screenings, pavement milling cuttings or other granular material that is compatible with the other mixture components. The filler aggregate shall be fine enough to stay in suspension to the extent required for proper flow without segregation, and, in the case of filling of enclosures, for minimal settlement. Filler aggregate shall have a Plasticity Index (TxDOT Test Method Tex-106-E) less than 15 and shall conform to the following gradation:

Sieve Designation	US	(SI)	Percent Passing
	No. 200	(75µm)	0—10

D. Mixing Water.

Mixing water shall conform to the requirements of Standard Specification Item No. 403, "Concrete for Structures".

E. Settlement Compensator

An air entraining admixture with a higher than usual dosage, which meets the requirements of Standard Specification Item No. 405, "Concrete Admixtures", shall be used as a settlement compensator. The settlement compensator may be introduced to the CLSM at the job site by placement of prepackaged admixture in capsules or bags in the mixing drum in accordance with the admixture manufacturer's recommendations.

402S.4 - Mix Design

The proportioning of CLSM shall be the responsibility of the Contractor. The Contractor shall furnish a mix design conforming to the requirements herein, for review and approval by the Engineer or designated representative. The mix design shall be prepared by a qualified commercial laboratory and then reviewed and signed by a registered Professional Engineer licensed in the State of Texas.

The Mix Design submittal must include:

A. Test results for unconfined compressive strength, air entrainment (if applicable), flow consistency, hardened unit weight, and timed Ball Drop (ASTM C-360) and corresponding Penetrometer tests (with a concrete pocket penetrometer),

B. Certifications and test results for the cement, fly ash, and admixtures, and

C. Results of particle-size gradation and specific gravity tests on the filler aggregate. The submittal shall include Penetrometer tests performed every thirty minutes until the Ball Drop test shows a 2-inch (50 mm) indentation, as well as the predicted Penetrometer reading that corresponds to a 3-inch (75 mm) Ball Drop indentation. Particle-size gradation shall be determined using a series of sieves that gives no fewer than five uniformly spaced points for graphing the entire range of particle sizes larger than a No. 200 sieve (75-µm).

The Contractor shall perform the work required to substantiate the design at no cost to the City, including all testing. Approved mix designs shall be valid for one year, provided there are no changes in the type, source, or characteristics of the materials during that year.

At the end of one year, the mix design may be submitted for renewal, provided that:

A. field tests of the CLSM during the year have been satisfactory,

B. there have been no changes in type or source of the materials of the mix, and

C. the characteristics of the materials have not changed significantly since the original submittal.

The Contractor shall also submit certifications and test results for the cement, fly ash and admixtures, and particle-size gradation and specific gravity test results for the filler aggregate. The Contractor shall compare results of tests made on the filler aggregate at the end of the year to the results of tests reported in the original submittal. Gradation changes less than ten percent in percent passing any sieve and specific gravity changes less than five percent shall not be considered significant.

402S.5 - Strength

The CLSM mix designs shall meet the unconfined compressive strength requirements outlined in the table below. The compression tests shall be conducted in accordance with TxDOT Method Tex-418-A, using approved unbonded caps on specimens with four-inch (100 mm) diameter and eight-inch (200 mm) height [or three-inch (75 mm) diameter by six-inch (150 mm) high specimens if a smaller capacity loading device gives more accurate results].

Unconfined Compressive Strength, psi (mPa)		
Age	Normal Set CLSM	Fast Set CLSM
3 hours	—	35 (0.24) minimum
24 hours	35 (0.24) minimum	—
28 days	300 (2.1) maximum	300 (2.1) maximum

402S.6 - Flow Consistency

Flow consistency shall be established in tests involving the use of a six-inch (150 mm) length by three-inch (75 mm) diameter open-ended straight tubing made of steel, plastic or other non-absorbent material that is non-reactive with cement or fly ash. The tube shall be placed with one end on a horizontal flat surface and held in a vertical position. The tube shall then be filled to the top with CLSM. The top surface shall be struck off with a suitable straight edge and any spillage shall be removed from the base of the tube. Within five seconds thereafter the tube shall be raised carefully, using a steady upward lift with no lateral or torsional motion. The entire test, from the start of filling until removal of the tube, shall be completed within 1½ minutes without interruption.

After removal of the tube, the spread of the CLSM shall be measured immediately along two diameters that are perpendicular to one another. The average of those two measurements is defined as the flow consistency of the mix. The flow consistency of the CLSM shall be considered satisfactory if a circular-type spread of the mix occurs without segregation and a flow consistency (average diameter of spread) of 8 inches (200 mm) or more is achieved.

402S.7 - Air Entrainment

Air entraining admixture shall be added as a settlement compensator, whenever the CLSM will be used to fill an enclosure (Section 402S-1). The dosage shall be sufficient to result in an air content of 15 to 25 percent (as determined by TxDOT Method Tex-416-A) at the time of placement of the CLSM.

402S.8 - Field Strength Tests

Ball Drop or Penetrometer tests shall be used to determine, when the CLSM has developed sufficient strength to be covered or subjected to traffic or other loads as approved by the Engineer or designated representative.

The Ball Drop test shall be performed according to the latest version of ASTM C-360. An indentation diameter of three inches (75 mm) or less, and the absence of a sheen or any visible surface water in the indentation area shall indicate that the CLSM has achieved the desired strength. Because trench width and depth may affect the test results, the Contractor may perform this test on a control sample of CLSM in a two-foot (600 mm) square by six-inch (150 mm) deep container.

Penetrometer tests using a hand-held, spring reaction-type device commonly called a concrete pocket penetrometer, shall be performed on the surface of the CLAMS. A Penetrometer reading, equal to or greater than the value established in the mix design (Section 402S.4) for a Ball Drop test indentation of 3-inches (75 mm), shall indicate that the CLSM has achieved the desired strength.

402S.9 - Construction Methods

A. General

The height of free fall placement of the CLSM shall not exceed four feet (1.2 meters). Since CLSM is considered to be self-compacting, a vibrator shall not be allowed. The CLSM shall not be covered with any overlying materials or subjected to traffic or other loads until the Ball Drop test or the Penetrometer test shows acceptable results (Section 402S.8) or until the CLSM has been in place a minimum of 24 hours for Normal Set CLSM and a minimum of 3 hours for Fast Set CLSM. Curing of the CLSM will not be required.

B. Utility Line Backfill

After the utility pipe has been placed and the proper bedding material placed in accordance with the details on the drawings, the trench may be immediately backfilled with the CLSM to the subgrade level shown on the drawings, Standard Details 1100S-6A, B, C & D, 430S-4, 511S-13A and 511S-13B or as directed by the Engineer or designated representative.

C. Culvert Backfill

Care shall be taken to prevent movement of the structure. If the pipe or structure moves either horizontally or vertically, the CLSM and the structure shall be immediately removed and the pipe or structure re-laid to proper line and grade.

D. Other Backfill

CLSM may be used for backfill material in lieu of soil as shown on the drawings, Standard Details or as approved by the Engineer or designated representative.

E. Filling Abandoned Culverts, Pipe, or other Enclosures

The CLSM shall be placed in a manner that allows all air or water, or both, to be displaced readily as the CLSM fills the enclosure.

402S.10 - Acceptance Testing During Construction

The Engineer or designated representative may perform flow consistency, air entrainment, and unconfined compressive strength tests to determine if the CLSM meets the specification requirements. The number and frequency of acceptance tests will be determined by the Engineer or designated representative.

402S.11 - Measurement and Payment

The work and materials presented herein will generally not be paid for directly, but shall be included in the unit price bid for the item of construction in which this item is used.

When specified in the contract bid form as a separate pay item, the item will be paid for at the contract unit bid price(s) for "Controlled Low Strength Material". The bid prices shall include full compensation for all Work herein specified, including the furnishing of all materials, equipment, tools, labor and incidentals necessary to complete the Work.

Payment will be made under the following:

Pay Item No. 402S-A:	Controlled Low Strength Material	Per Cubic Yard

End

SPECIFIC CROSS REFERENCE MATERIALS	
Standard Specification Item 402S, "Controlled Low Strength Material"	
City of Austin Standard Details	
Designation	Description
430S-4	Concrete Backfill Under Curb & Gutter
506S-14	Control or Mini Manhole
511S-13A	Water Valve Box Adjustment to Grade W/Full Depth Concrete
511S-13B	Water Valve Box Adjustment to Grade W/Concrete and H.M.A.C.
1100S-6A	Narrow Excavation Next to C&G - Trench Width 0.3 M (12”) & Less
1100S-6B	Narrow Excavations - Trench Width 0.3 M (12”) & Less
1100S-6C	Excavation Next to C&G - Trench Width Greater than 0.3 M (12”)
1100S-6D	Excavations - Trench Width Greater than 0.3 M (12”)
City of Austin Standard Specification Items	

Designation	Description
Item No 403S	Concrete for Structures
Item No 405S	Concrete Admixtures
Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges	
Designation	Description
Item No. 334	Hot Mix-Cold Laid Asphaltic Concrete Pavement
Item No. 420	Concrete Structures
Item No. 421	Portland Cement Concrete
Item No. 437	Concrete Admixtures

RELATED CROSS REFERENCE MATERIALS	
Standard Specification Item 402S, "Controlled Low Strength Material"	
Texas Department of Transportation: Manual of Testing Procedures	
Designation	Description
Tex-106-E	Method Of Calculating the Plasticity Index of Soils
Tex-416-A	Air Content of Freshly Mixed Concrete By The Pressure Method
Tex-418-A	Compressive Strength of Cylindrical Concrete
American Society for Testing and Materials (ASTM)	
Designation	Description

ASTM C 150	Portland Cement
ASTM C 360	Ball Penetration in Fresh Portland Cement Concrete
ASTM C 403	Time of Setting of Concrete Mixtures by Penetration Resistance
City of Austin Standard Specification Items	
Designation	Description
Item No. 504S	Adjusting Structures
Item No. 506S	Manholes
Item No. 508S	Miscellaneous Structures and Appurtenances
Item No. 510	Pipe

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ITEM NO. 403S - CONCRETE FOR STRUCTURES 9-26-12

403S.1 - Description

This item shall govern quality, storage, handling, proportioning and mixing of materials for hydraulic cement concrete construction of buildings, bridges, culverts, slabs, prestressed concrete and incidental appurtenances.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text, the inch-pound units are given preference followed by SI units shown within parentheses.

403S.2 - Submittals

The submittal requirements of this specification item may include:

A. Mix design option(s) of the class of concrete required on the project,

B. The supplier of the concrete mix design(s) and type of mixing equipment, and

C. Type of admixtures to be used with the concrete mixes.

403S.3 - Materials

Concrete shall be composed of hydraulic cement or hydraulic cement and supplementary cementing materials, water, aggregates (fine and coarse), and admixtures proportioned and mixed as hereinafter provided to achieve specified results.

A. Cementitious Materials

Hydraulic cement shall conform to ASTM C 150, Type I (General Purpose), Type II (General Purpose with Moderate Sulfate Resistance) and Type III (High Early Strength). Type I shall be used when none is specified or indicated on the drawings. Type I and Type III cements shall not be used when a Type II cement is specified or indicated on the drawings. Type III cement may be used in lieu of a Type I cement, when the anticipated air temperature for the succeeding 12 hours will not exceed 60°F (15.6°C). A Type III cement shall only be used in precast concrete or when otherwise specified or allowed. All cement shall be of the same type and from the same source for a monolithic placement.

Unless otherwise specified the cementitious material content shall be limited to no more than 700 lbs. per cubic yard (417 kg per cubic meter). When supplementary cementing materials are used, cement is defined as "cement plus supplementary cementing material." Supplementary cementing materials include fly ash (DMS 4610), ultra-fine fly ash (DMS-4610), ground granulated blast furnace slag grade 100 or 120 (DMS-4620), silica fume (DMS-4630) and metakaolin (DMS-4635).

Supplementary cementing materials shall not be used when white hydraulic cement is specified.

Class C flyash shall not be used in sulfate-resistant concrete.

Hydraulic cement manufactured in a cement kiln fueled by hazardous waste shall be considered as an approved product if the production facility is authorized to operate under regulation of the Texas Commission on Environmental Quality (TCEQ) and the U.S. Environmental Protection Agency (EPA). Supplier shall provide current TNRCC and EPA authorizations to operate the facility.

When sulfate-resistant concrete is required for a project, mix design options 1, 2, 3 or 4 presented in Section 403S.8, "Mix Design Options" shall be used to develop appropriate mix design utilizing Type I/II, II, V, IP or IS cement.

B. Mixing Water

Water for use in concrete and for curing shall be potable water free of oils, acids, organic matter or other deleterious substances and shall not contain more than 1,000 parts per million of chlorides as Cl or sulfates as SO4.

Water from the City of Austin will not require testing. Contractor may request approval of water from other sources. Contractor shall arrange for samples to be taken from the source and tested at the Contractor's expense. When water from other sources is proposed, test reports shall be provided that indicates compliance with Table 1 before use.

Table 1: Chemical Limits for Mix Water

Contaminant	Test Method	Maximum Concentration (ppm)
Chloride (CL) Prestressed concrete Bridge decks & superstructure All other concrete	ASTM D-512	 500 500 1,000
Sulfate (SO_4)	ASTM D-516	1,000
Alkalies ($NA_2O + 0.658\ K_2O$)	ASTM D-4191 & D-4192	600
Total Solids	AASHTO T-26	50,000

Water that has an adverse effect on the air-entraining agent or any other chemical admixture or on strength or time of set of the concrete shall not be used. Water used in white Portland cement concrete shall be free from iron and other impurities, which may cause staining, or discoloration.

C. Coarse Aggregate

Coarse aggregate shall consist of durable particles of crushed or uncrushed gravel, crushed blast furnace slag, crushed stone or combinations thereof; free from frozen material or injurious amounts of salt, alkali, vegetable matter or other objectionable material either free or as an adherent coating. When white hydraulic cement is specified, the coarse aggregates used in the concrete shall be light colored. Quality shall be reasonably uniform throughout.

The coarse aggregate from each source shall not contain more than 0.25 percent by weight of clay lumps, nor more than 1.0 percent by weight of shale nor more than 5 percent by weight of laminated and/or friable particles when tested in accordance with TXDOT Test Method TEX-413-A. The coarse aggregate from each source shall have a wear of not more than 40 percent when tested in accordance with TXDOT Test Method TEX-410-A.

Unless otherwise indicated on the drawings, the coarse aggregate from each source shall be subjected to 5 cycles of the soundness test conforming to TXDOT Test Method TEX-411-A. The loss shall not be greater than 12 percent when sodium sulfate is used or 18 percent when magnesium sulfate is used.

Coarse aggregate shall be washed. The Loss by Decantation (TXDOT Test Method TEX-406-A), plus allowable weight of clay lumps, shall not exceed 1 percent or the value indicated on the drawings or in the project manual, whichever is less. If material finer than the # 200 (75 micrometer) sieve is definitely established to be dust of fracture of aggregates made primarily from crushing of stone, essentially free from clay or shale as established by Part III of TXDOT Test Method TEX-406-A, the percent may be increased to 1.5. When crushed limestone coarse aggregate is used in concrete pavements, the decant may exceed 1% but not more than 3% if the material finer than the #200 (75 micrometer) sieve is determined to be at least 67% calcium carbonate in accordance with TxDoT Test Method Tex-406-A, Part III.

The coarse aggregate factor may not be more than 0.82; however, when voids in the coarse aggregate exceed 48 percent of the total rodded volume, the coarse aggregate factor shall not exceed 0.85. The coarse aggregate factor may not be less than 0.68 except for a Class I machine extruded mix that shall not have a coarse aggregate factor lower than 0.61.

When exposed aggregate surfaces are required, the coarse aggregate shall consist of particles with at least 40 percent crushed faces. Uncrushed gravel, polished aggregates and clear resilient coatings are not acceptable for exposed aggregate finishes.

When tested by approved methods, the coarse aggregate including combinations of aggregates when used, shall conform to the grading requirements shown in Table 2.

Table 2: Coarse Aggregate Gradation Chart (Percent Passing)

Grade	Nom. Size	2½″ (62.5mm)	2″ (50mm)	1½″ (37.5mm)	1″ (25mm)	¾″ (19mm)	½″ (12.5mm)	3/8″ (9.5mm)	No. 4 (4.75mm)	No. 8 (2.36mm)
1	2″ (50 mm)	100	80—100	50—85		20—40			0—5	
2 (467)*	1½″(37.5 mm)		100	95—100		35—70		10—30	0—5	
3	1″ (50 mm)		100	95—100		60—90	25—60		0—5	
4 (57)*	1″ (50 mm)			100	95—100		25—60		0—10	0—5
5 (67)*	¾″ (19 mm)				100	90—100		20—55	0—10	0—5
6 (7)*	½″ (12.5 mm)					100	90—100	40—70	0—15	0—5

7	3/8 ″ (9.5 mm)						100	70—95	0—25	
8	3/8 ″ (9.5 mm)						100	95—100	20—65	0—10

Notes:

1. Recycled crushed concrete fine aggregate shall be limited to a maximum of 20% of the fine aggregate.
2. The use of recycled crushed hydraulic cement concrete as a coarse aggregate shall be limited to Concrete Classes A, B and D (see Table 5).

D. Fine Aggregate

Fine aggregate shall be washed and consist of clean, hard, durable and uncoated particles of natural or manufactured sand or a combination thereof, with or without a mineral filler. When white hydraulic cement is specified, the fine aggregates used in the concrete shall be light colored. Quality shall be reasonably uniform throughout. It shall be free from frozen material or injurious amounts of salt, alkali, vegetable matter or other objectionable material and it shall not contain more than 0.5 percent by weight of clay lumps in accordance with TEX-413-A. When subjected to color test for organic impurities per TXDOT Test Method TEX-408-A, it shall not show a color darker than standard.

Unless indicated otherwise on the drawings the acid insoluble residue of fine aggregate used in slab concrete subject to direct traffic shall not be less than 60 percent by weight (mass) when tested conforming to TXDOT Test Method TEX-612-J.

Unless indicated otherwise on the Drawings, fine aggregate shall be blended, when necessary, to meet the acid insoluble residue requirement.

When blending the following equation shall be used:

Acid Insoluble (%) = {(A1)(P1)+(A2)(P2)}/100

Where:

A1 = acid insoluble (%) of aggregate 1,

A2 = acid insoluble (%) of aggregate 2,

P1 = % by weight of A1 of the fine aggregate blend, and

P2 = % by weight of A2 of the fine aggregate blend.

When tested in accordance with TxDoT Test Method Tex-401-A, the fine aggregate, including mineral filler and combinations of aggregates, when used, shall conform to the grading requirements shown in Table 3.

Table 3: Fine Aggregate Gradation Chart [1] (Grade 1 - Percent Passing)							
3/8 (9.5 mm)	No. 4 (4.75 mm)	No. 8 (2.36 mm)	No. 16 (1.18mm)	No. 30 (600 µm)	No. 50 (300 µm)	No. 100 (150 µm)	No. 200 (75 µm)
100	95—100	80—100	50—85	25—65	10—351	0—10	0—32

Notes:

1. Recycled crushed concrete fine aggregate shall be limited to a maximum of 20% of the fine aggregate.
2. The use of recycled crushed hydraulic cement concrete as a fine aggregate shall be limited to Concrete Classes A, B and D (see Table 5).
3. 6 to 35 when sand equivalent value is greater than 85.
4. 0 to 6 for manufactured sand.

Sand equivalent per TXDOT Test Method TEX-203-F shall not be less than 80 nor less than otherwise indicated on the drawings, whichever is greater.

The fineness modulus will be determined by adding the percentages by weight retained on sieve Nos. 4, 8, 16, 30, 50 and 100 (4.75 mm, 2.36 mm, 1.18mm, 600 µm, 300 µm, and 150 µm) and dividing the sum of the six sieves by 100. For all classes of concrete except K (see Table 5), the fineness modulus shall be between 2.30 and 3.10. For Class K concrete, the fineness modulus shall be between 2.40 and 2.90, unless indicated otherwise on the Drawings.

E. Mineral Filler

Mineral filler shall consist of stone dust, clean crushed sand or other approved inert material. When tested in accordance with TxDoT Test Method Tex-401-A, it shall conform to the following gradation:

Passing the No. 30 (600 µm) Sieve	100 percent
Passing the No. 200 (75 µm) Sieve	65 to 100 percent

F. Mortar and Grout

Unless otherwise specified, indicated on the drawings or approved by the Engineer or designated representative mortar and grout shall consist of 1 part cement, 2 parts finely graded sand and enough water to make the mixture plastic. When required to prevent color difference, white cement shall be added to produce color required. When required by the Engineer or designated representative, approved latex adhesive may be added to the mortar. Mortar shall be provided with a consistency such that the mortar can be easily handled and spread by trowel. Grout shall be provided of a consistency that will flow into and completely fill all voids.

G. Admixtures

All chemical admixtures including water reducing, placticizers and air entrainment shall conform to TxDoT DMS-4640, "Chemical Admixtures for Concrete". Calcium chloride-based admixtures shall not be approved. Admixtures shall be included in the prequalified concrete admixtures list maintained by TxDot's Construction Division. High-range water-reducing admixtures (TxDoT Type F or G) and accelerating admixtures (TxDoT Type C or E) shall not be used in bridge deck concrete.

H. Air Entrainment

Unless indicated otherwise on the drawings, all concrete classes with the exception of Class B shall be air entrained in accordance with Table 8. If the air content is more than 1½ percentage points below or 3 percentage points above the required air, the load of concrete will be rejected. If the air content is more than 1½ but less than 3 percentage points above the required air, the concrete may be accepted based on strength test results.

403S.4 - Storage of Materials

A. Cement, Supplementary Cementing Materials and Mineral Filler

All cement, supplementary cementing materials and mineral filler shall be stored in separate and well ventilated, weatherproof buildings or approved bins, which will protect the material from dampness or absorption of moisture. Storage facilities shall be easily accessible and each shipment of packaged cement shall be kept separated to provide for identification and inspection. The Engineer or designated representative may permit small quantities of sacked cement to be stored in the open for a maximum of 48 hours on a raised platform and under waterproof covering.

B. Aggregates

The method of handling and storing concrete aggregates shall prevent contamination with foreign materials. If the aggregates are stored on the ground, the sites for the stockpiles shall be clear of all vegetation and shall be level. Aggregates shall be stockpiled in sizes to facilitate blending. If the aggregate is not stockpiled on a hard, non-contaminant base, the bottom 6-inch (150 mm) layer of the stockpile shall not be used without recleaning the aggregate.

When conditions require the use of 2 or more grades of coarse aggregates, separate stockpiles shall be maintained to prevent intermixing. Where space is limited, stockpiles shall be separated by walls or other appropriate barriers.

Aggregate shall be stockpiled and protected from the weather a minimum of 24 hours prior to use to minimize free moisture content. When stockpiles are too large to protect from the weather, accurate and continuous means acceptable to the Engineer or designated representative shall be provided to monitor aggregate temperature and moisture. Aggregates shall be stockpiled and handled such that segregation and contamination are minimized.

The stockpiles shall be sprinkled to control moisture and temperature as necessary. A reasonably uniform moisture content shall be maintained in aggregate stockpiles.

C. Admixtures

Admixtures shall be stored in accordance with manufacturer's recommendations and shall be protected against freezing.

D. Hot Weather Concrete Mixes

Ice may be used during hot weather concrete placement (Section 13 of Standard Specification Item No. 410S, "Concrete Structures") to lower the concrete temperature; however, the Contractor shall furnish a mix design acceptable to the Engineer or designated representative for class of concrete specified. The addition of ice shall not exceed 50% of the total mix water weight.

403S.5 - Measurement of Materials

Water shall be accurately metered. Fine and coarse aggregates, mineral filler, bulk cement and fly ash shall be weighed separately. Allowances shall be made in the water volume and aggregate weights during batching for moisture content of aggregates and admixtures. Volumetric and weight measuring devices shall be acceptable to the Engineer or designated representative. Measurement of materials in non-volumetric and volumetric mixers shall conform to Section 421.4.D of TxDot Specification Item 421, "Hydraulic Cement Concrete".

Batch weighing of sacked cement is not required; however, bags, individually and entire shipments, may not vary by more than 3 percent from the specified weight of 94 pounds (42.6 kilograms) per bag. The average bag weight of a shipment shall be determined by weighing 50 bags taken at random.

403S.6 - Mix Design

The Contractor shall furnish a mix design acceptable to the Engineer or designated representative for the class of concrete required in accordance with Table 5. The mix shall be designed by a qualified commercial laboratory and signed/sealed by a registered Professional Engineer, licensed in the state of Texas to conform with requirements contained herein, to ACI 211.1 or TXDOT Bulletin C-11 (and supplements thereto). The maximum water-to-cementitious material ratio identified in Table 5 for specific classes of concrete shall not be exceeded.

A higher-strength class of concrete with equal or lower water-to-cementituous-material ratio may be substituted for the specified class of concrete.

The mix design shall be over-designed in accordance with Table 5 in order to account for production variability and to ensure minimum compressive strength requirements are met.

Allowable mix design options are presented in Section 403S.8.

The Contractor shall perform, at the Contractor's expense, the work required to substantiate the design, including testing of strength specimens. Complete concrete design data shall be submitted to the Engineer or designated representative for approval. The mix design will be valid for a period of one (1) year provided that there are no changes to the component materials.

When there are changes in aggregates or in type, brand or source of cement, supplementary cementing material or chemical admixtures, the mix shall be evaluated as a new mix design. A change in vendor does not necessarily constitute a change in materials or source. When only the brand or source of cement is changed and there is a prior record of satisfactory performance of the cement with the ingredients, the submittal of new trial batches may be waived by the Engineer or designated representative.

At the end of one (1) year, a previously approved mix may be resubmitted for approval if it can be shown that no substantial change in the component materials has occurred and that test results confirming the adequacy of the mix designs have been acquired during the previous year. The resubmittal analysis must be reviewed, signed and sealed by a registered Professional Engineer, licensed in the state of Texas. This resubmittal shall include a reanalysis of specific gravity, absorption, fineness modulus, sand equivalent, soundness, wear and unit weights of the aggregates. Provided that the fineness modulus did not deviate by more than 0.20 or that the re-proportioned total mixing water, aggregate and cement (or cement plus fly ash) are within 1, 2, and 3 percent, respectively, of pre-approved quantities, a one-year extension on the approval of the mix may be granted by the Engineer or designated representative. Updated cement, fly ash, and admixture certifications shall accompany the resubmittal.

Approved admixtures that are included in the prequalified concrete admixtures list maintained by TxDot's Construction Division may be used with all classes of concrete at the option of the Contractor provided that specific requirements of the governing concrete structure specification are met. Water reducing and retarding agents shall be required for hot weather, large mass, and continuous slab placements. Air entraining agents may be used in all mixes but must be used in the classes indicated on Table 5. Unless

approved by the Engineer or designated representative, mix designs shall not exceed air contents for extreme exposure conditions as recommended by ACI 211.1 for the various aggregate grades.

403S.7 - Consistency and Quality of Concrete

Concrete shall be workable, cohesive, possess satisfactory finishing qualities and of stiffest consistency that can be placed and vibrated into a homogeneous mass within slump requirements specified in Table 4 without the development of segregation or honeycombing. No concrete will be permitted with a slump in excess of the maximums shown unless water-reducing admixtures have been previously approved. Concrete that exceeds the maximum acceptable placement slump at time of delivery will be rejected. Slump values shall be conducted in accordance with TXDOT Test Method TEX-415-A.

Consistency and quality of concrete should allow efficient placement and completion of finishing operations before initial set. Re-tempering (i.e. addition of water and reworking concrete after initial set) shall not be allowed. When field conditions are such that additional moisture is needed for final concrete surface finishing operation, the required water shall be applied to surface by fog spray only and shall be held to a minimum. Excessive bleeding shall be avoided and in no case will it be permissible to expedite finishing and drying by sprinkling the surface with cement powder.

Table 4: Slump Requirements

	Slump [1], inches (mm)	
Type of Construction	**Maximum**	**Minimum**
Cased Drilled Shafts	4 (100)	3 (75)
Reinforced Foundation Caissons and Footings	3 (75)	1 (25)
Reinforced Footings and Substructure Walls	3 (75)	1 (25)
Uncased Drilled Shafts	6 (150)	5 (125)
Thin-walled Sections; 9 inches (225 mm) or less	6½ (165)	4 (100)
Prestressed Concrete Members1	6½ (165)	4 (100)
Precast Drainage Structures	6 (150)	4 (100)
Wall Sections over 9 inches (225 mm)	5 (125)	3 (75)
Reinforced Building Slabs, Beams, Columns and Walls	4 (100)	1 (25)
Bridge Decks	4 (100)	2 (50)

Pavements, Fixed-form	6½ (165)	4 (100)
Pavements, Slip-form	3 (75)	1½ (37.5)
Sidewalks, Driveways and Slabs on Ground	4 (100)	2 (50)
Curb & Gutter, Hand-vibrated	3 (75)	1 (25)
Curb & Gutter, Hand-tamped or spaded	4 (100)	2 (50)
Curb & Gutter, Slip-form/extrusion machine	2 (50)	½ (12.5)
Heavy Mass Construction	2 (50)	1 (25)
High Strength Concrete	4 (100)	3 (75)
Riprap and Other Miscellaneous Concrete	6 (150)	1 (25)
Under Water or Seal Concrete	8½ (213)	6 (150)

1. Slump values when a high range water reducer (HRWR) is not used.
2. When a high range water reducer (HRWR) is used, maximum acceptable placement slump will be 9 in (225 mm).

During progress of the work, the Engineer or designated representative shall cast test cylinders as a check on compressive strength of concrete actually placed. The Engineer or designated representative may also perform slump tests, entrained air tests and temperature checks to ensure compliance with specifications.

Proportioning of all material components shall be checked prior to discharging. Excluding mortar material for pre-coating of the mixer drum [see section 403S.8.B] and adjustment for moisture content of admixtures and aggregates, material components shall fall within the range of + 1% for water, + 2% for aggregates, + 3% for cement, +2% for fly ash and within manufacturer recommended dosage rates for admixtures except that air entrainment shall be within + 1½ percentage points of the mix design requirements.

Unless otherwise specified or indicated on the drawings, concrete mix temperature shall not exceed 90°F (32°C) except in mixes with high range water reducers where a maximum mix temperature of 100°F (38°C) will be allowed. Cooling an otherwise acceptable mix by addition of water or ice during agitation will not be allowed.

Test cylinders will be required for small placements such as manholes, inlets, culverts, wing walls, etc. The Engineer or designated representative may vary the number of tests to a minimum of 1 for each 25 cubic yards (1 for each 19 cubic meters) placed over a several day period.

Test cylinders shall be required for each monolithic placement of bridge decks or superstructures, top slabs of direct traffic culverts, cased drilled shafts, structural beams and as otherwise directed by Engineer or designated representative for design strength confirmation or early form removal. Test cylinders made for early form removal or for consideration of use of structure will be at Contractor's expense, except when required by Engineer or designated representative.

A strength test shall be defined as the average breaking strength of 2 cylinders. A minimum of four test cylinders shall be prepared; two each to be tested at 7 and 28 days. Specimens will be tested conforming to TXDOT Test Method TEX-418-A. If required strength or consistency of class of concrete being produced cannot be secured with minimum cementitious material specified or without exceeding maximum water/cementitious material ratio, Contractor will be required to furnish different aggregates, use a water reducing agent, an air entraining agent or increase the cement content in order to provide concrete meeting these specifications.

Slump tests will be performed in accordance with TxDoT Test Method Tex-415-A. Entrained air tests will be performed in accordance with TxDoT Test Method Tex-416-A.

Test specimens shall be cured using the same methods and under the same conditions as the concrete represented. Design strength cylinders shall be cured conforming to TXDOT Bulletin C-11 (and supplements thereto).

When control of concrete quality is by 28-day compressive tests, job control testing will be by 7-day compressive strength tests. The minimum strength requirement for seven (7) day test will be 70 percent of the specified minimum 28-day compressive strength. If the required 7-day strength is not secured with the quantity of cement specified in Table 4, changes in the mix design shall be made and resubmitted for approval. For an occasional failure of the seven-day compressive test, the concrete may be tested at 28 days for final evaluation.

Table 5: Classes of Concrete

Class	Cement Sks Per CY	Minimum Strength, psi (MPa)		Maximum W/C Ratio [1]	Coarse Aggr. Grade [2,3,4]	Air Entrain.
		28 Days	7 Days			
A	5.0 (280 kg/m ^{3})	3000 (20.6)	2100 (14.5)	0.6	1,2,3,4,8	Yes
B	4.0 (225 kg/m ^{3})	2000 (13.8)	1400 (9.7)	0.6	2,3,4,5,6,7	No
C [5]	6.0 (335 kg/m ^{3})	3600(24.8)	2520 (17.4)	0.45	1,2,3,4,5,6	Yes
D	4.5 (252 kg/m ^{3})	2500 (17.2)	1750 (12.1)	0.6	2,3,4,5,6,7	No
H [5]	6.0 (335 kg/m ^{3}	As indicated	As Indicated	0.45	3,4,5,6	Yes

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I	5.5 (308 kg/m ^{3})	3500 (24.1)	2450 (16.9)	0.45	2,3,4,5	Yes
J	2.0 (112 kg/m ^{3})	800 (5.5)	560 (3.9)	N/A	2,3,4,5	No
S [5]	6.0 (335 kg/m ^{3})	4000 (27.6)	2800 (19.3)	0.45	2,3,4,5	Yes

Notes:

1. Maximum water-cement or water-cementitious ratio by weight
2. Unless otherwise allowed, Grade 1 coarse aggregate shall only be used in massive foundations with 4-in (100-mm) minimum clear spacing between reinforcing steel bars.
3. Grade 1 coarse aggregate grading shall not be used in drilled shafts.
4. Unless otherwise allowed, Grade 8 coarse aggregate shall be used in extruded curbs.
5. Structural concrete classes.
6. When Type II cement is used in Class C, S or A concrete, the 7-day compressive strength requirement will be 2310 psi (15.9 MPa) for Class C, 2570 psi (17.7 MPa) for Class S and 1925 psi (13.3 MPa) for Class A minimum.

Table 6: Over Design Required to Meet Compressive Strength Requirements [1]

Number Of Tests [2,3]	**Standard Deviation, psi (MPa)**				
	300 (2.06)	400 (2.75)	500 (3.44)	600 (4.13)	700 (4.82)
15	470 (3.24)	620 (4.27)	850 (5.85)	1,120 (7.71)	1,390 (9.57)
20	430 (2.96)	580 (3.99)	760 (5.23)	1,010 (6.95)	1,260 (8.67)
30 or more	400 (2.75)	530 (3.65)	670 (4.61)	900 (6.20)	1,130 (7.78)

Notes:

1. When designing the mix, add the tabulated amounts to the minimum design strength in Table 5. Maximum water-cement or water-cementitious ratio by weight
2. Number of tests of a concrete mixture used to estimate the standard deviation of a concrete production facility. Test of another mix within 1,000 psi (6.88 MPa) of the specified strength may be used.
3. If less than 15 prior tests are available, the overdesign should be 1,000 psi (6.88 MPa) for specified strength less than 3,000 psi (20.65 MPa), 1,200 psi (8.26 MPa) for specified strengths from 3,000 to 5,000 psi (20.65 to 34.42 MPa) and 1,400 psi (9.64 MPa) for specified strengths greater than 5,000 psi (34.42 MPa).

Table 7: Expected Usage of Concrete Classes

Class	General Usage
A	Inlets, manholes, curb, gutter, curb & gutter, concrete retards, sidewalks, driveways, backup walls and anchors
B	Riprap, small roadside signs and anchors
C [5]	Drilled shafts, bridge substructure, bridge railing, culverts except top slab of direct traffic culverts, headwalls, wing walls, approach slabs, and cast-in-place concrete traffic barrier
D	Riprap
H [5]	Prestressed concrete beams, boxes, piling and precast concrete traffic barrier
J	Utility trench repair
S [5]	Bridge slabs and top slabs of direct traffic culverts

Table 8: Air Entrainment [1]

Nominal Maximum Aggregate Size In (mm)	% Air Entrainment	
	Moderate Exposure	Severe Exposure
3/8 (9.5)- Grades 7 & 8	6	7½
½ (12.5)- Grades 6	5½	7

¾ (19)- Grades 5	5	6
1 (25)- Grades 4	4½	6
1½ (37.5)- Grades 2 & 3	4½	5½
2 (50)- Grades 2	4	5

1. For specified concrete strengths above 5,000 psi (34.42 MPa) a reduction of 1 percentage point is allowed.

403S.8 - Mix Design Options

For the structural concretes identified in Table 5 (Classes C, H and S) and any other class of concrete designed using more than 520 lbs. of cementitious material per cubic yard (310 kgs per cubic meter), one of the mix design options presented below shall be used.

For the non-structural concretes identified in Table 5 (Classes A, B, D and I) and any other class of concrete designed using less than 520 lbs. of cementitious material per cubic yard (310 kgs per cubic meter), one of the mix design options presented below will be used, except that Class C fly ash may be used instead of Class F fly ash for Options 1, 3 and 4 unless a sulfate-resistant concrete is required.

A. Option 1: Twenty (20) to thirty-five (35) percent of the cement may be replaced with Class F fly ash.

B. Option 2: Thirty-five (35) to fifty (50) percent of the cement may be replaced with ground granulated blast-furnace slag.

C. Option 3: Thirty-five (35) to fifty (50) percent of the cement may be replaced with a combination of Class F fly ash, ground granulated blast-furnace slag or silica fume. The combination may not include more than thirty-five (35) percent fly ash and no more than ten (10) percent silica fume.

D. Option 4: Type IP or Type IS will be used and up to ten (10) percent of the cement may be replaced with Class F fly ash, ground granulated blast-furnace slag or silica fume.

E. Option 5: Thirty-five (35) to fifty (50) percent of the cement may be replaced with a combination of Class C fly ash and at least six (6) percent of silica fume, ultra fine fly ash or metakaolin. The combination may not include more than thirty-five (35) percent fly ash and no more than ten (10) percent silica fume.

F. Option 6: A lithium nitrate admixture will be added at a minimum dosage of 0.55 gal. of thirty (30) percent lithium nitrate solution per pound of alkalis present in the hydraulic cement.

G. Option 7: When hydraulic cement only is used in the design, the total alkali contribution from the cement in the concrete does not exceed 4.0 lbs. per cubic yard, when calculated as follows:

alkali (lbs. per CY) = .01 (lbs cement/CY) (% Na_2O equivalent in cement)

where (% Na_2O equivalent in cement) is assumed to be the maximum cement alkali content reported on the cement mill certificate.

H. Option 8: When there are deviations from Options 1 through 7, the following shall be performed:

1. Conduct tests on both coarse and fine aggregate separately in accordance with ASTM C-1260, using 440 g of the proposed cementitious in the same proportions of hydraulic cement to supplementary cementing material to be used in the mix.

2. Prior to use of the mix, a certified test report signed and sealed by a Professional Engineer, licensed in the state of Texas shall be submitted that demonstrates that ASTM C 1260 test results for each aggregate do not exceed 0.10 percent expansion.

403S.9 - Mixing and Mixing Equipment

All equipment, tools and machinery used for hauling materials and performing any part of the work shall be maintained in such condition to insure completion of the work without excessive delays for repairs and replacement. Mixing shall be done in a mixer of approved type and size that will produce uniform distribution of material throughout the mass and shall be capable of producing concrete meeting requirements of ASTM C 94, Ready-mixed Concrete and these specifications. Mixing equipment shall be capable of producing sufficient concrete to provide required quantities. Entire contents of the drum shall be discharged before any materials are placed therein for a succeeding batch. Improperly mixed concrete shall not be placed in a structure. For all mixers an adequate water supply and an accurate method of measuring the water shall be provided.

The mixer may be batched by either volumetric or weight sensing equipment and shall be equipped with a suitable timing device that will lock the discharging mechanism and signal when specified time of mixing has elapsed.

A. Proportioning and Mixing Equipment

For all miscellaneous concrete placements, a mobile, continuous, volumetric mixer or a volumetric or weight batch mixer of the rotating paddle type may be used.

When approved by Engineer or designated representative in writing or when specified for use in other items, these mixers may be used for other types of concrete construction, including structural concrete, if the number of mixers furnished will supply the amount of concrete required for the particular operation in question.

These mixers shall be designed to receive all the concrete ingredients, including admixtures, required by the mix design in a continuous uniform rate and mix them to the required consistency before discharging.

For continuous volumetric mixers, the materials delivered during a revolution of the driving mechanism or in a selected interval, will be considered a batch and the proportion of each ingredient will be calculated in the same manner as for a batch type plant.

Mixing time shall conform to recommendations of manufacturer of mixer unless otherwise directed by Engineer or designated representative.

B. Ready-mixed Concrete

Use of ready-mixed concrete will be permitted provided the batching plant and mixer trucks meet quality requirements specified herein. When ready-mixed concrete is used, additional mortar (1 sack cement, 3 parts sand and sufficient water) shall be added to each batch to coat the mixer drum. Ready-mixed concrete, batching plant and mixer truck operation shall include the following:

1. A ticket system will be used that includes a copy for the Inspector. Ticket will have machine stamped time/date of concrete batch, a mix design designation, weight of cement, fly ash, sand and aggregates; exact nomenclature and written quantities of admixtures and water. Any item missing or incomplete on ticket may be cause for rejection of concrete.

2. Sufficient trucks will be available to support continuous placements. The Contractor will satisfy the Engineer or designated representative that adequate standby trucks are available to support monolithic concrete placement requirements.

3. A portion of mixing water required by the mix design to produce the specified slump may be withheld and added at the job site, but only with permission of the Engineer or designated representative and under the Inspector's observation. When water is added under these conditions, the concrete batch will be thoroughly mixed before any slump or strength samples are taken. Additional cement shall not be added at the job site to otherwise unacceptable mixes.

4. A metal plate(s) shall be attached in a prominent place on each truck mixer plainly showing the various uses for which it was designed. The data shall include the drum's speed of rotation for mixing and for agitating and the capacity for complete mixing and/or agitating only. A copy of the manufacturer's design, showing dimensions of blades, shall be available for inspection at the plant at all times. Accumulations of hardened concrete shall be removed to the satisfaction of the Engineer or designated representative.

5. The loading of the transit mixers shall not exceed capacity as shown on the manufacturer's plate attached to the mixer or 63 percent of the drum volume, whichever is the lesser volume. The loading of transit mixers to the extent of causing spill-out en route to delivery will not be acceptable. Consistent spillage will be cause for disqualification of a supplier.

6. Excess concrete remaining in the drum after delivery and wash water after delivery shall not be dumped on the project site unless approval of the dump location is first secured from the Engineer or designated representative.

C. Volumetric Batching

Use of volumetric batched concrete will be permitted provided the batching and continuous mixing operations conform to ASTM C 685, "Concrete Made By Volumetric Batching and Continuous Mixing". This type concrete shall be made from materials continuously batched by volume, mixed in a continuous mixer and delivered to the site in a freshly mixed and unhardened state. Tests and criteria for batching accuracy and mixing efficiency shall be as specified in ASTM C 685.

1. A ticket system will be used that includes a copy for the Inspector. The ticket will have machine stamped time/date of concrete batch, a mix design designation, weight of cement, fly ash, sand and aggregates; exact nomenclature and written quantities of admixtures and water. Any item missing or incomplete on ticket may be cause for rejection of concrete.

2. Each batching or mixing unit, or both, shall carry in a prominent place a metal plate or plates on which are plainly marked the gross volume of the unit in terms of mixed concrete, discharge speed and the weight-calibrated constant of the machine in terms of a revolution counter or other output indicator. The mixer shall produce a thoroughly mixed and uniform concrete.

3. The batcher-mixer unit shall contain in separate compartments all the necessary ingredients needed for the manufacture of concrete. The unit shall be equipped with calibrated proportioning devices to vary the mix proportions and it shall produce concrete as required by the Work and ASTM C 685.

D. Truck-mixed Concrete

The concrete shall be mixed in a truck mixer from 70 to 100 revolutions at the mixing speed designated by the manufacturer that will produce a uniform concrete mix. The concrete shall be delivered to the project in a thoroughly mixed and uniform mass and shall be discharged with a satisfactory degree of uniformity. Additional mixing at the job site, at the mixing speed designated by the manufacturer, may be allowed by the Engineer or designated representative as long as the concrete is discharged before the drum has revolved a total of 300 revolutions after the introduction of the mixing water to the cement and the aggregates.

Re-tempering or adding concrete chemical admixtures is only permitted at the job site when concrete is delivered in a truck mixer. Water shall not be added after introduction of mixing water at the batch

plant except on arrival at the job site with approval of the Engineer or designated representative, in order to adjust the slump of the concrete. When this water is added, the mix design water-cementitious-material ratio shall not be exceeded. The drum or blades shall be turned at least 30 additional revolutions at mixing speed to ensure thorough and uniform mixing of the concrete. Water or chemical admixtures shall not be added to the batch after any concrete has been discharged.

When the concrete contains silica fume, mixing times and batching operations shall be adjusted as necessary to ensure that the material is completely and uniformly dispersed in the mix. The dispersion of the silica fume within the mix shall be verified in trial batches.

E. Hand-mixed Concrete

Hand mixing of concrete may be permitted for small placements or in case of an emergency and then only on authorization of the Engineer or designated representative. Hand-mixed batches shall not exceed a 4 cubic foot (0.113 cubic meters) batch in volume. Material volume ratios shall not be leaner than 1 part cement, 2 parts large aggregate, 1 part fine aggregate and enough water to produce a consistent mix with a slump not to exceed 4 inches (100 mm). Admixtures shall not be used unless specifically approved by the Engineer or designated representative.

403S.10 - Excavation, Placing of Concrete, Finishing, Curing and Backfill

Excavation, placing of concrete, finishing, curing and backfill shall conform to Standard Specification Item No. 401S, "Structural Excavation and Backfill", Standard Specification Item No. 410S, "Concrete Structures" and Standard Specification Item No. 411S, "Surface Finishes for Concrete".

403S.11 - Measurement

Where measurement of concrete for a structure is not provided by another governing pay item, measurement shall be made under this specification in accordance with the following.

The quantities of concrete of the various classifications which constitute the completed and accepted structure or structures in place will be measured by the cubic yard (cubic meters: 1 cubic meter is equal to 1.308 cubic yards), each, square yard (square meter: 1 square yard equals 0.836 square meters) or linear foot as indicated in the Contract Documents. Measurement will be as follows:

A. General

1. Measurement based on dimensions shall be for the completed structure as measured in place. However, field-measured dimensions shall not exceed those indicated on the drawings or as may have been directed by the Engineer or designated representative in writing.
2. No deductions shall be made for chamfers less than 2 inches (50 mm) in depth, embedded portions of structural steel, reinforcing steel, nuts, bolts, conduits less than 5 inches (125 mm) in diameter, pre/post tensioning tendons, keys, water stops, weep holes and expansion joints 2 inches (50 mm) or less in width.
3. No measurement shall be made for concrete keys between adjoining beams or prestressed concrete planks.
4. No measurement shall be made for fill concrete between the ends or adjoining prestressed concrete planks/box beams at bent caps or between the ends of prestressed concrete planks/box beams and abutment end walls.
5. No measurement shall be made for inlet and junction box invert concrete.
6. No measurement shall be made for any additional concrete required above the normal slab thickness for camber or crown.

B. Plan Quantity. For those items measured for plan quantity payment, adequate calculations have been made. If no adjustment is required by Article 403S.11, additional measurements or calculations will not be required or made.

C. Measured in Place. For those items not measured for Plan Quantity payment, measurement will be made in place, subject to the requirements of Article 403S.10.A.1 above.

403S.12 - Payment

The work performed and materials furnished as prescribed by this item and measured in accordance with the applicable provisions of "Measurement" above will be paid for as follows.

The quantity to be paid for will be that quantity indicated in the contract documents and/or shown on the drawings, regardless of errors in calculations, except as may be modified by the following.

Plan Quantities will be adjusted:

A. When a complete structure element has been erroneously included or omitted from the drawings, the quantity shown on the drawings for that element will be added to or deducted from the plan quantity and included for payment. A complete structure element will be the smallest portion of a total structure for which a quantity is included on the drawings. Quantities revised in this manner will not be subject to the provisions of the "General Conditions", Article 11.

B. When the plan quantity for a complete structure element is in error by 5 percent or more, a recalculation will be made and the corrected quantity included for payment. Quantities revised in this manner will not be subject to the provisions of the "General Conditions", Article 11.

C. When quantities are revised by a change in design, the "plan quantity" will be increased or decreased by the amount involved in the design change. Quantities revised in this manner will be subject to the provisions of the "General Conditions", Article 11.

The party to the contract requesting the adjustment shall present to the other, a copy of the description and location, together with calculations of the quantity for the structure element involved. When this quantity is certified correct by the Engineer or designated representative, it will become the revised plan quantity.

Payment for increased or decreased costs due to a change in design on those items measured as "Cubic Yard", "Each", "Square Foot", "Square Yard" or "Linear Foot" will be determined by Change Order. Quantities revised in this manner will be subject to the provisions of the "General Conditions", Article 11.

The unit prices bid for the various classes of concrete shown shall include full compensation for furnishing, hauling, and mixing all concrete material; placing, finishing and curing all concrete; all grouting, pointing and finishing; furnishing and placing drains; furnishing and placing metal flashing strips; furnishing and placing expansion joint material required by this item; and for all forms and false work, labor, tools, equipment and incidentals necessary to complete the work.

Pay Item No. 403S-CY:	(Structure or Structural Component)	Per Cubic Yard.
Pay Item No. 403S-EA:	(Structure or Structural Component)	Per Each.
Pay Item No. 403S-SY:	(Structure or Structural Component)	Per Square Yard.
Pay Item No. 403S-LF:	(Structure or Structural Component)	Per Lineal Foot.

End

SPECIFIC CROSS REFERENCE MATERIALS	
Standard Specification Item 403S, "Concrete For Structures"	
City of Austin Standard Specification Items	
Designation	Description
Item No. 401S	Structural Excavation and Backfill
Item No. 410S	Concrete Structures
Item No. 411S	Surface Finishes for Concrete
Texas Department of Transportation: Departmental Material Specifications	
Designation	Description
DMS-4640	Chemical Admixtures for Concrete
American Association of State Highway & Transportation Officials, AASHTO Standard Method of Test for	
Designation	Description
Method T 26	Quality of Water to be Used in Concrete
American Concrete Institute, ACI	

Designation	Description
ACI 211.1	Standard Practice for Selecting Proportions for Normal, Heavyweight, and Mass Concrete

American Society for Testing and Materials, ASTM

Designation	Description
ASTM C 94	Specification For Ready-Mixed Concrete
ASTM C 150	Specification For Portland Cement
ASTM C 685	Concrete Made By Volumetric Batching and Continuous Mixing
ASTM C-1260	Standard Test Method for Potential Alkali Reactivity of Aggregates
ASTM D-512	Test Methods for Chloride Ion in Water
ASTM D-516	Test Methods for Sulfate Ion in Water
ASTM D-4191	Test Method for Sodium in Water by Atomic Absorption
ASTM D-4192	Test Method for Potassium Water by Atomic Absorption

Texas Department of Transportation: Manual of Testing Procedures

Designation	Description
TEX-203-F	Sand Equivalent Test
TEX-401-A	Sieve Analysis of Fine and Coarse Aggregate
TEX-406-A	Mineral Finer than 75 µm (No. 200) Sieve in Mineral Aggregates (Decantation Test for Concrete Aggregates)
TEX-408-A	Organic Impurities in Fine Aggregate for Concrete

TEX-410-A	Abrasion of Coarse Aggregate Using The Los Angeles Machine
TEX-411-A	Soundness of Aggregate by Use of Sodium Sulfate or Magnesium Sulfate
TEX-413-A	Determination of Deleterious Materials in Mineral Aggregate
TEX-415-A	Slump of Portland Cement Concrete
TEX-416-A	Air Content of Freshly-Mixed Concrete by the Pressure Method
TEX-418-A	Compressive Strength of Cylindrical Concrete Specimens
TEX-612-J	Acid Insoluble Residue

Texas Department of Transportation: Publications

Designation	Description
Bulletin C-11	Construction Bulletin

Texas Department of Transportation: Departmental Material Specifications

Designation	Description
DMS-4610	Fly Ash
DMS-4620	Ground Granulated Blast-Furnace Slag
DMS-4630	Silica Fume
DMS-4635	Metakaolin

RELATED CROSS REFERENCE MATERIALS

Standard Specification Item 403S, "Concrete For Structures"	
Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges	
Designation	Description
Item 360	Concrete Pavement
Item 420	Concrete Structures
Item 421	Hydraulic Cement Concrete
Item 427	Surface Finishes for Concrete
Item 431	Pneumatically Placed Concrete
Item 520	Weighing and Measuring Equipment
Texas Department of Transportation: Departmental Material Specifications	
Designation	Description
DMS-4650	Hydraulic Cement Concrete Curing Materials and Evaporation Retardants
DMS-6100	Epoxy and Adhesives
DMS 8900	Fly Ash

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Item No. 405S
Concrete Admixtures

405S.1 Description

This item shall govern material requirements of admixtures for Portland cement concrete.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text and accompanying tables, the inch-pound units are given preference followed by SI units shown within parentheses.

405S.2 Submittals

The submittal requirements of this specification item include:

A. Type and manufacturer of any proposed admixture.

B. Certification that proposed admixture meet the requirements of this specification, ASTM C260 and ASTM C494.

C. For a specific mix design, a statement of compatibility of products shall be submitted when admixtures from multiple manufacturers are proposed.

405S.3 Materials

All admixture submittals must be approved by the Engineer or designated representative. No admixture shall be chloride-based or have chloride(s) added in the manufacturing process. Admixtures must be pretested by the Texas Department of Transportation (TXDOT) Materials and Tests Engineer and be included in the State's current approved admixture list. All admixtures must retain an approved status through the duration of a mix design's one-year approval period.

(1) Air Entraining Admixture:

An "Air Entraining Admixture" is defined as a material which, when added to a concrete mixture in the proper quantity, will entrain uniformly dispersed microscopic air bubbles in the concrete mix. The admixture shall meet the requirements of ASTM Designation: C 260 modified as follows:

(a) The cement used in any series of test shall be either the cement proposed for the specific work or a "reference" Type I cement from one mill.

(b) The air entraining admixture used in the reference concrete shall be Neutralized Vinsol Resin.

(2) Water-reducing Admixture:

A "Water-reducing Admixture" is defined as a material which, when added to a concrete mixture in the correct quantity, will reduce the quantity of mixing water required to produce concrete of a given consistency and required strength. This admixture shall conform to ASTM C 494, Type A.

(3) Accelerating Admixture:

An "Accelerating Admixture" is defined as an admixture that accelerates the setting time and the early strength development of concrete. This admixture shall conform to ASTM C 494, Type C. The accelerating admixture will contain no chlorides.

(4) Water-reducing, Retarding Admixture:

A "Water-reducing, Retarding Admixture" is defined as a material which, when added to a concrete mixture in the correct quantity, will reduce the quantity of mixing water required to produce concrete of a given consistency and retard the initial set of the concrete. This admixture shall conform to ASTM C 494, Type D.

(5) High-range Water Reducing Admixtures:

A "High-range Water Reducing Admixture", referred to as a superplasticizer, is defined as a synthetic polymer material which, when added to a low slump concrete mixture increases the slump without adversely affecting segregation, impermeability or durability of the mix. This admixture shall conform to ASTM C 494, Type F or G.

(6) Fly Ash:

Fly ash used in Portland cement concrete as a substitute for Portland cement or as a mineral filler shall comply with TXDOT Materials Specification D-9-8900 and be listed on TXDOT's current list of approved fly ash sources. Fly ash obtained from a source using a process fueled by hazardous waste (30 Texas Administrative Code, Section 335.1) shall be prohibited. This applies to any other specification concerning the use of fly ash. Contractor shall maintain a record of source for each batch. Supplier shall certify that no hazardous waste is used in the fuel mix or raw materials.

405S.4 Certification and Product Information

The Contractor shall submit the name of the admixture proposed and manufacturer's certification that the selected admixtures meet the requirements of this item and of ASTM C 260 and C 494 as applicable. Admixtures for a mix design shall be of the same brand. If more than one admixture is proposed in the concrete mix, a statement of compatibility of components shall accompany certification. Manufacturer's product literature shall specify when in the batching/mixing operation the admixture must be added.

The Engineer or designated representative may request additional information such as infrared spectrophotometry scan, solids content, pH value, etc., for further consideration. Any unreported changes in formulation discovered by any of the tests prescribed herein may be cause to permanently bar the manufacturer from furnishing admixtures for Owner's work.

405S.5 Construction Use of Admixtures

All admixtures used shall be liquid except high-range water reducers which may be a powder. Liquid admixtures shall be agitated as needed to prevent separation or sedimentation of solids; however, air agitation of Neutralized Vinsol Resin will not be allowed.

No admixture shall be dispensed on dry aggregates. Admixtures shall be dispensed at the batching site separately, but at the same time as the mixing water. Only high range water reducers may be introduced into the mix at the job site.

When other admixtures are used with fly ash, the amount of the other admixture to be used shall be based on the amount of Portland cement only and not the amount of Portland cement and fly ash.

When high-range water reducers are to be added at the job site, transit mixers shall be used. Admixture manufacturer literature shall indicate recommended mixing methods and time for the specific equipment and mix design used. The transit mix equipment shall not be loaded in excess of 63 percent of its rated capacity to ensure proper mixing of the admixture at the site. If during discharging of concrete a change in slump in excess of 30% is noted, the remaining concrete shall be rejected unless prior approval was given by the Engineer or designated representative to retemper a load with a second charge of admixture. Retempering with water shall not be allowed.

Accelerating admixtures will not be permitted in combination with Type II cement.

All mixes with air entrainment shall have a minimum relative durability factor of 80 in accordance with ASTM C 260. Dosage of air entrainment admixtures may be adjusted by the Contractor to stay within the specified tolerances for air entrainment of Standard Specification Item No. 403S, "Concrete for Structures".

405S.6 Measurement and Payment

The requirements of this specifications shall not be measured and paid for directly, but shall be included in the unit price bid for the item of construction in which this item is used.

End

SPECIFIC CROSS REFERENCE MATERIALS
Specification Item No. 405S, "Concrete Admixtures"

City of Austin Standard Specifications

Designation	*Description*
Item No. 403S	*Concrete for Structures*

American Society for Testing and Materials, ASTM

Designation	*Description*
ASTM C260	*Air-Entraining Admixtures for Concrete*
ASTM C495	*Chemical Admixtures for Concrete*

Texas Department of Transportation: Department Material Specification

Designation	*Description*
DMS-8900	*Fly Ash*

RELATED CROSS REFERENCE MATERIALS
Specification Item No. 405S, "Concrete Admixtures"

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges

Designation	Description
Item 360	Concrete Pavement
Item 420	Concrete Structures
Item 421	Portland Cement Concrete
Item 427	Surface Finishes for Concrete
Item 431	Pneumatically Placed Concrete
Item 437	Concrete Admixtures
Item 520	Weighing and Measuring Equipment
Item 522	Portland Cement Concrete Plants
Item 524	Hydraulic Cement

Item No. 406S
Reinforcing Steel

406S.1 Description

This item shall govern furnishing and placement of reinforcing steel, deformed and smooth, of the size and quantity indicated on the drawings and in accordance with these specifications.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text and accompanying tables, the inch-pound units are given preference followed by SI units shown within parentheses.

406S.2 Submittals

The submittal requirements of this specification item may include:

A. Evidence that the steel reinforcement producer is included on the TxDoT list of approved producing mills

B. Listing of the size, grade, type and quantity of reinforcing steel proposed for the project.

C. If welding of reinforcing steel is proposed, evidence that carbon equivalent (C.E.) of the proposed steel is at least 0.55% with a report of chemical analysis showing the percentages of elements necessary to establish C.E.

D. If epoxy coated steel is proposed, evidence that the steel reinforcement producer is included on the TxDoT list of approved epoxy coating applicators

E. If epoxy coated steel is proposed, written certification that the epoxy-coated reinforcing steel meets the requirements of this Item with a copy of the manufacturer's control tests.

F. When mechanical splices are proposed, the types of couplers proposed for use.

406S.3 Materials

A. Approved Mills

Prior to furnishing reinforcing steel, the producing mills must be included on the list of approved producing mills that is maintained by the Construction Division of the State of Texas Department of Transportation

B. Deformed Bars and Wire Reinforcement

Unless indicated otherwise on the drawings, Bar reinforcement shall be Grade 60 and deformed. Reinforcing steel must conform to one of the following:

ASTM A615/ 615M, Grades 40 or 60 (300 or 420)

ASTM A996/ 996M, Type A, Grades 40 or 60 (300 or 420)

ASTM A996/996M, Type R, Grade 60 (420), permitted in concrete pavement only (furnished as straight bars only without bends. Bend tests are not required)

ASTM A706/706M

In cases where the provisions of this item are in conflict with the provisions of the ASTM Designation to which reference is made, the provisions of this item shall govern.

The nominal size, area and weight (mass) of reinforcing steel bars covered by these specifications are as follows:

Bar Size Number 1/8th ins (mm)	Nominal Diameter, inches (mm)	Nominal Area, Sq. ins. (mm2)	Weight/Linear Foot Lbs. (kg)
2 (6)	0.250 (6.6)	0.05 (32)	0.167 (.075)
3 (10)	0.375 (9.5)	0.11 (71)	0.376 (.171)
4 (13)	0.500 (12.5)	0.20 (127)	0.668 (.303)
5 (16)	0.625 (15.5)	0.31 (198)	1.043 (.473)
6 (19)	0.750 (19.0)	0.44 (285)	1.502 (.681)
7 (22)	0.875 (22.0)	0.60 (388)	2.044 (.927)
8 (25)	1.000 (25.5)	0.79 (507)	2.670 (2.211)
9 (29)	1.128 (28.5)	1.00 (641)	3.400 (1.542)
10 (32)	1.270 (32.0)	1.27 (792)	4.303 (1.952)
11 (36)	1.410 (36.0)	1.56 (958)	5.313 (2.410)
14 (43)	1.693 (43.0)	2.25 (1552)	7.65 (3.470)

18 (57)	2.257 (57.5)	4.00 (2565)	13.60 (6.169)

Smooth, round bars shall be designated by size number through a No. 4. Smooth bars above No. 4 shall be designated by diameter in inches.

C. Smooth Bar and Spiral Reinforcement

Smooth bars and dowels for concrete pavement must have a minimum yield strength of 60 ksi (414 MPa) and meet ASTM A615/615M. Smooth bars that are greater in diameter than a No. 3 (10 mm) designation shall conform to ASTM A615 or meet the physical requirements of ASTM A36.

Spiral reinforcement shall be either smooth or deformed bars or wire of the minimum size or gauge indicated on the drawings. Bars for spiral reinforcement shall comply with ASTM A615 Grade 40(300), ASTM A996, Type A, Grade 40 (300); or ASTM A675, Grade 80(550), meeting dimensional requirements of ASTM A615. Smooth wire shall comply with ASTM A82, and deformed wire shall comply with ASTM A496.

D. Weldable Reinforcing Steel

Reinforcing steel to be welded must comply with ASTM A706 or have a carbon equivalent (C.E.) of at most 0.55%. A report of chemical analysis showing the percentages of elements necessary to establish C.E. is required for reinforcing steel that does not meet ASTM A706 to be structurally welded. No tack welding will be allowed. All welding shall conform to the requirements of AWS D1.1/D1.1M.

The requirements above do not apply to the following miscellaneous welding applications:

Splicing reinforcing steel to extend bars in the bottom of a drilled shaft;

Attaching chairs to the reinforcing steel cage of a drilled shaft;

Armor joints and their supports;

Screed rail and form hanger supports where permitted on steel units;

Reinforcing steel to R-bars for lateral stability between prestressed beams, spirals, or bands of reinforcing bars in drilled shaft cages;

Permanent bridge deck forms;

Steel added in railing when slip-form construction is used; and

Other similar miscellaneous members that have no load carrying capacity in the completed structure.

E. Welded Wire Fabric

Wire shall conform to the requirements of the Standard Specifications for Cold-Drawn Steel Wire for Concrete Reinforcement, ASTM A 82 or A 496. Wire fabric, when used as reinforcement, shall conform to ASTM A 185 or A 497.

When wire is ordered by size numbers, the following relation between size number, diameter in inches and area shall apply unless otherwise indicated on the drawings:

Size, W Number 1/100 in^2 (mm2)	Nominal Diameter inch (mm)	Nominal Area, sq. inches (mm^2)
31 (200)	0.628 (16.0)	0.310 (200)
30 (194)	0.618 (15.7)	0.300 (194)
28 (181)	0.597 (15.2)	0.280 (181)
26 (168)	0.575 (14.6)	0.260 (168)
24 (155)	0.553 (14.0)	0.240 (155)
22 (142)	0.529 (13.4)	0.220 (142)
20 (129)	0.505 (12.8)	0.200 (129)
18 (116)	0.479 (12.2)	0.180 (116)
16 (103)	0.451 (11.5)	0.160 (103)
14 (90)	0.422 (10.7)	0.140 (90)
12 (77)	0.391 (9.9)	0.120 (77)
10 (65)	0.357 (9.1)	0.100 (65)
8 (52)	0.319 (8.1)	0.080 (52)
7 (45)	0.299 (7.6)	0.070 (45)
6 (39)	0.276 (7.0)	0.060 (39)
5.5 (35)	0.265 (6.7)	0.055 (35)
5 (32)	0.252 (6.4)	0.050 (32)
4.5 (29)	0.239 (6.1)	0.045 (29)

4 (26)	0.226 (5.7)	0.040 (26)
3.5 (23)	0.211 (5.4)	0.035 (23)
3 (19)	0.195 (5.0)	0.030 (19)
2.5 (16)	0.178 (4.5)	0.025 (16)
2 (13)	0.160 (4.1)	0.020 (13)
1.5 (9)	0.138 (3.5)	0.015 (9.7)
1.2 (8)	0.124 (3.1)	0.012 (7.7)
1 (6)	0.113 (2.9)	0.010 (6.5)
0.5 (3)	0.080 (2.0)	0.005 (3.2)

Where deformed wire is required, the size number shall be preceded by D and for smooth wire the prefix W shall be shown.

Welded wire fabric shall be designated as follows: 6 x 12 – W16 x W8, which indicates a 6 in. (150 mm) longitudinal wire spacing and 12-in (300 mm) transverse wire spacing with smooth No. 16 (103) wire longitudinally and smooth no. 8 (52) wire transversely.

F. Epoxy Coating

Epoxy coating shall be required as indicated on the drawings. Prior to furnishing epoxy-coated reinforcing steel, the epoxy applicator must be included on the list of approved applicators that is maintained by the Construction Division of the State of Texas Department of Transportation.

The reinforcing steel shall be epoxy coated in accordance with the following.

Epoxy Coating Requirements for Reinforcing Steel

Material	Specification
Bar	ASTM A775 or A934
Wire or Fabric	ASTM A884 Class A or B
Mechanical Coupler	As indicated on the drawings

Hardware	As indicated on the drawings

The epoxy coating material and coating repair material shall comply with TxDoT's DMS-8130, "Epoxy Powder Coating for Reinforcing Steel". The applicator shall not patch more than ¼ inch total length in any foot (20 mm total length in any meter) at the applicator's plant.

The epoxy-coated reinforcing steel shall be sampled and tested in accordance with TxDoT Test Method Tex-739-I, "Sampling and Testing Epoxy Coated Reinforcing Steel".

The identification of all reinforcing steel shall be maintained throughout the epoxy coating and fabrication and until delivery to the project site.

Written certification that the epoxy-coated reinforcing steel meets the requirements of this Item shall be provided along with a copy of the manufacturer's control tests.

G. Mechanical Couplers

When mechanical splices in reinforcing steel bars are indicated on the drawings, the following types of couplers may be used:

Sleeve-filler

Sleeve-threaded

Sleeve-swaged, or

Sleeve-wedge.

H. Chairs and Supports

Chairs and Supports shall be steel, precast mortar or concrete blocks cast in molds meeting the approval of the Engineer or designated representative of sufficient strength to position the reinforcement as indicated on the drawings when supporting the dead load of the reinforcement, the weight of the workers placing concrete and the weight of the concrete bearing on the steel. Chairs shall be plastic coated when indicated on the drawings.

Chair Types and Applicable Uses	
Structural or Architectural Elements (columns, beams, walls, slabs) exposed to weather, not subjected to sand blasting, water blasting or grinding.	Galvanized steel or steel chairs with plastic coated feet.

Structural or Architectural Elements exposed to weather and subject to sand blasting, water blasting or grinding.	Stainless steel chairs.
Structural or Architectural Elements not exposed to weather or corrosive conditions.	Uncoated steel chairs
Slabs and grade beams cast on grade.	Steel chairs with a base with 9 inch² (58 cm^2) minimum area or sufficient area to prevent the chair from sinking into fill or subgrade. Precast mortar or concrete blocks meeting the requirements of this item may be used.

406S.4 Bending

The reinforcement shall be bent cold, true to the shapes indicated on the drawings. Bending shall preferably be done in the shop. Irregularities in bending shall be cause for rejection. Improperly fabricated, damaged or broken bars shall be replaced at no additional expense to the City. Damaged or broken bars embedded in a previous concrete placement shall be repaired using a method approved by the Engineer or designated representative.

Unless otherwise indicated on the drawings, the inside diameter of bar bends, in terms of the nominal bar diameter (d), shall be as follows:

Bends of 90 degrees and greater in stirrups, ties and other secondary bars that enclose another bar in the bend.

Bar Number in 1/8th inches (mm)	Diameter
3, 4, 5 (10, 13, 16)	4d
6, 7, 8	6d

All bends in main bars and in secondary bars not covered above.

Bar Number in 1/8th inches (mm)	Diameter
3 thru 8 (10 thru 25)	6d
9, 10, 11 (29, 32, 36)	8d
14, 18 (43, 57)	10d

406S.5 Tolerances

Fabricating tolerances for bars shall not be greater than shown on Standard (Detail) 406S-1.

406S.6 Storing

Steel reinforcement shall be stored above the surface of the ground upon platforms, skids or other supports and shall be protected as far as practicable from mechanical injury and surface deterioration caused by exposure to conditions producing rust. When placed in the work, reinforcement shall be free from dirt, paint, grease, oil or other foreign materials. Reinforcement shall be free from injurious defects such as cracks and laminations. Rust, surface seams, surface irregularities or mill scale will not be cause for rejection, provided the minimum dimensions, cross sectional area and tensile properties of a hand wire brushed specimen meets the physical requirements for the size and grade of steel indicated on the drawings.

406S.7 Splices

Splicing of bars, except when indicated on the drawings or specified herein, will not be permitted without written approval of the Engineer or designated representative. No substitution of bars will be allowed without the approval of the Engineer or designated representative. Any splicing of substituted bars shall conform to the requirements in the Table below.

Splices not indicated on the drawings will be permitted in slabs not more than 15 inches (380 mm) in thickness, columns, walls and parapets.

Splices will not be permitted in bars 30 feet (9.1 meters) or less in plan length unless otherwise approved. For bars exceeding 30 feet (9.1 meters) in plan length, the distance center to center of splices shall not be less than 30 feet (9.1 meters) minus 1 splice length, with no more than 1 individual bar length less than 10 feet (3 meters). Splices not indicated on the drawings, but permitted hereby, shall conform to the Table

below. The specified concrete cover shall be maintained at such splices and the bars placed in contact and securely tied together.

Minimum Lap Requirements		
Bar Number in 1/8th inches (mm)	Uncoated Lap Length	Coated Lap Length
3 (10)	1 foot 4 inches (0.4 meters)	2 foot 0 inches (0.610 meters)
4 (13)	1 foot 9 inches (0.533 meters)	2 foot 8 inches (0.813 meters)
5 (16)	2 foot 2 inches (0.660 meters)	3 feet 3 inches (0.991meters)
6 (19)	2 foot 7 inches (0.787 meters)	3 feet 11 inches (1.194 meters)
7 (22)	3 feet 5 inches (1.041 meters)	5 feet 2 inches (1.575 meters)
No. 8 (25)	4 feet 6 inches (1.372 meters)	6 feet 9 inches (2.057 meters)
No. 9 (29)	5 feet 8 inches (1.727 meters)	8 feet 6 inches (2.591 meters)
No. 10 (32)	7 feet 3 inches (2.210 meters)	10 feet 11 inches (3.327 meters)
No. 11 (36)	8 feet 11 inches (2.718 meters)	13 feet 5 inches (4.089 meters)

Spiral steel shall be lapped a minimum of 1 turn. Bar No. 14 and No. 18 may not be lapped.

Welded wire fabric shall be spliced using a lap length that includes an overlap of at least 2 cross wires plus 2 inches (50 mm) on each sheet or roll.

Splices using bars that develop equivalent strength and are lapped in accordance with the table above are permitted.

Welding of reinforcing bars may be used only where indicated on the drawings or as permitted herein. All welding operations, processes, equipment, materials, quality of work and inspection shall conform to the requirements indicated on the drawings. All

splices shall be of such dimension and character as to develop the full strength of the bar being spliced.

End preparation for butt-welding reinforcing bars shall be done in the field, except Bar No. 6 and larger shall be done in the shop. Delivered bars shall be of sufficient length to permit this practice.

For box culvert extensions with less than 1 foot (0.3 meters) of fill, the existing longitudinal bars shall have a lap with the new bars as shown in the table above. For box culvert extensions with more than 1 foot (0.3 meters) of fill, a minimum lap of 12 inches (300 mm) will be required.

Unless otherwise indicated on the drawings, dowel bars transferring tensile stresses shall have a minimum embedment equal to the minimum lap requirements shown in the table above. Shear transfer dowels shall have a minimum embedment of 12 inches (300 mm).

406S.8 Placement

Reinforcement shall be placed as near as possible in the position indicated on the drawings. Unless otherwise indicated on the drawings, dimensions shown for reinforcement are to the centers of the bars. In the plane of the steel parallel to the nearest surface of concrete, bars shall not vary from plan placement by more than 1/12 of the spacing between bars. In the plane of the steel perpendicular to the nearest surface of concrete, bars shall not vary from plan placement by more than 1/4 inch (6 mm). Cover of concrete to the nearest surface of steel shall be as follows:

	Minimum Cover, Inches (mm)
(a) Concrete cast against and permanently exposed to earth	3 (76 mm)
(b) Concrete exposed to earth or weather:	
Bar No. 6 (19) through No. 18 bars (57)	2 (51 mm)
Bar No. 5 (16), W31 (W200) or D31 (D200) wire and smaller	1 ½ (38 mm)
(c) Concrete not exposed to weather or in contact with ground:	

Slabs, walls, joists:	
Bar No. 14 (43) and 18 (57)	1 ½ (38mm)
Bar No. 11 (36) and smaller	1 (25 mm)
Beams, columns:	
Primary reinforcement, ties, stirrups, spirals	1 ½ (38 mm)
Shells, folded plate members:	
Bar No. 6 (19) and larger	1 (25 mm)
Bar No. 5 (16), W31 (W200) or D31 (D200) wire, and smaller	1 (25 mm)

Vertical stirrups shall always pass around the main tension members and be attached securely thereto.

The reinforcing steel shall be located accurately in the forms and held firmly in place before and during concrete placement by means of bar supports that are adequate in strength and number to prevent displacement and to keep the steel at the required distance from the form surface. Bars shall be supported by means of approved galvanized metal spacers, metal spacers with plastic coated tips, stainless steel spacers, plastic spacers or approved precast mortar or concrete blocks when supports are in contact with removable or stay-in-place forms. Bright basic bar supports shall be used to support reinforcing steel placed in slab overlays on concrete panels or on existing concrete slabs. Bar supports in contact with soil or subgrade shall be approved.

For bar supports with plastic tips, the plastic protection must be at least 3/32 in. (2.4 mm) thick and extend upward on the wire to a point at least ½ in. (12.5 mm) above the formwork.

For approval of plastic spacers on a project, representative samples of the plastic shall show no visible indications of deterioration after immersion in a 5 percent solution of sodium hydroxide for 120 hours.

All accessories such as tie wires, bar chairs, supports, or clips used with epoxy-coated reinforcement shall be of steel, fully coated with epoxy or plastic. When approved by the Engineer or designated representative, plastic supports may also be used with epoxy-coated reinforcement.

All reinforcing steel shall be tied at all intersections, except that where spacing is less than 1 foot (300 mm) in each direction, alternate intersections only need be tied. For reinforcing steel cages for other structural members, the steel shall be tied at enough intersections to provide a rigid cage of steel. Mats of wire fabric shall overlap each other 1 full space as a minimum to maintain a uniform strength and shall be tied at the ends and edges.

Where prefabricated deformed wire mats are specified or if the Contractor requests, welded wire fabric may be substituted for a comparable area of steel reinforcing bar plan, subject to the approval of the Engineer or designated representative.

Mortar or concrete blocks shall be cast to uniform dimensions with adequate bearing area. A suitable tie wire shall be provided in each block, to be used for anchoring to the steel. Except in unusual cases and when specifically authorized by the Engineer, the size of the surface to be placed adjacent to the forms shall not exceed 2 1/2 inches (63.5 mm) square or the equivalent thereof in cases where circular or rectangular areas are provided. Blocks shall be cast accurately to the thickness required and the surface to be placed adjacent to the forms shall be a true plane, free of surface imperfections. The blocks shall be cured by covering them with wet burlap or mats for a period of 72 hours. Mortar for blocks should contain approximately 1 part hydraulic cement to three parts sand. Concrete for blocks should contain 850 pounds of hydraulic cement per cubic yard (500 kilograms per cubic meter) of concrete

Individual bar supports shall be placed in rows at 4-ft (1.22 meters) maximum spacing in each direction. Continuous type bar supports shall be placed at 4-ft (1.22 meters) maximum spacing. Continuous bar supports shall be used with permanent metal deck forms.

The exposure of the ends of longitudinals, stirrups and spacers used to position the reinforcement in concrete pipe and in precast box culverts or storm drains is not a cause for rejection.

Reinforcing steel for bridge slabs, top slabs of direct traffic culverts, and top slabs of prestressed box beams at all intersections, except tie only alternate intersections where spacing is less than 1 ft. (300 mm) in each direction.

For steel reinforcing cages for other structural members, reinforcement shall be supported and tied in such a manner that a sufficiently rigid cage of steel is provided. Fasten mats of wire fabric securely at the ends and edges. If the cage is not adequately supported to resist settlement or floating upward of the steel, overturning of truss bars or movement in any direction during concrete placement, permission to continue concrete placement will be withheld until corrective measures are taken. Sufficient measurements shall be made during concrete placement to insure compliance with the above.

No concrete shall be deposited until the Engineer or designated representative has reviewed the placement of the reinforcing steel and all mortar, mud, dirt, etc, shall be cleaned from the reinforcement, forms, workers' boots and tools. Do not place concrete until authorized by the Engineer or designated representative

406S.9 Handling, Placement and Repair of Epoxy-coated Reinforcement Steel

A. Handling

Systems for handling coated-reinforcement with padded contact areas shall be provided. Handling bands shall be padded to prevent damage to the coating. Bundles of coated reinforcement shall be lifted with a strongback, spreader bar, multiple supports or a platform bridge. The bundled reinforcement shall be carefully transported and stored on protective cribbing. The coated reinforcement should not be dropped or drug during handling.

B. Construction Methods

Coated reinforcement shall not be flame-cut but shall be sawn or shear-cut only when approved. Cut ends shall be coated as specified in Section C, “Repair of Coating”.

Coated reinforcement steel shall not be welded or mechanically coupled except where specifically indicated on the drawings. When welding or coupling is indicated on the drawing, the epoxy coating shall be removed at least 6 in. (150 mm) beyond the weld limits before welding and 2 in. (50 mm) beyond the limits of the mechanical coupler before assembly. After the welding or coupling operation is completed the steel shall be cleaned of oil, grease, moisture, dirt, welding contamination (slag or acid residue) and rust to a near-white finish. The existing epoxy coating shall be examined for damage and any damaged or loose epoxy shall be removed to expose sound epoxy coating.

After cleaning the coated-steel, the splice area shall be coated with epoxy repair material to a thickness of 7 to 17 mils (0.18 to 0.43 mm) after curing. A second application of the repair material shall be applied to the bar and coupler interface to ensure complete sealing of the joint.

C. Repair of Coating

The material used for coating repair shall comply with the requirements of this Item and ASTM D3963/D3963M, “Specification for Fabrication and Jobsite Handling of Epoxy-coated Reinforcing Steel Bars”. Repairs shall be made in accordance with procedures recommended by the manufacturer of the epoxy coating powder. For areas to be patched, a minimum coating thickness as required for the original coating shall be applied. All visible damage to the coating shall be repaired.

Sawed and sheared ends, cuts, breaks and other damage shall be promptly repaired before additional oxidation occurs. The areas to be repaired shall be cleaned to ensure that they free from surface contaminants. Repairs shall be made in the shop or in the field as required.

406S.10 Measurement

The measurement of quantities of reinforcement furnished and placed will be based on the calculated weight of the steel actually placed as indicated on the drawings, with no allowance made for added bar lengths for splices requested by the Contractor nor for

extra steel used when bars larger than those indicated on the drawings are used or for a higher grade of steel that is substituted with the permission of the Engineer or designated representative. Tie wires and supporting devices will not be included in the calculated weights. The calculated weight of bar reinforcement will be determined using the theoretical bar weight set forth in this item.

Measurement required by a change in design will be computed as described above for the actual steel required to complete the work.

406S.11 Payment

Reinforcing steel will generally not be paid for directly, but shall be included in the unit price bid for the items of construction in which the reinforcing steel is used.

When specified in the contract bid form as a separate pay item, this item shall be paid for at the contract unit price bid per pound of "Reinforcing Steel". The unit bid price shall include full compensation for all work specified herein including furnishing, bending, fabricating, welding and placing reinforcement, for all clips, blocks, metal spacers, ties, chairs, wire or other materials used for fastening reinforcement in place and for all tools, labor, equipment and incidentals necessary to complete the work.

Payment, when included as a contract pay item, will be made under:

Pay Item No. 406S-RC:	Reinforcing Steel -	Per Pound.
Pay Item No. 406S-ERC:	Epoxy-Coated Reinforcing Steel -	Per Pound.

END

SPECIFIC CROSS REFERENCE MATERIALS
Standard Specification Item 406S, "Reinforcing Steel"

American Society for Testing and Materials, ASTM

Designation	Description
ASTM A 36/A 36M	Carbon Structural Steel
ASTM A 82	Steel Wire, Plain, for Concrete Reinforcement
ASTM A 185	Steel Welded Wire Fabric, Plain, for Concrete Reinforcement
ASTM A 496	Steel Wire, Deformed, for Concrete Reinforcement
ASTM A 497	Steel Welded Wire Fabric, Deformed, for Concrete Reinforcement
ASTM A 615/A 615M	Deformed and Plain Billet-steel Bars for Concrete Reinforcement
ASTM A 675/A 675M	Steel Bars, Carbon, Hot-Wrought, Special Quality, Mechanical Properties
ASTM A 706/A 706M	Low- Alloy Steel Deformed and Plain Bars for Concrete Reinforcement
ASTM A 775/A 775M	Epoxy-Coated Reinforcing Steel Bars
ASTM A 884/A 884M	Epoxy-Coated Steel Wire and Welded Wire Fabric For Reinforcement
ASTM A 934/A 934M	Epoxy-Coated Prefabricated Reinforcing Steel Bars
ASTM A 996/A 996M	Rail-Steel and Axle-Steel Deformed Bars for Concrete Reinforcement
ASTM D3963/D3963M	Fabrication and Jobsite Handling of Epoxy-coated Reinforcing Steel Bars

Texas Department of Transportation: Manual of Testing Procedures

Designation	Description
Tex-739-I	Sampling and Testing Epoxy Coated Reinforcing Steel

City of Austin Standard (Details)

Designation	Description
Standard 406S-1	Reinforced Steel Tolerances

Texas Department of Transportation: Departmental Material Specifications

Designation	Description
DMS 8130	Epoxy Powder Coating for Reinforcing Steel

American Welding Society

Designation	Description
AWS D1.1/D1.1M	Structural Welding Code

RELATED CROSS REFERENCE MATERIALS
Standard Specification Item 406S, "Reinforcing Steel"

City of Austin Standard Specification Items

Designation	Description
Item No. 360	Concrete Pavement
Item No. 403S	Concrete for Structures
Item No. 410S	Concrete Structures
Item No. 414S	Concrete Retaining Walls

Item No. 420S	Drilled Shaft Foundations
Item No. 830S	Traffic Signal Controller Foundation
Item No. 831S	Traffic Signal Drilled Shaft Foundation

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges

Designation	Description
Item No. 360	Concrete Pavement
Item No. 420	Concrete Structures
Item No. 421	Hydraulic Cement Concrete
Item No. 422	Reinforced Concrete Slab
Item No. 423	Retaining Walls
Item No. 440	Reinforcing Steel

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Item No. 408S
Concrete Joint Materials

408S.1 Description

This item shall govern the furnishing and placing of all longitudinal, transverse contraction and expansion joint material in concrete work as herein specified in the various items of these specifications as indicated or as directed by the Engineer or designated representative.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text and accompanying tables, the inch-pound units are given preference followed by SI units shown within parentheses.

408S.2 Submittals

The submittal requirements of this specification item include:

A. Type and manufacturer of all joint materials proposed for use.

B. Technical data indicating that proposed products meet the requirements specified herein.

408S.3 Materials

(1) Preformed Asphalt Board

Preformed asphalt board formed from cane or other suitable fibers of a cellular nature securely bound together and uniformly impregnated with a suitable asphaltic binder and meeting the requirements of the Standard Specifications for Preformed Expansion Joint Filler for Concrete (Bituminous Type), ASTM D 994.

(2) Preformed Nonbituminous Fiber Material

Preformed nonbituminous fiber material shall meet the requirements of the Standard Specifications for the Preformed Expansion Joint Filler for Concrete Paving and Structural Construction, ASTM D 1751, except that the requirements pertaining to bitumen content, density and water absorption shall be voided.

(3) Boards

Boards obtained from Redwood timber, of sound heartwood, free from sapwood, knots, clustered birdseye, checks and splits. Occasional sound or hollow birdseye, when not in clusters, will be permitted provided the board is free from any other defects that will impair its usefulness as a joint filler.

(4) Joint Sealer (Concrete Pavement)

This material shall be a one part low modulus silicone especially designed to cure at ambient temperatures by reacting with moisture in the air and shall have the following properties:

As Supplied	
Color	Gray
Flow, MIL-2-8802D Sec. 4.8.4	0.2 maximum

Working Time, minutes	10
Tack-Free Time at 77°F ± 2F (25°C ± -1.66°C) Min. MIL-2-8802D Sec.4.8.7	60
Cure time, at 77°F (25°C), days	7-14
Full Adhesion, days	14-21
As Cured---after 7 days at 77°F (25°C) and 40% RH	
Elongation, percent minimum	1200
Durometer Hardness, Shore A, points ASTM 2240	15
Joint Movement Capability, percent	+100/-50
Tensile Strength, maximum elongation,psi (kPa)	100 (689)
Peel Strength, psi (kPa)	25 (172)

The joint sealer shall adhere to the sides of the concrete joint or crack and shall be an effective seal against infiltration of water and incompressibles. The material shall not crack or break when exposed to low temperature.

(5) Backer Rod

Backer Rod shall be expanded closed cell polyethylene foam compatible with sealant. No bond or reaction shall occur between rod and sealant. Backer Rod shall be of sufficient width to be in compression after placement and shall be used with joint sealer.

(6) Joint Sealing Material

Joint Sealing Material for other than pavement use may be a two-component, synthetic polymer or cold-pourable, self leveling type meeting the following requirements:

The material shall adhere to the sides of the concrete joint or crack and shall form an effective seal against infiltration of water and incompressibles. The material shall not crack or break when exposed to low temperatures. Curing is to be by polymerization and not by evaporation of solvent or fluxing of harder particles. It shall cure sufficiently at an average temperature of 77°F± 3°F (25°C ± -1.66°C) so as not to pick up under wheels of traffic in a maximum of 3 hours.

Performance Requirements:

When tested in accordance with Test Method Tex-525-C, the joint sealing material shall meet the above curing times and the requirements as follows:

It shall be of such consistency that it can be mixed and poured or mixed and extruded into joints at temperatures above 60°F (1.66°C).

Penetration 77°F (25°C), 150 gm. Cone, 5 sec., max.-cm	0.90
Bond and Extension 75%, 0F, 5 cycles:	
Dry Concrete Blocks	Pass
Wet Concrete Blocks	Pass
Steel Blocks (Primed if specified by manufacturer)	Pass
Flow at 200 °F (93°C)	None
Water content % by weight, max.	5.0

Resilience:	
Original sample min. % (cured)	50
Oven-aged at 158°F (70°C) min. %	50
For Class 1-a material only, Cold Flow (10 minute)	None

(7) Rebonded Recycled Tire Rubber

This material consists of granular particles of rubber, made by grinding automobile and truck tires, securely bound together by a synthetic resin or plastic binder. The filler must be molded into sheets of the required dimensions, which meet the testing requirements of both ASTM D 1751 and ASTM D 1752, except that the requirements for asphalt content and expansion are waived. The density of the material must be at least 30 lb/ft ^{3} (440kg/m^3).

408S.4 Construction Methods

The Contractor shall install "Concrete Joint Materials" which will function as a compatible system. Joint sealer shall not be placed where a bond braker is present.

Asphalt, Redwood board or other materials used shall extend the full depth of the concrete and shall be perpendicular to the exposed face. All joints shall be shaped to conform to the contour of the finished section in which they are installed. All material shall be a minimum of 1/2 inch (12.5 mm) thick or as indicated. Wood materials shall be anchored to the adjacent concrete to permanently hold them in place. Joint sealer shall be installed in accordance with the manufacturer's recommendations.

The material used for side walk expansion joints shall conform to No. 3 above, unless otherwise indicated.

The material used for curb and gutter expansion joints filler shall conform to any of the above, except when placed adjacent to concrete pavement, the joint material shall match the pavement joint material.

408S.5 Measurement and Payment

No additional compensation will be made for materials, equipment or labor required by this item, but shall be included in the unit price bid for the item of construction in which this item is used.

End

SPECIFIC Cross Reference Materials
Standard Specification Item No. 408S, " Concrete Joint Materials"

American Society for Testing and Materials (ASTM)

Designation	Description
D 994	Specification for Preformed Expansion Joint Filler for Concrete

	(Bituminous Type)
D 1751	Specification for Preformed Expansion Joint Filler for Concrete Paving and Structural Construction (Nonextruding and Resilient Bituminous Types)
D 1752	Specification for Preformed Sponge Rubber and Cork Expansion Joint Fillers for Concrete Paving and Structural Construction
D 2240	Standard Test Method for Rubber Property-Durameter Hardness

Texas Department of Transportation: Manual of Testing Procedures

Designation	Description
Tex-525-C	Tests for Asphalt and Concrete Joint Sealers

Item No. 409S
Membrane Curing

409S.1 Description

This item shall govern curing concrete pavement, concrete base, pavement, curbs, gutters, retards, sidewalks, driveways, medians, islands, concrete riprap, cement stabilized riprap, concrete structures and other concrete as indicated by applying an impervious liquid membrane forming material.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text and accompanying tables, the inch-pound units are given preference followed by SI units shown within parentheses.

409S.2 Submittals

The submittal requirements of this specification item include:

A. Type and manufacturer for all membrane curing materials proposed.

B. Proposed curing procedures.

409S.3 Material

The liquid forming membrane curing compound shall comply with the "Standard Specification for Liquid Membrane-forming Compounds for Curing Concrete", ASTM C 309, Type 1-D clear or translucent, with fugitive dye or Type 2 white pigmented. The material shall have a minimum flash point of 80°F (26.7°C) when tested by the "Pensky-Martin Closed Cup Tester", ASTM D 93.

It shall be of such consistency that it can be satisfactorily applied as a fine mist through an atomizing nozzle by means of approved pressure spraying equipment at atmospheric temperatures above 40° F (4.4°C).

It shall be of such nature that it will not produce permanent discoloration of concrete surfaces nor react deleteriously with the concrete or its components. Type 1 compound shall contain a fugitive dye that will be distinctly visible not less than 4 hours nor more than 7 days after application.

Type 2 compound shall not settle out excessively or cake in the container and shall be capable of being mixed to a uniform consistency by moderate stirring and shall exhibit a daylight reflectance of not less than 60 percent of that of magnesium oxide when tested as indicated.

The compound shall produce a firm, continuous, uniform moisture impermeable film, free from pinholes and shall adhere satisfactorily to the surfaces of damp concrete. When applied to the damp concrete surface at the rate of coverage indicated, the compound shall dry to the touch in not more than 4 hours and shall not be tacky or track off concrete after 12 hours.

It shall adhere to horizontal and vertical surfaces in a tenacious film and shall not run off or show an appreciable sag, disintegrate, check, peel or crack during the required curing period.

Under traffic, the compound shall not pick up or peel and shall gradually disintegrate from the surface.

The compound shall be delivered to the job only in the manufacturer's original containers, which shall be clearly labeled with the manufacturer's name, the trade name of the material and a batch number or symbol with which test samples may be correlated.

The water retention test shall be in accordance with the following:

Percentage loss shall be defined as the water lost after the application of the curing material was applied. The permissible percentage moisture loss (at the rate of coverage specified herein) shall not exceed the following:

24 hours after application	2 percent
72 hours after application	4 percent

409S.4 Construction Methods

The membrane curing compound shall be applied after the surface finishing has been completed and immediately after the free surface moisture has disappeared. The surface shall be sealed with a single uniform coating of the specified type of curing compound applied at the rate of coverage recommended by the manufacturer and directed by the Engineer or designated representative, but not less than 1 gallon per 180 square feet (3.8 liters per 16.7 square meters) of area. The Contractor shall provide satisfactory means and facilities to properly control and check the rate of application of the compound.

The compounds shall not be applied before the surface has become dry, but shall be applied just after free moisture has disappeared.

The compound shall be thoroughly agitated during its use and shall be applied by means of approved mechanical power pressure sprayers for street and bridge applications. The sprayers used to apply the membrane to concrete exposed surfaces shall travel at a uniform speed along the forms and be mechanically driven. The equipment shall be of such design that it will insure uniform and even application of the membrane material. The sprayers shall be equipped with satisfactory atomizing nozzles. On small miscellaneous items or on interim bridge deck curing will the Contractor be permitted to use hand-powered spray equipment. For all spraying equipment, the Contractor shall provide facilities to prevent the loss of the compound between the nozzle and the concrete surface during the spraying operations.

At locations where the coating shows discontinuities, pinholes or other defects or if rain falls on the newly coated surface before the film has dried sufficiently to resist damage, an additional coat of the compound shall be applied immediately at the same rate of coverage specified herein.

To insure proper coverage, the Engineer or designated representative shall inspect all treated areas after application of the compound for the period of time designated in the specification for curing, either for membrane curing or for other methods. Dry areas are identifiable because of the lighter color of dry concrete as compared to damp concrete. All suspected areas shall be tested by placing a few drops of water on the suspected areas. If the water stands in rounded beads or small pools which can be blown along the surface of the concrete without wetting the surface, the water impervious film is present. If the water wets the surface of the concrete as determined by obvious darkening of the surface or by visible soaking into the surface, no water-impervious film is present. Should the foregoing test indicate that any area during the curing period is not protected by the required water-impervious film an additional coat or coats of the compound shall be applied immediately and the rate of application of the membrane compound shall be increased until all areas are uniformly covered by the required water-impervious film.

The compounds shall not be applied to a dry surface and if the surface of the concrete has become dry, it shall be thoroughly moistened prior to the application of the membrane by fogging or mist application. Sprinkling or coarse spraying will not be allowed.

When temperatures are such as to warrant protection against freezing, curing by this method shall be supplemented with an approved insulating material capable of protecting the concrete for the specified curing period.

If at any time there is reason to believe that this method of curing is unsatisfactory or is detrimental to the work, the Contractor, when notified, shall immediately cease the use of this method and shall change to curing by one of the other methods specified under this contract.

Curing compounds shall be compatible with the adhesion of toppings or overlays where curing has been applied to the concrete base surface in order to assure adequate bond.

When forms are stripped before the 4 minimum curing days have passed, curing shall continue by an approved method.

409S.5 Measurement and Payment

Membrane curing will not be measured for payment. The work and materials prescribed herein will not be paid for directly, but shall be included in the unit price bid for the item of construction in which these materials are used.

End

SPECIFIC CROSS REFERENCE MATERIALS
Specification Item No. 409S, “Membrane Curing”

American Society for Testing and Materials (ASTM)

Designation	Description
C 309	Liquid Membrane-forming Compounds for Curing Concrete
D 93	Pensky-Martin Closed Cup Tester

RELATED CROSS REFERENCE MATERIALS
Specification Item No. 409S, “Membrane Curing”

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges

Designation	Description
Item 360	Concrete Pavement
Item 420	Concrete Structures
Item 421	Portland Cement Concrete
Item 427	Surface Finishes for Concrete
Item 431	Pneumatically Placed Concrete
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Item 522	Portland Cement Concrete Plants
Item 524	Hydraulic Cement

Item No. 410S
Concrete Structures

410S.1 Description

This item shall govern the construction of all types of structures involving the use of structural concrete, except where the requirements are waived or revised by other governing specifications.

All concrete structures shall be constructed in accordance with the design requirements and details indicated on the drawings, in conformity with the pertinent provisions of the items contracted for, the incidental items referred to and in conformity with the requirements herein.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text and accompanying tables, the inch-pound units are given preference followed by SI units shown within parentheses.

410S.2 Submittals

The submittal requirements of this specification item may include:

Appropriate mix designs for class of concrete for each type of structure or unit;

Appropriate mortar and grout mix designs;

Product name, description, technical information and supplier of any acrylic-polymer latex admixture;

Type, supplier and certified test results for expansion joint materials;

Type of waterstop and confirmation that the product conforms to TxDoT DMS-6160;

Type and manufacturer of proposed evaporation retardant and confirmation that it meets the requirements of test results for TxDoT DMS-4650;

Type and manufacturer of proposed chemical admixtures and confirmation that it meets the requirements of test results for TxDoT DMS-4640;

Type and manufacturer of proposed curing admixtures and confirmation that it meets the requirements of test results for TxDoT DMS-4640;

Type and manufacturer of proposed chemical admixtures and confirmation that it meets the requirements of test results for TxDoT DMS-4640;

Type and manufacturer of proposed epoxy and/or adhesives and confirmation that it meets the requirements of test results for TxDoT DMS-6100;

Reinforcing steel shall conform to Standard Specification Item No. 406S, “Reinforcing Steel”.

Contractors formwork plan for placing and consolidating concrete around wall penetrations and at locations designated as having congested reinforcing steel.

410S.3 Materials

A. Concrete

Concrete shall conform to Item No. 403S, "Concrete for Structures".

The class of concrete for each type of structure or unit shall be as indicated on the drawings or by pertinent governing specifications.

B. Grout or Mortar

When required or shown on the drawings, mortar and grout consisting of 1 part hydraulic cement and 2 parts sand with sufficient water to provide the desired consistency shall be provided. Mortar shall be provided with a consistency that can be handled easily and spread by a trowel. Grout shall be provided with a consistency that will flow into and completely fill all voids.

C. Latex

When required an acrylic-polymer latex admixture (acrylic resin emulsion in accordance with TxDoT DMS-4640, "Chemical Admixtures for Concrete") suitable for producing polymer-modified concrete or mortar shall be provided. The latex shall not be allowed to freeze.

The following information shall be submitted for latex:

Name and information of company contact personnel,

Product name and polymer description, and

The latex shall meet the following requirements.

Table 1: LATEX ADDITIVE REQUIREMENTS

Property	Value
Total Solids, minimum, percent	47
PH	9.0 to 11.0
Brookfield viscosity (# 1 spindle @ 10 rpm), mPas, maximum	60
Butadiene Content, percent	30 to 40
Freeze-thaw stability, 2 cycles. maximum	0.1

Specification targets and production tolerances shall also be provided for the following properties.

1. viscosity (including test method and temperature reference),
2. percent solids,
3. pH,
4. specific gravity, and
5. styrene/butadiene ratio.

D. Reinforcing Steel

Reinforcing steel shall conform to Standard Specification Item No. 406S, "Reinforcing Steel".

E. Expansion Joint Material

The expansion joint material shall conform to the requirements of TxDoT DMS-6310, "Joint Materials and Fillers".

1. Preformed Fiber Sheets

Unless otherwise indicated on the drawings preformed bituminous fiber material shall be provided. The preformed fiber material shall conform to the dimensions indicated on the drawings. Preformed fiber sheets shall meet the requirements of ASTM D-1751, “Preformed Expansion Joint Filler for Concrete Paving and Structural Construction (Nonextruding and Resilient Bituminous Types). The requirements related to bitumen content, density and water absorption shall not apply to nonbituminous materials.

2. Joint Sealing Material

Unless otherwise indicated on the drawings a Class 4, 5 or 7 low-modulus silicone sealant shall be provided that conforms to the requirements of TxDoT DMS-6310, “Joint Sealants and Fillers”.

3. Timber Boards

Timber boards shall be made from redwood or cypress and must be free from sapwood, knots, clustered bird’s eye, checks and splits. When oven dried at 230°F (110°C) to a constant weight (mass), the density of the board shall be between 20 and 35 lbs. Per cubic foot (between 320 and 560 kgs per cubic meter)

4. Asphalt Board

Asphalt Board shall conform to the dimensions indicated on the drawings and shall meet the description, general requirements and distortion testing of ASTM D-994, “Preformed Expansion Joint Filler for Concrete (bituminous Type)”.

5. Rebonded Neoprene Filler Sheet

Rebonded neoprene filler shall consist of ground closed cell neoprene particles, rebonded and molded into sheets of uniform thickness of the dimensions indicated on the drawings. These sheets shall meet the requirements of ASTM D-1752, Type I.

The manufacturer shall furnish the Engineer or designated representative with certified test results as to the compliance with the above requirements.

F. Waterstop

Unless otherwise indicated on the drawings, rubber waterstops or Polyvinyl Chloride (PVC) waterstops that conform to TxDoT DMS-6160, “Waterstops, Nylon Reinforced Neoprene Sheet, and Elastomeric Pads” shall be provided.

G. Evaporation Retardants

Evaporation retardants shall conform to the requirements of TxDoT DMS-4650, “Hydraulic Cement Concrete Curing Materials and Evaporation Retardants”. The evaporation retardant must be a commercially available monomolecular film compound. The evaporation retardant shall have no adverse effect on the cement hydration process or the concrete and shall reduce surface moisture evaporation from the concrete when performing concrete operations in direct sun, wind, high temperatures, or low relative humidity. The producer of the evaporation retardant shall certify that it meets these specified requirements.

H. Curing Materials

1. Liquid Membrane Forming compounds

Liquid Membrane Forming compounds shall conform to the requirements of TxDoT DMS-4650, “Hydraulic Cement Concrete Curing Materials and Evaporation Retardants”. The compound shall be applied to damp concrete as a fine mist through atomizing nozzles at a wet film thickness of 8 to 9 mils (200 to 230 µm). The liquid membrane-forming compound must not react deleteriously with concrete or its components. It must produce a firm, continuous, uniform moisture-impermeable film that is free of pinholes, cracks, or other film defects. It must also exhibit satisfactory adhesion.

The consistency must be such that the compound can be applied satisfactorily by conventional or airless spray at atmospheric and material temperatures above 40°F (5°C) without thinning. When applied at the manufacturer's recommended thickness, not less than 8 mils (200 µm) wet, to vertical surfaces of damp concrete, the compound must not run off or appreciably sag. The liquid membrane-forming compound must not disintegrate, check, peel, or crack during the required curing period. It must not peel or pick up under traffic, and must disappear from the surface of the cured concrete by gradual disintegration.

2. Cotton Mats

Cotton mats shall consist of a filling material of cotton “bat” or “bats” [at least 12 oz. Per square yard (400 grams per square meter)] completely covered with unsized cloth [at least 6 oz. Per square yard (200 grams per square meter)] stitched longitudinally with continuous parallel rows of stitching spaced at less than 4 in. (100 mm), or tuft both longitudinally and transversely at intervals less than 3 in. (75 mm).

The cotton mats shall be free from tears and in good general condition. A flap at least 6 in. (150 mm) wide with two (2) thicknesses of the covering that extends along one side of the mat shall be provided.

3. Polyethylene Sheeting

The polyethylene sheeting shall be at least 4 mils thick (0.1 mm) and free from visible defects. Clear or opaque white sheeting shall be provided when the ambient temperature during curing exceeds 60°F (15°C) or when applicable to control temperature during mass pours.

4. Burlap-Polyethylene Mats

The burlap-polyethylene mats shall be made from burlap impregnated on 1 side with a film of opaque white-pigmented polyethylene, free from visible defects. The laminated mats shall have at least 1 layer of an impervious material such as polyethylene, vinyl plastic, or other acceptable material (either as a solid sheet or impregnated into another fabric) and shall be free of visible defects.

I. Chemical Admixtures

Chemical admixtures including water reducing, placticizers and air entrainment shall conform to TxDoT DMS-4640, “Chemical Admixtures for Concrete” Calcium chloride shall not be used. Admixtures shall be included in the prequalified concrete admixtures list maintained by TxDot’s Construction Division.

J. City of Austin Survey Monuments

The Public Works Department may furnish permanent survey monuments to be cast in concrete as indicated on the drawings or as directed by the Engineer or designated representative.

K. Epoxy

Unless indicated otherwise on the drawings, epoxy materials shall conform to TxDoT DMS-6100, “Epoxy and Adhesives”.

410S.4 General Requirements

Before starting work, the Contractor shall inform the Engineer or designated representative fully of the construction methods the Contractor proposes to use, the adequacy of which shall be subject to the review by the Engineer or designated representative. Drawings for forms and falsework for piers and superstructure spans over 20 feet (6 meters) long, bracing systems for girders when the overhang exceeds 3 ft. 6 in. (1 meter) and for all bridge widening details shall be submitted to the Engineer or designated representative for review, if requested. Similar drawings shall be submitted for other units of the structure, if requested by the Engineer or designated representative. The drawings shall be prepared on standard 22 inch by 36-inch (550mm by 900 mm) sheets and shall show all essential details of the proposed forms, falsework and bracing to permit a structural analysis. Four sets of such drawings will be required.

Concurrence on the part of the Engineer or designated representative in any proposed construction methods, approval of equipment or of form and falsework drawings does not relieve the Contractor of the responsibility for the safety or correctness of the Contractor’s methods, adequacy of equipment or from carrying out the work in full accordance with the contract.

Unless otherwise indicated on the drawings, the requirements in the succeeding paragraphs shall govern the time sequence in which construction operations may be carried on and for the opening of completed structures to traffic:

Superstructure members, forms, falsework or erection equipment shall not be placed on the substructure before the concrete therein has attained a 3000 psi (20.7 MPa) compressive strength.

Storage of materials on completed portions of a structure will not be permitted until all curing requirements for those particular portions have been met.

No forms shall be erected on concrete footings supported by piling or drilled shafts until the concrete therein has attained a minimum compressive strength of 2500 psi (17.2 MPa). Such work may begin on spread footings after the therein has aged at least 2 curing days. Concrete may be placed as soon as the forms and reinforcing steel are approved by the Engineer or designated representative.

The support of tie beam and/or forms by falsework placed on previously placed tie beams is permissible provided such beams have attained 3000 psi (20.7 MPa) compressive strength, curing requirements are completed and the beams are properly supported to eliminate stresses not provided for in the design.

Bridges and direct traffic culverts shall not be opened to construction traffic or to the traveling public until authorized by the Engineer or designated representative in accordance with the following:

Authorization may be given after the last slab concrete has been in place at least 14 days for light construction traffic not to exceed a 3/4-ton (0.68 Mg) vehicle.

Authorization to place embankments to allow normal construction traffic and when necessary to the traveling public, may be given after the last slab concrete has been in place 30 days or when the minimum compressive strength (f_c') has reached the 28 day strength conforming to Item No. 403S, "Concrete for Structures" or as indicated on the drawings.

410S.5 Drains

Weep holes and roadway drains shall be installed and constructed as indicated on the drawings.

410S.6 Expansion Joints

Joints and devices shall be used to provide for expansion and contraction of concrete slabs and shall be constructed as indicated on the drawings.

The bearing area under the expansion ends of concrete slabs and slab and girder spans shall be given a steel trowel finish and finished to the exact grades required on the drawings. The material used to separate expansion surfaces shall be as indicated on the drawings and placed so that concrete or mortar cannot be subsequently worked around or under it. The bridging of concrete or mortar around expansion joint material in bearings and expansion joints shall be prevented.

Concrete adjacent to armor joints and finger joints shall be placed carefully to avoid defective anchorage and porous or honeycombed concrete in such areas.

All open joints and joints to be filled with expansion joint material shall be constructed using forms adaptable to loosening or early removal. To avoid expansion or contraction damage to the adjacent concrete, these forms shall be loosened as soon as possible after final concrete set to permit free movement of the span without requiring full form removal.

Preformed fiber joint material or other material indicated shall be used in the vertical joints of the roadway slab, curb, median or sidewalk. The top 1-inch (25 mm) thereof shall be filled with joint sealing material, as specified herein. The sealer shall be installed in accordance with Standard Specification Item No. 413S, “Cleaning and/or Sealing Joints and Cracks (PC Concrete)” and the manufacturer’s recommendations.

Prior to placing the sealing material, the vertical faces of the joint shall be cleaned of all laitance by sandblasting or by mechanical routing. Cracked or spalled edges shall be repaired. The joint shall be blown clean of all foreign material and sealed.

Where preformed fiber joint material is used, it shall be anchored to the concrete on one side of the joint by light wire or nails to prevent the material from falling out.

Finished joints shall conform to the drawing details with the concrete sections completely separated by the specified opening or joint material.

Soon after form removal and where necessary after surface finishing, all projecting concrete shall be removed along exposed edges to secure full effectiveness of the expansion joints.

410S.7 Construction Joints

The joint formed by placing plastic concrete in direct contact with concrete that has attained its initial set shall be deemed a construction joint. The term monolithic placement shall be interpreted to mean that the manner and sequence of concrete placing shall not create construction joints.

Construction joints shall be of the type and at the locations indicated on the drawings. Additional joints will not be permitted without written authorization from the Engineer or designated representative and when authorized, shall have details equivalent to those indicated for joints in similar locations.

Unless otherwise provided, construction joints shall be square and normal to the forms. Bulkheads shall be provided in the forms for all joints, except when horizontal. All vertical construction joints shall be chamfered. All horizontal construction joints shall be routed or grooved.

Construction joints requiring the use of joint sealing material shall be as indicated on the drawings or as directed by the Engineer or designated representative. The material will be indicated on the drawings without reference to joint type.

A concrete placement terminating at a horizontal construction joint shall have the top surface roughened thoroughly as soon as practicable after initial set is attained. The surfaces at bulkheads shall be roughened as soon as the forms are removed.

The hardened concrete surface shall be thoroughly cleaned of all loose material, laitance, dirt or foreign matter and saturated with water so it is moist when placing fresh concrete against it. Remove all free water and moisten the surface before concrete or bonding grout is placed against it. Forms shall be drawn tight against the existing concrete and the joint surface flushed with grout just prior to placing the fresh concrete.

The joint surface shall be coated with bonding mortar, grout, epoxy or other material as indicated on the drawings or other items. A Type V epoxy shall be provided in accordance with TxDoT DMS-6100, “Epoxies and Adhesives” for bonding fresh concrete to hardened concrete. The epoxy shall be placed on a clean dry surface and the fresh concrete shall be placed while the epoxy is still tacky. Bonding mortar or grout shall be placed on a surface that is saturated surface dry and the concrete shall be placed before the bonding mortar or grout dries. Other bonding agents shall be placed in accordance with the manufacturer’s recommendations.

410S.8 Foundation and Substructure

Excavation for foundations and substructure shall conform to Standard Specification Item No. 401S, "Structural Excavation and Backfill".

Concrete for foundation seals, unless otherwise indicated on the drawings, shall be Class C Concrete with a coarse aggregate grade of 2, 3, 4 or 5 and placed in accordance with the requirements herein. The top of the completed seal shall not vary from plan grade or the grade established by the Engineer or designated representative.

Where a concrete seal is indicated on the Drawings, the design will be based on the normal water elevation as indicated on the Drawings. If the foundation concrete can be placed in the dry at the time of construction, the seal will not be required. If additional seal is necessary for the conditions existing during the time of construction, its thickness shall be increased as deemed necessary by the Contractor and at the Contractor's expense. If the conditions existing at the time of construction require a seal for placing the foundation concrete in the dry and none is indicated on the Drawings, the Contractor shall place an adequate seal at the Contractor's expense.

The seal shall be allowed to set for at least 36 hours before the caisson or cofferdam is dewatered, after which the top of the seal shall be cleaned of all laitance or other soft material and all high spots exceeding the above limitation shall be cut off and removed.

410S.9 Falsework

The Contractor is totally responsible for all falsework. The Contractor shall design and construct it to safely carry the maximum anticipated loads and to provide the necessary rigidity. Details of falsework construction shall be subject to review by the Engineer or designated representative, but Engineer's review shall in no way relieve the Contractor of responsibility of the adequacy and safety of the falsework design.

All timber used in falsework centering shall be sound, in good condition and free from defects which will impair its strength. When wedges are used to adjust falsework to desired elevations, they shall be used in pairs to insure even bearing.

Sills or grillages shall be large enough to support the superimposed load without settlement and unless founded on solid rock, shale or other hard materials, precautions shall be taken to prevent yielding of the supporting material.

Falsework, which cannot be founded on a satisfactory spread footing, shall be placed on piling driven to a bearing capacity sufficient to support the superimposed load without settlement. The safe bearing capacity of piling shall be determined by test loads or by such other methods that may be required or acceptable to the Engineer or designated representative.

In general, each falsework bent shall be capped transversely by a member of proper size. A short cap section forming a T-head may be substituted to permit the removal of portions of the forms without disturbing the falsework. Caps shall be securely fastened to each pile or column in the bent and set at the proper elevation to produce, in conjunction with the use of approved wedges or jacks, permanent camber indicated on the Drawings, plus a construction camber covering allowance for deformation of the forms and falsework. The use of wedges to compensate for incorrectly cut bearing surfaces will not be permitted. Each falsework bent shall be securely braced to provide the stiffness required with the bracing securely fastened to each pile or column it crosses.

In setting falsework for arches, allowances shall be made for settlement of falsework, deflection of the arch and permanent camber. Provision shall be made by suitable wedges, sand jacks or other acceptable devices for the controlled lowering of falsework when the arch is swung. Falsework may be required to be placed on jacks to provide for settlement correction during concrete placement.

When the falsework is no longer required, it shall be removed. Falsework piling shall be pulled or cut off not less than 2 feet (0.6 meter) below finished ground level. Falsework and piling in a stream, lake or bay shall be completely removed to a point specified by the Engineer or designated representative to prevent any obstruction to the waterway.

410S.10 Forms

Forms for precast prestressed concrete members and for prestressed piling shall be constructed conforming to Item No. 425S, "Prestressed Concrete Structures".

A. General

Except where otherwise indicated on the drawings, forms may be of either timber or metal.

Forms for round columns exposed to view shall be of steel, except that other materials will be allowed with written permission of the Engineer or designated representative.

Forming plans shall be submitted for approval by the Engineer or designated representative. Forms shall be designed for the pressure exerted by a liquid weighing 150 pounds per cubic foot (2.4 Mega grams per cubic meter). The rate of placing the concrete shall be taken into consideration in determining the depth of the equivalent liquid. For job-fabricated forms an additional live load of 50 pounds per square foot (1.675 MPa) shall be allowed on horizontal surfaces. The maximum unit stresses shall not exceed 125 percent of the allowable stresses used by the Engineer or designated representative for the design of structures.

Formwork for wall and/or column pours equal or exceeding 8 feet (2.44 meters) shall be designed in accordance with ACI 347, “Guide to Formwork for Concrete” and sealed by a Registered Civil Engineer Licensed in the State of Texas, who is experienced in formwork design.

Commercially produced structural units used in formwork shall not exceed the manufacturer's maximum allowable working load for moment, shear or end reaction. The maximum working load shall include a live load of 35 pounds per square foot (1.175 MPa) of horizontal form surface and sufficient details and data shall be submitted for use in checking formwork details for approval.

Forms shall be practically mortar-tight, rigidly braced and strong enough to prevent bulging between supports and maintained to the proper line and grade during concrete placement. Forms shall be maintained in a manner that will prevent warping and shrinkage.

Deflections due to cast-in-place slab concrete and railing shown in the dead load deflection diagram shall be taken into account in the setting of slab forms.

All forms and footing areas shall be cleaned of any extraneous matter before placing concrete.

Permission to place concrete will not be given until all of such work is complete to the satisfaction of the Engineer or designated representative.

If, at any stage of the work, the forms show signs of bulging or sagging, the portion of the concrete causing such condition shall be removed immediately, if necessary and the forms shall be reset and securely braced against further movement.

B. Timber Forms

Lumber for forms shall be properly seasoned, of good quality and free from imperfections, which would affect its strength or impair the finished surface of the concrete. The lumber used for facing or sheathing shall be finished on at least 1 side and 2 edges and shall be sized to uniform thickness.

Form or form lumber that will be reused shall be maintained clean and in good condition. Lumber that is split, warped, bulged, or marred or that has defects that will produce inferior forms shall not be used but shall be removed from the work.

Form lining will be required for all formed surfaces, except for the inside of culvert barrels, inlets, manholes and box girders, the bottom of bridge decks between beams or girders, surfaces that are subsequently covered by backfill material or are completely enclosed and any surface formed by a single finished board. Lining will not be required when plywood forms are used.

Form lining shall be of an approved type such as masonite or plywood. Thin membrane sheeting such as polyethylene sheets shall not be used for form lining.

Forms may be constructed of plywood not less than ¾ inch (19 mm) in thickness, with no form lining required. The grain of the face plies on plywood forms shall be placed parallel to the span between the supporting studs or joists.

Plywood used for forming surfaces, which remain exposed, shall be equal to that specified as B-B Plyform Class I or Class II Exterior of the U.S. Department of Commerce Voluntary Product Standard, PS 1.

Studs and joists shall be spaced so that the facing form material remains in true alignment under the imposed loads.

Wales shall be spaced close enough to hold forms securely to the designated lines and scabbed at least 4 feet (1.22 meters) on each side of joints to provide continuity. A row of wales shall be placed near the bottom of each placement.

Facing material shall be placed with parallel and square joints and securely fastened to supporting studs.

Forms for surfaces receiving only an ordinary finish and exposed to view shall be placed with the form panels symmetrical, i.e., long dimensions set in the same direction. Horizontal joints shall be continuous.

Molding specified for chamfer strips or other uses shall be made of materials of a grade that will not split when nailed and which can be maintained to a true line without warping. Wood molding shall be mill cut and dressed on all faces. Unless indicated otherwise on the drawings, forms shall be filleted at all sharp corners and edges with triangular chamfer strips measuring 3/4 inch (19 mm) on the sides.

Forms for railings and ornamental work shall be constructed to standards equivalent to first class millwork. All moldings, panel work and bevel strips shall be straight and true with neatly mitered joints designed so the finish work is true, sharp and clean cut. All forms shall be constructed to permit their removal without marring or damaging the concrete. The forms may be given a slight draft to permit ease of removal.

Metal form ties of an approved type or a satisfactory substitute shall be used to hold forms in place and shall be of a type that permits ease of removal of the metal as hereinafter specified.

All metal appliances used inside of forms for alignment purposes shall be removed to a depth of at least 1/2 inch (13 mm) from the concrete surface. They shall be made so the metal may be removed without undue chipping or spalling and when removed, shall leave a smooth opening in the concrete surface. Burning off of rods, bolts or ties will not be permitted.

Any wire ties used shall be cut back at least 1/2 inch (13 mm) from the face of the concrete and properly patched.

Devices holding metal ties in place shall be capable of developing the strength of the tie and adjustable to allow for proper alignment.

Metal and wooden spreaders, which are separate from the forms, shall be removed entirely as the concrete is being placed.

Adequate clean-out openings shall be provided for narrow walls and other locations where access to the bottom of the forms is not readily attainable.

Prior to placing concrete, the facing of all forms shall be treated with oil or other bond breaking coating of such composition that it will not discolor or otherwise injuriously affect the concrete surface. Care shall be exercised to prevent coating of the reinforcing steel.

C. Metal Forms

The foregoing requirements for timber forms as regards design, mortar-tightness, filleted corners, beveled projections, bracing, alignment, removal, reuse and wetting shall also apply to metal forms, except that these will not require lining, unless specifically indicated on the drawings.

The thickness of form metal shall be as required to maintain the true shape without warping or bulging. All bolt and rivet heads on the facing sides shall be countersunk. Clamps, pins or other connecting devices shall be designed to hold the forms rigidly together and to allow removal without injury to the concrete. Metal forms, which do not present a smooth surface or line up properly, shall not be used. Metal shall be kept free from rust, grease or other foreign materials.

D. Form Supports for Overhang Slabs

Form supports which transmit a horizontal force to a steel girder or beam or to a prestressed concrete beam will be permitted, but shall not be used unless a structural analysis has been made of the affect on the girder or beam and approval is granted by the Engineer or designated representative.

In normal or skewed spans with standard overhangs not exceeding 3 feet, 1 1/2 inches (0.95 meter), beam bracing as shown in the drawings shall be used.

Spans in which the overhang width exceeds 3 feet, 1 1/2 inches (0.95 meter) will require additional support for the outside beams to resist torsion. Details of the Contractor's proposed method of providing additional support shall be included with the slab forming plans submitted to the Engineer or designated representative for review and approval.

Holes in steel members for support of overhang brackets may be punched or drilled full size or may be torch cut to 1/4 inch (6 mm) under size and reamed full size. In no case shall the holes be burned full size. The hole shall be left open unless indicated to be filled with a button head bolt. They shall never be filled by welding.

410S.11 Placing Reinforcement

Reinforcement in concrete structures shall be placed carefully and accurately and rigidly supported as provided in Standard Specification Item No. 406S, "Reinforcing Steel". Reinforcing steel supports shall not be welded to I-beams or girders or stirrups of prestressed concrete beams.

410S.12 Placing Concrete

A. General

Concrete shall not be placed when impending weather conditions would impair the quality of the finished work. If conditions of wind, humidity and temperature are such that concrete cannot be placed without the potential for shrinkage cracking, the concrete should be placed in early morning, at night or on a schedule with more favorable weather. When mixing, placing and finishing concrete is scheduled during non-daylight hours; the entire placement site should be illuminated to the satisfaction of the Engineer or designated representative.

If changes in weather conditions require protective measures after work starts, adequate shelter shall be provided to protect the concrete against damage from rainfall or from freezing temperatures as outlined in this Item. Operations during rainfall shall only be continued if approved by the Engineer or designated representative. Aggregate stockpiles shall be covered to the extent necessary to control the moisture conditions in the aggregates.

Slab concrete shall be mixed in a plant located off the structure. Carting or wheeling concrete batches over completed slabs will not be permitted until they have aged at least 4 full curing days or timber planking placed on top of the slab for the carts to traverse along. Carts shall be equipped with pneumatic tires. Curing operations shall not be interrupted for the purpose of wheeling concrete over finished slabs.

Exposed concrete surfaces, while still plastic, shall be stamped with an impression having the Contractor's name, the month and year. The stamp shall be of an approved design.

At least 1 day of curing shall be allowed after the concrete has achieved initial set before placing strain on projecting reinforcement to prevent damage to the concrete.

The storing of reinforcing or structural steel on completed roadway slabs generally shall be avoided and when permitted, shall be limited to quantities and distribution that will not induce excessive stresses.

B. Preparation of Surfaces

All forms, prestressed concrete panels, T-beams and concrete box beams on which concrete will be placed shall be thoroughly wetted before the placement of concrete. Puddles of excess water shall be removed before placing the concrete. The various surfaces shall be in a moist, saturated surface dry condition when concrete is placed on or against them.

The subgrade or foundation shall be moist before placing concrete for bridge approach slabs or other concrete placed on grade. If dry the subgrade shall be lightly sprinkled.

C. Placing Temperature

The minimum temperature of all concrete at the time of placement shall not be less than 50°F (10°C). The maximum temperature of any concrete, unless otherwise indicated on the drawings, shall not exceed 95°F (35°C) when placed. The maximum temperature of cast-in-place concrete in bridge superstructures, diaphragms, parapets, concrete portions of railing, curbs and sidewalks and direct traffic box culverts shall not exceed 85°F (30°C) when placed. Other portions of structures, when indicated on the drawings, shall require the temperature control specified.

For continuous placement of the deck on continuous steel units, the initial set of the concrete shall be retarded sufficiently to insure that it remains plastic in not less than 3 spans immediately preceding the one being placed. For simple spans, retardation shall be required only if necessary to complete finishing operations.

The consistency of the concrete as placed should allow the completion of all finishing operations without the addition of water to the surface. When conditions are such that additional moisture is needed for finishing, the required water shall be applied to the surface by fog spray only and shall be held to a minimum amount. Fog spray for this purpose may be applied with hand operated fogging equipment.

The height of free fall of concrete shall be limited to 5 feet (1.575 meters) to prevent segregation.

D. Transporting Time

The maximum time interval between the addition of cement to the batch and the placing of concrete in the forms shall not exceed the following:

Table 2: Allowable Transportation Times			
Air or ConcreteTemperature whichever is higher	Maximum Time w/o Retarder	Maximum Time with Retarder	
		*Specific Applications	All others
Non-agitated Concrete			
35°F to 79°F (2°C to 26°C)	45 minutes	45 minutes	45 minutes
Over 80°F (Over 25°C)	30 minutes	45 minutes	45 minutes
Agitated Concrete			
90°F (32°C) or above	45 minutes	75 minutes	105 minutes
75°F to 89°F (24°C to 32°C)	60 minutes	90 minutes	120 minutes
35°F to 74°F (2°C to 23°C)	90 minutes	120 minutes	150 minutes

* Specific applications include Bridge decks, cased drilled shafts and slabs of direct traffic culverts

The use of an approved retarding agent in the concrete will permit the extension of each of the above temperature-time maximums by 30 minutes for bridge decks, top slabs of direct traffic culverts and cased drilled shafts and 1 hour for all other concrete except that the maximum time shall not exceed 45 minutes for non-agitated concrete.

E. Handling and Placing

The Contractor shall give the Engineer or designated representative sufficient advance notice before placing concrete in any unit of the structure to permit the review of forms, reinforcing steel placement and other preparations. Concrete shall not be placed in any unit prior to the completion of formwork and placement of reinforcement therein.

The sequence for placing concrete shall be as indicated on the drawings or as required herein. The placing shall be regulated so the pressures caused by the plastic concrete shall not exceed the loads used in the form design.

The method of handling, placing and consolidation of concrete shall minimize segregation and displacement of the reinforcement and produce a uniformly dense and compact mass. Concrete shall not have a free fall of more than 5 feet (1.5 meters), except in the case of drilled shafts, thin wall sections such as in culverts, or as allowed by other Items. Any hardened concrete spatter ahead of the plastic concrete shall be removed.

Each part of the forms shall be filled by depositing concrete as near its final position as possible. The coarse aggregate shall be worked back from the face and the concrete forced under and around the reinforcement bars without displacing them. Depositing large quantities at one point and running or working it along the forms will not be allowed.

Concrete shall be deposited in the forms in layers of suitable depth but not more than 36 inches (0.9 meter) in thickness, unless otherwise directed by the Engineer or designated representative.

Cold joints in a monolithic placement shall be avoided. The sequence of successive layers or adjacent portions of concrete shall be such that they can be vibrated into a homogeneous mass with the previously placed concrete without a cold joint. Not more than 1 hour (1 ½ hours if a normal dosage of retarding admixture is used) shall elapse between adjacent or successive placements of concrete. Unauthorized construction joints shall be avoided by placing all concrete between the authorized joints in one continuous operation.

An approved retarding agent shall be used to control stress cracks and/or authorized cold joints in mass placements where differential settlement and/or setting time may induce stress cracking, such as on false work, in deep girder stems, etc.

Openings in forms shall be provided, if needed, for the removal of laitance or foreign matter of any kind.

All forms shall be wetted thoroughly before the concrete is placed therein.

F. Consolidation

All concrete shall be carefully consolidated and the mortar flushed to the form surfaces by continuous working with immersion type vibrators. Vibrators which operate by attachment to forms or reinforcement will not be permitted, except on steel forms. At least 1 standby vibrator shall be provided for emergency use in addition to the ones required for placement. For lightweight concrete, vibrators of the high frequency type, which produce a minimum of 7000 impulses per minute, will be required.

The concrete shall be vibrated immediately after deposition. Prior to the beginning of work, a systematic spacing of the points of vibration shall be established to insure complete consolidation and thorough working of the concrete around the reinforcement, embedded fixtures and into the corners and angles of the forms. Immersion type vibrators shall be inserted vertically, at points 18 to 30 inches (450 to 750 mm) apart and slowly withdrawn. The vibrator may only be inserted in a sloping or horizontal position in shallow slabs. The entire depth of each lift shall be vibrated, allowing the vibrator to penetrate several inches (several cms) into the preceding lift. The vibrator shall not be used to move the concrete to other locations. In addition the vibrator shall not be dragged through the concrete. Concrete along construction joints shall be thoroughly consolidated by operating the vibrator along and close to but not against the joint surface. The vibration shall continue until thorough consolidation and complete embedment of reinforcement and fixtures is produced, but not long enough to cause segregation. Vibration may be supplemented by hand spading or rodding, if necessary, to insure the flushing of mortar to the surface of all forms.

G. Finishing

From the time of initial strike off until final finish is completed and required interim curing is in place, the unformed surfaces of slab concrete in bridge decks and top slab of direct traffic culverts and concrete slabs, shall be kept damp, not wet, to offset the effects of rapid evaporation of mixing water from the concrete due to wind, temperature, low humidity or combinations thereof. Fogging equipment capable of applying water in the form of a fine fog mist, not a spray, will be required. Fogging will be applied at the times and in the manner directed by the Engineer or designated representative.

Fogging equipment may be either water pumped under high pressure or a combination of air and water, either system in combination with a proper atomizing nozzle. The equipment shall be sufficiently portable for use in the direction of any prevailing winds. The equipment shall be adapted for intermittent use to prevent excessive wetting of the surfaces.

Upon completion of the final finish, interim curing will be required for slab concrete in bridge decks and top slabs of direct traffic culverts as follows:

(1) Required water curing shall begin as soon as it can be done without damaging the concrete finish.

(2) Unless otherwise indicated on the Drawings, Type 1-D membrane curing compound that conforms to TxDoT DMS-4650, "Hydraulic Cement Concrete Curing Materials and Evaporation Retardants" shall be applied to the slab surface.

H. Installation of Dowels and Anchor Bolts

Dowels and anchor bolts shall be installed by casting them in place or by grouting with grout, epoxy, or epoxy mortar unless indicated otherwise on the drawings.

Holes for grouting shall be formed or drilled. Holes for anchor bolts shall be drilled to accommodate the bolt embedment required on the drawings. Holes for dowels shall be made at least 12 in. (300 mm) deep unless indicated otherwise on the drawings. When grout or epoxy mortar is specified the diameter of the hole shall be at least twice the dowel or bolt diameter but shall not exceed the dowel or bolt diameter plus 1 ½ in (38 mm). When epoxy is specified the hole diameter should be 1/16 to ¼ in. (1.6 to 6.35 mm) greater than the dowel or bolt diameter.

Holes for anchor bolts in piers, abutments, bents or pedestals may be drilled or formed by the insertion of oiled wooden plugs or metal sleeves in the plastic concrete. Formed holes shall be large enough to permit horizontal adjustments of the bolts. The bolts shall be carefully set in mortar. In lieu of the above, anchor bolts may be set to exact locations when the concrete is placed.

The holes shall be thoroughly cleaned of all loose material, oil, grease or other bond-breaking substance and blow them clean with filtered compressed air. When an epoxy type material is used the holes shall be in a surface dry condition. When hydraulic cement grout is used the holes shall be in a surface moist condition. The void space between the hole and the dowel or bolt shall be completely filled with grouting material. The requirements for cleaning outlined in the product specification for prepackaged systems shall be followed exactly.

The following should be used as a guide in selection of an appropriate grout, mortar, epoxy or epoxy grout.

Table 3: Guide for Selection of Epoxy, Epoxy Mortar, Grout and Epoxy Grout

Material Type	Recommendation
Epoxy, Epoxy Mortar or other prepackaged Mortar	As Approved
Cast-in-place or Grouted system	1 part hydraulic cement, 2 parts sand and

	sufficient water for desired consistency
Neat Epoxy	Type III epoxy per TxDoT DMS-6100, "Epoxies and Adhesives"
Epoxy Grout	Type III epoxy per TxDoT DMS-6100, "Epoxies and Adhesives"
Provide grout, epoxy or epoxy mortar as the binding agent unless otherwise indicated on the drawings	

410S.13 Placing Concrete in Cold Weather

A. General

The Contractor is responsible for the protection of concrete placed under any and all weather conditions and is responsible for producing concrete equal in quality to that placed under normal conditions. Permission given by the Engineer or designated representative to allow placement of the concrete during cold weather does not relieve the Contractor of the responsibility for producing concrete equal in quality to that placed under normal conditions. Concrete placed under adverse weather conditions that proves to be unsatisfactory shall be removed and replaced at Contractor' expense.

B. Cast-in-Place Concrete

Concrete may be placed when the ambient temperature is not less than 35°F (2°C) in the shade and rising or above 40°F (4°C). Concrete shall not be placed when the ambient temperature in the shade is below 40°F (4°C) and falling unless approved by the Engineer or designated representative. Concrete shall not be placed in contact with any material coated with frost or having a temperature less than 32°F (0°C).

Aggregates shall be free from ice, frost and frozen lumps. When required, in order to produce the minimum specified concrete temperature; the aggregate and/or the water shall be heated uniformly, in accordance with the following:

The water temperature shall not exceed 180°F(82°C) and/or the aggregate temperature shall not exceed 150°F(66°C). The heating apparatus shall heat the mass of aggregate uniformly. The temperature of the mixture of aggregates and water shall be between 50°F(10°C) and 85°F(29°C) before introduction of the cement.

All concrete shall be effectively protected as follows:

1. The temperature of slab concrete of all unformed surfaces shall be maintained at 50°F(10°C) or above for a period of 72 hours from time of placement and above 40°F(4°C) for an additional 72 hours.
2. The temperature at the surface of all concrete in bents, piers, culvert walls, retaining walls, parapets, wingwalls, bottom of slabs and other similar forms shall be maintained at 40°F(4°C) or above for a period of 72 hours from time of placement.
3. The temperature of all concrete, including the bottom slabs of culverts placed on or in the ground, shall be maintained above 32°F(0°C) for a period of 72 hours from time of placement.

Protection shall consist of providing additional covering, insulated forms or other means and if necessary, supplementing such covering with artificial heating. Avoid applying heat directly to concrete surfaces. Curing shall be provided during this period until all requirements for curing have been satisfied.

When impending weather conditions indicate the possibility of the need for such temperature protection, all necessary heating and covering material shall be on hand ready for use before permission is granted to begin placement.

Sufficient extra test specimens will be made and cured with the placement to ascertain the condition of the concrete as placed prior to form removal and acceptance.

C. Precast Concrete

A fabricating plant for precast products which has adequate protection from cold weather in the form of permanent or portable framework and covering, which protects the concrete when placed the forms and is equipped with approved steam curing facilities may place concrete under any low temperature conditions provided:

1. The framework and covering are placed and heat is provided for the concrete and the forms within 1 hour after the concrete is placed. This shall not be construed to be 1 hour after the last concrete is placed, but that no concrete shall remain unprotected longer than 1 hour.
2. Steam heat shall keep the air surrounding the concrete between 50°F (10°C) and 85°F(29°C) for a minimum of 3 hours prior to beginning the temperature rise, which is required for steam curing.

410S.14 Placing Concrete in Hot Weather

When the temperature of the air is above 85ºF (29°C), an approved retarding agent will be required in all exposed concrete, concrete used in superstructures, top slabs of direct traffic culverts and all cased drilled shafts regardless of temperatures. Concrete mix temperatures shall not exceed 90ºF (32°C) except for mixes that include high range water reducers where a maximum mix temperature of 100ºF (38°C) will be allowed.

If the concrete mix temperature is expected to exceed 90ºF (32°C) (or 100ºF (38°C) in mixes with high range water reducers) ice may be utilized to lower the concrete mix temperature. Ice may be added to the concrete mix as a portion by weight of the mix water. However the addition of ice shall not exceed 50% of the total mix water weight.

When weather conditions are such that the addition of ice at 50% of the mix water is not sufficient to reduce the concrete mix temperature to an acceptable temperature, concrete work shall not be allowed.

When ice is to be used in hot weather concrete placement, the Contractor shall furnish a mix design (Section 4.4 of Standard Specification Item 360S, “Concrete Pavement” and Section 6 of Standard Specification Item No. 403S, “Concrete for Structures”) acceptable to the Engineer or designated representative for class of concrete specified on the drawings.

410S.15 Placing Concrete in Water

Concrete shall be deposited in water only when indicated on the drawings or with written permission of the Engineer or designated representative. The forms, cofferdams or caissons shall be sufficiently tight to prevent any water current passing through the space in which the concrete is being deposited. Pumping will not be permitted during the concrete placing nor until it has set for at least 36 hours.

The concrete shall be placed with a tremie, pump or other approved method and shall not be permitted to fall freely through the water nor shall it be disturbed after it has been placed. Its surface shall be kept approximately level during placement.

The tremie shall be supported or the pump operated so that it can be easily moved horizontally to cover all the work area and vertically to control the concrete flow. The lower end of the tremie or pump hose shall be submerged in the concrete at all times.

The placing operations shall be continuous until the work is complete.

For concrete to be placed under water, the concrete mix shall be designed in accordance with Standard Specification Item No. 403S, “Concrete For Structures” with a minimum cement content of 650 lb. Per cubic yard (10.4 Mg per cubic meter). An anti-wash admixture may be included in the mix design as necessary to produce a satisfactory finished product.

410S.16 Placing Concrete in Superstructure

A. General

Unless otherwise indicated on the drawings, simple span roadway slabs shall be placed without transverse construction joints by using a mechanical longitudinal screed or a self-propelled transverse finishing machine or a mechanical longitudinal screed. For small placements or unusual conditions such as narrow widening, variable cross-slopes, or transitions, manually operated screeding equipment may be used if approved by the Engineer or designated representative.

B. Transverse Screeding

Unless otherwise indicated on the drawings, slabs on continuous units shall be placed in one continuous operation without transverse construction joints using a longitudinal screed or a self-propelled transverse finishing machine. Rails for transverse finishing machines supported from the beams or girders shall be installed so they may be removed without damage to the slab. Bond between removable supports and the concrete shall be prevented in a manner acceptable to the Engineer or designated representative. Rail support parts, which remain embedded in the slab, shall not project above the upper mat of reinforcing steel. Rail or screed supports attached to I-beams or girders shall be subject to "General Requirements" stated above. Unless indicated otherwise on the drawings, the minimum rate of concrete placement is 30 lineal feet (9.144 lineal meters) of bridge slab per hour. The concrete shall be deposited parallel to the skew of the bridge so that all girders are loaded uniformly along their length. Slab concrete shall be deposited between the exterior beam and the adjacent beam before placing concrete in the overhang portion of the slab. Personnel and equipment shall be furnished that is capable of placing, finishing and curing the slab at an acceptable rate to ensure compliance with this Item. Concrete shall be placed in transverse strips. On profile grades greater than 1.5 %, placement shall be started at the lower end.

C. Longitudinal Screeding

The screed shall be adequately supported on a header or rail system sufficiently stable to withstand the longitudinal or lateral thrust of the equipment. Unless otherwise indicated on the drawings, temporary intermediate headers will be permitted for placements exceeding 50 feet (15.24 meters) in length for the longitudinal screed, provided the rate of placement is rapid enough to prevent a cold joint and these headers are designed for early removal to permit satisfactory consolidation and finish of the concrete at their locations. The slab concrete shall be deposited between the exterior beam and the adjacent beam before placing concrete in the overhang portion of the slab.

For longitudinal screeding, concrete shall be placed in longitudinal strips starting at a point in the center of the segment adjacent to one side, except as provided herein and the strip completed by placing uniformly in both directions toward the ends except that for spans on a grade of 1.5 percent or more, placing shall start at the lower end. The width of strips shall be such that the concrete therein will remain plastic until the adjacent strip is placed. Where monolithic curb construction is specified, the concrete shall be placed therein in proper sequence to be monolithic with the adjacent longitudinal strips of the slabs.

D. Placements on Continuous Steel Units

Unless otherwise indicated on the drawings, slabs on continuous steel units shall be placed in a single continuous operation without transverse construction joints using a mechanical longitudinal screed or a self-propelled transverse finishing machine. The initial set of the concrete shall be retarded sufficiently to ensure that concrete remains plastic in at least 3 spans immediately preceding the slab being placed. Construction joints shall be used, when required for slab placements on steel beams or girders, as shown on the drawings. When staged placement of a slab is specified in the drawings, it shall be necessary to ensure that the previously placed concrete attains a compressive strength of 3000 psi (20.7 MPa) before placing the next stage concrete. Multiple stages may be placed in a single day if approved by the Engineer or designated representative. When drawings permit staged concrete placement without specifying a particular order of placement, a placing sequence that will not overstress any of the supporting members shall be submitted for the approval of the Engineer or designated representative.

E. Slab and Girder Units

Unless indicated otherwise on the drawings, girders, slab and curbs of slab and girder spans shall be placed monolithically. Concrete girders shall be filled first, and the slab concrete placed within the time limits specified in this Item. If a transverse screed is used, the concrete shall be placed in the stem for a short distance and then the concrete placed in transverse strips. If a longitudinal screed is used, the concrete shall be placed in the outside girder stem first beginning at the low end or side, and then continue the concrete placed in longitudinal strips.

410S.17 Placing Concrete in Concrete Arches

Concrete shall be placed in arch rings so the loading is kept symmetrical on the falsework. The arch rings and ribs shall be placed in one continuous operation unless otherwise

indicated on the drawings or permitted by the Engineer or designated representative. The spandrel walls or columns and the beams shall not be placed until the arch is swung. Floor slab, railing, parapet walls, etc., shall not be placed until all spandrels are complete. Slab placement shall be symmetrical about the transverse centerline so the loading of the arch is kept approximately symmetrical.

The placing sequence shall be as indicated on the drawings.

410S.18 Placing Concrete in Box Culverts

In general, construction joints will be permitted only where indicated on the drawings.

Where the top slab and walls are placed monolithically in culverts more than 4 feet (1.22 meters) in clear height, an interval of not less than 1 nor more than 2 hours shall elapse before placing the top slab to allow for settlement and shrinkage in the concrete wall.

The base slab shall be trowel finished accurately at the proper time to provide a smooth uniform surface. Top slabs, which carry traffic, shall be finished as specified for roadway slabs in "Finish of Roadway Slabs", below. Top slabs of fill type culverts shall be given a reasonably smooth float finish.

410S.19 Placing Concrete in Foundations and Substructure

Concrete shall not be placed in footings until the depth and character of the foundation has been inspected by the Engineer or designated representative and permission has been given to proceed.

Placing of concrete footings upon seal courses will be permitted after the caissons or cofferdams are free from water and the seal course cleaned. Any necessary pumping or bailing during the concrete placement shall be done from a suitable sump located outside the forms.

All temporary wales or braces inside cofferdams or caissons shall be constructed or adjusted as the work proceeds to prevent unauthorized construction joints in footings or shafts.

When footings can be placed in a dry excavation without the use of cofferdams or caissons, forms may be omitted if desired by the Contractor and approved by the Engineer or designated representative and the entire excavation filled with concrete to the elevation of the top of footing.

Concrete in columns shall be placed monolithically unless otherwise indicated on the drawings. Columns and caps and/or tie beams supported thereon may be placed in the same operation or separately. To allow for settlement and shrinkage of the column concrete, it shall be placed o the lower level of the cap or tie beam and placement delayed for not less than 1 hour nor more than 2 before proceeding with the cap or tie beam placement.

410S.20 Treatment and Finishing of Horizontal Surfaces Except Bridge Slabs

All unformed upper surfaces shall be struck off to grade and finished. The use of mortar topping for surfaces under this classification will not be permitted.

After the concrete has been struck off, the surface shall be floated with a suitable float. Bridge sidewalks shall be given a wood float or broom finish or may be striped with a brush as specified by the Engineer or designated representative.

The tops of caps and piers between bearing areas shall be sloped slightly from the center toward the edge and the tops of abutments and transition bents sloped from the back wall to the edge, as directed by the Engineer or designated representative, so that water will drain from the surface. The concrete shall be given a smooth trowel finish. Bearing areas for steel units shall be constructed in such a manner to have a full and even bearing upon the concrete. When the concrete is placed below grade, bearing areas may be raised to grade on beds of Portland cement mortar consisting of 1 part cement, 2 parts sand and a minimum amount of water.

Bearing seat buildups or pedestals for concrete units shall be cast integrally with the cap or with a construction joint. The construction joint area under the bearing shall have the surface roughened thoroughly as soon as practical after initial set is obtained. The bearing seat buildups shall be placed using a latex based grout, an epoxy grout, or an approved proprietary bearing mortar, mixed in accordance with the manufacturer's recommendation. Pedestals shall be placed using Class C concrete, reinforced as indicated on the drawings.

The bearing area under the expansion end of concrete slabs and slab and girder spans shall be given a steel-trowel finish to the exact grades required on the drawings. Bearing areas under elastomeric bearing pads or nonreinforced bearing seat buildups shall be given a textured wood float finish. The bearing area shall not vary from a level plane more than 1/16 in. (1.6 mm) in all directions.

410S.21 Finish of Bridge Slabs

In all roadway slab-finishing operations, camber for specified vertical curvature and transverse slopes shall be provided.

For concrete flat slab and concrete slab and girder spans cast in place on falsework, an additional amount of camber shall be provided to offset the initial and final deflections of the span indicated in the drawings. For concrete slab and girder spans using pan forms, a camber of approximately 3/8 in. for 30 ft. (9.5 mm for 9.14 meter) spans and ½ in. for 40 ft. (12.7 mm for 12.19 meter) spans shall be provided to offset initial and final deflections unless otherwise directed by the Engineer or designated representative. When dead load deflection requirements for concrete flat slab and concrete slab and girder spans not using pan forms is not indicated on the drawings, the additional amount of camber shall be 1/8 inch per 10 foot (3.2 mm per 3 meter) of span length but not to exceed 1/2 inch (12.7 mm).

Bridge slabs supported on prestressed concrete beams, steel beams or girders shall receive no additional camber, except that for slabs without vertical curvature, the longitudinal camber shall be approximately 1/4 inch (6.35 mm).

Work bridges or other suitable facilities shall be provided from which to perform all finishing operations and to provide access, if necessary, for the Engineer or designated representative to check measurements for slab thickness and reinforcement cover.

As soon as the concrete has been placed and vibrated in a section of sufficient width to permit working, the surface shall be struck off, leveled and screeded, carrying a slight excess of concrete ahead of the screed to insure filling of all low spots. The screed shall be designed rigid enough to hold true to shape and shall have sufficient adjustments to provide for the required camber. A vibrating screed shall be used in all slabs more than 20 feet (6.1 meters) in width. A vibrating screed may be used if heavy enough to prevent undue distortion. The screeds shall be provided with a metal edge.

Longitudinal screeds shall be moved across the concrete with a saw like motion while their ends rest on headers or templates set true to the roadway grade or on the adjacent finished slab. The transverse screeds shall be moved longitudinally approximately 1/5 of the drum length for each complete out-and-back pass of the carriage.

The surface of the concrete shall be screeded a sufficient number of times and at such intervals to produce a uniform surface, true to grade and free of voids. If necessary, the screeded surface shall be worked to a smooth finish with a long handled wood or metal float of the proper size or hand floated from bridges over the slab. Floating may not be necessary if the pan float attached to a transverse screed produces an acceptable finish. Overworking the concrete surface and overuse of finish water shall be avoided.

The Contractor shall perform in the presence of the Engineer or designated representative sufficient checks with a long handled 16-foot (5 meter) straightedge on the plastic concrete to insure that the final surface will be within the specified tolerances. The check shall be made with the straightedge parallel to the centerline. Each pass thereof shall lap half of the preceding pass. All high spots shall be removed and all depressions over 1/16 inch (1.6 mm) in depth shall be filled with fresh concrete and floated. The checking and floating shall be continued until the surface is true to grade and free of depressions, high spots, voids or rough spots.

Screed-rail support holes shall be filled with concrete and finished to match the top of the slab.

The concrete surface shall be finished to a uniform texture using a carpet drag, burlap drag or broom finish. The surface shall be finished to a smooth sandy texture without blemishes, marks or scratches deeper than 1/16 inch (1.6 mm). The surface texturing shall be applied using a work bridge or platform immediately after completing the straightedge checks. The carpet or burlap drag shall be drug longitudinally along the concrete surface, adjusting the surface contact area or pressure to provide a satisfactory coarsely textured surface. A broom finish may be performed using a fine bristle broom transversely.

The concrete surface shall be coated immediately after the carpet or burlay drag, or broom finish with a single application of evaporation retardant at a rate recommended by the manufacturer. The time between the texturing at any location and subsequent application of evaporation retardant shall not exceed 10 minutes. The evaporation retardant may be applied using the same workbridge used for surface texturing. The concrete surface shall not be worked once the evaporation retardant has been applied.

Interim and final curing shall be applied in accordance with Section P410S.23, “Curing Concrete”.

The Contractor is responsible for the ride quality of the finished bridge slab. The Engineer or designated representative will use a 10-ft. (3.05 meter) straightedge to verify ride quality [1/8 in. or less in 10 ft (3.2 mm or less in 3.05 meters)] and to determine locations where

corrections are needed. If the Engineer or designated representative determines that the ride quality is unacceptable, then the Contractor shall submit to the Engineer or designated representative for approval a plan to produce a ride of acceptable quality. All corrections for ride-quality shall be made before saw-cutting grooves.

At the option of the Contractor or when indicated on the drawings, the hardened concrete surface of bridge slabs, bridge approach slabs and direct-traffic culverts shall be given its final texture by saw grooving to meet the above requirements after completion of the required curing period. Grooves shall be cut perpendicular to the structure centerline. The grooves shall be cut continuously across the slab to within 18 in. (450 mm) of the barrier rail, curb or median divider. At skewed metal expansion joints in bridge slabs, groove cutting shall be adjusted by using narrow-width cutting heads so that all grooves end within 6 in. (150 mm) of the joint, measured perpendicular to the centerline of the metal joint. There should not be any ungrooved surface wider than 6 in. (150 mm) adjacent to either side of the joint. The minimum distance to the first groove, measured perpendicular to the edge of the concrete joint or from the junction between the concrete and the metal leg of the joint shall be 1 in. (25 mm), Grooves shall be continuously cut across construction joints or other joints in the concrete that are less than ½ in. (13 mm) wide. The same procedure described above shall be used where barrier rails, curbs or median dividers are not parallel to the structure centerline in order to maintain the 18-in. (450-mm) maximum dimension from the end of the grooves to the gutter line. The grooves shall be cut continuously across formed concrete joints.

When the plans require that a concrete overlay be placed on the slab (new construction) or on prestressed concrete box beams or other precast elements, a carpet drag, burlap drag or broom finish shall be given to all concrete surfaces to be overlaid. Saw grooving is not necessary in this case. An average texture depth for the finish of approximately 0.035 in. (0.9 mm) shall be provided with no individual test falling below 0.020 in. (0.5 mm), unless otherwise indicated on the drawings, when tested in accordance with TxDoT's Tex-436-A, "Measurement of Texture Depth by the Sand Patch Method". If the texture depth falls below what is specified, the finishing procedure shall be revised to produce the desired texture.

When the drawings require an asphalt seal with or without overlay on the slab (new construction), on prestressed concrete box beams or on other precast elements, all concrete surfaces to be covered shall be given a lightly textured broom or carpet drag finish, similar to a sidewalk finish having an average texture depth of approximately 0.025 inch (0.635 mm), when tested in accordance with TxDoT's Tex-436-A, ".

410S.22 Placing Survey Monuments

The Contractor shall obtain City Survey Monuments, for a fee of 10 dollars, from the Department of Public Works, Construction Inspection Division. Monuments shall be embedded in freshly poured concrete at locations indicated on the drawings and accessible to survey equipment at the completion of the project. The monuments shall be installed flush with the adjacent concrete.

410S.23 Curing Concrete

The Contractor shall inform the Engineer or designated representative fully of the methods and procedures proposed for curing, shall provide the proper equipment and material in adequate amounts and shall have the proposed method, equipment and material approved by the Engineer or designated representative prior to placing concrete.

Inadequate curing and/or facilities therefore shall be cause for the Engineer or designated representative to notify the Contractor, in writing, that the work is unsatisfactory and the concrete will have to be removed and replaced.

All concrete shall be cured for a period of 4 curing days except as noted herein. A curing day is a calendar day when the temperature, taken in the shade away from artificial heat is above 50°F (10°C) for at least 19 hours or on colder days if the temperature of all surfaces of the concrete is maintained above 40°F (4°C) for the entire 24 hours. The required curing shall begin when all concrete has attained its initial set. TxDoT's Tex-440-A, "Initial Time-of-Set of Fresh Concrete" may be used to establish when the concrete has attained its initial set.

Table 4: Exceptions to 4-Day Curing

Description	Type of Cement	Required Curing Days
Upper Surfaces of Bridge Slabs, Top Slabs of Direct Traffic Culverts and Concrete Overlays	I or II	8
	II or I/II	10
	All types with supplementary cementing materials	10
Concrete Piling buildups		6

For upper surfaces of bridge slabs, bridge support slabs, median and sidewalk slabs and culvert top slabs constructed using Class S Concrete (Standard Specification Item No. 403S, "Concrete for Structures") interim curing using a Type 1-D curing compound shall be applied as soon as possible after application of the evaporation retardant and after the water sheen has disappeared, but no more than 45 minutes after application of the evaporation retardant. Membrane interim curing shall be applied using a work bridge or other approved apparatus to ensure a uniform application. Final curing with water cure in accordance with this section shall start as soon as possible without damaging the surface finish. Water curing shall be maintained for the duration noted in the table above. Polyethylene sheeting, burlap-polyethylene blankets, laminated mats or insulating curing mats shall be placed in direct contact with the slab when the ambient temperature is expected to drop below 40°F (4°C) during the first 72 hours of the curing period. The curing materials will be weighed down with dry mats to maintain direct contact with the concrete and to provide insulation against cold weather. Supplemental heating or insulation may be required in cold and wet weather if the insulating cotton mats become wet or if the concrete temperature drops below the specified curing temperature. Application of heat directly to concrete surfaces shall be avoided.

For the top surface of any concrete unit upon which concrete is to be placed and bonded at a later date (i.e. stub walls, risers, etc.), only water-cure in accordance with this Section shall be used.

All other concrete shall be cured as specified in pertinent Items.

The following methods are permitted for curing concrete subject to the restrictions of this Item .

A. Form Curing

When forms are left in intimate contact with the concrete, other curing methods will not be required except for exposed surfaces and for cold weather protection.

When forms are striped before the 4-day minimum curing time has elapsed, curing shall continue by an approved method.

B. Water Curing

All exposed surfaces of the concrete shall be kept wet continuously for the required curing time. The water used for curing shall meet the requirements for concrete mixing water as indicated in Item No. 403S, "Concrete for Structures". Seawater will not be permitted. Water, which stains or leaves an unsightly residue, shall not be used.

1. Wet Mats

Wet cotton mats placed in direct contact with the slab shall be maintained for the required curing time. If needed damp burlap blankets made from 9-ounce (255 gm) stock may be placed on the damp concrete surface for temporary protection prior to the application of the cotton mats, which may be placed dry and wetted down after placement.

The mats shall be weighted down adequately to provide continuous contact with all concrete surfaces where possible. The surfaces of the concrete shall be kept wet for the required curing time. Surfaces, which cannot be cured by contact, shall be enclosed with mats, anchored positively to the forms or to the ground, so that outside air cannot enter the enclosure. Sufficient moisture shall be provided inside the enclosure to keep all surfaces of the concrete wet.

2. Water Spray

This method shall consist of overlapping sprays or sprinklers that keep all unformed surfaces continuously wet.

3. Ponding

This method requires the covering of the surfaces with a minimum of 2 inches (50 mm) of clean granular material, kept wet at all times or a minimum of 1 inch (25 mm) depth of water. Satisfactory provisions shall be made to provide a dam to retain the water or saturated granular material.

C. Membrane Curing

Unless otherwise indicated on the drawings, either Type 1-D or Type 2 membrane curing compound may be used where permitted except that Type 1-D (Resin Base Only) will be permitted for slab concrete in bridge decks and top slabs of direct traffic culverts and all other surfaces that require a higher grade of surface finish. For substructure concrete, only one Type of curing compound will be permitted on any one structure.

TABLE 5

	REQUIRED		PERMITTED	
STRUCTURE UNIT DESCRIPTION	**Water for Complete Curing**	**Membrane for Interim Curing**	**Water for Complete Curing**	**Membrane for Complete Curing**
1. Upper surfaces of Bridge Roadway, Median and Side walk Slabs, Top Slabs of Direct Traffic, and Culverts.	X	X (resin base)		
2.Top Surface of any Concrete Unit upon which Concrete is to be placed and bonded at a later interval (Stub Walls, Risers, etc.). Other Super-structure Concrete (curbs, wing-walls, Parapet Walls, etc.).	X		*X	*X
3. Top Surface of Precast and/or Pre-stressed Piling.	X	X		
4. All Substructure Concrete Culverts. Box Sewers, Inlets, Manholes, Retaining Walls, Riprap.			*X	*X

* Polyethylene Sheeting, Burlap-Polyethylene Mats or Laminated Mats in close intimate contact with the concrete surfaces, will be considered equivalent to water or membrane curing for items under 4.

The membrane curing shall be applied just after free moisture has disappeared in a single, uniform coating at the rate of coverage recommended by the manufacturer and as approved by the Engineer or designated representative, but not less than 1 gallon per 180 square feet (1 liter per 4.4 square meters) of area. Tests for acceptance shall be at this specified rate.

Membrane curing shall not be applied to dry surfaces, but shall be applied just after free moisture has disappeared. Formed surfaces and surfaces which have given a first rub shall be dampened and shall be moist at the time of application of the membrane.

When membrane is used for complete curing, the film shall remain unbroken for the minimum curing period specified. Membrane, which is damaged, shall be corrected immediately by reapplication of membrane. Polyethylene sheeting, burlap-polyethylene mats or laminated mats in close intimate contact with the concrete surfaces, will be considered equivalent to membrane curing. Unless otherwise indicated on the drawing, the choice of membrane type shall be at the option of the Contractor, except that the Engineer or designated representative may require the same curing method for like portions of a single structure.

410S.24 Removal of Forms and Falsework

Unless otherwise indicated on the drawing, forms for vertical surfaces may be removed when the concrete has aged 12 hours after initial set, provided it can be done without damage to the concrete. Forms for mass concrete placements shall be maintained in place for 4-days following concrete placement. Mass placements are defined as concrete placements with a least dimension greater than equal to 5 ft. (1.575 meters), or those designated as such on the drawings.

Forms for inside curb faces may be removed in approximately 3 hours provided it can be done without damage to the curb.

Unless indicated otherwise on the drawings weight supporting forms and falsework spanning more than 1 ft. (300 mm) for structures, bridge components and culvert slabs shall remain in place until the concrete has attained a minimum compressive strength of 2500 psi (17.25 MPa). Forms for other structural components may be removed as specified by the Engineer or designated representative.

Inside forms (walls and top slabs) for inlets, box culverts and sewers may be removed after the concrete has attained a minimum compressive strength of 1800 psi (12.4 MPa), provided an overhead support system, approved by the Engineer or designated representative, is used to transfer the weight (mass) of the top slab to the walls of the box culvert or sewer before the support provided by the forms is removed.

If all test cylinders made for the purpose of form removal have been broken without attaining the required strength, forms shall remain in place for a total of 14 curing days.

The above provisions relative to form removal shall apply only to forms or parts thereof which are constructed to permit removal without disturbing forms or falsework required to be left in place for a longer period on other portions of the structure.

Remove all metal appliances used inside forms for alignment shall be removed to a depth of at least ½ in. (13 mm) from the concrete surface. The appliances shall be manufactured to allow the removal without undue chipping or spalling of the concrete, and so that it leaves a smooth opening in the concrete surface when removed. Rods, bolts and ties shall not be burned-off.

Backfilling against walls of Type I or Type II cement shall not take place for a minimum of 7 days. Backfilling against walls of Type III cement shall not take place until the cylinder compressive strength has reached 3000 psi (20.7 MPa) or the wall has cured for 5 days.

All forms and falsework shall be removed unless indicated otherwise on the drawings.

410S.25 Defective Work

Any defective work discovered after the forms have been removed shall be repaired as soon as possible in accordance with "Finishing Exposed Surfaces", below.

If the surface of the concrete is bulged, uneven or shows excess honeycombing or form marks, which in the opinion of the Engineer or designated representative, cannot be repaired satisfactorily, the entire section shall be removed and replaced at the expense of the Contractor.

410S.26 Finishing Exposed Surfaces

A. Ordinary Surface Finish

An Ordinary Surface Finish shall be applied to all concrete surfaces either as a final finish or preparatory to a higher grade or class of finish. Higher grades and classes of finish shall conform to Item No. 411S, "Surface Finishes for Concrete". Where neither a grade or class of finish is specified, an Ordinary Surface Finish only, will be required.

Ordinary Surface Finish shall be provided as follows:

1. After formal removal, all porous, honeycombed areas and spalled areas shall be corrected by chipping away all loose or broken material to sound concrete.
2. Featheredges shall be eliminated by saw-cutting and chipping spalled areas to a depth at least ½ in. (13 mm) deep perpendicular to the surface. Shallow cavities shall be repaired using a latex adhesive grout, cement mortar or epoxy grout approved by the Engineer or designated representative. If judged repairable by the Engineer or designated representative, large defective areas shall be corrected using concrete or other material approved by the Engineer or designated representative.
3. Holes and spalls caused by removal of form ties, etc., shall be cleaned and filled with latex adhesive grout, cement mortar or epoxy grout approved by the Engineer or designated representative. Only the holes shall be filled. The patch shall not be blended with the surrounding concrete. On surfaces to receive a rub finish in accordance with Standard Specification Item No. 411S, "Surface Finishes for Concrete" the exposed parts of metal chairs shall be chipped out to a depth of 1/2 inch (13 mm) and the surface repaired.
4. All fins, runs, drips or mortar that will be exposed shall be removed from surfaces. Form marks and chamfer edges shall be smoothed by grinding and/or dry rubbing.
5. Grease, oil, dirt, curing compound, etc., shall be removed from surfaces requiring a higher grade of finish. Discolorations resulting from spillage or splashing of asphalt, paint or other similar material shall be removed.
6. Repairs shall be dense, well bonded and properly cured and when made on surfaces, which remain exposed and do not require a higher finish, shall be finished to blend with the surrounding concrete.

Unless otherwise indicated on the drawings Ordinary Surface Finish shall be the final finish for the following exposed surfaces:

1. inside and top of inlets,
2. inside and top of manholes,
3. inside of sewer appurtenances,
4. inside of culvert barrels,
5. bottom of bridge decks between beams or girders,
6. vertical and bottom surfaces of interior concrete beams or girders.

B. Rubbed Finish

In general, the following areas shall require a rubbed finish and shall receive a first and second rubbing:

1. The top, exterior and roadway facia of curbs and parapet walls.
2. All concrete surfaces of railing.
3. The exterior vertical facia of slab spans, rigid frames, arches and box girders.
4. The outside and bottom surfaces of facia beams or girders (except precast concrete beams).

5. The underside of overhanging slabs to the point of juncture of the supporting beams.
6. All vertical surfaces of piers, columns, bent caps, abutments, wing walls and retaining walls which are exposed to view after all backfill and embankments is placed.
7. Exposed formed surfaces of inlet and outlet structures on culverts, transition structures, headwalls and inlets.
8. Such other surfaces specified elsewhere to receive a rubbed finish and such additional surfaces required by the Engineer or designated representative to receive a rubbed finish.

After removal of forms and as soon as the mortar used in pointing has set sufficiently, surfaces to be rubbed shall be wet with a brush and given a first surface rubbing with a medium coarse carborundum stone. This rubbing shall be done before the concrete has cured more than 48 hours.

The second rubbing shall present a cleaned uniform appearance free from drip marks and discoloration. It shall be given with a No.30 carborundum stone or an abrasive of equal quality.

If the Contractor elects to use epoxy paint in lieu of the second rubbings the Contractor may do so upon approval of the Engineer or designated representative.

C. Special Surface Finishes

Striated, exposed aggregate and other special surface finishes shall conform to Standard Specification Item No. 411S, "Surface Finishes for Concrete" and/or with the requirements indicated on the drawings.

410S.27 Measurement and Payment

No direct measurement or payment will be made for the work to be done or the equipment to be furnished under this item, but shall be included in the unit price bid for the item of construction in which this item is used.

End

SPECIFIC CROSS REFERENCE MATERIALS
Standard Specification Item 410S, “Concrete Structures”

City of Austin Standard Specification Items

Designation	Description
Item No. 360S	Concrete Pavement
Item No. 401S	Structural Excavation and Backfill
Item No. 403S	Concrete for Structures
Item No. 406S	Reinforcing Steel
Item No. 411S	Surface Finishes for Concrete
Item No. 413S	Cleaning and/or Sealing Joints and Cracks (PC Concrete)
Item No. 425S	Prestressed Concrete Structures

U.S. Department of Commerce Voluntary Product Standard, PS 1

American Concrete Institute

Designation	Description
ACI 347	Guide to Formwork for Concrete

American Society for Testing and Materials (ASTM)

Designation	Description
ASTM D-994	Preformed Expansion Joint Filler for Concrete (bituminous Type)
ASTM D-1751	Prefprmed Expansion Joint Filler for Concrete Paving and Structural Construction (Nonextruding and Resilient Bituminous Types)
ASTM D-1752	Prefprmed Sponge Rubber and Cork Expansion Joint Fillers for Concrete Paving and Structural Construction

Texas Department of Transportation: Departmental Material Specifications

Designation	Description
DMS-4640	Chemical Admixtures for Concrete
DMS-4650	Hydraulic Cement Concrete Curing Materials and Evaporation Retardants"
DMS-6100	Epoxy and Adhesives
DMS-6160	Waterstops, Nylon Reinforced Neoprene Sheet, and Elastomeric Pads
DMS-6310	Joint Materials and Fillers

Texas Department of Transportation: Manual of Testing Procedures

Designation	Description
Tex-436-A	Measurement of Texture Depth by the Sand Patch Method
Tex-440-A	Initial Time-of-Set of Fresh Concrete

RELATED CROSS REFERENCE MATERIALS

Standard Specification Item 410S, "Concrete Structures"

American Society for Testing and Materials, ASTM

Designation	Description
A 36/A 36M	Carbon Structural Steel
A 82	Steel Wire, Plain, for Concrete Reinforcement
A 185	Steel Welded Wire Fabric, Plain, for Concrete Reinforcement
A 496	Steel Wire, Deformed, for Concrete Reinforcement
A 497	Steel Welded Wire Fabric, Deformed, for Concrete Reinforcement
A 615/A 615M	Deformed and Plain Billet-steel Bars for Concrete Reinforcement
A 675/A 675M	Steel Bars, Carbon, Hot-Wrought, Special Quality, Mechanical Properties
A 706/A 706M	Low- Alloy Steel Deformed and Plain Bars for Concrete Reinforcement
A 775/A 775M	Epoxy-Coated Reinforcing Steel Bars
A 884/A 884M	Epoxy-Coated Steel Wire and Welded Wire Fabric For Reinforcement
A 934/A 934M	Epoxy-Coated Prefabricated Reinforcing Steel Bars
A 996/A 996M	Rail-Steel and Axle-Steel Deformed Bars for Concrete Reinforcement
D3963/D3963M	Fabrication and Jobsite Handling of Epoxy-coated Reinforcing Steel Bars

Texas Department of Transportation: Manual of Testing Procedures

Designation	Description
Tex-739-I	Sampling and Testing Epoxy Coated Reinforcing Steel

City of Austin Standard (Details)

Designation	Description
Standard 406S-1	Reinforced Steel Tolerances

Texas Department of Transportation: Departmental Material Specifications

Designation	Description
DMS 8130	Epoxy Powder Coating for Reinforcing Steel

City of Austin Standard Specification Items

Designation	Description
Item No. 404S	Pneumatically Placed Concrete
Item No. 407S	Fibrous Concrete
Item No. 414S	Concrete Retaining Walls
Item No. 420S	Drilled Shaft Foundations

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges

Designation	Description
Item No. 360	Concrete Pavement
Item No. 420	Concrete Structures
Item No. 421	Hydraulic Cement Concrete
Item No. 422	Reinforced Concrete Slab
Item No. 423	Retaining Walls
Item No. 440	Reinforcing Steels

Item No. 411S
Surface Finishes for Concrete

411S.1 Description

This item shall govern the furnishing of all materials and the application by the methods of construction indicated on the Drawings for the application of a surface finish to concrete.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text and accompanying tables, the inch-pound units are given preference followed by SI units shown within parentheses.

411S.2 Submittals

The submittal requirements of this specification item include:

A. Type and manufacturer of cement(s).

B. Type and manufacturer of membrane curing compound.

C. Type and manufacturer of adhesive grout.

D. Type and manufacturer of resin paint.

E. Samples as requested.

F. Locations of proposed grade/class of finishes.

411S.3 Materials

(1) Masonry Sand

Masonry sand shall conform to ASTM C 144.

(2) White Cement

White cement shall conform to ASTM C 150.

(3) Portland Cement

All cement unless otherwise indicated shall be Portland cement conforming to ASTM C 150.

Portland cement manufactured in a cement kiln fueled by hazardous waste shall be considered as an approved product if the production facility is authorized to operate under regulation of the Texas Natural Resource Conservation Commission (TNRCC) and the U. S. Environmental Protection Agency (EPA). Supplier shall provide current TNRCC and EPA authorizations to operate the facility.

(4) Membrane Curing

Membrane curing shall conform to Item No. 409S, "Membrane Curing".

(5) Adhesive Grout

This subsection sets forth the requirements for three epoxy adhesives with different viscosities designed to bond fresh Portland Cement concrete to existing Portland Cement concrete, hardened concrete to hardened concrete and steel to fresh or hardened concrete. These adhesives are as follows:

Type V: Standard (medium viscosity) for applying to horizontal and vertical surfaces. This material is suitable for surface sealing of fine cracks in concrete.

Type VI: Low viscosity for application with spray equipment to horizontal surfaces.

Type VII: Paste consistency for overhead application and where a high buildup is required. This material is suitable for surface sealing of cracks in concrete, which are veed out prior to sealing, and for grouting of dowel bars where clearance is 1/16 inch (1.6 mm) or less.

(a) Mixing Ratio: The ratio of resin and hardener components to be mixed together to form the finished adhesive shall be either 1 to 1 or 2 to 1 by volume.

Any specific coloring of resin and/or hardener components desired will be stated by the Engineer or designated representative.

Fillers, pigments and thixotropic agents. All fillers, pigments and/or thixotropic agents in either the epoxy resin or hardener component must be of sufficiently fine particle size and dispersed so that no appreciable separation or settling will occur during storage.

Any fillers present in the low viscosity version must be of such a nature that they will not interfere with application by spray equipment or abrade or damage such equipment.

The concrete adhesive shall contain no volatile solvents.

(b) Consistency: The adhesives shall comply with the following:

	Type V	Type VI	Type VII
Viscosity of mixed adhesive 77° ± 1°F, (25° ± -17°C) Poises	400 Maximum	150 Maximum	must be sufficiently fluid to apply by trowel or spatula without difficulty
Pot Life at 77°F (25°C), minutes minimum - 30			
Set Time at 77°F (25°C) (Time required to attain 180 psi (1.3 mPa), hours maximum - 12			

Thixotropy test shall be performed at both 77° and 120° F (25° and 49° C). Average thickness of cured adhesive remaining on test panel, mils minimum.

Type V	Type VII
30	45

Samples of the individual components in sealed containers shall be maintained at 115° + 3° F (46° + -16° C) for 2 weeks. The mixed adhesive prepared from these samples must still comply with the minimum thixotropy requirements.

The viscosity of the Type V and Type VI versions must not show an increase of more than 20 percent compared with the viscosity prior to the stability test. The

Type VII adhesive must still be sufficiently fluid to apply by trowel or spatula without difficulty.

(c) Physical Properties of the Cured Adhesive

Property	Requirements
Adhesive Shear Strength, psi, (mPa), minimum	2200 (15)
Water Gain, percent by weight, maximum	0.20
Ability to bond fresh Portland cement concrete to cured Portland cement concrete psi, (mPa), minimum (7 days cure time)	400 (2.8)

(6) Synthetic Resin Paint

Type X Epoxy: This is a high solids epoxy coating designed for application by brush or roller. The materials can also be applied by airless spray by addition of a maximum of 5 percent toluene solvent at the direction of the Engineer or designated representative.

Raw Materials: The basic raw materials to be incorporated into this coating are listed below, along with the specific requirements for each material. The final decision as to the quality of materials shall be made by the Engineer or designated representative. After the Engineer or designated representative has approved the brand names of raw materials proposed by the Contractor, no substitution will be allowed during the manufacture without prior approval of the Engineer or designated representative.

Epoxy Resin: The basic epoxy resin used in the formulation shall be an unmodified liquid resin conforming to the following chemical and physical requirements:

Viscosity at 25.0 + 0.1 C, cps	7,000 to 10,000
Weight per epoxy equivalent, gms per gm - mole	175 to 195
Color (Gardner Number), maximum	5
Hydrolyzable chlorine, maximum % by weight	0.2
Specific gravity, 25/25 degrees	1.14. to 1.18

Test methods to be used in determining these qualities are listed below:

(a) Viscosity - Test for Kinematic Viscosity (ASTM Designation: D 445).

(b) Weight per Epoxy Equivalent - Test for Epoxy Content of Epoxy Resins (ASTM Designation: D 1652).

(c) Color - Test for Color of Transparent Liquids (Gardner Color Scale) (ASTM Designation: D 1544).

(d) Hydrolyzable Chlorine - Test for Hydrolyzable Chlorine Content of Liquid Epoxy Resins (ASTM Designation D: 1726).

(e) Specific Gravity - Method of Test for Density of Paint, Varnish, Lacquer and Related Products (ASTM Designation: D 1475).

Pigment

Titanium Dioxide: The titanium dioxide used in this formulation shall be equivalent to DuPont R-900. This shall be a pure, chalk-resistant, rutile titanium dioxide meeting the requirements of ASTM D 476, Type III.

Extender: The extender used in this formulation shall be Nyad 400, manufactured by Interpace Pigments. Specific requirements are as follows:

Particle size distribution	Minimum	Maximum
Minus 20 microns, percent by weight	95	
Minus 10 microns, percent by weight	70	80
Minus 5 microns, percent by weight	40	50
Minus 3 microns, percent by weight	30	40
Minus 1 micron, percent by weight	14	20
Oil Absorption (rub out, lbs/100 lbs)		25 maximum
Brightness (G.E.)	92.5 minimum	

411S.4 Grade of Finish

(1) General

The grade and/or class of finish shall be as described herein and as indicated.

"Grade" of finish designates the areas to which a higher finish is to be applied beyond the requirements of an Ordinary Surface Finish. Four grades of finish are included herein.

"Class" of finish designates the materials or the process to be used in providing the grade of finish. Three classes of finish are included herein.

For structures and surfaces not described herein under grade of finish, a class of finish only may be indicated. Where neither a grade nor class is specified, an Ordinary Surface Finish only will be required as specified in Item No. 410S, "Concrete Structures".

Where the plans specify a grade and class of finish, i.e., Grade II, Class C, only that type of finish shall be furnished.

Where the plans specify a grade of finish only, i.e., Grade I Finish, any of the classes of finish may be furnished. Only one class of finish shall be furnished on any individual structure, twin structures or on structures in close proximity to each other, except as specified for prestressed concrete members below.

(2) Grade I

The following areas shall receive a Class A, B or C (two rub) Finish, except that prestressed members shall receive either a Class A or B Finish only.

All concrete surfaces of railing, including the parapet types; exterior vertical faces of slabs, slab spans, arches and box girders; the outside and bottom surfaces of fascia beams or girders (including prestressed members); the underside of overhanging slabs to the point of juncture of the supporting beam; all exposed vertical surfaces of

bents and piers and bottom surfaces of bent caps; all exposed surfaces of tie beams, abutments, bridge wingwalls, culvert headwalls and wingwalls and retaining walls exposed to view after all backfill and is placed.

Unless otherwise indicated, the underside of the slab of slab spans shall be finished its entire width.

Unless otherwise indicated, exposed surfaces of pump houses and other miscellaneous concrete surfaces shall receive a Class A, B or C (one rub) Finish.

(3) Grade II

All concrete surfaces of railing, including the parapet types, all exposed surfaces of bridge wingwalls and the exterior vertical faces of slabs and slab spans shall receive a Class A, B or C (two rub) Finish. All other surfaces described under Grade I Finish shall receive a Class A or B finish only. The underside of slab spans shall receive an Ordinary Surface Finish only.

(4) Grade III

All concrete surfaces of railing, including the parapet types, all exposed surfaces of bridge wingwalls and the exterior vertical faces of slabs shall receive a Class A, B or C (two rub) Finish. All other surfaces described under Grade I Finish shall receive an Ordinary Surface Finish.

(5) Grade IV

The top and roadway faces only of all concrete railing, including the parapet types and bridge wingwalls shall receive a Class A, B or C (one rub) Finish. All other surfaces described under Grade I shall receive an Ordinary Surface Finish.

411S.5 Class of Finish

The Class of Finish designates either an adhesive grout material, a paint-type material or a rubbing process applied to surfaces specified in "Grade of Finish", as required above and/or as indicated.

Unless otherwise indicated the color shall be concrete gray.

(1) Class A

This finish shall consist of an adhesive grout textured coating with a minimum 1/16 inch thickness, composed of 1 part white cement, 1 part natural (gray) cement, 2 parts masonry sand, 1 part (latex) emulsion and enough water to form a viscous slurry of a consistency that may be applied by spray gun, brush or roller without appreciable running or sagging. The proportions of white and gray cement may be varied slightly to obtain the desired color.

Gradation of the masonry sand shall be as required to produce a texture satisfactory to the Engineer or designated representative.

Prepackaged materials meeting these requirements and acceptable to the Engineer or designated representative as to color, texture and appearance will be permitted.

(2) Class B

The finish shall be a paint-type material, consisting of a synthetic resin, containing fibrous as well as texturing pigments, which when applied by a 1 coat spray application at the rate of 45 + 5 square feet per gallon (15.9 + 1.9 square meters per liter) will yield an acceptable textured coating. Certification by the manufacturer of the above materials will be required.

(3) Class C

This finish shall consist of a one rub or two rub system, as the case may be, meeting the requirements set forth below under "Construction Methods".

411S.6 Approval of Surface Finishing Materials

The material to be furnished shall meet the requirements of TxDoT Specification DMS-8110, Structural Coatings, latest revision.

In addition to the above, the manufacturer shall furnish the following:

(1) At the time of original request for approval of the surface furnishing material, the manufacturer shall supply a 1-gallon (3.8 L) sample of the material to the Engineer or designated representative, if requested.

(2) Each 6 months after approval of the material, the manufacturer shall furnish a notarized certification indicating that the material originally approved has not been changed or altered in any way. Any change in formulation of a surface finish shall require retesting prior to use.

The Engineer or designated representative may request additional information to be submitted such as infrared spectophotometry scan, solids content, etc., for further identification. A change in formula discovered by any of the tests prescribed herein or by other means and not reported and retested, may be cause to permanently bar the manufacturer from furnishing surface finish materials for City work.

The City reserves the right to perform any or all of the tests required by this specification as a check on the tests reported by the manufacturer. In case of any variance the City tests will govern.

411S.7 Construction Methods

Prior to application of any of the finishes required herein, concrete surfaces shall be given an Ordinary Surface Finish. For Class A and B materials, concrete surfaces shall be clean and free of dirt, grease, curing compound or any other bond breaking substance. Class A shall be applied on moistened surfaces but Class B requires a dry surface. The temperature of the atmosphere, concrete and compound shall be above 50°F (10°C) for Classes A and B at the time of application. The finished surfaces shall be protected against rain or freezing for a period of 24 hours after application.

Class A materials shall be applied by spraying, by roller or by brush. Class B materials shall be applied by spraying only. All applications shall provide an acceptable texture of the proper coverage.

The Class A and B material shall be applied after all preparation work required by Ordinary Surface Finish has been completed.

The Class C Finish shall be performed with a carborundum stone as follows, after all preparatory work required by Ordinary Surface Finish has been completed:

For a two-rub system, the first rubbing shall bring the wetted concrete face to a paste and produce a smooth dense surface without pits, form marks or other irregularities. The use of cement or grout to form the paste will not be permitted. Striping with a brush and washing after the first rubbing will not be required. Chamfer lines shall be finished during the second rubbing.

The first rubbing shall be done soon after form removal. Membrane curing, if used, shall be applied after the first rub is complete. Prior to the second rubbing, any remaining curing membrane shall be removed from the surface by brushing, buffing or other satisfactory methods.

The second rubbing shall be performed when conditioning the structure for final acceptance. The specified surfaces shall be cleaned of drip marks and discolorations and given a final rubbing. The surface shall be striped neatly with a brush and the paste allowed to take a reset, after which the surfaces shall be washed with clean water leaving them with a neat and uniform appearance and texture.

For a one rub system, the rubbing requirements shall be the same as for the first rub above, except chamfer lines shall be finished and the paste spread uniformly, striped with a brush and allowed to take a reset after which the surfaces shall be washed with clean water leaving them with a neat and uniform appearance and texture.

411S.8 Special Surfaces Finishes

(1) General

When special surface finishes are required for retaining walls, panels, copings or similar construction, the Contractor shall prepare sample panels for approval of the finish and the method of application. Unless otherwise indicated, panel or pattern arrangement and dimensions may be varied to achieve a more pleasing appearance or to utilize forming material more efficiently when approved by the Engineer or designated representative. Aggregates, materials, variation of panel or pattern arrangement, dimensions and other features affecting the work shall be approved prior to start of the work.

(2) Striated Finish

The striated (grooved) pattern shall be as indicated or as approved by the Engineer or designated representative.

The finish shall be made by lining the forms with striated sheets of plywood, plastic, fiberglass, metal or other material acceptable to the Engineer or designated representative. The striations on the panels shall be of a smooth, wide pattern, not sharp or angular.

A chamfer groove shall be used along all edges of each panel. All ties, bolts or other forming accessories shall be located along the chamfer grooves or panel edges.

(3) Exposed Aggregate Finish

(a) Structural Concrete

Exposed aggregate panels may be either raised, recessed or as indicated with the sides of each panel chamfered as directed by the Engineer or designated representative.

The aggregate used for this finish shall be approved by the Engineer or designated representative. Unless otherwise indicated, aggregate shall conform to the grading requirements of Grade 2 aggregate except that a minimum of 50 percent shall be retained on the ¾-inch (19 mm) sieve. Gravel of predominately rounded particles shall be used, except that when indicated or approved by the Engineer or designated representative in writing, crushed stone may be used. The aggregate shall be large enough to remain firmly anchored in the face of the final product. The depth shall be 1/4-inch (6.4 mm) minimum to 1/2-inch (12.7 mm), unless otherwise indicated or directed by the Engineer or designated representative.

A surface retarder that penetrates the concrete approximately 1/4 inch (6.4 mm) shall be applied to the forms or concrete surface as an aid in achieving the desired finish. Wood forms may require 2 or 3 coatings to compensate for absorption. Form joints shall be taped or caulked to prevent escape of the retarder during placing operations.

Treated form surfaces shall be protected from sun and rain while exposed to the atmosphere. In case of high humidity or if rain has dampened the forms prior to placing concrete, a reapplication of the surface retarder may be required to provide uniform coverage of the retarder on the forms.

Adjacent areas of fresh concrete not requiring exposed aggregate finish shall be protected when the retarder is applied.

The finish shall be obtained by sandblasting, bush hammering, water blasting or other methods, as approved by the Engineer or designated representative. Horizontal surfaces may be finished by a combination of brushing and washing, but only after the concrete has set sufficiently to prevent loosening of the aggregate.

Unless otherwise directed by the Engineer or designated representative, forms for surface requiring exposed aggregate finish shall be removed 12 to 15 hours after concrete placement. The exposed aggregate operation shall be accomplished immediately after form removal. Except for the time required for obtaining the exposed aggregate finish, curing of all surfaces shall be maintained for the minimum 4 day curing time. All surfaces shall be either water cured or may be cured with an approved clean membrane compound. If water curing is used, it shall be followed by a clear membrane curing compound conforming to Item No. 409S, "Membrane Curing".

Care shall be taken to ensure proper vibration at all points of concrete placement to prevent honeycomb or segregation of the materials. Vibration shall be done in such a manner as to provide adequate penetration of previously placed concrete lifts. Care shall be taken to prevent contact of the vibrator with the face form.

(b) Sidewalks

When exposed aggregate surfaces are required for sidewalks, driveways and/or medians, the coarse aggregate shall consist of particles with at least 40 percent crushed faces. Uncrushed gravel, polished aggregates and clear resilient

coatings are not acceptable. Grade 5 coarse aggregates shall be used for exposed aggregate finishes for sidewalks, driveways and/or medians.

411S.9 Measurement and Payment

No direct measurement or payment will be made for the work to be done, the equipment or materials to be furnished under this item, but shall be included in the unit price bid for the item of construction in which this item is used.

End

SPECIFIC Cross Reference Materials
Standard Specification Item No. 411S, " Surface Finishes for Concrete"

City of Austin Standard Specification Items

Designation	Description
Item No. 410S	Concrete Structures

Texas Department of Transportation: Manual of Testing Procedures

Designation	Description
DMS-8110	Coatings for Concrete

American Society for Testing and Materials (ASTM)

Designation	Description
C 144	Aggregate for Masonry Mortar
C 150	Portland Cement
D 445	Kinematic Viscosity of Transparent and Opaque Liquids
D 476	Standard Classification for Dry Pigmentary Titanium Dioxide Products
D 1475	Standard Test Method for Density of Liquid Coatings, Inks and Related Products
D 1544	Standard Test Method for Color of Transparent Liquids (Gardner Color Scale)
D 1652	Standard Test Method for Epoxy Content of Epoxy Resins
D 1726	Standard Test Method for Hydrolyzable Chloride Content of Liquid Epoxy Resins

RELATED CROSS REFERENCE MATERIALS
Specification Item No. 411S, " Surface Finishes for Concrete"

City of Austin Standard Specification Items

Designation	Description
Item No. 403S	Concrete for Structures
Item No. 410S	Concrete Structures
Item No. 411S	Surface Finished for Concrete

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Item No. 413S
Cleaning and/or Sealing Joints and Cracks (Portland Cement Concrete)

413S.1 Description

This item shall govern the cleaning and/or sealing of joints and cracks in either new or existing Portland cement concrete pavements and bridge decks in conformance with the requirements herein and the details indicated on the Drawings or as established by the Engineer or designated representative.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text, the inch-pound units are given preference followed by SI units shown within parentheses.

413S.2 Submittals

The submittal requirements of this specification item include:

A. Sealant Type (Rubber-Asphalt, Polymer Modified Emulsion, Low Modulus Silicone or Polyurethane), Class and method of application (crack sealing, joint sealing, etc),
B. Manufacturer recommendations concerning the use of primer and backer rod
C. Manufacturer recommended equipment and procedures for preparation, dispensing, application, curing etc of the sealant, and
D. Manufacturer certification that the product to be supplied meets or exceeds the specifications,
E. Listing of the equipment proposed for the Work.

413S.3 Materials

Joints and/or cracks shall be sealed with the type and/or class of materials indicated on the Drawings. The materials shall conform to the requirements of TxDoT Specification Item No. 433S, “Joint Sealants and Fillers” and TxDoT Departmental Materials Specification No. DMS 6310, “Joint Sealants and Seals”.

Primers, if required, shall be as recommended by the manufacturer of the sealant. Backer rods, when required, shall be compatible with the sealant and shall not react with or bond to the sealant.

The sealing compound shall be delivered in the manufacturer's original sealed containers. Each container shall be legibly marked with the name of the manufacturer, the trade name of the sealer, the manufacturer's batch number or lot, the pouring temperature, and the safe heating temperature.

413S.4 Equipment

All equipment shall be in accordance with the sealant manufacturer’s recommendations. Air compressors shall be equipped with appropriate filters for removing oil and water from the air.

Any equipment, that damages dowels, reinforcing steel, Portland cement concrete, base, subbase or subgrade in the process of cleaning the joints and/or cracks, shall be discontinued and the joint and/or crack shall be cleaned by other methods approved by the Engineer or designated representative, which do not cause such damage.

413S.5 Construction Methods

Equipment, tools and machinery recommended for proper prosecution of the Work shall be on the project and shall be approved by the Engineer or designated representative prior to the initiation of the joint and/or crack cleaning and sealing operations.

A. Joint and Crack Preparation.

The bonding surface of cracks and joints shall be cleaned of infiltrated material, saw cuttings or other foreign material. All material removed from joints and cracks shall be removed from the paved surface of the roadway.

No sealing of any joints or cracks shall be done when the joints or cracks are damp, unless drying of the joints and cracks with compressed air can be demonstrated and meets with the approval of the Engineer or designated representative.

1. Joint Preparation.

   The joints shall be cleaned with filtered compressed air or other methods approved by the Engineer or designated representative. Unless noted otherwise on the Drawings, hand tools, air guns, power routers, abrasive equipment or other equipment may be used to clean the joints. Where indicated on the Drawings, the joint sealant space shall be resized by sawing to the width and depth shown on the Drawings to accommodate the type of sealant specified.

2. Crack Preparation.

   Unless indicated otherwise on the Drawings, the crack shall be grooved initially at the surface so that a reservoir of rectangular cross section is provided for the sealant. The grooves shall be cut to the dimensions shown on the Drawings. The devices that are used for grooving, such as diamond blade random cut saws, random-crack grinders, etc., shall be capable of following the path of the crack without causing excessive spalling or other damage to the concrete.

B. Joint and Crack Sealing

The sealant shall be installed in accordance with the manufacturer's recommended procedure. The joint and/or crack surfaces shall be surface dry unless recommended otherwise by the manufacturer of the sealant.

The surface temperature at the time of the sealing operation shall not be less than 40°F (4.5°C).

The minimum depth of sealant shall be ½ inch (12.5 mm) or a depth recommended by the sealant manufacturer and the top of the sealant shall be located 1/8 to ¼ inch (3 to 6.5 mm) below the adjacent pavement surface.

1. Primer.

   If required, the primer shall be applied as soon as possible after cleaning is accomplished. The primer shall be applied uniformly at the rate recommended by the sealant manufacturer. The primer shall be applied to exposed metal surfaces before new corrosion begins and shall be allowed to cure for a minimum of thirty (30) minutes, but no longer than eight (8) hours prior to the application of the sealant, unless sealant manufacturer recommendations indicate otherwise.

2. Backer Rods.

   Backer rods shall be used to pr4event a fluid type sealant from flowing through the joint and crack and to retain the sealant at its required elevation. The application and use of backer rod shall be as recommended by the sealant manufacturer and approved by the Engineer or designated representative.

413S.6 Measurement

Accepted work performed under this item shall be included in other pay items and will not be measured and paid for unless a separate pay item is provided in the contract bid form documents.

If a pay item is included in the contract documents, acceptable work for "Cleaning and/or Sealing Joints and Cracks" shall be measured by the lineal foot (meter: 1 meter equals 3.281 feet) of sealant in place.

If a pay item is included in the contract documents, acceptable work "Cleaning and/or Sealing Joints and Cracks" shall be measured by the pound (kilograms: 1 kilogram equals 2.205 pounds).

413S.7 Payment

When included as a pay item in the contract documents, the work performed and materials furnished as provided by this item and measured in accordance with Article 413S.6, "Measurement", will be paid for at the appropriate unit bid price bid. The unit bid prices shall include full compensation for cleaning and, if necessary, grooving and/or sawing the crack/joint; furnishing, hauling and placing primer and backer rod, if necessary; furnishing, heating, hauling, and placing the crack/joint sealer; all freight involved and all manipulations, labor, tools, equipment and incidentals necessary to complete the work.

Payment, when included as a contract pay item, will be made under:

Pay Item No. 413S-A:	_____ Sealer	Per Lineal Foot.
Pay Item No. 413S-B:	_____ Sealer	Per Pound of Sealer Used.

End

SPECIFIC Cross Reference Materials
Item No. 413S, "Cleaning and/or Sealing Joints and Cracks (Portland Cement Concrete)"

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges

Designation	Description
Item No. 433S	Joint Sealants and Fillers
Item No. 438	Cleaning and/or Sealing Joints and Cracks (Portland Cement Concrete)

Texas Department of Transportation: Departmental Materials Specifications

Designation	Description
DMS 6310	Joint Sealants and Seals

RELATED Cross Reference Materials

City of Austin Standard Specifications

Designation	Description

Item No. 301S Asphalts, Oils and Emulsions
Item No. 313S Cleaning and/or Sealing Joints and Cracks (Asphaltic Concrete)

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges

Designation	Description
Item No. 300	Asphalts, Oils and Emulsions
Item No. 352	Cleaning and/or Sealing Joints and Cracks (Asphaltic Concrete)

ITEM NO. 430S - P.C. CONCRETE CURB AND GUTTER 11-15-11

430S.1 - Description

This item shall govern Portland Cement (p.c.) concrete curb, p.c. concrete curb and gutter with reinforcing steel or p.c. concrete laydown curb as required, that is constructed in accordance with this specification on an approved subgrade and base in conformity with Standard Detail Series 430S and the lines, grades, section indicated on the Drawings or as established by the Engineer or designated representative.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text, the inch-pound units are given preference followed by SI units shown within parentheses.

430S.2 - Submittals

The submittal requirements of this specification item include:

A. Class A p.c. concrete mix design,

B. Type of Installation (i.e. P.C. Concrete Curb and Gutter or P.C. Concrete Curb or P.C. Concrete Laydown Curb) and construction details (i.e. base, reinforcing steel, joints, curing membrane),

C. Identification of the type, source, mixture, Pure Live Seed (PLS) and rate of application of the seeding.

430S.3 - Materials

A. Concrete

The Portland cement (p.c.) concrete shall conform to Class A Concrete, Section 403S.7 (Table 4) of Standard Specification Item No. 403S, "Concrete for Structures" or Sections 360S.4 and 360S.6 of Standard Specification Item No. 360S, "Concrete Pavement" when curb and gutter is to be constructed integral with the pavement.

B. Reinforcing Steel

Reinforcing steel shall conform to Standard Specification Item No. 406S, "Reinforcing Steel."

C. Expansion Joint Materials

Expansion joint materials shall conform to Standard Specification Item No. 408S, "Expansion Joint Materials."

D. Membrane Curing Compound

Membrane curing compound shall conform to Standard Specification Item No. 409S, "Membrane Curing."

E. Flexible Base

Aggregate shall conform to Standard Specification Item No. 210S, "Flexible Base".

430S.4 - Construction Methods

A. Subgrade and Base Preparation

Subgrade for curb and gutter shall be excavated and prepared to depth and width requirements indicated on the Drawings, including a minimum of 12 inches (300 mm) behind the curb, unless a greater width is indicated on the Drawings. The subgrade shall be shaped to the line, grades, cross section and dimensions indicated on the Drawings. A minimum of 4 inches (100 mm) of flexible base shall be spread, wetted and thoroughly compacted under curb and gutter as specified in Standard

Specification Item No. 210S, "Flexible Base". If dry, the base shall be sprinkled lightly with water before p.c. concrete is deposited thereon.

B. C & G Forms

Forms shall be of metal, well-seasoned wood or other approved material. The length of the forms shall be a minimum of 10 feet (3 meters). Flexible or curved forms shall be used for curves of 100-foot (30 meter) radius or less. Wood forms for straight sections shall be not less than 2 inches (50 mm) in thickness. Forms shall be a section, that is satisfactory to the Engineer or designated representative, of the depth required and clean, straight, free from warp and, if required, oiled with a light form oil. All forms shall be securely staked to line and grade and maintained in a true position during the placement of p.c. concrete.

C. Reinforcing Steel

The reinforcing steel, if required, shall be placed as shown on the typical section of the Drawings. Care shall be exercised to keep all steel in its proper location during p.c. concrete placement.

D. Joints

Joints shall be of the type and spacing shown on the Drawings. Expansion joint material, ¾ inch (19 mm) in thickness, shall be provided at intervals not to exceed 40 feet (12 meters) and shall extend the full width and depth of the p.c. concrete. Weakened plane joints shall be made ¾ inch (19 mm) deep at 10-foot (3 meters) intervals. All joint headers shall be braced perpendicular and at right angles to the curb.

Two round smooth dowel bars, ½ inch (12.5 mm) in diameter and 24 inches (600 mm) in length, shall be installed at each expansion joint. Sixteen inches (400 mm) of one end of each dowel shall be thoroughly coated with hot oil, asphalt or red lead, so that it will not bond to the concrete. The dowels shall be installed with a dowel sleeve on the coated end as indicated on the Drawings or equivalent method as directed by the Engineer or designated representative.

E. P.C. Concrete Placement and Form Removal

Concrete shall be placed in the forms and properly consolidated. Within 1 hour after p.c. concrete placement, a thin coating, that is no more than ½ inch (12.5 mm) nor less than ¼ inch (6.25 mm) thick of finish mortar, composed of 1 part Portland Cement to 2 parts fine aggregate, shall be worked into the exposed faces of the curb and gutter by means of a "mule". After the p.c. concrete has become sufficiently set, the exposed edges shall be rounded by the use of an edging tool to the radii indicated on Standard Detail 430S-1. The entire exposed surface of the curb and gutter shall be floated to a uniform smooth surface, and then finished with a camel hairbrush to a gritty texture. The forms shall remain in place a minimum of 24 hours unless approved otherwise by the Engineer or designated representative.

After removal of the forms, any minor honeycombed surfaces shall be plastered with a mortar mix as described above. Excessively honeycombed curb and gutter, as determined by the Engineer or designated representative, shall be completely removed and replaced when directed.

F. Curing

Immediately after finishing the curb, concrete shall be protected by a membrane curing conforming to Standard Specification Item No. 409S, "Membrane Curing."

After a minimum of 3 days curing and before placement of the final lift of the base course, the curb shall be backfilled to the full height of the p.c. concrete, tamped and sloped as directed by the Engineer or designated representative. The upper 4 inches (100-mm) of backfill shall be of clean topsoil that conforms to Standard Specification Item No. 130S, "Borrow" and is free of stones and debris.

G. Seeding in Turf Areas

When turf is to be established, preparation of the seedbed shall conform to Item No. 604S, "Seeding for Erosion Control".

430S.5 - Measurement

Accepted work as prescribed by this item will be measured by the lineal foot (lineal meter: 1 lineal meter equals 3.281 lineal feet) of p.c. concrete curb and gutter, p.c. concrete curb and/or p.c. concrete laydown curb, complete in place.

430S.6 - Payment

The work performed as prescribed by this item will be paid for at the unit bid price per lineal foot for "P.C. Concrete Curb and Gutter" or P.C. Concrete Curb. The price shall include full compensation for all work as set forth and described under payment Method A and/or B.

A. Method A (Pay Item No. 430S-A)

This payment method shall include all the work performed for "P.C. Concrete Curb and Gutter" complete, at the unit bid price. The unit bid price shall include full compensation for excavation, preparation of the subgrade, furnishing and placing all concrete and base material, reinforcing steel, dowels, expansion joint material, curing material, backfill and for all other materials, manipulations, labor, tools, equipment and incidentals necessary to complete the work.

B. Method B (Pay Item No. 430S-B)

This payment method includes all the work performed for "P.C. Concrete Curb and Gutter", complete, at the unit bid price. The unit bid price shall include full compensation for fine grading, furnishing and placing concrete and reinforcing steel, dowels, expansion joint material, curing material, backfill and for all other materials, manipulations, labor, tools, equipment and incidentals necessary to complete the work.

C. Method C (Pay Item No. 430S-C)

This payment method includes all the work performed for " P.C. Concrete Curb" complete, at the unit bid price. The unit bid price shall include full compensation for excavation, furnishing and placing all concrete and base material, reinforcing steel, dowels, expansion joint material, curing material, backfill and for all other materials, manipulations, labor, tools, equipment and incidentals necessary to complete the work.

D. Method D (Pay Item No. 430S-D)

This payment method includes all the work performed for "P.C. Concrete Curb" complete, at the unit bid price. The unit bid price shall include full compensation for fine grading, furnishing and placing concrete and reinforcing steel, dowels, expansion joint material, curing material, backfill and for other materials, manipulations, labor, tools, equipment and incidentals necessary to complete the work.

E. Method E (Pay Item No. 430S-E)

This payment method shall include all the work performed for "P.C. Concrete Laydown Curb" complete, at the unit bid price. The unit bid price shall include full compensation for excavation, preparation of the subgrade, furnishing and placing all concrete and base material, reinforcing steel, dowels, expansion joint material, curing material, backfill and for all other materials, manipulations, labor, tools, equipment and incidentals necessary to complete the work.

F. Method F (Pay Item No. 430S-F)

This payment method includes all the work performed for "P.C. Concrete Laydown Curb" complete, at the unit bid price. The unit bid price shall include full compensation for fine grading, furnishing and

placing concrete and reinforcing steel, dowels, expansion joint material, curing material, backfill and for other materials, manipulations, labor, tools, equipment and incidentals necessary to complete the work.

Payment will be made under one of the following:

Pay Item No. 430S-A:	P.C. Concrete Curb and Gutter (Excavation)	Per Lineal Foot.
Pay Item No. 430S-B:	P.C. Concrete Curb and Gutter (Fine Grading)	Per Lineal Foot.
Pay Item No. 430S-C:	P.C. Concrete Curb (Excavation)	Per Lineal Foot.
Pay Item No. 430S-D:	P.C. Concrete Curb (Fine Grading)	Per Lineal Foot.
Pay Item No. 430S-D:	P.C. Concrete Curb (Fine Grading)	Per Lineal Foot.
Pay Item No. 430S-E:	P.C. Concrete Laydown Curb (Excavation)	Per Lineal Foot.
Pay Item No. 430S-F:	P.C. Concrete Laydown Curb (Fine Grading)	Per Lineal Foot.

End

SPECIFIC CROSS REFERENCE MATERIALS	
Specification Item No. 430S, "P.C. Concrete Curb and Gutter"	
City of Austin Standard Specifications	
Designation	Description
Item No 130S	Borrow
Item No 210S	Flexible Base
Item No. 360	Concrete Pavement"
Section 360.4 of Item 360	Proportioning of Concrete
Section 360.6 of Item 360	Concrete Mixing and Placing

Item No. 403S	Concrete for Structures
Section 403S.7 of Item No. 403S	(Table 4)
Item No. 406S	Reinforcing Steel
Item No. 408S	Expansion Joint Materials
Item No. 409S	Membrane Curing
Item No. 604S	Seeding for Erosion Control
City of Austin Standard Details	
Designation	Description
430S-1	Curb and Gutter Section
430S-3	Curb Expansion Joint Dowel Detail
430S-4	Concrete Backfill Under Curb & Gutter
430S-5	Reinforcing Bar Detail at Existing Curb and Gutter

RELATED CROSS REFERENCE MATERIALS	
Specification Item No. 430S, "P.C. Concrete Curb and Gutter"	
City of Austin Standard Specifications	
Designation	Description
Item No. 301S	Asphalts, Oils and Emulsions

Item No. 302S	Aggregates for Surface Treatments
Item No. 340S	Hot Mix Asphaltic Concrete Pavement
Item No. 431S	Machine Laid PCC Curb and Gutters
Item No. 433S	P.C. Concrete Driveways
Item No. 434S	P.C. Concrete Medians and Islands
Item No. 436S	P.C. Concrete Valley Gutters
Item No. 606S	Fertilizer

ITEM NO. 503S - FRAMES, GRATES, RINGS AND COVERS 2-17-00

503S.1 - Description

This item shall govern furnishing and installation of frames, grates, rings and covers for inlets, manholes and other structures indicated on the Drawings.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text, the inch-pound units are given preference followed by SI units shown within parentheses.

503S.2 - Submittals

The submittal requirements of this specification item include manufacturer, model number, description, painting requirements and characteristics of frames, grates, rings, covers, height adjustment insert and nuts and bolts required for completion of the work.

503S.3 - Materials

The Contractor shall submit descriptive information and evidence that the materials and equipment the Contractor proposes for incorporation in the Work is the kind and quality that satisfies the specified functions and quality. The City of Austin Water and Wastewater Utility Standard Products Lists (SPLs) form a part of these Specifications. Contractors may, when appropriate, elect to use products from the SPLs; however, submittal to the Engineer or designated representative is still required. If the Contractor elects to use any materials from these lists, each product shall be completely and clearly identified by its corresponding SPL number when making the product submittal.

The purpose of the SPLs is to expedite the review by the Engineer or designated representative and, if necessary, the City of Austin Water and Wastewater Utility Standard Products Committee of Contractor product submittals. The SPL's should not be interpreted as being a pre-approved list of products necessarily meeting the requirements for a given construction Project. Items contained in the SPL cannot be substituted for items that are shown on the Drawings, called for in the specifications, or specified in the Bidding Requirements, Contract Forms and Conditions of Contract, unless approved by the Engineer or designated representative in conjunction with the Water and Wastewater Utility Standard Products Committee. The Standard Product List current at the time of plan approval will govern.

A. Welded

Steel Welded steel grates and frames shall conform to the number; size, dimensions and details indicated on the Drawings and shall be welded into an assembly in accordance with those details. Steel shall conform to the requirements of ASTM A 36/A 36M, "Specification for Structural Steel".

B. Castings

Castings, whether Carbon-Steel, Gray Cast Iron or Ductile Iron shall conform to the shape and dimensions indicated on the Drawings and shall be clean substantial castings, free from sand or blowholes or other defects. Surfaces of the castings shall be free from burnt on sand and shall be reasonably smooth. Runners, risers, fins and other cast on pieces shall be removed from the castings and such areas ground smooth. Bearing surfaces between manhole rings and covers or grates and frames shall be cast or machined with such precision that uniform bearing shall be provided throughout the perimeter area of contact. Pairs of machined castings shall be matchmarked to facilitate subsequent identification at installation with the exception of water and wastewater manhole and valve castings. These manhole and valve castings shall be fabricated with such draft, tolerances, bolt hole spacing, etc., that all rings and covers of a particular type or class are interchangeable and match-marking will not be required.

Steel castings shall conform to ASTM A 27/27M, "Specifications for Steel Castings, Carbon, for General Application". Grade 70-36 (480-250) shall be furnished unless otherwise specified on the Drawings.

Cast iron castings shall conform to ASTM A 48, "Specification for Gray Iron Castings", Class 30.

Ductile Iron castings shall conform to ASTM A 536, "Specification for Ductile Iron Castings". Grade 60-40-18 (415-275-125) shall be used unless otherwise indicated on the Drawings.

C. Manhole Cover Riser Rings

Height-adjustment inserts for wastewater manhole rings, which are used for raising standard manhole covers, shall be those models listed in Water and Wastewater Standard Products List item QPL WW-330.

D. Nuts and Bolts

Nuts and bolts shall be hex head 5/8 ″ × 2.5″ (16 mm × 63.5 mm) #11 National Coarse Thread, Type 316 stainless steel. For bolted manhole covers, a thin film of an approved "Anti-freeze" compound, approved by the Engineer or designated representative, shall be applied to all bolts.

E. Mortar

Unless otherwise specified or approved by the Engineer or designated representative, the mortar for bedding castings shall consist of one (1) part Portland cement and three (3) parts sand and sufficient water to provide the desired consistency. The gradation of the fine aggregate shall meet the requirements for Grade No. 1, Item No. 403, "Concrete for Structures".

503S.4 - Construction Methods

Frames, grates, rings and covers shall be constructed of the specified materials in accordance with the details indicated on the Drawings or in the City of Austin Standard Details. The Frames, grates, rings and covers shall be placed carefully to the lines or grades indicated on the Drawings or as directed by the Engineer or designated representative.

All welding shall conform to the requirements of the ANSI/AWS Structural Welding Code D1.1. Welded frames, grates, rings and covers shall be given 1 coat of a commercial grade red lead oil paint and 2 coats of commercial grade aluminum paint. All coats shall be a minimum of 1.5 mils (0.4 mm), dry.

Painting of gray iron castings will not be required, except when used in conjunction with structural steel shapes.

503S.5 - Measurement and Payment

Frames, grates, rings and covers will not be measured and payment for furnishing all materials, tools, equipment, labor and incidentals to complete the Work will be included in the Bid Items which constitute the complete structures.

End

SPECIFIC CROSS REFERENCE MATERIALS	
Standard Specification Item Number 503S, "Frames, Grates, Rings and Covers"	

City of Austin Standard Specifications

Designation	Description
Item No. 403S	Concrete for Structures

City of Austin Water and Wastewater Standard Products List

Designation	Description
QPL-WW-330	Manhole Cover Riser Rings for raising City of Austin Standard Manhole Covers

American Society for Testing Materials (ASTM)

Designation	Description
A36/A36M	Specification for Structural Steel
A27/A27M	Specification for Steel Castings, Carbon, for General Application
A48	Specification for Gray Iron Castings
A536	Specification for Ductile Iron Castings

ANSI/AWS

Designation	Description
Code D 1.1	Structural Welding Code

RELATED CROSS REFERENCE MATERIALS

Standard Specification Item Number 503S, "Frames, Grates, Rings and Covers"	
City of Austin Standard Specifications	
Designation	Description
Item No. 504S	Adjusting Structures
Item No. 510	Pipe
City of Austin Standard Details	
Designation	Description
No. 503S-1	457mm (18″) Cover and Frame
No. 503S-2S	Storm Sewer Manhole Ring and 610 mm (24″) Cover
No. 503S-2W	Sanitary Sewer Manhole Ring and 610 mm (24″) Cover
No. 503S-3S	Bolted Storm Sewer Manhole Ring and 610 mm (24″) Cover
No. 503S-3W	Bolted Sanitary Sewer Manhole Ring and 610 mm (24″) Cover
No. 503S-4S	Storm Sewer Manhole Ring and 813 mm (32″) Cover
No. 503S-4W	Sanitary Sewer Manhole Ring and 813 mm (32″) Cover
No. 503S-5S	Bolted Storm Sewer Manhole Ring and 813 mm (32″) Cover
No. 503S-5W	Watertight Manhole Ring and 813 mm (32″) Cover
No. 506S-2	Major Manhole Adjustment
No. 506S-11	Storm Sewer Manhole Details

TxDOT Specifications	
Designation	Description
Item 421	Portland Cement Concrete

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Item No. 506
Manholes

506.1 Description

This item governs construction of pre-cast and cast-in-place wastewater manholes, storm water manholes, storm water junction boxes and cast-in-place wastewater junction boxes, complete in place, including excavation, installation, backfilling and surface restoration; required items including rings, covers, coatings, and appurtenances; and incidental work such as pumping and drainage necessary to complete the work. Contractor-performed acceptance testing is required for wastewater manholes.

506.2 Qualifications

Applicators of coatings to the interior surfaces of wastewater manholes, as specified in 506S.4.R and 506S.5.J, shall be listed on Standard Products List WW-511.

506.3 Project Submittals

A. Products and Materials

The Contractor shall submit descriptive information and evidence that the materials the Contractor proposes for incorporation in the Work are of the kind and quality that satisfy the requirements in the Contract Documents. The City of Austin Water Utility Standard Products Lists are considered a part of the Specifications for the Work. The Contractor shall use products from the SPLs for all water and wastewater construction unless alternative products are shown on the Drawings; called for in the specifications; or specified in the Bidding Requirements, Contract Forms and Conditions of the Contract.

The products included in the Standard Products Lists current at the time of plan approval shall govern; unless a specific product or products on the lists have subsequently been removed from those SPLs because of quality or performance issues. Products and materials that are not covered by SPLs shall meet the requirements in the contract documents.

Submittals for the products and materials covered by this specification shall include manufacturer catalog sheets, technical data sheets, shop drawings, product or material test results, requirements listed below, and any other information needed to adequately describe the product or material. For products covered by SPLs, the submittal shall include a copy of the applicable SPL with the proposed product identified. An SPL by itself is not considered an adequate submittal.

The submittal requirements of this specification item include:

1. For pre-cast manholes and junction boxes: shop drawings for each structure showing, at a minimum, the Project and Contractor's name: manufacturer's name and plant location; applicable specifications; list of materials (such as adjusting rings, boots, gaskets, and pre-cast sections) by type and quantity; elevation view showing diameter or size, ring and cover size and elevation, ring type (bolted or unbolted, flared top or flared bottom) wall thickness,

elevations of transitions from large diameter sections to smaller diameter sections, base width and thickness, total depth, size of openings, reinforcement, and length of each pre-cast section; structure identification number and station location; pipe line identification; pipe material and size; pipe flowline elevations; plan view showing azimuthal orientation (based on 360 degrees clockwise) of the pipes relative to the outflow pipe; technical data sheets covering pipe-to-manhole or pipe-to-junction box connectors, and gaskets

2. For cast-in-place manholes and junction boxes: formwork drawings sealed by a registered Professional Engineer licensed in the State of Texas with documented experience in formwork design for wall pours that exceed 4 feet in height and slabs that are not ground supported

3. For hydraulic cement concrete; mix components and proportions, material sources, materials test results

4. For mortar: mix components and proportions, material sources, materials test results

5. For non-shrink grout: technical data sheet indicating ASTM type and containing instructions on surface preparation, mixing, placing, and curing procedures

6. For wastewater manhole coatings and linings: technical data sheets that include instructions on surface preparation, mixing, placing, and curing procedures

B. Acceptance Test Records

Submittal of acceptance test records is required for wastewater manholes and shall include as a minimum the following items:

Name of the manhole manufacturer

Interior surface coating type and application method

Model and manufacturer of vacuum tester

Date tested/date re-tested

Indication of whether test passed or failed and statement of corrective action taken if test failed

Test Method Used

Location/station of manhole

Type of base: Precast/cast-in-place

Type of repairs made to the joints

The test records shall also be included as part of the Project records turned in with the acceptance package.

506.4 Materials

A. Concrete

All cast-in-place concrete shall conform to City of Austin Standard Specification Item No. 403S, "Concrete for Structures." Cast in place concrete shall be Class A or as specified on the Drawings. Concrete used in precast concrete manhole base sections, riser sections and appurtenances shall conform to the requirements of Texas Department of Transportation Item 421, Hydraulic Cement Concrete. Concrete for backfill of over-excavated areas shall be City of Austin Class A, or Class J (City of Austin Standard Specification Item 403S, Concrete For Structures) or Controlled Low Strength Material (City of Austin Standard Specification Item 402S) as indicated on the Drawings.

B. Mortar

Mortar shall be composed of one part Portland cement, one part masonry cement (or 1/4 part hydrated lime), and sand equal to 2-1/2 to 3 times the sum of the volumes of the cements and lime used. The sand shall meet the requirements for "Fine Aggregate" as given in Standard Specification Item No. 403S "Concrete For Structures." Mortar shall not be used for any purpose on the inside of wastewater manholes.

C. Grout

Grout shall be the non-shrink type conforming to ASTM C 1107, Packaged, Dry, Hydraulic Cement Grout (Nonshrink), Grade C. Grout shall be used as packaged, with the mixed ingredients requiring only the addition of water.

D. Reinforcement

The reinforcing steel shall conform to the requirements of Standard Specification Item No. 406S, "Reinforcing Steel." Secondary, non-structural steel in cast-in-place stormwater manholes may be replaced by collated fibrillated polypropylene fibers, if approved by the Engineer or designated representative.

E. Brick

The brick for ring adjustment courses and for stormwater manholes shall be of first quality, sound, hard burned, perfectly shaped brick conforming to the requirements of ASTM C 62, Grade SW, or concrete brick meeting the requirements of ASTM C 55, Grade N-1.

F. Rings and Covers

Rings and covers shall conform to the requirements of City of Austin Standard Specification Item No. 503S, "Frames, Grates, Rings and Covers."

1. Replacement Rings and Covers, 24 in Diameter Lids

   This ring and cover shall be used for the replacement of broken rings and covers, minor manhole adjustment, or as otherwise directed by the Engineer or designated representative.

2. Rings and Covers, 32 in. Diameter Lids

This ring and cover shall be used for all new manhole construction, except as otherwise directed by the Engineer or designated representative.

G. Bulkheads

Bulkheads shall meet the requirements of City of Austin Standard Specification Item No. 507S “Bulkheads.”

H. Precast Base Sections, Riser Sections, Flat-top Slabs and Cones

Precast concrete base sections, riser sections, flat-top slabs, and cones shall conform to the requirements of ASTM C 478. The width of the invert shall be specifically sized for the connecting pipes. Inverts shall be “U” shaped channels. The channel depth at the point where a pipe connects to the manhole wall, for pipes 24 inches in diameter and smaller, shall be a minimum of three fourths of the diameter of the pipe, with the top of the channel being a smooth transition between the inlet and outlet pipe connection points. For manholes connecting to pipes larger than 24 inches in diameter, the channel depth at the point where a pipe connects to the manhole wall shall be at least equal to the full pipe diameter. Changes in flow direction in the inverts of manholes shall be made by constructing smooth, long-radius sweeps to minimize splashing, turbulence, and eddies. The manhole invert grade shall 1) be a continuation of the inlet and outlet pipe grades carried through to the centerline of the manhole, or 2) have a minimum slope of 2.5 percent between the inlet and outlet pipe inverts, or 3) have a minimum difference of 0.10 feet between the inlet and outlet pipe inverts, whichever provides the maximum difference in invert elevation between the inlet and outlet pipes. In all cases, the bottom(s) of the channel(s) shall provide a smooth transition between the inlet and outlet pipes. Where wastewater lines enter a manhole above the flowline of the outlet, the invert shall be filleted to prevent splashing and solids deposition.

Joints for wastewater base sections, riser sections, and cones shall conform to the requirements of ASTM C 443. Additionally, joint dimensions for 48-inch inside diameter wastewater manhole sections and cones shall comply with City of Austin Standard No. 506S-13, “Wedge Seal Joint Detail, Precast Manhole Section.” Joint dimensions for wastewater manhole sections and cones larger than 48-inch inside diameter shall comply with City of Austin Standard No. 506S-12, “ O-Ring Joint Detail Precast Manhole Section” or City of Austin Standard No. 506S-13, “Wedge Seal Joint Detail, Precast Manhole Section”. Precast bases for 48 inch inside diameter manholes shall have preformed inverts. Inserts acceptable to the Engineer or designated representative shall be embedded in the concrete wall of the manhole sections to facilitate handling; however, through-wall holes for lifting will not be permitted.

I. Precast Junction Boxes

Precast junction boxes shall be allowed only where indicated on the Drawings or acceptable to the Engineer or designated representative.

J. Pipe-to-Manhole and Pipe-to-Junction-Box Connectors

Resilient connectors, ring waterstops, and seals at connections of wastewater pipes to pre-cast and cast-in-place manholes and junction boxes shall be watertight, flexible, resilient and non-corrosive, conforming to ASTM C 923.

Metallic mechanical devices for securing the connectors, ring waterstops, and seals in place shall be Type 304 stainless steel.

K. Precast Flat-Slab Transition/Junction Box Lids

Precast slab transitions and lids shall be designed to safely resist pressures resulting from loads which might result from any combination of forces imposed by an HS-20 loading as defined by the American Association of State Highway and Transportation Officials (AASHTO). The joints of precast slab transitions and of lids for wastewater applications shall conform to the requirements of ASTM C443.

L. Precast-Prefabricated Tee Manholes

Tee manholes shall be allowed only where indicated on the Drawings or as directed by the Engineer or designated representative. The main pipe section shall conform to the requirements of City of Austin Standard Specification Item No. 510, "Pipe." The vertical manhole portion (tee) above the main pipe shall conform to the requirements of the precast components.

The manhole tee shall have a minimum inside diameter of 48 inches and shall rise vertically centered or tangent to the main pipe, as indicated on the Drawings or as directed by the Engineer or designated representative. An access hole less than 48-inches in diameter shall be cut into the main pipe to allow a ledge for support of access ladders. Unless otherwise specified on the Drawings, the main pipe portion of the tee manhole shall be included in the unit price bid for the unit tee manhole price.

M. Precast Grade Rings

Rings shall be reinforced Class A concrete

1. Precast Grade Rings, 24-1/2 inches Inside Diameter

   This adjustment ring shall be used only for adjusting existing manholes with 24 inch diameter lids and for Wastewater Access Device. Inside to outside diameter dimension of ring shall be 6 inches with a thickness of 3 inches to 6 inches.

2. Precast Grade Rings, 35 inches Inside Diameter

   This adjustment ring shall be used for all new manhole construction with 32 inch diameter lids. Inside to outside diameter dimension of ring shall be 6 inches with a thickness of 2 inches to 6 inches.

N. High Density Polyethylene Grade Rings

Plastic grade (adjusting) rings shall be injection molded from high density polyethylene identified according to ASTM D4976. Reprocessable and recyclable ethylene plastic materials are allowed. Manufacturers of HDPE adjusting rings shall be listed on SPL WW-703.

O. Controlled Low Strength Material

Controlled low strength material (CLSM) shall meet Standard Specification Item 402S, Controlled Low Strength Material.

P. Cement Stabilized Sand

Cement stabilized sand for bedding or backfilling shall contain 2 bags of Portland cement per cubic yard. The sand shall meet the requirements for "Fine Aggregate" in Standard Specification Item 403S, Concrete for Structures.

Q. Waterproofing Joint Materials

O-rings and wedge seals for the joints of all wastewater manholes, and for stormwater manholes when indicated on the Drawings, shall conform to the requirements of ASTM C443. Cold applied preformed plastic gaskets for stormwater manholes shall be as specified in City of Austin Standard Specification Item No. 510, “Pipe.” Plastic seals wrapped around manholes at joints, and hydrophillic waterstops installed in joints, shall be listed on SPL WW-146A. PVC waterstops installed in joints and waterproofing compounds applied to the exterior surfaces of manholes and junction boxes shall be as specified in the Contract Documents.

R. Interior Surface Coatings for Wastewater Manholes

Interior surface coatings for wastewater manholes shall be either: as specified on the Drawings, as designated in writing by the Engineer or designated representative, or as included on SPL WW-511, which lists acceptable products, uses and applicators.

S. Structural Lining Systems for Wastewater Manholes

Structural lining systems for wastewater manholes shall be either: as specified on the Drawings, as designated in writing by the Engineer or designated representative, or as included on SPL WW-511A.

506.5 Construction

A. General

A minimum horizontal separation of 12 inches shall be maintained between adjacent pipes inside and outside a manhole or junction box. Pipe ends within the base section or junction box walls shall not be relied upon to support overlying manhole dead and live load weights. All wastewater branch connections to new or existing mains shall be made at manholes, with the branch pipe crown installed at an elevation no lower than the elevation of the effluent pipe crown. Changes in flow direction in the inverts shall be made by constructing smooth, long-radius sweeps to minimize splashing, turbulence, and eddies. Where wastewater lines enter the manhole up to 24 inches above the flowline of the outlet, the invert shall be sloped upward in a U-shaped channel three-fourths of the diameter of the incoming pipe to receive the flow, thus preventing splashing or solids deposition. A drop pipe shall be provided for a wastewater pipe entering a manhole whenever the invert cannot be constructed to prevent splashing and solids deposition. Construction of extensions to existing systems shall require placement of bulkheads at locations indicated or directed by the Engineer or designated representative.

Unless otherwise indicated on the Drawings, stormwater manholes shall have eccentric cones and wastewater manholes shall have concentric cones, except on manholes over large mains where an eccentric cone shall be situated to provide access to an invert ledge. Eccentric cones may be used where conflicts with other

utilities dictate. Flat-slab tops may be used only where clearance problems are encountered or where specified on the Drawings. Cast-in-place wastewater junction boxes shall be allowed only where indicated on the Drawings or where accepted by the Engineer or designated representative.

B. Foundation Support

Manholes shall be founded at the established elevations on uniformly stable subgrade. Unstable subgrade shall be over-excavated a minimum of 12 inches and replaced with a material acceptable to the Engineer or designated representative. Precast base units shall be founded and leveled on a 6 inch thick layer of coarse aggregate bedding. A pipe section with a prefabricated tee manhole and half the length of the adjoining pipe sections on each side shall be founded on a minimum of 6 inch thick layer of unreinforced Class A concrete (City of Austin Standard Specification Item No. 403S, “Concrete For Structures”). The cast-in-place concrete cradle shall be placed against undisturbed trench walls up to the pipe’s springline.

C. Cast-in-Place Concrete

Structural concrete work shall conform to Standard Specification Item No. 410S, Concrete for Structures. Forms shall be used for all slabs that are not ground supported and for all vertical surfaces above the foundation level. Formwork shall be designed according to American Concrete Institute ACI 347, Guide to Formwork for Concrete. Outside forms on vertical surfaces may be omitted where concrete can be cast against the surrounding earthen material that can be trimmed to a smooth vertical face.

D. Manhole Bases

Pre-cast bases shall conform to requirements in 506.4.H.

Cast-in-place bases shall have a minimum thickness of 12 inches at the invert flowline. The widths of all manhole inverts shall be specifically sized for the connecting pipes. Inverts shall be “U” shaped channels. The channel depth at the point where a pipe connects to the manhole wall, for pipes 24 inches in diameter and smaller, shall be a minimum of three-fourths of the pipe diameter, with the top of the channel being a smooth transition between the inlet and outlet pipe connection points. For manholes connecting to pipes greater than 24 inches in diameter, the channel depth at the point where a pipe connects to the manhole wall shall be equal to the full pipe diameter. The manhole invert grade shall

1) be a continuation of the inlet and outlet pipe grades carried through to the centerline of the manhole, or
2) have a minimum slope of 2.5 percent between the inlet and outlet pipe inverts, or
3) have a minimum difference of 0.10 feet between the inlet and outlet pipe inverts,

whichever provides the maximum difference in invert elevation between the inlet and outlet pipes. In all cases, the bottom(s) of the channel(s) shall provide a smooth transition between the inlet and outlet pipes. Changes in flow direction in the inverts of manholes shall be made by constructing smooth, large-radius sweeps to prevent splashing, turbulence, and eddies. The lowermost riser section

may be set in the Portland cement concrete, while still plastic, after which the base shall be cured a minimum of 24 hours prior to proceeding with construction of the manhole up to 12 feet in depth. The base shall be cured an additional 24 hours prior to continuing construction above the 12-foot level.

Wastewater manholes having cast-in-place bases may be constructed over existing wastewater pipes and the top half of the pipe removed to facilitate invert construction, except where the existing pipe is PVC, in which case, the entire pipe shall be removed from inside the manhole. The manhole floor shall rise outwardly from the springline elevation of the pipe, approximately one inch for each 12 inch of run (8 percent slope). The floors of stormwater manholes, also, shall rise outwardly from the springline elevation of the pipe, approximately one inch for each 12 inches of run (8 percent slope).

Wastewater manholes with lines larger than 18 inches shall require pre-cast bases; manholes constructed over in-service mains however, may be built on cast-in-place bases if the flow cannot be interrupted.

E. Pipe Connections to Manholes and Junctions Boxes

Wastewater pipe connections to manholes and junction boxes shall be made using flexible, resilient, and non-corrosive watertight boot connectors or ring waterstops acceptable to the Engineer and conforming to the requirements of ASTM C-923. Any voids in the annular space between the pipe and boot connector or ring waterstop and the inside of the manhole wall shall be filled with non-shrink grout to prevent solids collection.

F. Pipe Connections to Existing Manholes and Junction Boxes

Wastewater pipe connections to existing manholes and junction boxes shall be made by removing the wall section by coring or alternative method approved by the Engineer or designated representative; installing flexible, resilient, and non-corrosive boot connectors or ring waterstops acceptable to the Engineer or designated representative and conforming to the requirements of ASTM C-923; filling any voids in the annular space between the pipe and boot connector or ring waterstop and the inside of the manhole or junction box wall with non-shrink grout; rebuilding the invert to conform to Section 506S.5.D; rehabilitating the interior walls with structural lining material listed on SPL WW-511A, and coating the interior of the manhole with material listed on SPL WW-511.

G. Waterproofing

PVC waterstops, hydrophillic waterstops, joint wrapping, and waterproofing compounds shall be installed as specified. Material wrapped around manholes at joints shall be listed on SPL WW-146A regardless of whether installation of the material is required by the Contract for waterproofing or is volunteered by the Contractor for ensuring acceptance of the manhole joints.

H. Backfilling

Backfilling of manholes shall conform to the density requirements of City of Austin Standard Specification Item No.510, Pipe. Manhole construction in roadways may be staged to facilitate pavement base construction. Manholes constructed to interim elevations to facilitate interim construction shall be covered with steel plates

that conform to the requirements of City of Austin Standard 804S-4, sheets 5, 6 and 7, Steel Plating. Steel plates on wastewater manholes shall be set in mortar to minimize inflow of storm water runoff. Manholes shall be completed to finish elevation prior to placement of the roadway's finish surface except on pavement reconstruction projects, where castings may be adjusted after paving is completed. The excavation for completion of manhole construction shall be backfilled in accordance with City of Austin Standards for Trench Repair.

I. Height Adjustment of Manholes

1. General

All adjustments shall be completed prior to the placement of the final roadway surface except on pavement reconstruction projects, where castings may be adjusted after paving is completed.

Brick shall not be used in making height adjustments to wastewater manholes. Mortar shall not be used for any purpose on the inside of wastewater manholes.

Manhole components to be reused shall be carefully removed and the contact areas shall be cleaned of all mortar, concrete, grease and sealing compounds. Any items broken in the process of removal and cleaning shall be replaced in kind by the Contractor at its expense.

If the adjustment involves lowering the top of a manhole, a sufficient depth of pre-cast concrete rings or brick courses shall be removed to permit reconstruction. Existing mortar shall be cleaned from the top surface remaining in place and from all brick or concrete rings to be reused and the manhole rebuilt to the required elevation. The manhole ring and cover shall then be installed with the top surface conforming to the proposed grade.

If the adjustment involves raising the elevation of the top of the manhole in accordance with Minor Manhole Height Adjustment," the top of brick or concrete ring shall be cleaned and built up vertically to the new elevation, using new or salvaged concrete rings or bricks and the ring and cover installed with the top surface conforming to the proposed grade.

After rings and covers are set to grade, the inside and outside of the precast concrete grade rings shall be wiped with non-shrink grout to form a durable surface and water-tight joints. The grouted surface shall be smooth and even with the manhole cone section. Grout shall not be placed when the atmospheric temperature is at or below 40F. If a sudden drop in temperature below 40F occurs or tempartures below 40F are predicted, the grouted surfaces shall be protected against freezing for at least 24 hours.

2. Minor Manhole Height Adjustment (New and Existing Manholes)

Minor manhole height adjustments shall be performed as indicated on City of Austin Standard 506S-4, "Minor Manhole Height Adjustment", and shall consist of adding precast reinforced concrete rings to adjust new and existing manholes to final grade. Brick shall not be used in making height adjustments to wastewater manholes.

If the adjustment involves raising the elevation of the top of the manhole, the top of brick or concrete ring shall be cleaned and built up vertically to the new elevation, using new or salvaged concrete rings or bricks and the ring and cover installed with the top surface conforming to the proposed grade.

For new manhole construction, the maximum allowable throat or chimney height, including the depth of the ring casting, shall be limited to 21 inches of vertical face on the interior surface. For adjustments of existing manholes that fall within the limits of overlay and street reconstruction projects, the maximum vertical allowable height, including the depth of the ring casting, shall be limited to 27 inches of vertical face on the interior surface. All other existing manholes shall have a maximum allowable throat or chimney height adjustment, including the depth of the ring casting, of 12 inches of vertical face on the interior surface. Any adjustment that will exceed these requirements shall be accomplished as indicated on City of Austin Standard 506S-2, Major Manhole Height Adjustment and as described below. Manholes not located in paved areas shall have bolted covers. Manholes located within paved areas (street right of way only) shall be standard non-bolted unless otherwise noted on the drawings.

3. Major Manhole Height Adjustment (Existing Manholes Only)

Any adjustment that exceeds the requirements of Minor Manhole Adjustments, shall be accomplished as indicated on City of Austin Standard 506S-2, Major Manhole Height Adjustment, and shall consist of any combination of removing the concrete rings, and/or the manhole cone section, and/or the straight riser section of the manhole in order to bring the manhole to final grade. Major manhole adjustments shall apply only to existing manholes. Manholes not located in paved areas shall have bolted covers. Manholes located within paved areas (street right of way only) shall be standard non-bolted unless otherwise noted on the drawings.

J. Interior Coatings of Wastewater Manholes and Junction Boxes

The interior surfaces of all Portland cement concrete wastewater manholes and junction boxes shall be coated with products specified either on the Drawings, designated in writing by the Engineer or representative, or listed on SPL WW-511. Product selection shall conform to usage described in that SPL. Surface preparation shall follow the product manufacturer's recommended procedures contained in technical data sheets unless otherwise specified in the contract documents. The Contractor shall measure the coating thickness according to ASTM D 6132, Nondestructive Measurement of Dry Film Thickness of Applied Organic Coatings Over Concrete Using an Ultrasonic Gage. Thickness measures shall be made at locations designated by the Engineer or designated representative. All thickness measurements shall be witnessed by the Engineer or designated representative.

K. Structural Linings of Existing Wastewater Manholes

The interior surfaces of existing wastewater manholes and junction boxes at locations shown in the Drawings or as designated by the Engineer shall be strengthened by application of structural lining systems either as specified on the Drawings, directed in writing by the Engineer or designated representative, or listed

on SPL WW-511A. Selection of products for coating the interior of existing manholes shall be based on the condition of the manholes. Surface preparation shall follow the product manufacturer's recommended procedures contained in technical data sheets unless otherwise specified in the contract documents.

L. Abandonment of Existing Manholes

Manholes designated on the Drawings for abandonment, shall be removed to a level not less than four feet below grade. Two-foot long sections of the inlet and outlet pipes shall be cut and removed on the outside of the manhole, the ends of the remaining pipe and the pipe sections penetrating the manhole wall shall be securely plugged, and the structure filled with material in accordance with Standard 506S-15 or as directed by the Engineer or designated representative.

506.6 Acceptance Testing of Wastewater Manholes

Manholes shall be tested separately and independently of the wastewater lines.

A. Test by the Vacuum Method

A vacuum test shall be performed by the Contractor prior to backfilling those manholes that fall within the right-of-way that require detouring of vehicular traffic. A second vacuum test will not be required after backfilling and compaction is complete unless there is evidence that the manhole has been damaged or disturbed subsequent to the initial vacuum test.

For manhole installations which do not require detouring of vehicular traffic, the vacuum method is recommended and may be used by the Contractor prior to backfilling the manhole to insure proper installation so that defects may be located and repaired; however, a vacuum test shall be performed after backfilling, and compaction are complete. Testing after backfill and compaction are complete will be the basis for acceptance of the manhole.

1. Equipment

   a) The manhole vacuum tester shall be a device approved for use by the Engineer or designated representative.

   b) Pipe sealing plugs shall have a load resisting capacity equal to or greater than that required for the size of the connected pipe to be sealed.

2. Procedures - applicable to new 48-inch diameter manholes

   a) Manhole section interiors shall be carefully inspected; units found to have through-wall lift holes, or any penetration of the interior surface by inserts provided to facilitate handling, will not be accepted. Coating shall be applied after the testing unless coating is applied before installation or unless it is applied at the factory. All lift holes and exterior joints shall be plugged with an acceptable non-shrink grout. No grout shall be placed in horizontal joints. Tests shall be performed before grouting the invert or around pipe penetrations and before coating the interior surfaces of the manhole or junction box.

b) After cleaning the interior surfaces of the manhole, the Contractor shall place and inflate pneumatic plugs in all of the connecting pipes to isolate the manhole; sealing pressure within the plugs shall be as recommended by the plug manufacturer. Plugs and the ends of pipes connected by flexible boots shall be blocked to prevent their movement during the vacuum test.

c) The vacuum test head shall be placed on the top of the cone section or, inside of the top of the manhole cone section, and the compression seal band inflated to the pressure recommended by its manufacturer. The vacuum pump shall be connected to the outlet port with the valve open. When a vacuum of 10 inches of mercury (-5 psig) has been attained, the valve shall be closed and the time noted. Tampering with the test equipment will not be allowed.

d) The manhole shall have passed the test if the vacuum does not drop below 9 inches of mercury (-4.5 psig) within 3 minutes of the time the valve was closed. The actual vacuum shall be recorded at the end of the 3 minutes during which the valve was closed.

e) When the standard vacuum test cannot be performed because of design or material constraints (examples: T-Type manholes, T-Lock Liners, or other reasons acceptable to the Engineer or designated representative), testing of individual joints shall be performed as directed by the Engineer or designated representative.

B. Test by the Exfiltration Method

At the discretion of the Engineer or designated representative, the Contractor may substitute the Exfiltration Method of testing for the Vacuum test described in Section 506.6. A. above. This method may only be used when ground water is not present. If ground water is present a Vacuum Test shall be used unless otherwise directed by the Engineer or designated representative. All backfilling and compaction shall be completed prior to the commencement of testing.

The procedures for the test shall include the following:

1. Manhole section interiors shall be carefully inspected; units found to have through-wall lift holes, or any penetration of the interior surface by inserts provided to facilitate handling, will not be accepted. Coating shall be applied after the testing unless coating is applied before field assembly, or at the factory. All lift holes and exterior joints shall be plugged with an acceptable non-shrink grout. No grout shall be placed in horizontal joints. Tests shall be performed before grouting the invert or around pipe penetrations and before coating the interior surfaces of the manhole or junction box.

2. After cleaning the interior surface of the manhole, the Contractor shall place and inflate pneumatic plugs in all of the connecting pipes to isolate the manhole; sealing pressure within the plugs shall be as recommended by the plug manufacturer.

3. Concrete manholes shall be filled with water or otherwise thoroughly wetted for a period of 24 hours prior to testing.

4. At the start of the test, the manhole shall be filled to the top with water. The test time shall be 1 hour. The Construction Inspector must be present for observation during the entire time of the test. Permissible loss of water in the 1-hour test time is 0.025 gallons per diameter foot, per foot of manhole depth. For a 4-foot diameter manhole, this quantity converts to a maximum permissible drop in the water level (from the top of the manhole cone) of 0.1 inches per foot of manhole depth or 1.0 inches for a 10-foot deep manhole.

C. Failure to Pass the Test – Records of Tests

If the manhole fails to pass the initial test method as described in (A) Test by the Vacuum Method and, if allowed, (B) Test by the Exfiltration Method, or if visible groundwater leakage into the manhole is observed, the Contractor shall locate the leak, if necessary by disassembly of the manhole. The Contractor shall check the gaskets and replace them if necessary. The Contractor may re-lubricate the joints and re-assemble the manhole, or the Contractor may install an acceptable exterior joint sealing product (see City of Austin Standard Products List Item SPL WW-146A) on all joints and then retest the manhole. If any manhole fails the vacuum and/or exfiltration test twice, the Contractor shall consider replacing that manhole. If the Contractor chooses to attempt to repair that manhole, the manhole must be retested until it passes. In no case shall cold applied preformed plastic gaskets be used for repair. Records of all manhole testing shall be made available to the Engineer or designated representative at the close of each working day, or as otherwise directed by the Engineer or designated representative. Any damaged or visually defective products, or any products out of acceptable tolerance shall be removed from the site.

D. Inspection

The Engineer or designated representative shall make a visual inspection of each manhole after it has passed the testing requirements and is considered to be in its final condition. The inspection shall determine the completeness of the manhole; any defects shall be corrected to the satisfaction of Engineer or designated representative.

506.7 Measurement

A "Junction Box" and "Box Manholes" will be measured by each structure of the indicated size regardless of depth.

A "Standard Pre-cast Manhole with Pre-cast Base", "Standard Pre-cast Manhole with Cast-in-Place (CIP) Base", “Special Manhole", "Drop Manhole with Pre-cast Base", "Drop Manhole with Cast-in-Place (CIP) Base”, "Centered Tee Manhole", or "Tangent Tee Manhole" will be measured by each structure of the indicated size for the first 8 feet of depth.

An "Extra Depth Manhole" will be measured by linear vertical foot of Standard Pre-cast Manhole with Pre-cast Base, Standard Pre-cast Manhole with CIP Base, Drop Manhole with Pre-cast Base, Drop Manhole with CIP Base, Special Manhole, Centered Tee Manhole, or Tangent Tee Manhole of the indicated size in excess of eight feet of depth.

Manhole depth will be measured from the invert flow line to the finished surface elevation.

"Minor Manhole Height Adjustment" and "Major Manhole Height Adjustment" will be measured by each unit for the indicated size. Only existing manholes will be measured for minor or major manhole height adjustment.

"Connection to Existing Manhole or Junction Box" will be measured per each for the indicated type of structure and location.

"Structural Lining" will be measured by the linear vertical foot for the indicated structure.

New manholes constructed to interim elevations to facilitate stage construction shall be measured as one unit regardless of the number of interim elevations constructed. All labor, materials and other expenses necessary for the stage construction shall be included in the unit price bid for the completed unit. Cost of abandonment of existing manholes shall be included in the unit price bid for the completed unit, unless Pay Item No. 506 AB is indicated on the Drawings and identified in Standard Contract Bid Form 00300U.

506.8 Payment

Payment for completed junction boxes and manholes of the type indicated on the Drawings shall be made at the appropriate unit bid price. The unit bid price shall include all labor, equipment, materials, (including but not limited to frames and grates, rings and covers, adjusting rings, cone sections, riser sections, gaskets, drop piping and fittings, bases, pipe-to-manhole connectors, concrete, reinforcing steel, non-shrink grout, mortar, joint wrap where specified, and, for wastewater manholes, interior coatings), time and incidentals necessary to complete the work.

Payment for a "Junction Box" and "Box Manhole" will be made at the unit price bid for the indicated size, complete in place.

Payment for the first 8 feet of a "Standard Pre-cast Manhole with Pre-cast Base", "Standard Pre-cast Manhole with Cast-in-Place (CIP) Base", "Special Manhole", "Drop Manhole with Pre-cast Base", "Drop Manhole with Cast-in-Place (CIP) Base","Centered Tee Manhole", or "Tangent Tee Manhole" will be made at the unit price bid for the indicated type and size, complete in place.

Payment for that portion of a Standard Pre-cast Manhole with Pre-cast Base, Standard Pre-cast Manhole with CIP Base, Drop Manhole with Pre-cast Base, Drop Manhole with CIP Base, Special Manhole, Centered Tee Manhole, or Tangent Tee Manhole in excess of 8 feet in depth will be made at the unit price bid for "Extra Depth Manhole" of the indicated type and size, complete in place.

Payment for "Minor Manhole Height Adjustment" and "Major Manhole Height Adjustment" will be made at the unit bid price, complete in place.

Payment for "Structural Lining" will be made at the unit price per linear vertical foot, which will include surface preparation, environmental adjustments, lining application, and curing, as required.

Payment for "Connection to Existing Manhole or Junction Box" shall be made at the unit price per connection and will include removing the wall section by coring or alternative method approved by the Engineer or designated representative, rehabilitating the interior walls, rebuilding the invert, and preparing and coating the interior surfaces of the structure.

When indicated in the Drawings, abandonment of existing manholes shall be made at the unit price for abandonment.

The intended use of each item shall be designated by a two-letter code (Wastewater = WW; Stormwater = SW) in the spaces provided after the pay item number:

Pay Item No. 506S M__:	Standard Pre-cast Manhole w/Pre-cast Base, ___ Dia.	Per Each.
Pay Item No. 506S M1__:	Standard Pre-Cast Manhole w/CIP Base, ___ Dia.	Per Each.
Pay Item No. 506S S__:	Special Manhole, ___ Dia.	Per Each.
Pay Item No. 506S D__:	Drop Manhole w/Pre-cast Base, ___ Dia.	Per Each.
Pay Item No. 506S D1__:	Drop Manhole w/CIP Base, ___ Dia.	Per Each.
Pay Item No. 506S C__:	Centered Tee Manhole, __ Dia. x ___ Dia.	Per Each.
Pay Item No. 506S T__:	Tangent Tee Manhole, ___ Dia. x ___ Dia.	Per Each.
Pay Item No. 506S J__:	Junction Box, ___ Ft. x ___ Ft.	Per Each.
Pay Item No. 506S B__:	Box Manhole ___ Ft. x ___ Ft.	Per Each.
Pay Item No. 506S 2__:	Major Manhole Height Adjustment, ___ Dia.	Per Each.
Pay Item No. 506S 4__:	Minor Manhole Height Adjustment, ___ Dia.	Per Each.
Pay Item No. 506S AB__:	Abandonment of existing Manholes:	Per Each.
Pay Item No. 506S EDM__	Extra Depth of Manhole, ___ Dia.	Per Linear Vert. Foot.
Pay Item No. 506S SL__:	Structural Lining of _____:	Per Linear Vert. Foot.
Pay Item No. 506S CN__:	Connection to Existing _____:	Per Each.

End

SPECIFIC CROSS REFERENCE MATERIALS

Standard Specification Item No. 506, "Manholes"

City of Austin Standard Specifications

Designation	Description
Item 403S	Concrete For Structures
Item 406S	Reinforcing Steel
Item 402S	Controlled Low Strength Material
Item 410S	Concrete Structures
Item 503S	Frames, Grates, Rings and Covers
Item 504S	Adjusting Structures
Item 507S	Bulkheads
Item 510	Pipe

Texas Department of Transportation Standard Specifications For Construction and Maintenance of Highways, Streets and Bridges

Designation	Description
Item 421	Hydraulic Cement Concrete

City of Austin Utilities Criteria Manual

Designation	Description
Section 2.8.0	Abandonment of Facilities
Subsection 2.8.2	Manholes

City of Austin Water Utility Documents

Designation	Description
SPL WW-146A	Manhole Seals, Plastic, Watertight
SPL WW-511	Lining System for Wastewater Manholes
SPL WW-511A	Structural Lining System for Wastewater Manholes
SPL WW-703	Adjusting (grade) rings for manhole chimney sections

City of Austin Standard

Designation	Description
506S-2	Major Manhole Height Adjustment
506S-4	Minor Manhole Height Adjustment
506S-15	Abandoned Manhole
506S-12	O-Ring Joint Detail, Precast Manhole Section
506S-13	Wedge Seal Joint Detail, Precast Manhole Section Adjustment
506S-15	Abandoned Manhole
804S-4, 5, 6 and 7 of 9	Steel Plating

City of Austin Standard Contract

Designation	Description
00300U	Bid Form (Unit Prices)

American Society for Testing and Materials (ASTM)

Designation	Description
ASTM C 55	Specification for Concrete Building Brick
ASTM C 62	Specification for Building Brick Solid Masonry Units Made from Clay of Shale
ASTM C478/C478M	Standard Specification for Precast Concrete Manhole
ASTM C443/C443M	Specification for Joints for Circular Concrete Sewer and Culvert Pipe, Using Rubber Gaskets
ASTM C923/C923M	Specification for Resilient Connectors Between Reinforced Concrete Manhole Structures Pipes
ASTM C1107	Specification for Packaged Dry, Hydraulic-Cement Grout (Nonshrink)
ASTM D6132	Specification for Polyethylene Plastics Molding and Extrusion Materials
D4976	Test Method for Nondestructive Measurement of Dry Film Thickness of Applied Organic Coating Over Concrete Using an Ultrasonic Gage

American Concrete Institute

Designation	Description

Item 347 Guide to Formwork for Concrete

RELATED **CROSS REFERENCE MATERIALS**
Standard Specification Item No. 506, "Manholes"

City of Austin Utilities Criteria Manual

Designation	Description
Section 2	Water and Wastewater Design Criteria

City of Austin Standards

Designation	Description
1100S-1	Casting Adjustments
503S-4S	Storm Sewer Manhole Ring and 32" Cover
503S-4W	Sanitary Sewer Manhole Ring and 32" Cover
503S-5S	Bolted Storm Sewer Manhole Ring and 32" Cover
503S-5W	Watertight Manhole Ring and 32" Cover (W&WW)
506S-1	Manhole Invert Plan
506S-5	Typical Box Manhole 30" and Larger Pipe
506S-7	Precast Manhole with Drop Inlet on Cast in Place Foundation
506S-8	Precast Manhole with Drop Inlet on Precast Base
506S-9	Precast Manhole On Cast-In-Place Foundation
506S-10	Wastewater Manhole on Precast Base
506S-11	Storm Sewer Manhole Details

American Association of State Highway and Transportation Officials (AASHTO)

Designation	Description
M306	Standard Specifications for Drainage Structure Castings

Item No. 509S
Excavation Safety Systems

509S.1 Description

This item shall govern the designing, furnishing, installing, maintaining and removing or abandoning of temporary Excavation Safety Systems consisting of trench shields, aluminum hydraulic shoring, timber shoring, trench jacks, tieback or braced sheeting, tieback slurry walls, soil nailing, rock bolting, tieback or braced soldier piles and lagging, and other systems for protecting workers in excavations. This item shall also govern the designing and constructing of sloping and benching systems for protecting workers in excavations.

At a minimum, the Excavation Safety Systems shall conform to United States Department of Labor Rules 29 CFR, Occupational Safety and Health Administration, Part 1926 Safety and Health Regulations for Construction, Subpart P, Excavation (hereinafter called OSHA).

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text, the inch-pound units are given preference followed by SI units shown within parentheses.

509S.2 Definitions

"Competent Person" shall mean one who is capable of identifying existing and predictable hazards in the surroundings, or working conditions which are unsanitary, hazardous, or dangerous to employees, and who has authorization to take prompt corrective measures to eliminate them. The competent person shall be capable of interpreting the manufacturer's data sheets and interpreting and implementing the Excavation Safety System Plan.

An "Excavation" shall mean any cut, cavity, trench, or depression in an earth surface, formed by earth removed by the Contractor. The Contractor shall provide an Excavation Safety System for all excavations except when 1) the excavation is in stable rock as determined by the Texas-licensed Professional Engineer who prepared the Contractor's Excavation Safety System Plan or 2) the excavation is less than 5 feet (1.52 m) in depth and examination of the ground by the Contractor's competent person provides no indication of a potential cave-in.

"Trench" (trench excavation) shall mean any narrow excavation (in relation to its length) made below the surface of the ground. In general, the depth shall be greater than the width, but the width of a trench (measured at the bottom) shall not be greater than 15 feet (4.56 m). Excavation Safety Systems for such trenches shall be defined as "Trench Excavation Safety Protective Systems".

If the Contractor installs or constructs forms or other structures in an excavation such that the dimension measured from the forms or structures to the sides of the excavation is reduced to 15 feet (4.6 m) or less (measured at the bottom of the excavation), those excavations shall also be defined as a Trench if workers must enter it. Excavation Safety Systems for such trenches shall also be defined as "Trench Excavation Safety Protective Systems".

509S.3 Excavation Safety System Submittals

A. The Notice to Proceed with construction may be issued by the Owner before the Contractor has submitted the necessary Excavation Safety Plan(s); however, excavation shall not proceed until the Owner has received the Contractor's Excavation Safety Plan(s) for the Project.

B. Prior to Starting Excavation

Prior to starting any Excavation, the Contractor shall submit to the Owner:

1. A certificate indicating that the Contractor's Competent Person(s) has completed training in an excavation safety program based on OSHA regulations within the past 5 years.
2. Manufacturer's tabulated data or other tabulated data for Excavation Safety Systems consisting of pre-engineered protective systems such as trench shields, aluminum hydraulic shoring, timber shoring, pneumatic shoring, or trench jacks, or benching or sloping or other protective systems that are not designed specifically for the Project.

   Manufacturer's tabulated data shall meet the requirements in OSHA and shall describe the specific equipment to be used on the Project. Tabulated data must bear the seal of the licensed professional engineer who approved the data. Manufacturer's tabulated data shall be an attachment to the Contractor's Excavation Safety System Plan described below.

509S.4 Excavation Safety System Plan

The Contractor shall prepare an Excavation Safety System Plan (hereafter called the "Plan") specifically for the Project. The Contractor shall retain a Texas-licensed Professional Engineer to prepare the Plan. On City-funded projects, the Contractor must follow qualifications-based procedures to procure the required Professional Engineering services, according to Chapter 2254 of the Texas Government Code.

The Contractor shall be responsible for obtaining geotechnical information necessary for design of the Excavation Safety System. If geotechnical information for design of the Project has been acquired by the Owner or designated representative, it shall be provided to the Contractor for information purposes subject to the provisions of City of Austin Standard Contract Section 00220, "Geotechnical Data."

A. The Plan for Excavation Safety Systems consisting of pre-engineered protective systems such as trench shields, aluminum hydraulic shoring, timber shoring, pneumatic shoring, or trench jacks, or benching or sloping or other protective systems that are not designed specifically for the Project shall include:

   1. Detailed Drawings of the Excavation Safety System(s) that will provide worker protection conforming to OSHA. The Drawings shall note the required load carrying capacity, dimensions, materials, and other physical properties or characteristics in sufficient detail to describe thoroughly and completely the Excavation Safety System(s).
   2. Drawings, notes, or tables clearly detailing the specific areas of the Project in which each Excavation Safety System shall be used, the permissible size of the excavation, the length of time that the excavation shall remain open, the means of egress from the excavation, the location of material storage sites in relation to the excavation, the methods for placing/compacting bedding/backfill within the safety of the system, any excavation safety equipment restrictions and subsequent removal of the system.
   3. Recommendations and limitations for using the Excavation Safety Systems.
   4. A Certificate of Insurance of the Excavation Safety System Engineer's Professional Liability Insurance coverage. For City-funded projects, coverage meeting the requirements of Standard Contact Documents Section 00810 shall be provided. For privately funded projects the coverage shall be at least $1,000,000.

B. The Plan for Excavation Safety Systems consisting of tieback or braced sheeting, tieback or braced soldier piles and lagging, slurry walls, soil nailing, rock bolting or other protective systems that are designed specifically for the Project shall include:

1. Detailed Drawings of the Excavation Safety System(s) that will provide worker protection conforming to OSHA. The Drawings shall note the design assumptions, design criteria, factors of safety, applicable codes, dimensions, components, types of materials, and other physical properties or characteristics in sufficient detail to describe thoroughly and completely the Excavation Safety System(s).
2. Detailed technical specifications for the Excavation Safety System addressing the properties of the materials, construction means and methods, quality control and quality assurance testing, performance monitoring, and monitoring of adjacent features, as appropriate.
3. Drawings that clearly detail the specific areas of the Project in which each type of system shall be used and showing the plan and elevation (vertical profile) views.
4. Drawings, notes or tables clearly detailing the length of time that the excavation shall remain open, the means of egress from the excavation, the location of material storage sites in relation to the excavation, the methods for placing/compacting bedding/backfill within the safety of the system, any excavation safety equipment restrictions and subsequent removal or abandonment of the system or parts thereof.
5. Recommendations and limitations for using the Excavation Safety Systems.
6. A Certificate of Insurance of the Excavation Safety System Engineer's Professional Liability Insurance coverage. For City-funded projects, coverage meeting the requirements of Standard Contract Documents Section 00810 shall be provided. For privately funded projects the coverage shall be at least $1,000,000.

509S.5 Excavation Safety System Submittal Review

Review of the Excavation Safety System submittal conducted by the Owner or designated representative shall only relate to conformance with the requirements herein. The Owner's failure to note exceptions to the submittal shall not relieve the Contractor of any or all responsibility or liability for the adequacy of the Excavation Safety System. The Contractor shall remain solely and completely responsible for all Excavation Safety Systems and for the associated means, methods, procedures, and materials.

509S.6 Contractor’s Responsibility

The Contractor shall be responsible for implementing the Excavation Safety System Plan and for confirming that the Excavation Safety System(s) used on the Project meets the requirements of the Plan.

The Contractor’s Competent Person(s) shall be on the Project whenever workers are in an excavation meeting the definitions of a Trench given in 509S.2.

509S.7 Construction Methods

The Contractor's Competent Person(s) shall maintain a copy of appropriate OSHA regulations onsite and shall implement OSHA excavation safety regulations at the work site. The Contractor shall perform all excavation in a safe manner and shall maintain the Excavation Safety Systems to prevent death or injury to personnel or damage to structures, utilities or property in or near excavation.

If evidence of possible cave-ins or earthen slides is apparent or an installed Excavation Safety System is damaged, the Contractor shall immediately cease work in the excavation, evacuate

personnel from any potentially hazardous areas and notify the Owner. Personnel shall not be allowed to re-enter the excavation until necessary repairs or replacements are completed and are inspected and approved by the Contractor's Competent Person(s). Repair and replacement of damaged Excavation Safety System shall be at the Contractor's sole expense.

509S.8 Changed Conditions

When changed conditions require modifications to the Excavation Safety System, the Contractor shall provide to the Owner or designated representative a new design or an alternate Excavation Safety System Plan that is proposed by the Contractor's Excavation Safety System Engineer to address the changed conditions. Copies of the new design or alternate system shall be provided to the Owner or designated representative in accordance with the requirements of section 509S.3, "Excavation Safety System Plan Submittals". A copy of the most current Excavation Safety System Plan shall be maintained on site and made available to inspection and enforcement officials at all times.

Any changes to the Excavation Safety System Plan that are initiated by the Contractor for operational efficiency or as a result of changed conditions, that could be reasonably anticipated, will not be cause for contract time extension or cost adjustment. When changes to the Excavation Safety System Plan are necessitated by severe and uncharacteristic natural conditions or other conditions not reasonably within the control of the Contractor, the Contractor may make a written request to the Owner for a Change Order to address the anticipated work. The Contractor shall notify the Owner in writing within 24 hours of the occurrence of changed conditions that the Contractor anticipates the submittal of a claim for additional compensation. Under 'Changed Conditions" the work deemed immediately necessary by the Contractor to protect the safety of workers and public, equipment or materials may only be accomplished until the Owner or designated representative has a reasonable opportunity to investigate the Contractor's written request for a Change Order and respond in writing to the request.

509S.9 Measurement

Trench Excavation Safety Protective Systems will only be measured and paid for those trenches that workers would reasonably be expected to enter.

Trench Excavation Safety Protective Systems for Trenches excavated to a final width (measured at the bottom of the excavation) not exceeding 15 feet (4.56 m) shall be measured by the linear foot (meter: 1 meter equals 3.281 feet) through manholes, bore pits, receiving pits, and other appurtenances along the centerline of the trench. This method of measurement shall apply to any and all protective systems, including but not limited to tieback or braced sheeting, tieback or braced soldier piles and lagging, slurry walls, soil nails, rock bolts, shoring, trench boxes, and sloping or benching as used to provide a Trench Excavation Safety Protective System in accordance with the Excavation Safety System Plan.

Trench Excavation Safety Protective Systems for Trenches created by installation or construction of forms or other structures in an excavation whose width is greater than 15 feet (4.56 m) such that the dimension measured from the forms or structures to the sides of the excavation is reduced to 15 feet (4.56 m) or less (measured at the bottom of the excavation) shall be measured by the linear foot along the centerline of the Trench. Where forms or structures create multiple Trenches in one excavation, each Trench shall be measured separately. This method of measurement shall apply to any and all protective systems, including but not limited to tieback or braced sheeting, tieback or braced soldier piles and lagging, slurry walls, soil nails, rock bolts, shoring, trench boxes, and sloping or benching as used to provide a Trench Excavation Safety Protective System in accordance with the Excavation Safety System Plan.

509S.10 Payment

Payment for Trench Excavation Safety Protective Systems, measured as prescribed above, will be made at unit bid price per centerline linear foot of Trench. The unit bid price shall include full compensation for designing, furnishing, installing the system; for dewatering, and for maintaining, replacing, repairing and removing the Trench Excavation Safety Protective System and for sloping, special clearing, and excavation necessary to safely implement the Excavation Safety System Plan. No payment will be made for Trench Excavation Safety Protective Systems made necessary by the Contractor's selection of an optional design or sequence of work that creates the need for the Trench Excavation Safety Protective System.

Payment will be made under the following:

Pay Item No. 509S-1: Trench Excavation Safety Protective Systems, (all depths) Per Linear Foot.

END

SPECIFIC Cross Reference Materials
Standard Specification Item No. 509S, "Excavation Safety Systems"

City of Austin Standard Contract Documents

Designation	Description
Section 00020	Invitation for Bids
Section 00220	Geotechnical Data
Section 00650	Certificate of Insurance
Section 00700, Article 6.11	Safety and Protection
Section 810	Supplemental General Conditions

29 CFR, Occupational Safety and Health Administration, Part 1926 Safety and Health Regulations for Construction, Subpart P, Excavation
Texas Health and Safety Code Title 9 Chapter 756 Subchapter C
Texas Government Code Chapter 2254

RELATED Cross Reference Materials
Standard Specification Item No. 509S, "Excavation Safety Systems"

Texas Department of Transportation Standard Specifications
For Construction and Maintenance of Highways, Streets, and Bridges

Designation	Description
Item 104	Removing Concrete
Item 110	Excavation
Item 402	Trench Excavation Protection

City of Austin Standard Specification Items

Designation	Description
Item No. 101S	Preparing Right of Way
Item No. 102S	Clearing and Grubbing
Item No. 110S	Street Excavation
Item No. 111S	Excavation
Item No. 130S	Borrow

Item No. 132S	Embankment
Item No. 201S	Subgrade Preparation
Item No. 402S	Controlled Low Strength Material
Item No. 501S	Jacking or Boring Pipe
Item No. 503S	Frames, Grates, Rings and Covers
Item No. 504S	Adjusting Structures
Item No. 505S	Concrete Encasement and Encasement Pipe
Item No. 506	Manholes
Item No. 507S	Bulkheads
Item No. 510	Pipe
Item No. 511S	Water Valves
Item No. 593S	Concrete Retards
Item No. 594S	Gabions and Revet Mattresses

Item No. 601S
Salvaging and Placing Topsoil

601S.1 Description

This item shall govern the removal, storage and placement of approved on-site naturally occurring topsoil and topsoil mix (see 601S.3.A) to the depths and area shown on the Drawings or as directed by the Engineer or Landscape Architect.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text and accompanying tables, the inch-pound units are given preference followed by SI units shown within parentheses.

601S.2 Submittals

A. Submittal required before construction.

1. Soil test results ad soil classification necessary for approval of material as suitable topsoil. Soil test results should include, at minimum, texture, percentage organic matter (OM), salinity (soil salt) level; pH; and amounts of Phosphorous (P), potassium (K), calcium (Ca), magnesium (Mg), nitrate-nitrogen (NO_3-N) and sulfate-sulfur (SO_4-S).

2. For topsoil mixes containing compost, the soil test for shall also include moisture content, C:N ratio and Solvita compost maturity index.

3. A sample (21 gallon) of proposed topsoil or topsoil mix shall be submitted to the Owner or their representative 30 calendar days before installation and be approved before installation. Sample shall be labeled including type of material, specification number; name, address, and telephone number of manufacturer or supplier; and address of the location of the source or material stockpile.

B. Submittals /Inspection required during construction:

1. Delivery Tickets indicating type/product name, source and quantities of imported topsoil mix or compost) for mixing with salvaged soil).

2. Deliveries of soil to a job site shall be inspected by the project Engineer or Landscape Architect or Owner's construction inspector before placement to verify product compliance with specification.

601S.3 Materials

A. Topsoil Mix

1. Topsoil mix shall be composed of 4 parts of soil mixed with 1 part compost, by volume. The soil shall be locally available native soil that meets the following specifications:
   a. Shall be free of trash, weeds, deleterious materials, rocks and debris.

b. 100% shall pass through a 3/8-inch (9.5-mm) screen.

c. Soil to be a black or dark brown loamy material that meets the requirements of the table below in a accordance with the USDA textural triangle. Soil known locally as “red death” is not an allowable soil. Textural composition shall meet the following criteria:

Textural Class	Minimum	Maximum
Clay	5%	50%
Silt	10%	50%
Sand	15%	67%

d. Organic matter percentage shall be at least 5.0% after the addition of compost.

e. Salinity shall be below 6.00 mmhos/cm.

f. An owner/project designer(s) may propose use of onsite salvaged topsoil which does not meet the soil texture class required above by providing a soil analysis and a written statement from a qualified professional in soils, landscape architecture, or agronomy indicating the onsite topsoil will provide an equivalent growth media and specifying what, if any, soil amendments are required.

3. The compost shall be locally available and shall meet the following specifications:

a) Shall be well decomposed, stable to very stable, weed-free plant-based material source derived from yard trimmings or City approved alternate source. The Carbon/Nitrogen (C/N) ratio shall be less than 25:1 and trace metals test results should "pass".

b) Shall be blended and ground leaf, wood and other plant-based material, composted for a minimum of nine (9) months and at temperatures sufficient to break down all woody fibers, seeds and leaf structures, free of toxic material at levels that are harmful to plants or humans. Source material shall be yard waste trimmings blended with other plants or other materials designed to produce compost high in fungal material. Non-vegetal source materials may be acceptable upon approval by the Owner. The compost will possess no objectionable odors and shall not resemble the raw material from which it was derived.

c) Compost shall be commercially prepared compost and meet US Compost Council STA/TMECC criteria or as modified in this section for "Compost as a Landscape Backfill Mix Component".

http://compostingcouncil.org/admin/wp-content/plugins/wp-pdfupload/pdf/191/LandscapeArch_Specs.pdf

d) Compost shall comply with the following parameters:

PARAMETERS [1]	REPORTED AS (UNITS OF MEASURE)	GENERAL RANGE
pH	pH units	6.0 - 8.5
Salinity (electric conductivity)	dS/m (mmhos/cm)	Maximum 10
Moisture Content	%, net weight basis	30 - 60%
Organic Matter Content	%, dry weight basis	30 - 65%
Particle Size	% passing a selected mesh size, dry weight basis	98% pass through ¾ inch screen
Stability Carbon Dioxide Evolution Rate	mg CO2-C per g OM per day	<8
Solvita Compost Maturity Test	Solvita units	>6
Physical Contaminants (inerts)	%, dry weight basis	<1%
Chemical Contaminants [2]	mg/kg (ppm)	Meet or exceed US EPA Class A standard, 40 CFR § 503.13, Tables 1 and 3 levels
Biological Contaminants Select pathogens Fecal coliform bacteria or Salmonella [3]	MPN per gram per dry weight MPN per 4 grams per dry weight	Meet or exceed US EPA Class A standard, 40 CFR § 503.32(a) levels

[1] Recommended test methodologies are provided in Test Methods for the Examination of Composting and Compost (TMECC, The US Composting Council).

[2] US EPA Class A standard, 40 CFR § 503.13, Tables 1 and 3 levels = Arsenic 41 ppm, Cadmium 39 ppm, Copper 1,500 ppm, Lead 300 ppm, Mercury 17ppm, Molybdenum 75 ppm, Nickel 420 ppm, Selenium 100 ppm, Zinc 2,800 ppm.

[3] US EPA Class A standard, 40 CFR § 503.32(a) levels = Salmonella <3 MPN/4grams of total solids or Fecal Coliform <1,000 MPN/gram of total solids.

e) Compost and other soil amendments shall be worked into the existing onsite topsoil with a disc or tiller to create a well-blended material.

2. All disturbed areas to be revegetated are required to provide a minimum of six (6) inches of topsoil. The topsoil shall be able to support the growth of planting (Standard Specification Item No. 608S), Seeding for Erosion Control (Standard Specification Item No. 604S), sodding (Standard Specification Item No. 602S) and Native Seeding and Planting for Restoration (Standard Specification Item No. 609S).

B. Water

Water shall be furnished by the Contractor and shall be clean and free from seed source, pesticide, fertilizer, industrial wastes and other objectionable matter.

601S.4 Sources

The salvaged topsoil may be obtained from the right-of-way at sites of proposed excavation or embankment when shown on the Drawings or identified by the Engineer or Landscape Architect. The approximate quantity of acceptable topsoil to be salvaged from the project will be shown on the Drawings. The topsoil or topsoil mix may also be obtained from approved sources, which are located outside the right-of-way and have been secured by the Contractor.

601S.5 Construction Methods

Tree protection fencing will be maintained at all times to protect all trees in the limits of construction. Where removal of trees is indicated on the Drawings, they shall be marked as directed by the Engineer or Landscape Architect, or certified Arborist.

Construction equipment shall not be operated nor construction materials stockpiled within the critical root zone of trees .Tree protection fencing shall remain in place per tree protection plan. Topsoil materials shall not be placed within the critical root zone until tree wells are constructed that conform to Item No. 610S, "Preservation of Trees and Other Vegetation " and Standard Details 591S-1 and 610S-6. The source and stockpile areas shall be kept drained, insofar as practicable, during the period of topsoil removal

The existing topsoil shall be removed from the area indicated on the Drawings, stockpiled in designated area on the site plan, windrow along the right of way or other designated area outside the 100-year floodplain (as defined in the Drainage Criteria Manual and Land Development Code), or spread over an area that is ready for topsoil application in accordance with the Drawings or as directed by the Engineer or Landscape Architect.

Trash, wood, brush, stumps, rocks over 1 1/2 inches (37.5 mm) in size and other objectionable material encountered shall be removed and disposed of as directed by the Engineer or Landscape Architect prior to beginning of work required by this item. Grass and other herbaceous plant materials may remain. Large clumps shall be broken up

Where the proposed planting area is compacted more than 85% proctor or 225 p.s.i., the existing soil should be tilled to a minimum depth of six inches before installation of the salvaged topsoil or topsoil mix. In the critical root zone of trees reference 661S.

The topsoil should not be placed if the ground is muddy, saturated, or frozen.

The topsoil should not be placed if the ground is extremely dry. Wet soil enough to prevent dust from leaving the site.

After the grading has been completed to the required alignment, grades and cross-sections and prior to the spreading of the salvaged topsoil, any clay or tight soil surfaces shall be scarified by plowing furrows approximately 4 inches (100 mm) deep along horizontal slope lines at 2 foot (600 mm) vertical intervals. The spreading of the salvaged topsoil or topsoil mix shall be undertaken as soon as the grading has been completed. The topsoil shall be spread so as to form a cover of uniform thickness indicated. After the topsoil has been placed and shaped, it shall be sprinkled with water and rolled to provide a suitable seed bed.

601S.6 Measurement and Payment

Salvaging, removal and/or placing topsoil materials will not be measured for payment, but shall be included in the unit price bid for the item of construction in which these activities are used.

End

SPECIFIC CROSS REFERENCE MATERIALS
Specification 601S, "Salvaging and Placing Topsoil"

City of Austin Standard Specification Items

Designation	Description
Item No. 602S	Sodding for Erosion Control
Item No. 604S	Seeding for Erosion Control
Item No. 608S	Planting
Item No. 609S	Native Grassland Seeding and Planting For Erosion Control
Item No. 610S	Preservation of Trees and Other Vegetation

City of Austin Standard Details

Designation	Description
591S-1	Dry Stack Rock Wall
610S-6	Typical Tree Well Applications

RELATED CROSS REFERENCE MATERIALS
Specification 601S, "Salvaging and Placing Topsoil"

City of Austin Standard Specification Items

Designation	Description
Item No. 102S	Clearing and Grubbing
Item No. 104S	Removing Concrete
Item No. 110S	Street Excavation
Item No. 111S	Excavation
Item No. 120S	Channel Excavation
Item No. 132S	Embankment
Item No. 606S	Fertilizer

City of Austin Standard Details

Designation	Description
610S-1	Tree Protection Fence Locations
610S-2	Tree Protection Fence, Type B Chainlink
610S-3	Tree Protection Fence, Type B Wood
610S-4	Tree Protection Fence, Modified Type A
610S-5	Tree Protection Fence, Modified Type B

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges

Designation	Description
Item No. 100	Preparing Right of Way
Item No. 110	Excavation
Item No. 160	Furnishing and Placing Topsoil
Item No. 164	Seeding for Erosion Control
Item No. 204	Sprinkling

Texas Department of Transportation: Manual of Testing Procedures

Designation	Description
Tex-103-E	**Determination of Moisture Content of Soil Materials**
Tex-104-E	**Determination of Liquid Limit of Soils**
Tex-105-E	**Determination of Plastic Limit of Soils**
Tex-106-E	**Method of Calculating the Plasticity Index of Soils**

Item No. 604S
Seeding for Erosion Control

604S.1 Description

This item shall govern the preparation of a seed bed for temporary or permanent erosion control; sowing of seeds; fertilizing; mulching with straw, cellulose fiber wood chips, and recycled paper mulch; and other management practices along and across such areas as indicated in the Drawings or as directed by the Landscape Architect, Engineer or designated representative.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text, inch-pound units are given preference with SI units shown within parentheses.

604S.2 Submittals

The following submittal items are required in writing during construction:

A. Identification of the seed species, source, mixture, and PLS of the seed as listed on the analysis tags and certification tags from all seed bags. Seed calculation worksheet per Table 7. PLS is the percentage of seed purity multiplied by the percentage of germination plus dormant seed. The analysis tag, required on all seed sold in Texas, includes information on quality: kind and variety of seed, lot number, percent pure live seed, percent other crop seed, percent inert matter, percent weed seeds, germination percentage, and date of test. The certification tag also verifies seed quality, an assurance of seed variety and attesting to standards for germination and purity, Information provided includes class of certification, kind of crop, variety, lot number, and name and address of the owner

B. If fertilizer is proposed, results of a recent soil test (6 months old or less) of the area to be seeded, before fertilization. Soil samples shall be collected after final grading, when topsoil has been placed. The test results must include soil lab recommended additions of Nitrogen (N), Phosphorus (P), and Potassium (K) for the type of vegetation proposed, as well as soil organic matter percentage and textural class.

C. Fertilizer formulation and release rate based on a soil test (see B above).

D. For hydromulch applications, proposed application rate of seed, type of mulch and tacking agent, and other relevant information. An example of the required documentation is in Table 1.

E. Type of hydraulic seeding equipment and nozzles proposed for use.

F. If pesticide use is proposed, an IPM plan for pest removal including pesticide label, proposed application rate and timing, and MSDS sheets.

G. One gallon sample of proposed vegetative mulch.

The following submittal items are required before Substantial Completion:

A. For hydromulch applications, the complete hydromulch application log, including date, time and quantity of product units placed in the slurry tank. An example of an

application log is provided in Table 2. This log may be requested at any time during construction by the Landscape Architect, Engineer, or authorized inspector.

B. Pesticide application tracking log. As of January 1, 2012, documentation of all outdoor pesticide use on city-owned properties is required to demonstrate compliance with the EPA/TCEQ mandated Municipal Stormwater Permit, the TPDES General Pesticide Permit, City Code, and the IPM program.

Table 1: Example of proposed hydromulch application rates

				Hydro Slurry Unit (per acre rates)				
Hydro Mix	Sheet No.	Seed Mix	Acres	Seed (Bags/ ac)	Tackifier (Buckets/ ac)	Mulch (Bales/ ac)	Fertilizer (Bags/ ac)	Addl. Amendments (Bags/ac)
1	L2	A	1.0	1	100	1000	50	5
F2	L3	A	0.5	2	200	1500	50	5
3	L5	B	3.0	3	300	3000	50	5

Table 2: Example of hydromulch application log

						Hydro Slurry Unit (per acre rates)				
Date	Start Time	Finish Time	AC/ Tank	Water (gal)	Seed Mix	Seed (Bags/ ac)	Tackifier (Buckets /ac)	Mulch (Bales /ac)	Fertilizer (Bags/ ac)	Addl. Amend-ments (Bags/ac)
4/13	10:30	11:15	1.0	330	A	1	100	1000	50	5
4/17	2:00	2:30	0.5	330	A	2	200	1500	50	5
5/20	8:30	10:00	1.2	330	B	3	300	3000	50	5
					Totals	6	600	5500	127	15

604S.3. Materials

A. Seed. All seed must meet the requirements of the Texas Seed Law including the labeling requirements for showing pure live seed (PLS), name and type of seed and all other required elements of the Analysis and Certification Tags.

The seed furnished shall be of the previous season's crop and the date of analysis, shown on each bag, shall be within twelve (12) months of the time of delivery to the project. Each variety of seed shall be furnished and delivered in separate bags or containers, unless a specific mix is proposed for use. A sample of each variety of seed shall be furnished for analysis and testing when directed by the Landscape Architect, Engineer or designated representative.

The amount of seed planted square yard (0.84 square meters) or per acre (hectare) shall be of the type specified in sections 604S.5 and 604S.6.

B. Water. Water shall be clean and free of industrial wastes and other substances harmful to the growth of plant material or the area irrigated.

C. Top soil. Top soil shall conform to Item No. 601S.3(A).

D. Fertilizer. The fertilizer shall conform to Item No. 606S, "Fertilizer". The type and rate of fertilizer should be based on chemical tests of recent (no older than 6 months before application) representative site soil samples. Fertilizer should be applied only when plants can take them up for growth, during: 1) seed germination and plant establishment and 2) after plant establishment. Fertilizer shall not be applied within 48 hours of a potential rain event.

E. Straw Mulch or Hay Mulch. Straw Mulch shall be oat, wheat or rice straw. Hay mulch shall be prairie grass, or other hay approved by the Landscape Architect, Engineer or designated representative. The straw or hay shall be free of Johnson grass or other noxious weeds and foreign materials. It shall be kept in a dry condition and shall not be moldy or rotted.

F. Tackifier. The tackifier shall be a biodegradable tacking agent, approved by the Landscape Architect, Engineer or designated representative.

G. Cellulose Fiber Mulch (Natural Wood). Cellulose Fiber Mulch shall be natural cellulose fiber mulch produced from grinding clean whole wood chips. The mulch shall be designed for use in conventional mechanical planting, hydraulic planting of seed or hydraulic mulching of grass seed, either alone or with fertilizers and other additives. The mulch shall be such, that when applied, the material shall form a strong, moisture-retaining mat without the need of an asphalt binder.

H Recycled Paper Mulch. Recycled paper mulch shall be specifically manufactured from post-consumer paper and shall contain a minimum of 85% recycled paper content by weight, shall contain no more than 15% moisture and 1.6% ash, and shall contain no growth inhibiting material or weed seeds. The recycled paper mulch shall be mixed with grass seed and fertilizer (see “fertilizer” above) for hydro-seeding/mulching, erosion control, and a binder over straw mulch. The mulch, when applied, shall form a strong, moisture-retaining mat of a green color without the need of an asphalt binder.

I. Mulch. Mulches, acting as seed coverings, can enhance seed germination and seedling establishment. Characteristics of ideal mulches for seeding are those that protect seeds from wind (drying), excessive solar radiation, high evapotranspiration rates, and erosion, while allowing germination and growth. Relatively coarsely shredded, weed-free vegetative mulch should be used on seed installations, especially in open, sunny areas. These materials shall be clean, free of foreign matter, and dry enough to spread evenly.

J. Pesticide. A least toxic, integrated pest management (IPM) approach shall be used to control weeds. A written request for approval of weed control products and materials shall be submitted to the City of Austin Watershed Protection Department (ERM) IPM program coordinator for approval. Additional information can be found at http://www.austintexas.gov/ipm.

604S.4 Construction Methods

A. General. The Contractor shall limit preparation of the seedbed to areas that will be seeded immediately. When seeding for permanent erosion control, weed species listed in Table 3

shall be managed by application of an appropriate herbicide and/or by physical removal by the roots before the seeding operation. The goal of weed management is to facilitate establishment of the permanent vegetative cover. Additionally, the Owner may require removal of any plant species that appears to be out-competing seeded or planted species during the construction period.

Table 3: Weed List

Weed Type	Botanical Name	Common Name
Annual Grass	Cenchrus spp.	Sandbur
Herb	Cnidoscolus texanus	Bull Nettle
Herb	Urtica spp.	Stinging Nettle
Vine	Toxicodendron radicans	Poison Ivy
Perennial Grass	Sorghum halapense	Johnson Grass
Perennial Grass	Arundo donax	Giant Cane
Perennial Grass	Phyllostachys aurea	Golden Bamboo
Summer Annual Herb	Ambrosia trifida	Ragweed
Winter Annual Herb	Rapistrum rugosum	Bastard Cabbage
Winter Annual Herb	Bromus arvensis	Japanese Brome
Winter Annual Herb	Lolium multiflorum	Annual Ryegrass

B. Preparing Seed Bed. After the designated areas have been rough graded to the lines, grades and typical sections indicated in the Drawings or as provided for in other items of this contract and for any other soil area disturbed by the construction, a suitable seedbed shall be prepared. The seedbed shall consist of a minimum of either 6 inches (150 millimeters) of approved topsoil or 6 inches (150 millimeters) of approved salvaged topsoil.

The topsoil or growing medium must be prepared so that compaction is appropriate for plant growth, and to achieve acceptable bulk density or hydrologic function. Rippers and subsoilers may be used to loosen compacted soil and roughen the surface. Disks, plows and excavator attachments are good for compaction reduction, roughening and incorporating amendments. If tracked machinery is used in seedbed preparation, cleat marks should run with the contour to prevent rills. The optimum depth for seeding shall be 1/8 to 1/4 inch (3 to 6 millimeters).

Water shall be gently applied as required to prepare the seedbed prior to the planting operation either by broadcast seeding or hydraulic planting. Seeding shall be performed in accordance with the requirements described below.

C. Watering. All watering shall comply with City Code Chapter 6-4 (Water Conservation). All seeded areas regardless of seed type and method of seeding (e.g., broadcast, hydroseed) shall be watered immediately after installation. For seed germination and establishment it is important to keep the seedbed in a moist condition favorable for the growth of plant materials.

Watering applications shall constantly maintain the seedbed in a moist condition favorable for the growth of plant materials. Watering shall continue until the plant material is at least

1-1/2 inches (40 mm) in height and accepted by the Engineer or designated representative. Supplemental watering can be postponed immediately after a half- inch (12.5 mm) or greater rainfall on the site but shall be resumed before the soil dries out.

D. Cool Season Cover Crop. From September 15 to March 1, non-native and native seeding shall include a cool season cover crop at the rate specified in Table 6. Cool season cover crops are not permanent erosion control. If installed separately from the permanently erosion control seed mix, the cool season cover crops shall be mowed to a height of less than one (1) inch after March 1, and the area shall be re-seeded at the specified seeding rate for non-native or native warm-season species (March 1 to September 15).

604S.5 Non-Native Seeding

A. Method A - Broadcast Seeding. The seed or seed mixture in the quantity specified shall be uniformly distributed over the prepared seed bed areas indicated on the Drawings or where directed by the Engineer or designated representative. If the sowing of seed is by hand, rather than by mechanical methods, the seed shall be sown in two directions at right angles to each other. If mechanical equipment is used, all varieties of seed, as well as fertilizer (if required), may be distributed at the same time, provided that each component is uniformly applied at the specified rate. After planting, the planted area shall be rolled with a corrugated roller of the "Cultipacker" type. All rolling of the slope areas shall be on the contour.

Seed Mixture and Rate of Application for Broadcast Seeding:

From March 1 to September 15, seeding shall be with hulled Bermuda Grass at a rate of 45 lbs/ac (5.0 kilograms per hectare) with a minimum PLS = 0.83. Fertilizer shall be applied if warranted by a soil test, and shall conform to Item No. 606S, "Fertilizer". Bermuda grass is a warm-season grass and is therefore considered permanent erosion control once established.

Method B - Hydraulic Planting. The seedbed shall be prepared as specified above and hydraulic planting equipment, which is capable of placing all materials in a single operation, shall be used. Information about hydromulching for temporary and permanent vegetation stabilization is in the Environmental Criteria Manual (ECM) Section 1.4.7.

Hydroseeding equipment shall be clean and free of all previous seeds, fertilizer, mulch, or any hydroseeding products used on prior jobs.

March 1 to September 15

Hydraulic planting mixture and minimum rate of application pounds per acre or square yard (kilograms per ha):

Hulled Bermuda Seed (min. PLS=0.83)	Fiber Mulch		Soil Tackifier
	Cellulose	Wood	
45 Lbs/ac (50.44 kg/ha)	2000 Lbs/ac (2242 kg/ha)		60.98 Lbs/ac (68.36 kg/ha)
		2500 Lbs/ac (2803 kg/ha)	65.34 Lbs/ac (73.25 kg/ha)

604S.6 Native Grass and Forb Seeding

The seed mixture shall include both grasses and forbs. The dry and moist sites grass mix shall be seeded at rates of at least 23.5 and 17.0 lb/ac (26.32 and 19.04 kg/ha), respectively and the dry and wet site forb mix shall be seeded at a rate of at least 11.5 and 9.0 lb/ac (12.88 and 10.08 kg/ha), for total application rates of 35.00 lb/ac (39.20 and 29.12 kg/ha) [dry site] and 26 lb/ac (29.12 kg/ha) [wet site]. Minimum diversity for dry sites (Table 4) is eight species of grasses and 10 species of forbs. Minimum diversity for wet sites (Table 5) is six species of grasses and seven species of forbs. The species indicated with an asterisk shall be included in all proposed mixes. Application rates may be modified, but no species shall constitute more than 20% of a seed mix. Any species proposed for installation and not included in Tables 4 or 5 shall by City of Austin representative including Environmental Reviewer, Environmental Inspector, or Watershed Protection Department representative, and shall be native to Central Texas as referenced by the LBJ Wildflower Center plant database (www.wildflower.org) or USDA plant database.

Table 4: Native Grasses and Forbs: Dry Sites

Type	Common Name	Botanical Name	Exposure	Recommended Application Rates	
				lbs/ac	kg/ha
Grass Seed Mix	Sideoats grama*	Bouteloua curtipendula	Full-part sun	7.0	7.8
	Green sprangletop*	Leptochloa dubia	Full sun	6.0	6.7
	Buffalograss	Buchloe dactyloides	Full sun	24.0	27.0
	Blue Grama Grass	Bouteloua gracilis	Full-part sun	10.0	11.2
	Canada Wild Rye	Elymus canadensis	Full-part sun	10.0	11.2
	Purple Three-Awn	Aristida purpurea	Full sun	4.0	4.5
	Cane Bluestem	Bothriochloa barbinodis	Full sun	3.0	3.3
	Galleta	Pleuraphis jamesii	Full sun	10.0	11.2
	Black Grama*	Bouteloua eripoda	Full sun	10.0	11.2
	Sand Dropseed*	Sporobolus cryptandrus	Full sun	1.0	1.1
	Alkali Sacaton	Sporobolus airoides	Full sun	0.5	1.7
	Curly Mesquite	Hilaria belangeri	Full sun	2.0	2.2
	Sand Lovegrass	Eragrostis trichodes	Full sun	2.0	2.2
	Black-Eyed Susan	Rudbeckia hirta	Full-part sun	2.0	2.2

	Illinois Bundleflower*	Desmanthus illinoens (legume)	Full-part sun shade	15.0	16.8
	Scarlet Sage	Salvia coccinea	Full-part sun shade	8.0	9.0
	Pink Evening Primrose	Oenethera speciosa	Full-part sun shade	1.0	1.1
	Drummond Phlox	Phlox drummondii	Full-part sun	8.0	9.0
	Plains Coreopsis	Coreopsis tinctoria	Full-part sun	2.0	2.2
	Greenthread	Thelesperma filifoliu	Full sun	6.0	6.7
	Purple Prairie Clover*	Dalea purpurea	Full sun	4.0	4.5
	Cutleaf Daisy	Engelmannia pinnatifida	Full-part sun	18.0	20.1
Forb Seed Mix	Partridge Pea*	Chamaecrista fasciculate	Full-part sun	20.0	22.4
	Indian Blanket	Gaillardia pulchella	Full-part sun	10.0	11.2
	Bluebonnet*	Lupinus texensis (legume)	Full sun	20.0	22.4
	Mexican Hat	Ratibida columnaris	Full-part sun	2.0	2.2
	Maximilian Sunflower	Helianthus maximilia	Full-part sun	5.0	5.6
	Prairie Coneflower	Ratibidia columnifer	Full-part sun	2.0	2.2
	Clasping Coneflower	Dracopis amplexicau	Full-part sun	3.0	3.4
	Purple Coneflower	Echinacea purpurea	Full-part sun shade	10.0	11.2
	Lemon Mint	Monarda citriodora	Full-part sun	3.0	3.4
	Huisache Daisy	Amblyolepis setigera	Full-part sun	8.0	9.0
	Texas Yellow Star	Lindheimera texana	Full-part sun	12.0	13.5
	Lanceleaf Coreopsis	Coreopsis lanceolata	Full-part sun shade	10.0	11.2
	Bush Sunflower	Simsia calva	Full-part sun	3.0	3.4
	Winecup	Callirhoe involucrata	Full-part sun shade	5.0	5.6
	Antelope horns	Asclepias asperula	Full sun	0.1	0.04
	Green milkweed	Asclepias viridis	Full sun	0.1	0.04
TOTAL Total seed mix application rate is 35.0 lb/ac (23.5 lb/ac grasses and 11.5 lb/ac forbs), to be composed of at least 8 species from the grass list and 10 species from the forb list to include the required species.					

*Required species that must be included in the mix

Table 5: Native Grasses and Forbs: Wet Sites

Type	Common Name	Botanical Name	Exposure	Recommended Application Rates	
				lbs/ac	kg/ha
Grass Seed Mix	White Tridens	Tridens albescens	Full-part sun	0.5	0.56
	Plains Bristlegrass	Setaria leucopila	Full-part sun	6.0	6.7
	Switchgrass	Panicum virgatum	Full-part sun	4.0	4.5
	Inland Sea Oats	Chasmanthium latifoliu	Shade	12.0	13.5
	Canada Wild Rye	Elymus canadensis	Full sun - shade	10.0	11.2
	Big Bluestem	Andropogon gerardii	Full sun	4.0	4.5
	Bushy Bluestem	Andropogon glomeratus	Full sun	3.0	3.4
	Green Sprangletop*	Leptochloa dubia	Full sun	2.0	2.2
	Eastern Gamagrass	Tripsacum dactyloides	Full sun - shade	3.0	3.4
Forb Seed Mix	American Basketflower	Centaurea americana	Full sun	10.0	11.2
	Common milkweed	Asclepias syriaca	Full sun	0.1	0.04
	Butterfly weed	Asclepias tuberosa	Full sun	0.1	0.04
	Blue Mistflower	Conoclinium coelestinum	Full-part sun	0.5	0.6
	Clasping Coneflower	Dracopsis amplexicaulis	Full-part sun	3.0	3.4
	Maximilian Sunflower	Helianthus maximliani	Full-part sun	4.0	4.5
	Prairie Blazing Star	Liatris pycnostachya	Full sun	2.0	2.2
	Pink Evening Primrose	Oenothera speciosa	Full sun-dappled shade	1.0	1.1
	Mexican Hat	Ratibida columnifera	Full-part sun	2.0	2.2
	Black-eyed Susan	Rudbeckia hirta	Full sun-dappled shade	2.0	2.2
	Illinois Bundleflower	Desmanthus illinoensis	Full sun-dappled shade	15.0	16.8
	Obedient Plant	Physostegia virginiana	Full sun-dappled shade	4.0	4.5
	Partridge Pea*	Camaecrista fasciculate	Full-part sun	20.0	22.4

Type	Common Name	Botanical Name	Exposure	Recommended Application Rates	
				lbs/ac	kg/ha
	Purple Prairie Clover	Dalea purpurea var purpurea	Full sun	4.0	4.5
	Pitcher Sage	Salvia azurea	Full-part sun	3.0	3.4
	Showy Tick Trefoil	Desmodium canadense	Full sun	0.5	0.6
	Winecup*	Callirhoe involucrata	Full-part sun	5.0	5.6
TOTAL Total seed mix application rate is 26.0 lb/ac (17.0 lb/ac grasses and 9.0 lb/ac forbs), to be composed of at least 8 species from the grass list and 10 species from the forb list to include the required species.					

Table 6: Cool Season Cover Crop

Common Name	Botanical Name	Exposure	Application rates	
			lbs/ac	kg/ha
Western Wheatgrass	*Pascopyrum smithii*	Full-pt sun; dappled shade	5.6	6.28
Oats	*Avena sativa*	Full sun	4.0	4.48
Cereal Rye Grain	*Secale cereale*	Full sun	34.0	38.11

One cover crop species of the listed species is required to be planted between September 15 to March 1. Contractor must ensure that any seed application requiring a cool season cover crop does not utilize annual ryegrass (*Lolium multiflorum*) or perennial ryegrass (*Lolium perenne*). Only cereal rye grain (*Secale cereale*), oats (*Avena sativa*) and western wheatgrass (*Pascopyrum smithii*) are approved as cool season cover crop.

Species substitution as necessary due to availability shall be approved by the Landscape Architect, Engineer or designated representative. Watering and fertilizer application shall follow procedures outlined above or as otherwise specified on the Drawings.

Seed shall be applied by broadcast, hydromulch, blown compost, or drill method and shall be distributed evenly over the topsoil areas. Mulching shall immediately follow seed application for broadcast and hydromulch applications.

Seed Rate Calculations

The amount of seed needed to be planted on a project shall be calculated before installation to ensure adequate seed is placed, and provided as a submittal. Table 7 is an example worksheet, followed by an example calculation. Information for calculation can be obtained from seed tags or the supplier.

Table 7. Seed Calculation Worksheet

Plant Group	Desired Seeding Rate (1bs/ac)	PLS (pure live seed)	Bulk Rate (lbs/ac)	Seeding Area (ac)	Amt. of Seed to be Installed (lbs)
Grasses					
Forbs					
TOTAL					

FORMULAE:

PLS (pure live seed) = (Purity x Germination) x 100. Can also use average PLS from seed tags.

Bulk Rate (lbs/AC) =Desired Seed Rate (lbs/AC)/PLS

Amt. of Seed to be Installed (lbs) = Bulk Rate (lbs/AC) x Seeding Area (AC)

Example:

Plant Group	Desired Seeding Rate (lbs/ac)	PLS (pure live seed)	Bulk Rate (lbs/ac)	Seeding Area (ac)	Amt. of Seed to be Installed (lbs)
Grasses	131.00	0.81	161.73	1.50*	242.60
Forbs	65.34	0.87	75.10	1.50*	112.70
TOTAL	196.34	0.84 (ave.)	236.83	1.50	355.30

604S.7 Mulch

Mulches may be used to help prevent soil erosion until final stabilization is achieved. Mulch shall be used to cover broadcasted seeds, especially in sunny, open areas, to protect them from drying out during germination.

A. Straw Mulch.

Straw mulch shall be spread uniformly over the area indicated or as designated by the Engineer or designated representative at the rate of 2 to 2 1/2 tons of straw per acre (4.5 to 5.6 megagrams of straw per hectare). The actual rate of application will be designated by the Landscape Architect, Engineer or designated representative. Straw may be hand or machine placed and adequately secured.

B. Hydromulch

Refer to ECM Section 1.4.7 for hydromulching applications.

C. Shredded Brush Mulch.

Small brush or tree limbs, which have been shredded, may be used for mulching Native for mulching Native Grass seeding.

604S.8 Management Practices

Management Practices include (1) weed management (pesticide application or mechanical removal) to so than 90 percent of the revegetation area is free of weeds listed in Table 3, and (2) reseeding areas of poor germination to achieve coverage and height per 604S.9, with no bare areas greater than 10 s.f..

Ninety (90) percent of a permanent revegetation area must be free of weeds listed in Table 3. Weeds shall be controlled in the most efficient manner possible. Management of weed species should begin early in the project, before seeding for permanent control, and extend into plant establishment, especially for perennial weeds. Manual removal or application of an appropriate herbicide may be required after the initial seeding if emergence of an annual weed species threatens establishment of sufficient preferred plant cover. Disturbance due to weed management after the initial seeding may necessitate re-seeding of the area to establish sufficient preferred plant coverage. Care should be taken to temporarily stabilize areas where physical removal of weeds has been performed to prevent erosion and sediment runoff.

The entire root system of perennial weeds shall be removed to prevent re-sprouting. Weeds may be controlled with an approved contact, systemic herbicide, provided the product is used with appropriate care and is applied in accordance with label instructions and the following guidelines:

1. Herbicide shall not be applied when the wind is greater than 8 mph (12.9 kph),
2. Herbicide shall not be applied when rainfall is expected within 24 hours,
3. Herbicide shall not contact surface water, i.e. creeks, rivers, and lakes,
4. Herbicide shall not contact desirable vegetation (a wicking method shall be used, if necessary, to accurately contact target weed only during application).

The Landscape Architect, Engineer or designated representative shall be consulted to determine appropriate weed control management when weeds are located in an environmentally sensitive location (e.g. near water or adjacent to a critical environmental feature).

At locations that fail to show an acceptable stand of planting for any reason during the initial seeding, repair and/or reseed locations as determined by the Landscape Architect, Engineer or designated representative. A successful stand of grasses and forbs for erosion control should exhibit the following:

- Seedlings with vigorous green foliage;
- Green leaves remaining throughout the summer, at least at the plant bases;
- Uniform density, with grasses and/or forbs well intermixed;
- Minimum of 95% cover; and
- No exposed soil greater than 10 s.f. in aerial extent.

The Contractor shall meet the requirements of the initial seeding, including seeding method, seed mix, and application rates, unless otherwise agreed to in writing by the Owner. Corrected deficiencies will be re-inspected and approved by the Owner, and final acceptance will be granted upon satisfactory completion.

604S.9 Measurement

Work and acceptable material for "Seeding for Erosion Control" will be measured by the square yard (meter: 1 meter equals 1.196 square yards) or by the acre (hectare: 1 hectare equals 2.471 acres), complete in place, so that all areas of the site that rely on vegetation for stability must be uniformly vegetated with a minimum of 95 percent total coverage for the non-native or native mixes, and 95 percent coverage for the native mix. Bare areas shall not exceed 16 square feet (1.5 square meters), and the average height of vegetation shall stand at a minimum of 1 1/2 inch (40 millimeters). Ninety (90) percent of the revegetated area, whether native or non-native re-vegetation, must be free of weeds listed in Table 3. Bare areas greater than 10 s.f. shall be re-prepared and reseeded as required to develop an acceptable stand of plant material.

604S.10 Payment

The work performed and materials furnished and measured will be paid for at the unit bid price for "Seeding for Erosion Control" of the method specified on the Drawings and type of mulch. The unit bid price shall include full compensation for furnishing all materials, including all topsoil, water, seed, tackifier, fertilizer or mulch and for performing all operations necessary to complete the work.

All fertilizer will be measured and paid for conforming to Item No. 606S, "Fertilizer".

Payment will be made under one of the following:

Pay Item No. 604S-A:	Non-Native Seeding for Erosion Control Method, Hydraulic Planting	Per Square Yard
Pay Item No. 604S-B:	Non-Native Seeding for Erosion Control, Broadcast Seeding	Per Square Yard
Pay Item No. 604S-C:	Non-Native Seeding for Erosion Control Method, Hydraulic Planting	Per Acre
Pay Item No. 604S-D:	Native Seeding for Erosion Control Method, Hydraulic Planting	Per Square Yard
Pay Item No. 604S-E:	Native Seeding for Erosion Control, Broadcast Seeding,	Per Square Yard
Pay Item No. 604S-F:	Native Seeding for Erosion Control Method, Hydraulic Planting	Per Acre
Pay Item No. 604S-G:	Mulch,	Per Square Yard
Pay Item No. 604S-H:	Mulch,	Per Acre
Pay Item No. 604S-I:	Topsoil and Seedbed Preparation,	Per Square Yard
Pay Item No. 604S-J:	Topsoil and Seedbed Preparation,	Per Acre
Pay Item No. 604S-K:	Watering,	Per 1000 gal (Kgal)
Pay Item No. 604S-L:	Management Practices,	Per Square Yard
Pay Item No. 604S-M:	Management Practices,	Per Acre

End

SPECIFIC CROSS REFERENCE MATERIALS

Specification Item 604S "Seeding for Erosion Control"

City of Austin Technical Specifications

Designation	Description
Item No. 130S	Borrow
Item No. 601S	Salvaging and Placing Topsoil
Item No. 606S	Fertilizer

City of Austin Land Development Code

Designation	Description
Section 6-4	Water Conservation

RELATED CROSS REFERENCE MATERIALS

Specification Item 604S "Seeding for Erosion Control"

City of Austin Technical Specifications

Designation	Description
Item No. 601S	Salvaging and Placing Topsoil
Item No. 602S	Sodding for Erosion Control
Item No. 605S	Soil Retention Blanket
Item No. 607S	Slope Stabilization
Item No. 608S	Planting

City of Austin Standards (Details)

Designation	Description
627S-1	Grass Lined Swale
633S-1	Landgrading

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges

Designation	Description
Item No. 160	Topsoil
Item No. 162	Sodding for Erosion Control
Item No. 164	Seeding for Erosion Control
Item No. 166	Fertilizer
Item No. 168	Vegetative Watering
Item No. 169	Soil Retention Blanket
Item No. 180	Wildflower Seeding
Item No. 192	Landscape Planting

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ITEM NO. 606S - FERTILIZER 6-21-07

606S.1 - Description

This item shall govern the provision and incorporation of fertilizer into the soil on areas of proposed seeding, sodding, or other planting areas indicated on the Drawings and in accordance with these specifications.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text, inch-pound units are given preference with SI units shown within parentheses.

Source: Rule No. R161-14.29, 12-30-2014 .

606S.2 - Submittals

The following submittals are required for this specification item during construction:

A. Analysis of native soil or introduced soil, including nutrient (N-P-K) content, textural class and soil organic matter percentage.

B. Type(s) of re-vegetation (seeding, sodding, etc.) proposed.

C. Fertilizer labels, for all type(s) of fertilizer proposed, including chemical analysis.

D. Proposed rate(s) of application of fertilizer.

E. Schedule of proposed fertilizer applications.

Source: Rule No. R161-14.29, 12-30-2014 .

606S.3 - Materials

All fertilizer used on site shall be delivered in bags or containers that are clearly labeled according to the Association of American Plant Food Control Officials (AAPFCO) protocol. Five required components must appear on a fertilizer's label, including the brand, the grade, guaranteed analysis, net weight, and name and address of the registrant or licensee. The fertilizer may be subject to testing by the Texas State Chemist in accordance with the Texas Commercial Fertilizer Rules or Texas Fertilizer Control Act.

The fertilizer type and rate of application should be based on chemical tests of representative soil samples taken after completion of construction and ground work, but before installation of plant materials.

A pelleted or granulated fertilizer shall be used. Fifty percent or greater of the Nitrogen required shall be in the form of Nitrate Nitrogen ($N0_3$). The remaining Nitrogen required may be in the form of Urea Nitrogen [$CO(NH_2)_2$].

The total amount of nutrients furnished and applied per acre (hectare: 1 hectare equals 2.471 acres) shall equal or exceed that specified for each nutrient.

Chemical fertilizer shall not be applied within the Critical Water Quality Zone (CWQZ).

Source: Rule No. R161-14.29, 12-30-2014 .

606S.4 - Construction Methods

General requirements and criterion for vegetative activities, including fertilizing are presented in Environmental Criteria Manual Section 1.4.7, Vegetative Practices.

Pelleted or granulated fertilizer shall be applied uniformly into the soil at time of seedbed preparation to a depth of 4 inches (100 mm) over the area to be fertilized and in the manner directed for the particular item of work. The fertilizer shall be applied at the rate recommended by soil tests. The fertilizer shall be dry and in good physical condition. Fertilizer that is powdered or caked will be rejected. Distribution of the fertilizer for the particular item of work shall meet the approval of the Landscape Architect, Engineer or Designated Representative.

Fertilizer should be applied (1) during seed germination and plant establishment and (2) after plant establishment. To minimize potential nutrient leaching to groundwater, fertilizer shall not be applied during plant dormancy or within 48 hours of a potential rain event. If needed, maintenance fertilizing shall be applied every 6 months after the new sod, grass or seeding is placed or until the work is accepted by the City.

The fertilizer may also be applied with the hydromulch.

Source: Rule No. R161-14.29, 12-30-2014 .

606S.5 - Measurement

Work and acceptable material for "Fertilizer" will be measured by the normal ton of 2,000 pounds (megagrams: 1 megagram equals 1.1023 tons) or by the 100 pounds (50 kilograms: 1 kilogram equals 2.205 pounds) as determined by approved scales or guaranteed weight of sacks shown by the manufacturer.

Source: Rule No. R161-14.29, 12-30-2014 .

606S.6 - Payment

The work performed and materials furnished and measured as provided under "Measurement" shall be included in the unit price bid for the item of construction in which fertilizer is used, unless specified in the Drawings as a Pay Item.

When fertilizer is specified on the Drawings as a pay item or included in the contract bid form, the work performed and materials furnished and measured as provided under "Measurement" will be paid for at the unit bid price for "Fertilizer" of the analysis specified on the Drawings. The unit bid price shall include full compensation for furnishing all materials and performing all operations necessary to complete the work.

Source: Rule No. R161-14.29, 12-30-2014 .

Payment, when specified, will be made under one of the following:

Pay Item No. 606S-A:	Fertilizer	Per Ton.
Pay Item No. 606S-B:	Fertilizer	Per 100 Pounds.

End

SPECIFIC CROSS REFERENCE MATERIALS	
Specification Item 606S "Fertilizer"	
City of Austin Environmental Criteria Manual	
Designation	Description
Section 1.4.7.A.5	Vegetative Practices, Temporary Vegetative Stabilization of Disturbed Areas
Section 1.4.7.B.6	Vegetative Practices, Permanent Vegetative Stabilization of Disturbed Area

RELATED CROSS REFERENCE MATERIALS	
Specification Item 606S "Fertilizer"	
City of Austin Technical Specifications	
Designation	Description
Item No. 601S	Salvaging and Placing Topsoil
Item No. 602S	Sodding for Erosion Control
Item No. 604S	Seeding for Erosion Control
Item No. 605S	Soil Retention Blanket
Item No. 607S	Slope Stabilization
Item No. 608S	Planting
Item No. 609S	Native Seeding and Planting Restoration

Item No. 610S	Preservation of Trees and Other Vegetation
City of Austin Standard Details	
Standard No.	Description
626S-1	Grass Lined Swale
627S-1	Grass Lined Swale W/ Stone Center
633S-1	Landgrading
Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges	
Designation	Description
Item No. 100	Preparing Right of Way
Item No. 110	Excavation
Item No. 132	Embankment
Item No. 158	Specialized Excavation Work
Item No. 160	Furnishing and Placing Topsoil
Item No. 162	Sodding for Erosion Control
Item No. 164	Seeding for Erosion Control
Item No. 166	Fertilizer
Item No. 168	Vegetative Watering

Item No. 169	Soil Retention Blanket
Item No. 180	Wildflower Seeding
Item No. 192	Roadside Planting and Establishment
Item No. 204	Sprinkling

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ITEM NO. 610S - PRESERVATION OF TREES AND OTHER VEGETATION 12-7-18

610S.1 - Description and Definitions

This item shall govern the proper care, protection and treatment of trees and other vegetation in the vicinity of the permitted development activity (as defined in Land Development Code 25-1-21(27)). All work shall be performed in accordance with the City approved drawings and specifications (e.g. Standard Series 600) or as approved by the City Arborist (as defined below). Tree pruning and/or treatments shall be performed under the direct supervision of a qualified arborist (as defined below) or as allowed by the City Arborist.

Definitions

City Arborist - City official designated by the Director of the Planning and Development Review Department (Land Development Code 25-8-603) or as designated by the City Arborist.

Oak wilt - a tree disease caused by a fungus "Ceratocystis fagacearum" that infects the vascular system of Oak "genus Quercus" trees and prevents water transport through the trunk and canopy of the tree. This usually fatal tree disease can be spread by certain insects that come into contact with tree wounds or by interconnected tree roots. February through June is a high risk period due to the stage of the fungus and insect activity. See section 610S.4(H) for additional requirements for preventing Oak wilt infection.

Qualified Arborist - an individual engaged in the profession of arboriculture or closely related field who, through experience, education, and related training, possesses the competence to provide for, or supervise, the management of trees and other woody plants (as defined in the most current version of ANSI A300 (Part 1)-2001, section 4.1).

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text and accompanying tables, the inch-pound units are given preference followed by SI units shown within parentheses.

610S.2 - Submittals

The following is a list of the minimum submittal requirements for this specification item shall include:

A. Identification of the location, type of protective fencing (i.e. A, B or C), materials of construction and installation details;

B. Qualified Arborist credentials (i.e. proof of certification from the International Society of Arboriculture, licenses, resume and/or references);

C. Type, location and construction details for proposed tree wells;

D. Location, type, materials of construction and installation details for permeable paving;

E. Proposed nutrient mix specifications and when required by the City Arborist, soil and/or foliar analysis for fertilizer applications.

610S.3 - Materials

A. Protective Fencing and Signage

Protective fencing is designated as the materials used to protect the root zones of trees as illustrated in City of Austin Standard Detail 610S-1. Three basic types of protective fencing materials are allowed by the City of Austin. Type A and Type B are typical applications and shall be installed where damage potential to a tree root system is high, while Type C shall be installed where damage potential is minimal. The specific type of protective fencing for the work shall be as indicated on the drawings. Type C fence materials shall be subject to approval by the City Arborist. Type C fencing

shall be replaced by Type A or Type B fencing as directed by the City Arborist if it fails to perform the necessary function.

1. Type A Chain Link fence (Typical Application-high potential damage)

   Type A protective fencing shall be installed in accordance with City of Austin Standard Details 610S-2 and 610S-4 and shall consist of a minimum five-foot (1.5 meters) high chain link fencing with tubular steel support poles or "T" posts.

2. Type B Wood Fence (Typical Application-high potential damage)

   Type B protective fencing shall be installed in accordance with City of Austin Standard Details 610S-3 and 610S-5 and shall consist of any vertical planking attached to 2x4-inch (50 x 100 mm) horizontal stringers which are supported by 2x4-inch (50 x 100 mm) intermediate vertical supports and a 4x4-inch (100 x 100 mm) at every fourth vertical support .

3. Type C Other Materials (Limited Application-minimal potential damage)

   The following materials may be permitted as alternates for limited or temporary applications (3 days or less) where tree damage potential is minimal (as determined by the City Arborist):

   (a) High visibility plastic construction fencing.

   The fabric shall be 4 feet (1.2 meters) in width and made of high density polyethylene resin, extruded and stretched to provide a highly visible international orange, non-fading fence. The fabric shall remain flexible from -60°F to 200°F (-16°C to 93°C) and shall be inert to most chemicals and acid. The fabric pattern may vary from diamond to circular with a minimum unit weight of 0.4 lbs./Ft. (0.6 kilograms per meter).

   The fabric shall have a 4 foot (1.2 meters) width minimum tensile yield strength (Horizontal) of 2000 psi [13.9 megaPascals], ultimate tensile strength of 2680 psi [18.5 megaPascals] (Horizontal) and a maximum opening no greater than 2 inches (50 mm).

   (b) Other approved equivalent restraining material.

   The fencing materials, identified in (a) and (b) above, shall be supported bysteel pipe, tee posts, U posts or 2″ × 4″ (50 mm x 100 mm) timber posts that are a minimum of 5½ feet (1.68 meters) in height and spaced no more than 8 feet (2.44 meters) on centers. The fabric shall be secured to post by bands or wire ties.

4. Signage

   A laminated sign, no smaller than 8.5 X 11 inches, shall be posted on each tree protective device, and at least every 100 linear feet on protective fencing, identifying the following information: Tree & Root Protection Zone, Per City of Austin code (Chapter 25-8, Subchapter B, Article 1) this protective device is to remain in place for the entirety of the development project and illegal removal is subject to fines and work suspensions. Additional information can be obtained at the City Arborist (512-974-1876) web site (http://www.ci.austin.tx.us/trees). Zona de Protección del Árbol y las Raíces: el dispositivo protector debe quedarse en el lugar para la totalidad del proyecto de la construcción. Para información adicional, contacta la Arborista Municipal (512) 974-1876 o http://www.ci.austin.tx.us/trees/trees_spanish.htm.

B. Trunk Protection (Limited Application)

When indicated on the drawings or directed by the City Arborist tree trunk protection shall be provided in accordance with City of Austin Standard Details 610S-4 and 610S-5. Tree trunk protection shall consist of any 2 x 4-inch (50 x 100 mm) or 2 x 6-inch (50 x 150 mm) planking or plastic strapping and shall be attached in a manner that does not damage the tree.

C. Tree Dressing

Wound treatments should not be used to cover wounds or pruning cuts, except when recommended for disease (see section 610S.4 (H)), insect, mistletoe, or sprout control (from ANSI A300 (Part 1)-2001, section 5.4.1).

D. Tree Wells for Raised Grades

When existing grades are raised by more than 4 inches (10.16 cm), the tree root system shall be protected by the installation of tree wells in accordance with City of Austin Standard Detail 610S-6. Native stone or non-toxic timber shall be used for the separator wall of the well and PVC conforming to ASTM D-2729, SDR-35 shall be used for the aeration systems in fill areas.

E. Permeable Paving (Environmental Criteria Manual Section 3.5.A.1)

Permeable segmented pavers in conjunction with PVC pipe aeration system or concrete on gravel base with cored holes shall be used to protect existing tree root zones when indicated on the drawings or directed by the City Arborist.

F. Fertilizer

Humate/nutrient solutions with mycorrhizae components or soil injection at recommended rates are to be used when appropriate. Construction which will be completed in less than 90 days may use materials at half the recommended rates. Alternative organic fertilizer materials are acceptable when approved by the City Arborist.

610S.4 - Construction Methods

A. Protective Fencing

All trees and shrubs in the proximity of the construction site shall be carefully checked for damage prior to initiation of the permitted development activity.

All individual or groups of trees, shrubs, and natural areas shown to be protected on the drawings or identified to be protected by the City Arborist, shall be protected during construction with temporary fencing as indicated on the drawings or as directed by the City Arborist.

Protective fences (section 610S.4.A) shall be installed prior to the start of any site preparation work (clearing, grubbing, or grading), and shall be maintained in functioning condition throughout all phases of the construction project.

Protective fence locations in close proximity to intersecting streets or drives shall adhere to the sight distance (Section 1.3.1.C.6) and desirable sight triangle (Figure 1-6 criteria found in the City of Austin Transportation Criteria Manual).

1. Protective fences shall be constructed at the locations (typically the outer limits of the critical root zone) and with materials indicated on the drawings to prevent the following (Environment Criteria Manual, Appendix P-2, Note 6):
   (a) Soil compaction in the root zone area resulting from vehicular traffic or storage of equipment or materials.
   (b) Critical root zone disturbances due to grade changes [greater than 4″ (10.16 cm) cut or fill] or trenching not reviewed and authorized by the City Arborist.
   (c) Damage to exposed roots, trunks or limbs by mechanical equipment.
   (d) Other activities detrimental to trees such as chemical storage, concrete truck cleaning, and fires.
2. Exceptions to the installation of protective fences at the tree drip lines may be permitted in the following cases:

(a) Where there is to be an approved grade change, impermeable paving surface, tree well, or other such site development, the fence shall be erected no more than 2 feet (0.6 meters) beyond the area of disturbance unless approved by the City Arborist;

(b) When permeable paving is to be installed within a tree's critical root zone, the fence shall be erected at the outer limits of the permeable paving area (prior to any site grading so that this enclosed area is graded separately to minimize root damage);

(c) When trees are located close to a proposed building or other construction activity (Environment Criteria Manual, Appendix P-2, Note 6.c), the fence shall be erected up to 10 feet (3 meters) to allow work space between the fence and the structure. Apply organic mulch to a depth of 8 inches [30.48 cm] in the unprotected root zone area;

(d) When there are street-side pedestrian walkways, fences shall be constructed in a manner that does not obstruct safe passage;

(e) When there are severe space constraints due to tract size or other special requirements, the Contractor shall contact the City Arborist to discuss alternatives.

When any of the exceptions listed above will result in a fence being located closer than five (5) feet (1.5 meters) to a tree trunk, the Contractor shall also protect the trunk with strapped-on planking to a height of 8 feet [2.4 meters] (or to the limits of lower branching) in addition to the fencing requirement (City of Austin Standard Details 610S-4 and 610S-5).

B. Pruning and Repair of Damage

Tree pruning, to provide clearance for the work and/or to remove hazards, shall be performed under the direct supervision of a qualified arborist and shall follow standards identified in ANSI A300 (Part 1), "Pruning". A minimum clearance height of eight (8) feet (2.4 meters) above the street level must be provided and maintained for all existing trees if adjacent to a sidewalk. However, if the limbs of trees overhang the curb line or edge of travel lane of any street, a minimum clearance height of fourteen (14) feet (4.2 meters) is required (Transportation Criteria manual section 6.2.3,A, 4, "Clearance Height"). Pruning shall provide the minimum clearance needed to perform the work or remove a hazard unless otherwise directed by the City Arborist to comply with transportation criteria or to mitigate for damage.

If tree damage compromises a tree's structural integrity then the area shall be adequately secured until a qualified arborist makes an assessment of the tree and corrective actions are completed with approval from the City Arborist. Damage to oak trees shall be treated immediately, with consideration for site safety, to reduce the risk of Oak Wilt infection (See 610S.4.H, "Oak Wilt Prevention"). Tree root wounds shall be treated to remove loose, damaged tissue from in and around the wound or if necessary the root shall be cut cleanly and covered with topsoil, or other material approved by the City Arborist, to prevent drying of root tissue and to create a favorable environment for root sprouting. Trunk wounds shall also be treated to remove loose, damaged tissue around the wound. Tree canopy repairs shall be performed in accordance with the most current version of ANSI A300 (Part 1), "Pruning", to prevent further damage to the tree and to promote recovery of the tree to sound condition. The ANSI standard describes proper pruning methods for limb removal and for making finish pruning cuts.

Trees damaged or removed without prior approval or where minimum design criteria is exceeded due to failure to maintain approved tree protection shall be mitigated (Environmental Criteria Manual section 3.5.4, "Mitigation Measures") in accordance with Land Development Code Chapter 25-8, Subchapter B, Article 1.

All trees damaged during construction shall receive an application of fertilizer within the drip line conforming to Standard Specification Item No. 606S, "Fertilizer" at the rate of 4 pounds per caliper inch (.07 kilograms per caliper mm).

C. Cutting and Filling Around Trees

When the depth of an excavation or embankment exceeds 4 inches (10.16 cm) within the critical root zone of any tree with a trunk diameter greater than 8 inches (200 mm), the City Arborist may require a tree well to be constructed per the City of Austin approved specifications and details (Section 610S.3.D and City of Austin Standard Detail 610S-6).

D. Paving Around Trees

Where new paving within the ½ critical root zone of any tree greater than a 8 inches (10.16 cm) diameter is approved, a permeable pavement and aeration system may be required by the City Arborist per the City of Austin Standard Detail (Section 610S.3.E, Environmental Criteria Manual Section 3.5.3.A.1 and Figure 3-8) must be installed as indicated on the Drawings, except for street construction.

E. Tree Removal

Tree removal shall comply with Land Development Code Chapter 25-8, Subchapter B, Article 1. An approved permit, or an approved site plan is required for removal of trees 8″ and larger (see Environmental Criteria manual section 3.3.2.A.2 and figure 3-1 for measurement standards) with additional requirements for City Parkland properties and for Hill Country Roadway Corridor sites. Trees 19 inches in diameter and greater are defined as protected trees and require specific review from the City Arborist to approve a permit or site plan for removal. In addition heritage trees require a more extensive evaluation by the City Arborist and may require rulings from boards and commissions.

All trees to be removed shall be performed in a manner that does not damage the canopies, trunks or root systems of remaining trees and that protects all existing facilities, improvements and vegetation. Removal of oak trees shall follow the Oak Wilt Prevention procedures per the City of Austin Standards (Section 610S.4,(H)). All tree material shall be removed from the site unless authorized by the City Arborist or if it will be used as wood chips or mulch.

When a tree or shrub is scheduled for removal, it shall be cut to a maximum depth of 12 inches (30.5 cm) below the surrounding grade (the tree(s) should be removed at grade, and with hand saws, in situations where other tree root systems are present which are to be preserved). When applicable, after tree removal, soil shall be placed in the hole to a depth matching the existing grade.

All damage resulting from tree removal or pruning shall be repaired at the Contractor's own expense and shall follow guidelines in this specification.

F. Final Cleanup

All temporary tree and shrub preservation and protection measures shall be removed when the construction has been completed and any mulch applications shall be removed or reduced to no more than 3 inches (7.62 cm) depth.

G. Root Zone Aeration and Fertilization

As a component of an effective remedial tree care program per Environmental Criteria Manual section 3.5.4, preserved trees within the limits of construction may require soil aeration and supplemental nutrients. Soil and/or foliar analysis should be used to determine the need for supplemental nutrients. The City Arborist may require these analyses as part of a comprehensive tree care plan. Soil pH shall be considered when determining the fertilization composition as soil pH influences the tree's ability to uptake nutrients from the soil. If analyses indicate the need for supplemental nutrients, then humate/nutrient solutions with mycorrhizae components are highly recommended. In addition, soil analysis may be needed to determine if organic material or beneficial microorganisms are needed to improve soil health. Materials and methods are to be approved by the City Arborist (512-974-1876) prior to application. The owner or general contractor shall select a fertilization contractor and ensure coordination with the City Arborist.

Pre-construction treatment should be applied in the appropriate season; ideally the season preceding the proposed construction. Minimally, areas to be treated include the entire critical root zone of trees as depicted on the City approved plans. Treatment should include, but not limited to, fertilization, soil treatment, mulching, and proper pruning.

Post-construction treatment should occur during final revegetation or as determined by a qualified arborist after construction. Construction activities often result in a reduction in soil macro and micro pores and an increase in soil bulk density. To ameliorate the degraded soil conditions, aeration via water and/or air injected into the soil is needed or by other methods as approved by the City Arborist. The proposed nutrient mix specifications and soil and/or foliar analysis results need to be provided to and approved by the City Arborist prior to application (Fax # 512-974-3010). Construction which will be completed in less than 90 days may use materials at ½ recommended rates. Alternative organic fertilizer materials are acceptable when approved by the City Arborist. Within 7 days after fertilization is performed, the contractor shall provide documentation of the work performed to the City Arborist, Planning and Development Review Department. P.O. Box 1088, Austin, TX 78767. This note should be referenced as item #1 in the Sequence of Construction.

H. Oak Wilt Prevention Policy

1. Purpose and Scope

The purpose of this Oak Wilt Prevention Policy is to identify measures that city staff and city-hired contractors and their sub-contractors, who perform the services of removing or trimming trees, will take to prevent the spread of oak wilt.

2. Definitions

Oak Wilt Disease: A tree disease caused by the fungus, Ceratocystis fagacearum. The fungus infects the vascular system of a tree. The vascular system contains vessels which transport moisture throughout the tree. The vessels of an infected tree effectively become blocked by the infection of the fungus, and cannot transport adequate moisture to sustain a healthy or living tree. In most cases, the end result is tree mortality.

3. Prevention Policy

(a) Prior to beginning field work, all city staff associated with projects involving potential contact with oak trees shall be made aware of the city's official Oak Wilt Policy by receiving and reading a written copy of this policy. Staff receiving a written copy of the policy shall include, but not limited to, project managers, equipment operators responsible for removing or trimming trees, or operators using heavy equipment which could cause wounding of susceptible oaks in the use of the equipment. In addition, individual city departments will provide a written copy of the Oak Wilt Policy to contractors participating in city projects in areas where oak trees are present before initiating field work.

(b) When possible, city staff and contractors should avoid trimming, pruning, or wounding Live Oaks and Red Oaks (Spanish, Shumard, Texas Red, and Blackjack oaks) from February through June.

(c) At all times and irrespective of limb size, all cuts and wounds to oak trees shall be dressed immediately using a non-phytotoxic tree wound dressing. Stump cuts and damaged roots (both above and below ground) shall also be dressed.

(d) Disinfection of pruning tools, saws, and related equipment is mandatory during the trimming or pruning of oak trees. Disinfection of tree removal and trimming equipment shall occur before work begins in a project area, between work in individual oak trees, and again prior to leaving a project area. Acceptable disinfectants include either aerosol disinfectant or a 10 percent bleach-water solution.

*NOTE: Although this policy would require the disinfection of pruning equipment before and between oak trees as a precaution, research does not substantiate disinfection as a means of preventing the transmission of the oak wilt disease.

4. Disposal Policy

   (a) Chipping or shredding the wood from infected trees to use as mulch is an acceptable means of recycling the wood. Chipping or shredding allows the wood to dry out quickly, thereby killing the fungus.

   (b) Burning diseased wood is an acceptable means of disposal. Burning diseased logs will kill the fungus, and the fungus will not spread with the smoke.

   (c) Logs from diseased Red Oaks, that are not chipped, shredded, or burned shall be disposed of at a landfill.

   (d) Firewood from diseased Red Oak trees shall not be stored near healthy trees where fungal spores or insects that carry the spores have the potential to spread the fungus to healthy trees. It is recommended to store oak firewood under a sheet of clear plastic, tightly sealing the edges of plastic with soil or bricks. Doing so will prevent any spore carrying beetles from escaping and will solarize and heat the stored firewood to speed the drying process. It is also recommended to use clear plastic, as black plastic will reveal any escape holes to the beetles.

   (e) In situations where diseased Red Oak trees are identified and are not accessible for chipping, shredding, or removal, the trunk of the diseased tree should be girdled, and the stem treated with an appropriate herbicide to deaden the tree and hasten the desiccation and drying of the wood below the minimum moisture content that could support the development of fungal spores.

610S.5 - Measurement

Tree and shrub pruning, fencing, drains, fertilization, etc. will not be measured for payment unless included as a contract pay item. Tree wells for tree protection will be measured by the units, complete in place, conforming to the Drawings and City of Austin Standard Detail 610S-6, "Tree Protection, Tree Wells".

Removal of existing trees will be measured per each tree.

610S.6 - Payment

The work and materials prescribed herein with the exception of the Protective Fencing and Tree Well (Tree Protection) will not be paid for directly but shall be included in the unit price bid for the item of construction in which this activity is used, unless a payment item is included as a contract pay item.

Payment will be made under:

Pay Item 610S-A:	Protective Fencing Type A Chain Link fence (Typical Application-high damage potential)	Per Lineal Foot
Pay Item 610S-B:	Protective Fencing Type B Wood Fence (Typical Application-high damage potential)	Per Lineal Foot
Pay Item	Protective Fencing Type C Other Materials (Limited Application-minimal	Per Lineal

610S-C:	damage potential)	Foot
Pay Item 610S-D:	Tree Well (Tree Protection)	Per Each
Pay Item 610S-E:	Tree Trunk Protection (Wood Planking)	Per Each
Pay Item 610S-R:	Removal of Existing Trees	Per Each

Source: Rule No. R161-18.24 , 12-7-2018.

End

SPECIFIC CROSS REFERENCE MATERIALS	
Specification Item 610S, "Preservation of Trees and Other Vegetation"	
City of Austin Standard Specification Items	
Designation	Description
Item No. 606S	Fertilizer
City of Austin Standard Details	
Designation	Description
Item No. 610S-1	Tree Protection Fence Locations
Item No. 610S-2	Tree Protection Fence, Type A, Chainlink
Item No. 610S-3	Tree Protection Fence, Type B, Wood

Item No. 610S-4	Tree Protection Fence, Modified Type A, Chainlink
Item No. 610S-5	Tree Protection Fence, Modified Type B, Wood
Item No. 610S-6	Tree Protection, Tree Wells
City of Austin Transportation Criteria Manual	
Designation	Description
Section 1.3.1.C.6	Sight Distance
Section 6.2.3.A.4	Clearance Height
Figure 1-6	Desirable Sight Triangle
City of Austin Environmental Criteria Manual	
Designation	Description
Appendix P-2, Note 6	Exceptions to Installing Fences
Appendix P-2, Note 6c	Trees close to proposed buildings - - -
Appendix P-6	Remedial Tree Care Notes
Section 3.3.2.A.2	Diameter of trees - - -
Section 3.5.0	Design Criteria
Section 3.5.3.A.1	Permeable Paving
Figure 3-8	Example of Minimum Design Criteria Applied to Permeable Parking

City of Austin Land Development Code	
Designation	Description
Section 25-8-603	Tree Protection Administration
Section 25-8-623	Inspection by City Arborist

ASTM, American Society for Testing and Materials	
Designation	Description
D-2729	Specification for Poly (Vinyl Chloride) (PVC) Sewer Pipe and Fittings

RELATED CROSS REFERENCE MATERIALS	
Specification 610S, "Preservation of Trees and Other Vegetation"	

City of Austin Standard Specification Items	
Designation	Description
Item No. 101S	Preparing Right of Way
Item No. 102S	Clearing and Grubbing
Item No. 111S	Excavation
Item No. 120S	Channel Excavation
Item No. 132S	Embankment
Item No. 608S	Planting

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges	
Designation	Description
Item No. 100	Preparing Right of Way
Item No. 110	Excavation
Item No. 132	Embankment
Item No. 158	Specialized Excavation Work
Item No. 160	Furnishing and Placing Topsoil
Item No. 166	Fertilizer
Item No. 168	Vegetative Watering

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ITEM NO. 620S - FILTER FABRIC 1-4-16

620S.1 - Description

This item shall govern the furnishing of materials and for placement of filter fabric as indicated on the Drawings or directed by the Engineer or designated representative. Filter Fabric shall have the capability for allowing the passage of ground water or stormwater through it without transporting the soil or medium placed around the filter fabric.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text, the inch-pound units are given preference followed by SI units shown within parentheses.

620S.2 - Submittals

The submittal requirements of this specification item include:

A. catalog cuts,

B. samples of material selected,

C. testing results,

D. manufacturer's recommended installation procedures, and

E. manufacturer certification of compliance with this specification.

620S.3 - Materials

A. General

The fabric shall be constructed exclusively of synthetic thermoplastic fibers and may be either woven or non-woven to form a mat of uniform quality. Fabric fibers may be either continuos or discontinuous and oriented in either a random or an aligned pattern throughout the fabric. The fabric shall be mildew resistant, rot proof and shall be satisfactory for use in a wet soil and aggregate environment. The fabric shall contain ultraviolet stabilizers and shall have non-raveling edges.

B. Physical Requirements

The fabric shall meet the requirements of Table 1, when sampled and tested in accordance with the methods indicated in the table below.

For applications such as water quality facility underdrain wrappings that require a high flow-through rate, or when specified by the engineer, the fabric shall be woven mono-filament and meet the requirements of Table 2.

All material shall be shipped with suitable wrapping to protect the fabric during shipping and storage at the job site.

Source: Rule No. R161-15.14, 1-4-2016 .

620S.4 - Construction Methods

The submittal requirements shall be completed before any materials are ordered.

The "Filter Fabric" shall be installed in accordance with the manufacturer's recommendations, as indicated on the Drawings or as directed by the Engineer or designated representative. When lapping is required, it shall be in accordance with the manufacturer's recommendations. Backfilling around the Filter

Fabric shall be done in such a manner that the Filter Fabric material will not be damaged during the placement.

TABLE 1: FILTER FABRIC REQUIREMENTS		
Original Physical Properties	**Test Method**	**Requirements**
Fabric weight (mass), on an ambient temperature air-dried tension free sample, expressed in oz/ sq. yd (grams/square meter)	TxDoT Tex-616-J*	Slope Stabilization 4.0 (135) minimum
		Gabions and Revet Mattresses 6.0 (200) minimum
Water flow rate by falling head method, 7.9 inches (20 cm) to 3.9 inches (10 cm) on 2 inch (50 mm) ID cylinder with 1 inch (25 mm) diameter orifice, with flow rate expressed in gal/sq.ft/minute (liters/square meter/minute).	TxDoT Tex-616-J*	80 (3,260) minimum
Breaking load in either machine or cross-machine direction, expressed in pounds (newtons)	ASTM D-1682 grab method G**	100 (445) minimum
Equivalent opening size for US Standard (SI) sieves.	CW-02215	70 to 100 (212 to 150mm)
"Apparent elongation" at breaking load in either machine or cross-machine direction, expressed as percent	ASTM D-1682 grab method G**	100 maximum

* TxDoT Tex-616-J, "Testing of Construction Fibers

** ASTM D 1682 grab method G, "Test Methods for Breaking Load and Elongation of Textile Fabrics"* as modified by TxDoT Test Method Tex-616-J

*** CW-02215, US Army Corps of Engineers, Civil Works Construction Guide Specification "Plastic Filter Fabric".

TABLE 2: HIGH FLOW FILTER FABRIC REQUIREMENTS		
Property	**Test Method**	**Requirements**
Fabric Weight	>D 3776	3.0 ounces/square yard minimum
Ultraviolet (UV) Radiation Stability	D 4355	70% strength retained minimum, After 500 hours in xenon arc device
Mullen Burst Strength	D 3786	120 pound per square inch minimum
Water Flow Rate	D 4491	275 gallons/minute/square feet minimum

Source: Rule No. R161-15.14, 1-4-2016)

620S.5 - Measurement

Work and acceptable material for "Filter Fabric" and "High Flow Filter Fabric" will be measured by the square yard (square meter: 1 square meter equals 1.196 square yards), complete in place.

Source: Rule No. R161-15.14, 1-4-2016)

620S.6 - Payment

The work performed and the materials furnished and measured as provided under "Measurement" will be paid at the unit bid price for "Filter Fabric". The unit bid price, when included in the contract as a pay item, shall include full compensation for all materials, excavation and backfilling and all manipulations, labor, tools, equipment and incidentals necessary to complete the work.

Payment will be made under:

Pay Item No. 620S-A:	Filter Fabric	Per Square Yard.
Pay Item No. 620S-B:	High Flow Filter Fabric	Per Square Yard.

End

SPECIFIC CROSS REFERENCE MATERIALS

Specification 620S, "Filter Fabric"	
American Society for Testing and Materials (ASTM)	
Designation	Description
D 1682	Test Methods for Breaking Load and Elongation of Textile Fabrics
D 3776	Standard Test Method for Mass Per Unit Area (Weight) of Fabric
D 4355	Test Method for Deterioration of Geotextiles by Exposure to Ultraviolet Light, Moisture, and Heat in a Xenon Arc Type Apparatus
D 3786	Standard Test Method for Bursting Strength of Textile Fabrics - Diaphragm Bursting Strength Tester Method
D 4491	Standard Test Method for Water Permeability of Geotextiles by Permittivity
Texas Department of Transportation Manual of Testing Procedures	
Designation	Description
Tex-616-J	Testing of Construction Fabrics

RELATED CROSS REFERENCE MATERIALS	
Specification 620S, "Filter Fabric"	
City of Austin Environmental Criteria Manual	
Designation	Description

Section 1.4.2.E	Rock Berm
Section 1.6.5.A.4	Sand Filtration Bed Details
Section 1.6.7.C	Biofiltration

City of Austin Standard Details

Designation	Description
Number 639S-1	Rock Berm
Number 661-1	Sand Bed Filtration Configurations Using Geomembrane Liner
Number 661-2	Sand Bed Filtration Configurations Using Clay Liner/No Liner Required
Number 661-3	Biofiltration Bed Configurations Using Geomembrane/Clay Liner

City of Austin Standard Specifications

Designation	Description
Item No. 101S	Preparing Right of Way
Item No. 102S	Clearing and Grubbing
Item No. 111S	Excavation
Item No. 120S	Channel Excavation
Item No. 401	Structural Excavation and Backfill
Item No. 602S	Sodding for Erosion Control
Item No. 604S	Seeding for Erosion Control

Item No. 605S	Soil Retention Blanket
Item No. 606S	Fertilizer
Item No. 608S	Planting
Item No. 610S	Preservation of Trees and Other Vegetation
Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges	
Designation	Description
Item No. 100	Preparing Right of Way
Item No. 110	Excavation
Item No. 132	Embankment
Item No. 158	Specialized Excavation Work
Item No. 166	Fertilizer
Item No. 168	Vegetative Watering
Item No. 169	Soil Retention Blanket
Item No. 204	Sprinkling

ITEM NO. 639S - ROCK BERM 8-18-10

639S.1 - Description

This item shall govern the construction of a temporary berm of open graded rock that is installed at the toe of a slope on the perimeter of a developing area. Rock berms are appropriate for use as flow diverters, energy dissipators, grade control, and level spreaders to release the water in sheet flow (Environmental Criteria Manual Section 1.4.5.E). This item shall also govern the removal of the "Rock Berm" and re-vegetation of the area.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text, the inch-pound units are given preference followed by SI units shown within parentheses.

639S.2 - Submittals

The submittal requirements for this specification item shall include:

A. Function (flow diversion, grade control, energy dissipator, level spreader, or other) and dimensions of the rock berm

B. Source, type and gradation of rock

C. Re-vegetation program, including:

1. Identification of the type, source, mixture, Pure Live Seed (PLS) and rate of application of the seeding.
2. Type of mulch.
3. Type of tacking agent.
4. Type and rate of application of fertilizer.

639S.3 - Design Criteria

A detailed design is not required for the installation of a rock berm; however, the following criteria shall be observed:

Drainage area	-	less than 5 acres (2 hectares).
Height	-	18 inches (450 mm) minimum height, measured vertically from the top of the existing ground at the upslope toe to the top of the berm.
Top width	-	2 feet (0.6 meter) minimum.
Side slopes	-	2:1 or flatter.
Grade	-	Berms will be built along a contour as near possible to a 0 percent grade.

639S.4 - Materials

Surplus rock excavated from utility trenches or from other excavations may be used in construction of these berms. In general, the rocks shall be sound with a minimum of 3 inches (75 mm) in smallest dimension and shall weigh between 10 and 30 pounds (4.5 to 13.6 kilograms) each. Seeding for re-vegetation shall conform to Item No. 604S, "Seeding for Erosion Control".

Use only open-graded rock of the size indicated on Standard Detail No. 639S-1, with most of the fines removed.

639S.5 - Construction Methods

All trees, brush, stumps and objectionable material shall be removed and disposed in a manner that will not interfere with the construction of the berm.

A trench shall be excavated to a minimum depth of 4 inches (100 mm) below existing grade for placement of the rock as indicated on Standard Detail No. 639S-1 and the Drawings. The rocks shall be placed in interlocking layers with close joints starting at the base. Open joints shall be filled with rock-spalled materials as required to stabilize the berm.

The area upstream from the rock berm shall be maintained in a condition, which will allow sediment to be removed following the runoff from a rainfall event. After each rainfall event with an accumulation of 1 inch (25 mm) or more, an inspection of the rock berm will be made by the Contractor and the stone shall be replaced, when the structure ceases to function as intended because of sediment accumulation among the rocks, washout, construction traffic damage, etc.

If the sediment reaches a depth equal to 1/3 the height of the berm or 6 inches (150 mm), whichever is less, the Contractor will remove the accumulated sediment and dispose of it at an approved disposal site in a manner that will not contribute to additional sedimentation. The berm will be reshaped as needed during construction.

When the site is completely stabilized, the berm will be removed and disposed of in a manner approved by the Engineer or designated representative.

The area will be re-vegetated as required by Item No. 604S, "Seeding for Erosion Control".

639S.6 - Measurement

Acceptable work performed and prescribed in this item will be measured by the linear foot (lineal meter: 1 lineal meter equals 3.281 lineal feet) along the centerline of top of berm.

639S.7 - Payment

The work performed and material furnished and measured as provided under "Measurement" to construct this item will be paid for at the unit bid price per linear foot of rock berm barrier as indicated on the Drawings. The Unit Bid Price shall include full compensation for: (a) furnishing, hauling and placing all materials including all labor, tools, equipment and incidentals needed to complete the work, (b) maintaining the berm, (c) removing sediment accumulations, (d) rock replacement, (e) removing and disposing of all materials when the berm is no longer required and (f) re-vegetating the site upon removal of the berm.

Payment will be made under:

Pay Item No. 639S:	Rock Berm	Per Lineal Foot.

End

SPECIFIC CROSS REFERENCE MATERIALS	
Specification 639S, "Rock Berm"	
City of Austin Environmental Criteria Manual	
Designation	Description
Section 1.4.2.E	Rock Berm
City of Austin Standard Details	
Designation	Description
Number 639S-1	Rock Berm
City of Austin Standard Specifications	
Designation	Description
Item No. 604S	Seeding for Erosion Control

RELATED CROSS REFERENCE MATERIALS	
Specification 639S, "Rock Berm"	

City of Austin Environmental Criteria Manual	
Designation	Description
Table 1-1.3	Recommended Design Values For Functional Controls
Table 1-2	Maximum Water Depth At The Barrier
City of Austin Standard Specifications	
Designation	Description
Item No. 101S	Preparing Right of Way
Item No. 102S	Clearing and Grubbing
Item No. 111S	Excavation
Item No. 120S	Channel Excavation
Item No. 401S	Structural Excavation and Backfill
Item No. 602S	Sodding for Erosion Control
Item No. 605S	Soil Retention Blanket
Item No. 606S	Fertilizer
Item No. 608S	Planting
Item No. 610S	Preservation of Trees and Other Vegetation
Item No. 620S	Filter Fabric
Texas Department of Transportation: Standard Specifications for Construction and Maintenance of	

Highways, Streets, and Bridges	
Designation	Description
Item No. 100	Preparing Right of Way
Item No. 110	Excavation
Item No. 132	Embankment
Item No. 158	Specialized Excavation Work
Item No. 166	Fertilizer
Item No. 168	Vegetative Watering
Item No. 169	Soil Retention Blanket
Item No. 204	Sprinkling

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ITEM NO. 642S
SILT FENCE

642S.1 Description

This item shall govern the provision and placement of a silt fence fabric fence (Environmental Criteria Manual Section 1.4.5.G) including maintenance of the fence, removal of accumulated silt, removal of the silt fence and re-vegetation of disturbed areas upon completion of the project.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text, the inch-pound units are given preference followed by SI units shown within parentheses.

642S.2 Submittals

The submittal requirements for this specification item shall include:

A. Source, manufacturer, characteristics and test data for the silt fence fabric,

B. Manufacturer, characteristics and test data for the posts and wire fence.

C. Re-vegetation program, including:

1. Identification of the type, source, mixture, Pure Live Seed (PLS) and rate of application of the seeding.
2. Type of mulch.
3. Type of tacking agent.
4. Type and rate of application of fertilizer.

642S.3 Materials

A. Fabric

1. General:

   The silt fence fabric shall be of nonwoven polypropylene, polyethylene or polyamide thermoplastic fibers with non-raveling edges. The silt fence fabric shall be non-biodegradable, inert to most soil chemicals, ultraviolet resistant, unaffected by moisture or other weather conditions, and permeable to water while retaining sediment. The silt fence fabric shall be supplied in rolls a minimum of 36 inches (0.9 meter) wide.

2. Physical Requirements:

   The fabric shall meet the requirements presented in Table 1, when sampled and tested in accordance with the methods indicated herein, on Standard Detail No. 642S-1 and/or on the Drawings.

B. Posts:

Posts shall be steel Tee or Y-posts, not less than 4 feet (1.22 meters) in length with a minimum weight of 1.25 pounds per foot (1.86 kilograms per meter) with a minimum Brinell Hardness of 143. Hangers shall be adequate to secure fence and fabric to posts. Posts and anchor plates shall conform to ASTM A-702. Caps are required (*not specifying discretionary criteria).

C. Wire Fence:

Wire fence shall be welded wire fabric 2 in. x 4 in. 12.5 SWG, wire diameter 0.099 in (±0.005 in.), and shall conform to Standard Specification Item No. 406, "Reinforcing Steel".

TABLE 1. Silt Fence Fabric Requirements

Physical Properties	Method	Requirements
Fabric Weight in ounces per square yard (grams/square meter)	TEX-616-J[1]	5.0 minimum (150 minimum)
Equivalent Sieve Opening Size: US Standard (SI Standard sieve size)	CW-02215[2]	40 to 100 (425 to 150 □m)
Mullen Burst Strength: lbs. per sq. inch (psi) megaPascal (mPa)	ASTM D-3786[3]	280 minimum (1.9 minimum)
Ultraviolet Resistance; % Strength Retention	ASTM D-1682[4]	70 minimum

1 TxDoT Test Method Tex-616-J, "Testing of Construction Fabrics".
2 US Army Corps of Engineers Civil Works Construction Guide Specification CW-02215, "Plastic Filter Fabric".
3 ASTM D-3786, " Test Method for Hydraulic Bursting Strength of Knitting Goods and Nonwoven Fabrics: Diaphragm Bursting Strength Tester Method".
4 ASTM D-1682, " Test Methods for Breaking Load and Elongation of Textile Fabrics ".

642S.4 Construction Methods

The silt fence fabric shall be securely attached to the posts and the wire support fence with the bottom 12 inches (300 mm) of the material buried in a trench a minimum of 6 inches (150 mm) deep and 6 inches (150 mm) wide to prevent sediment from passing under the fence. When the silt fence is constructed on impervious material, a 12-inch (300-mm) flap of fabric shall be extended upstream from the bottom of the silt fence and weighted to limit particulate loss. No horizontal joints will be allowed in the silt fence fabric. Vertical joints shall be overlapped a minimum of 12 inches (300 mm) with the ends sewn or otherwise securely tied.

The silt fence shall be a minimum of 24 inches (0.6 meter) high. Posts shall be embedded a minimum of 12 inches (300 mm) in the ground, placed a maximum of 8 feet (2.4 meters) apart and set on a slight angle toward the anticipated runoff source. When

directed by the Engineer or designated representative, posts shall be set at specified intervals to support concentrated loads.

* Per OSHA §1926.701, 'all protruding reinforcing steel, onto and into which employees could fall, shall be guarded to eliminate the hazard of impalement'. Caps must be large enough to dissipate the forces of impact to prevent impalement from a reasonably foreseeable fall distance. It should be noted that the use of impalement protection caps is but one method of protection; covers or wooden troughs can be another means of meeting the guarding requirement. For City of Austin purposes, this also applies to t-posts and wooden stakes.

The silt fence shall be repaired, replaced, and/or relocated when necessary or as directed by the Engineer or designated representative. Accumulated silt shall be removed when it reaches a depth of 6 inches (150 mm).

642S. 5 Measurement

The work performed and the materials furnished under this item will be measured by the lineal foot of "Silt Fence", complete in place.

642S.6 Payment

The work performed and materials furnished and measured as provided under "Measurement" will be paid for at the unit bid price per lineal foot of "Silt Fence". The price shall include full compensation for furnishing, hauling and placing all materials, labor, tools, equipment and incidentals necessary to complete the work including inspecting, repairing, replacing and relocating the fence, removal of silt and removal and disposal of all materials at the completion of construction in and re-vegetation of disturbed areas.

Payment will be made under:

Pay Item No. 642S:	Silt Fence for Erosion Control	Per Lineal Foot.

END

SPECIFIC CROSS REFERENCE MATERIALS
Specification 642S, “Silt Fence”

City of Austin Environmental Criteria Manual

Designation	Description
Section 1.4.5.G	Silt Fence

City of Austin Standard Details

Designation	Description
Number 642S-1	Silt Fence

City of Austin Technical Specifications

Designation	Description
Item No. 406	Reinforcing Steel

American Society For Testing and Materials (ASTM)

Designation	Description
A-702	Specification for Steel Fence Posts and Assemblies, Hot Wrought
D-1682	Test Methods for Breaking Load and Elongation of Textile Fabrics
D-3786	Test Method for Hydraulic Bursting Strength of Knitting Goods and Nonwoven Fabrics: Diaphragm Bursting Strength Tester Method

Texas Department of Transportation Manual of Testing Procedures

Designation	Description
Tex-616-J	Testing of Construction Fabrics

U.S. Army Corps of Engineers

Designation	Description
CW-02215	Civil Works Construction Guide Specification "Plastic Filter Fabric"

RELATED CROSS REFERENCE MATERIALS
Specification 642S, “Silt Fence”

City of Austin Environmental Criteria Manual

Designation	Description
Table 1-1.3	Recommended Design Values For Functional Controls
Table 1-2	Maximum Water Depth At The Barrier

City of Austin Standard Specifications

Designation	Description
Item No. 101S	Preparing Right of Way
Item No. 102S	Clearing and Grubbing
Item No. 111S	Excavation
Item No. 120S	Channel Excavation
Item No. 401S	Structural Excavation and Backfill
Item No. 610S	Preservation of Trees and Other Vegetation

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ITEM NO. 648S - MULCH SOCK 8-18-10

648S.1 - Description

A mulch sock consists of material encased in a tube of mesh. It is used to intercept, settle, and filter sheet flow and pond runoff. Mulch socks provide an environmentally sensitive and cost-effective alternative to sediment fences.

648S.2 - Submittals

The submittal requirements for this specification item shall include the following:

A. **Mulch Material.**

1. A small sample of mulch material proposed to be used on the site will be provided to the engineer.
2. Provide a designated project stockpile of mulch for sampling and testing at the producer's site.
3. A copy of the lab analysis, performed by an STA-certified lab, verifying that the mulch material meets the requirements of Table 1.

Table 1

Item	Requirement	Reference Specification
Particle Size	3" minus screening process	Equivalent to TXDOT item 161, Compost, Section 1.6.2.B, Wood Chip requirements
pH	5.5 - 8.5	TMECC 04. 11-A, "1.5 Slurry pH"
Organic Matter Content	≥25%, dry weight basis	TMECC 05.07-A, "Loss-On-Ignition Organic Matter Method"

B. **Tube Material.**

The CONTRACTOR shall submit a sample of the material that the CONTRACTOR proposes to use on the project. A sample of the material should be accompanied by material data sheet identifying composition, ability of the material to biodegrade, and size of openings in tube at a minimum.

648S.3 - Materials

A. Mulching material can be manufactured on or off the project site and may consist of:

1. Shredded bark
2. Stump grindings
3. Composted bark

B. The mulch shall have the following composition:

1. Wood chips shall be produced from a 3-inch minus screening process (equivalent to TxDOT item 161, Compost, Section 1.6.2.B Wood Chip Requirements).
2. Large portions of silts, clays, or fine sands are not acceptable.
3. The pH of the mulch shall be between 5.5 and 8.5.
4. The organic matter content shall be greater than or equal to 25% on a dry weight basis.

C. Mulch material must be free of refuse, physical contaminants, and material toxic to plant growth. It is not acceptable for the mulch material to contain ground construction debris, biosolids, manure, or recyclable material.

D. Prior to placement, a representative sample of the mulching material must be tested and certified by the project engineer or his/her designee and accepted by the city inspector.

E. The sock material mesh opening shall be equal to or less than 3/8 inch (10 mm) and the material tensile strength shall be equal to or greater than 202 psi (14.2 kg/cm ^{2}).

Source: Rule No. R161-14.29, 12-30-2014 .

648S.4 - Installation

A. Use 12 or 18 inch diameter mulch socks for all sediment control applications. This diameter of mulch sock material has proven to be the most consistent for all sediment control applications (TxDOT, April 2006).

B. Install mulch socks per Figure 1.4.5.F in the City of Austin Environmental Criteria Manual.

C. Mulch socks should be used at the base of slopes no steeper than 2:1 and should not exceed the maximum spacing criteria provided in the following table.

Slope	Max. Slope Length Between 18 in. Dia. Sock (ft)	Max. Drainage Area (sf) per 100 ft of Sock
100:1 - 50:1	100	10,000
50:1 - 30:1	75	7,500
30:1 - 25:1	65	6,500
25:1 - 20:1	50	4,800
20:1 - 10:1	25	2,600
10:1 - 5:1	15	1,300
5:1 - 2:1	10	1,000

Slope	Max. Slope Length Between 12 in. Dia. Sock (ft)	Max. Drainage Area (sf) per 100 ft of Sock
100:1 - 50:1	100	6,000
50:1 - 30:1	40	4,000
30:1 - 25:1	30	3,000
25:1 - 20:1	25	2,600
20:1 - 10:1	15	1,300
10:1 - 5:1	10	1,000
5:1 - 2:1	5	500

D. Place mulch socks at a 5 ft or greater distance away from the toe of the slopes to maximize space available for sediment deposition.

E. When placed on level contours, sheet flow of water should be perpendicular to the mulch sock at impact and unconcentrated.

F. Install mulch socks using rebar (#5 minimum with safety caps) a minimum of 48 inches in length placed on 2-ft centers. In order to prevent the movement or floating of the mulch sock during rain events or construction operations, install steel posts on alternating sides of the sock. Drive the posts into the ground to a minimum depth of 24 inches, leaving less than 12 inches of post above the exposed mulch sock.

G. In order to prevent water flowing around the ends of the mulch socks, point the ends of the socks up slope.

H. In order to prevent water from flowing between the gaps at adjacent ends of mulch socks, overlap the ends of adjacent mulch socks a minimum of 12 inches. Never stack mulch socks on top of one another.

I. Mulch Socks should be placed using 'smiles' and 'j-hooks'. See ECM Section 1.4.5 G (Silt Fence)

J. For steeper slopes, an additional mulch sock can be constructed on the top of the slope and within the slope area as determined by specific field conditions. Multiple mulch socks are recommended on steeper slopes.

K. Do not use mulch socks in areas of concentrated flow as they are intended to control sheet flow only.

648S.5 - Inspection and Maintenance

A. Inspect mulch socks after installation for gaps under the mulch socks and for gaps between the joints of adjacent ends of mulch socks. Contractor shall repair gaps such that no water flows under or around sock.

B. Inspect every seven days and within 24 hours of a rainfall event of 0.5 inches or greater. Replace and repair mulch socks as necessary.

C. Sediment retained by the mulch socks shall be removed when it has reached one third of the exposed height of the mulch socks.

D. Mulch socks can be vegetated or un-vegetated. Vegetated mulch socks can be left in place. The vegetation will grow in the slope, further anchoring the sock.

648S.6 - Payment

The work performed and the materials furnished as prescribed by this item shall be paid for by the linear foot of mulch sock installed.

Payment will be made under:

Pay Item No. 648S:	Mulch Sock	Per Lineal Foot.

END

SPECIFIC CROSS REFERENCE MATERIALS	
Specification Item No. 648S, "Mulch Sock"	
City of Austin Environmental Criteria Manual	
Designation	Description
1.4.5.F	Mulch Sock
1.4.5.G	Silt Fence
City of Austin Standard Details	
Designation	Description
648S-1	Mulch Sock

Item No. 700S
Mobilization

700S.1 Description

This item shall govern the mobilization of personnel, equipment and materials at the work site for other contract items that will be performed by the Contractor. Mobilization shall include, but not be limited to the movement of equipment, personnel, material, supplies, etc. to the Work site; the installation of temporary facilities (when not paid for separately) and the establishment of office and other necessary facilities prior to the initiation of the Work. The cost of the Payment Bond and Performance Bond on the Work that is delayed due to circumstances beyond Contractor's control, a closed construction season or for the convenience of the City of Austin will be considered part of the mobilization item under this Contract.

700S.2 Measurement.

Measurement of the Specification Item, "Mobilization", as specified herein as "Total Mobilization Payment", will be by the "Lump Sum", as the Work progresses.

700S.3 Payment.

The adjusted contract amount as used below is defined as the original contract amount less the lump sum bid for Mobilization and any payments for materials or equipment not yet incorporated in the Work. The Contractor shall submit a lump sum amount for Payment Item No. 700S-TM, "Total Mobilization Payment".

"Initial Mobilization Payout" as used below is defined as:

1. 8% of the original contract amount for projects with an original contract amount of $ 0.5 million or less; or
2. 4% of the original contract amount for projects with an original contract amount greater than $ 0.5 million.

In those instances where the "Initial Mobilization Payout", as defined above, exceeds the "Total Mobilization Payment" lump sum bid item (i.e. Payment Item No. 700S-TM), the "Total Mobilization Payment" shall be used as the "Initial Mobilization Payout". In no instance shall the "Initial Mobilization Payout" exceed the "Total Mobilization Payment" bid item.

Partial payments of the "Initial Mobilization Payout" shall be as follows:

A. Upon presentation of a paid invoice for the Payment Bond, Performance Bond and/or required insurance, the Contractor will be paid that cost from the amount bid for "Total Mobilization Payment".

B. The Mobilization of tunnel boring machines, batch plants or other similar facilities, along with supporting materials and equipment, to the work site or to the vicinity of the Work site will be considered as partial Mobilization under this contract. The Contractor shall provide a certified statement of the Contractor's

expenditure for the Mobilization and setup of the facility and supporting equipment. Upon approval by the Engineer or designated representative, the certified expenditure will be paid from the amount bid for the Specification Item, “Total Mobilization Payment”. In no case shall the combined amount for all of these facilities be more than 10 percent of the Mobilization “Total Mobilization Payment" lump sum bid or one (1) percent of the total contract amount, whichever is less.

C. When one (1) percent of the adjusted contract amount is earned, 50 percent of the "Initial Mobilization Payout” will be paid. Previous payments under this item will be deducted from this amount.

D. When five (5) percent of the adjusted contract amount is earned, seventy-five (75) of the "Initial Mobilization Payout” will be paid. Previous payments under this item will be deducted from this amount.

E. When ten (10) percent of the adjusted contract amount is earned, one hundred (100) percent of the "Initial Mobilization Payout” will be paid. Previous payments under this item will be deducted from this amount.

F. Payment for the remainder of Pay Item No. 700S-TM, “Total Mobilization Payment” will be made upon receipt of the final pay estimate.

Payment will be made under:

Pay Item No. 700S-TM: **"**Total Mobilization Payment" Lump Sum

END

RELATED CROSS REFERENCE MATERIALS
Specification 700S, “MOBILIZATION”

City of Austin Standard Contract Documents

Designation	Description
00020	Invitation for Bids
00100	Instructions To Bidders
00300	Bid Form
00425	Insurance Cost Form
00500	Agreement
00610	Performance Bond
00620	Bid Bond
00650	Certificate of Insurance
00700	General Conditions
00810	Supplemental General Conditions
00820	Modifications to Bidding Requirements & Contract Forms
01010	Summary of Work
01300	Submittals
01500	Temporary Facilities
01550	Public Safety and Convenience
01700	Contract Closeout
01710	Final Cleaning

ITEM NO. 701S - FENCING 9-26-12

701S.1 - Description

This item shall govern furnishing and installing fencing and gates at locations shown on the Drawings or directed by the Engineer or designated representative, including all posts, bracing and accessories as specified in this Item and as indicated on the Drawings.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text inch-pound units are given preference followed by SI units shown within parentheses.

701S.2 - Submittals

Prior to installation of the fencing the Contractor shall furnish the Engineer or designated representative with certification from the manufacturer that all fencing materials comply with the requirements specified in this Item.

701S.3 - Materials

A. Chain Link Fabric

1. Wire fabric for fencing shall be 9 gauge (3.76 mm) steel with a minimum breaking strength of 1,290 pounds per foot (1 750 Newtons per square meter). The overall height of the fence when erected shall be the height above grade as indicated on the Drawings. The fabric shall be woven into an approximately 2-inch ± 1/8 -inch (50 mm ± 3 mm) mesh such that in a vertical dimension of 23 inches (585 mm) along the diagonals of the openings there shall be at least 7 meshes. Unless indicated otherwise on the Drawings the fabric shall have a knuckled (K) and twisted (T) finish for the top and bottom selvages respectively. The wire in the fabric shall withstand a minimum tensile strength test of 75,000 psi (517 kPa) after galvanizing. Except as provided herein, the chain link fence fabric shall conform to ASTM A392, Class I or ASTM A491.
2. The fabric shall be hot dip galvanized after weaving and shall have a minimum coating of 1.2 ounces per square foot (0.4 kilograms per square meter) of uncoated surface conforming to ASTM A392, Class I.
3. Between posts the fabric shall be fastened at 12-inch (300-mm) intervals to a top and bottom tension wire. When a top rail is shown on the Drawings, the fabric shall also be fashioned in the same manner. On gate frames, the fabric shall be fastened to top and bottom of the gate frame at all 12-inch (300-mm) intervals. Steel or aluminum wire fabric ties with a minimum 9 gauge (3.76 mm) diameter shall be used.

B. Woven Wire Fencing

Woven wire fencing shall be either galvanized steel wire fencing or aluminum-coated steel wire fencing conforming to the following requirements:

1. Galvanized steel wire fencing shall conform to ASTM A116, Class 1.
2. Aluminum-coated steel wire fencing shall consist of aluminum-coated steel wire conforming to the requirement for galvanized steel wire fencing, except the wire shall be aluminum coated. The wire shall not have less than 0.40 ounce (11 grams) coating of aluminum alloy per square foot of uncoated surface in accordance with ASTM A491

C. Wire Fencing

Wire shall be either galvanized or aluminum alloy coated 9 gage (3.76 mm) steel wire conforming to the specifications for galvanized steel or aluminum alloy coated woven wire fencing above.

D. Wood Fencing

Wood for wood fencing shall be Wolmanized pine, cedar or as indicated on the Drawings. The timber shall be sound and free from all decay, shakes, splits or any other defects, which would make it structurally unsuitable for the intended purpose.

E. Metal Posts, Top Rails, Braces and Gates

Steel pipe used for posts, top rails, braces and gate frames shall conform to the specifications of ASTM A 53. Steel sections used for posts, top rails, frames and braces shall be a good commercial quality weldable steel. All material shall be new and no used, re-rolled or open seam material will be acceptable. All posts shall meet the weight and length requirements indicated. The fabric bands and steel wire ties shall conform to the gauge and spacing indicated and shall be of suitable design to fasten fabric to the posts. Wire ties of the gauge shown may be used in lieu of fabric bands. All fittings required for posts shall be pressed or rolled steel, forge steel, malleable iron or wrought iron of good commercial quality and spaced as indicated on the Drawings.

1. Line Posts

Line posts may be either C-section or tubular. Tubular line posts shall be fitted with watertight malleable iron caps. Line posts shall be furnished in sufficient quantity to provide a maximum spacing of 10 feet (3 meters)

2. Terminal Posts

All end, corner and pull posts shall be known as terminal posts and shall be of either round or square sections. All terminal posts shall be furnished with watertight malleable iron caps. Fabric shall be fastened to terminal posts by steel stretcher bars and stretcher bar bands fitted with carriage bolts and nuts of the size and spacing indicated on the Drawings.

3. Gate Posts

Gateposts shall be either round or square. All gateposts shall be furnished with watertight malleable iron caps. The fabric shall be attached to the gateposts by means of steel stretcher bars and stretcher bar bands fitted with carriage bolts and nuts of the size and spacing indicated on the Drawings.

4. Post Caps

Post caps for pipe sections shall be designed to exclude all moisture. Where a top rail is shown on the Drawings, post caps shall have an opening for the top rail. All post caps shall have a 2-inch (50-mm) skirt for rigidity. When barbed wire is allowed for topping a six-foot (1.82 meter) or higher fence (LDC Section 10-1-9) the barbed wire support arms shall be integral with post caps.

5. Gates

a. Single Swing Gate

The gate frames shall be fabricated from sections either round or square of the size and weight indicated on the Drawings and shall be filled out with the same type fabric specified for the chain link fence. All gates shall be equipped with approved malleable iron or steel latches, stops and center rest. A satisfactory locking device suitable for padlocking shall be provided. The gates shall be hung by at least 2 steel or malleable iron hinges securely fastened to the posts. Hinges shall not twist or turn under the action of the gate, shall be capable of allowing a full 180 degree opening turn, shall be so arranged that a closed gate cannot be lifted off the hinges to obtain entry and shall be easily operated by one person.

b. Double Swing Gate

Double swing gates shall be furnished and installed as indicated on the drawings. Gates shall be of the same height as the fence and shall have a single vertical mat of barbed

wire. The gates shall be hinged to swing 180 degrees from closed to open. The gates shall be complete with frames, latches, stops, keepers, hinges, fabric, braces, padlocks and three strands of barbed wire. Gates shall have intermediate members and diagonal truss rods as required for rigid construction and shall be free from sag and twist. Gates shall be fitted with vertical extension arms or shall have frame end members intended to carry barbed wire.

Hinges shall be pinned type, heavy pattern with large bearing surface and shall not twist or turn under the action of gate. Latches for double swing gates shall be plunger bar type, full gate height, and arranged to engage the gate stop. Stops shall consist of a roadway plate with anchor set in Portland Cement concrete and arranged to engage the plunger. Keepers shall consist of mechanical devices for securing and supporting the free end when in the full open position. Latches shall be arranged for padlocking with padlock accessible from sides of the gate. Gates shall be installed so that they cannot be removed without disassembly of the hardware. Hardware attachment bolt shall be penned to prevent easy removal.

6. Top Rail

The top rail shall be of size and weight indicated on the Drawings and shall be furnished in random lengths, not less than 18 feet (5.5 meters) per section with outside sleeve type couplings at least 6 inches (150 mm) long and having a wall thickness of not less than 0.70-inch (18-mm). One coupling in five shall have a heavy spring to take up expansion and contraction of the rail. The top rail shall be installed before installing chain link fabric and shall pass through post tops.

7. Braces

All braces shall be of the size, weight and length indicated on the Drawings. All braces shall be trussed with rods and turnbuckles of the dimensions indicated on the Drawings. Braces shall be installed on all terminal posts and shall extend to the adjacent line posts. All corner and pull posts shall have braces on each side of terminal.

8. Fittings, Bolts and Other Miscellaneous Hardware

All fittings, bolts and miscellaneous hardware shall be hot dip galvanized in conformance with TxDoT Standard Specification Item No. 445, "Galvanizing.

9. Tension Wire

Between posts, the fabric shall be fastened to a top and bottom tension wire or to the top rail and bottom tension wire by steel wire ties of the gauge and spacing indicated on the Drawings. The tension wire shall be at least 7 gauge (4.5 mm) galvanized coil spring steel of good commercial quality.

Tension wire shall have a minimum coating of 0.8 ounce per square foot (0.2 kilogram per square meter) of uncoated surface when tested in conformance with ASTM A116.

10. Security Fence

The security fence shall be 8 feet (2.44 meters) high with brackets and 3 strands barbed wire.

Barbed wire, when specified on the Drawings, shall be 12-½ gauge wire (2.51 mm), twisted with two-point 14 gauge (2.03 mm) barbs spaced approximately 5 inches (125 mm) apart and shall conform to ASTM A121 or ASTM A585. Three strands of barbed wire will be required when a barbed wire top is specified on the Drawings.

Barbed wire support arms shall be at an angle or 45o from vertical and shall have clips for attaching three (3) strands of barbed wire to each support arm. Each support arm shall be of

sufficient strength to support a 200-pound (90 kilograms) weight (mass) applied at the outer strand of barbed wire.

11. Galvanizing

Thin-wall, high-strength pipe posts shall be externally hot-dip galvanized with a minimum weight of coating of 0.9 ounce per square foot (0.3 kilogram per square meter). After galvanizing, thin-wall, high-strength pipe posts shall be externally chromated by total immersion followed by application of clear polyurethane finish.

Interior surfaces shall have a hot-dip galvanized coating, a zinc base coating with thickness 0.5 mil ± 0.2 mil (13 micrometer ± 5 micrometer). The coating shall be 94 percent zinc powder by weight (mass).

All tubular posts, rails and braces shall comply with the following salt spray performance requirements when tested in accordance with ASTM B117.

Exterior - 1250 hours to maximum 5 % red rust

Interior - 650 hours to maximum 5 % red rust

The uniformity of the zinc coating shall be determined by visual inspection. If, in the opinion of the Engineer or designated representative, visual examination is not conclusive, he may use the Preece Test as described in ASTM A239. When so tested, all items shall withstand a minimum of 6 one-minute dips except for those items designated in ASTM A153 as Class B-2, B-3, C and D, which shall withstand a minimum of 4 one-minute dips.

Careful visual inspection shall be made to determine the quality of the zinc coating. Excessive roughness, blisters, salammoniac spots, bruises and flaking if present to any considerable extent, shall provide a basis for rejection. Where practicable, all inspection and tests shall be made at the place of manufacturer prior to shipment and shall be so conducted as not to interfere unnecessarily with the progress of the work.

Damaged spelter coating shall be repaired by thoroughly wire brushing the damaged area and removing all loose, cracked or weld-burner spelter coating. The cleaned area shall be painted with 2 coats of zinc oxide-zinc dust paint conforming to the requirements of Federal Specification TT-P-641B. The paint shall be furnished at the Contractor's expense.

F. Concrete Post Anchorages

Concrete for post footings, catch blocks, anchors and other such items related to the fence construction, shall be Class B Concrete conforming to Item No. 403S, "Concrete for Structures" or as indicated on the Drawings. Maximum size of aggregate shall be ¾ inch (19 mm). Hand mixing of concrete will be permitted on batches under ½ cubic yard (0.38 cubic meter). All batches exceeding this volume will be machine mixed.

Concrete shall be placed promptly and without segregation after mixing. The Contractor shall consolidate the concrete satisfactorily by tamping or vibrating. Excess excavation from footings shall be satisfactorily disposed of.

The tops of post footings shall extend slightly above ground and shall be steel troweled to a smooth finish sloped to drain away from posts. Posts, braces and other units shall be centered in footings.

G. Mowing Strip

When called out in the drawings, a mowing strip shall be Class A concrete. It shall be 24 inches (610 mm) wide and a minimum of 4 inches (100 mm) thick. Three (3) number 3 (#3) bars shall be evenly spaced and supported along the full length of the mow strip, and a number 3 (#3) bar shall be cross-tied every 4 feet (1.2 m). Fence posts shall be installed in center of mow strip.

701S.4 - Inspection and Sampling

The Contractor shall furnish, upon request of the Engineer or designated representative, samples of each component part of the fence including fittings. These samples shall be subjected to the galvanizing, weight and where required, strength tests. A sample may be taken for each project or for each shipment to a project, when requested by the Engineer or designated representative. All samples shall be furnished to the City free of charge.

If any specimen tested fails to meet the requirements of this specification, two (2) additional specimens shall be cut from the remainder of the sample and tested, both of which shall meet the requirements in every respect or the lot represented by the sample may be rejected.

701S.5 - Construction Methods

The Chain Link Fence shall be erected to lines and grades established by the Engineer or designated representative in accordance with the details indicated on the Drawings. The fence shall be true to line, taut and shall comply with the best practice for fence construction of this type.

A. Clearing and Grading

The Contractor shall perform all clearing of brush, rocks and debris necessary for the installation of this fencing.

B. Erection of Posts

Posts shall be set plumb and permanently positioned and anchorages firmly set before fabric is placed. Posts shall be set in concrete, unless otherwise indicated on the Drawings.

Concrete footings shall be carried to the depth and dimensions indicated on the Drawings. Where rock is encountered within the required depth to which the post is to be erected, a hole of a diameter slightly larger than the largest dimension of the post may be drilled into the rock and the post grouted in. The regular dimensioned concrete footing as indicated on the Drawings shall then be placed between the top of the rock and required grade indicated on the Drawings. Posts shall be approximately centered in their footings. All concrete shall be placed promptly and compacted by tamping or other approved methods. Concrete shall be finished in a dome and shall be cured a minimum of 48 hours before further work is done on the posts.

Pull posts shall be placed not over 500 feet (15.25 meters) apart in straight runs and at each vertical angle point, all as directed by the Engineer or designated representative. Corner posts shall be placed at each horizontal angle point greater than 15 degrees. Corner and pull posts shall have horizontal braces and tie rods as specified above and as indicated or designated representative.

C. Erection of Top Rail and Tension Wire

The top rail and bottom tension wire and/or top and bottom tension wires shall be installed before installing the chain link fabric. The top rail shall be firmly attached in final position. Tension wires shall be within 4 inches (100 mm) of the top and bottom of the fabric and shall be pulled taut.

D. Erection of Fabric

After all posts have been permanently positioned and anchorages firmly set with the cables drawn taut with the turnbuckles, the fabric shall be placed by securing one end and applying sufficient tension to the other end to remove all slack before making attachments. Unless otherwise indicated on the Drawings, the fabric shall be cut and each span shall be attached independently at all corner posts and pull posts.

Fabric shall be fastened as indicated on the Drawings and the bottom of the fabric shall be placed a normal distance of 2 inches (50 mm) above the ground line; however, over irregular ground this

distance may vary between 1 inch (25 mm) and 6 inches (150 mm) for a distance not to exceed 8 feet (2.44 meters). Any necessary backfilling required, in order to comply with these provisions, will be considered as incidental work.

E. Fence Grounding

This fence shall be grounded where a power line passes over the fence. In any case, a ground shall be provided at locations not to exceed 1,000 feet (30 meters) apart in straight runs of fence. Each individual section of fence shall have at least 1 ground. The ground shall consist of a copper-weld rod 8 feet (2.44 meters) long and a minimum of 5/8 inch (16 mm) in diameter driven or drilled in vertically until the top of the rod is approximately 6 inches (150 mm) below the top of the ground. A No. 6 solid copper conductor shall be brazed to the rod and to the fence in such a manner that each element of the fence is grounded.

F. Erection of Wood Fencing Material

After all posts have been permanently positioned and anchorages firmly set, stringers shall be placed and boards secured to the stringers. Other techniques utilizing modular precut panels may be used, when indicated on the Drawings.

701S.6 - Measurement

Chain Link Fence, of each height specified, will be measured by the lineal foot of fence measured at the bottom of the fabric along the centerline of fence from center to center of terminal posts, excluding gates. Gates will be measured as each gate, complete in place.

701S.7 - Payment

The work performed and material furnished as prescribed by this item, measured as provided under "Measurement" will be paid for at the unit bid price for "Chain Link Fence" of the height specified. The unit bid price shall include full compensation for furnishing and installing all fencing materials (except gates) including all miscellaneous fittings, braces, post caps, line wires, connection clips or wires; digging post holes and grouting in rock where required; furnishing and placing concrete for setting posts; furnishing and installing all electrical grounds; all hauling and handling charges; and for all manipulations, labor, tools, equipment and incidentals necessary to complete the work, including excavation, backfilling and disposal of surplus material.

Gates measured as provided under "Measurement" will be paid for at the unit bid price for "Pedestrian Gate" or "Vehicular Gate", of the type, height and opening specified. The unit bidprice shall include full compensation for furnishing all materials; fabricating, preparation, hauling, handling charges and erecting, including all miscellaneous fittings, braces, latches, gate hinges, stops and center anchorage; and for all manipulations, labor, tools, equipment and incidentals necessary for complete installation.

Payment will be made under one of the following:

Pay Item No. 701S-A:	Chain Link Fence,	Per Lineal Foot.
Pay Item No. 701S-BS:	Chain Link Pedestrian Single Swing Gate, ___ Foot. × ___ Foot.	Per Each.
Pay Item No. 701S-BD:	Chain Link Pedestrian Double Swing Gate, ___ Foot. × ___ Foot.	Per Each

Pay Item No. 701S-CS:	Chain Link Vehicular Single Swing Gate, ___ Foot. × ___ Foot.	Per Each.
Pay Item No. 701S-CD:	Chain Link Vehicular Double Swing Gate, ___ Foot. × ___ Foot.	Per Each
Pay Item No. 701S-D:	Wire Fence	Per Lineal Foot.
Pay Item No. 701S-E:	Wood Fence	Per Lineal Foot.
Pay Item No. 701S-F:	Wood Fence Pedestrian Gate, ___ Foot. × ___ Foot.	Per Each.
Pay Item No. 701S-G:	Wood Fence Vehicular Gate, ___ Foot. × ___ Foot.	Per Each.
Pay Item No. 701S-H:	Security Fence, ___ Foot High, Type ___	Per Lineal Foot.
Pay Item No. 701S-T:	Temporary Fence, ___ Foot High, ___ Type	Per Lineal Foot.
Pay Item No. 701S-MS:	Mowing Strip	Per Lineal Foot

End

SPECIFIC CROSS REFERENCE MATERIALS	
Specification 701S, "Fencing"	
City of Austin Standard Specifications	
Designation	Description
Item No. 403	Concrete for Structures

City of Austin Code of Ordinances, Volume I	
Designation	Description
Section 10-1-9	Barbed Wire Fences
Texas Department of Transportation: Standard Specifications For Construction of Highways, Streets and Bridges	
Designation	Description
Item No. 445	Galvanizing
American Society For Testing And Materials (ASTM)	
Designation	Description
A 53/A 53M	Specification For Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
A 116	Specification For Zinc-Coated (Galvanized) Steel Woven Wire Fence Fabric
A 121	Specification For Zinc-Coated (Galvanized) Steel Barbed Wire
A 153/A 153M	Specification For Zinc-Coated (Hot-Dip) on Iron and Steel Hardware
A 239	Practice for Locating the Thinnest Spot in a Zinc (Galvanized) Coating on Iron and Steel Articles
A 392	Specification For Zinc-Coated Steel Chain-Link Fence Fabric
A 491	Specification For Aluminum-Coated Steel Chain-Link Fence Fabric
A 585	Specification For Aluminum-Coated Steel Barbed Wire

B 117	Practice for Operating Salt Spray (Fog) Apparatus Federal Specification TT-P-641B

RELATED CROSS REFERENCE MATERIALS	
Specification 701S, "Fencing"	
Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges	
Designation	Description
Item No. 550	Chain Link Fence
Item No. 552	Wire Fence

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Item No. 802S
Project Signs

802S.1 Description

This item shall govern furnishing, fabricating, erecting, maintaining and removing Project Signs on Capital Improvement Projects (C.I.P.), Bond Program Projects and for project identification at other construction sites, when required on the Drawings. The C.I.P. signs shall be constructed in accordance with Standards 802S-1, 802S-1A, 802S-2, 802S-2A, 802S-2B and 804S-5 or as indicated on the Drawings.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text, the inch-pound units are given preference followed by SI units shown within parentheses.

802S.2 Materials

A. Sign Face

Sign face shall be manufactured on standard exterior waterproof plywood sheets or other suitable material approved by the Engineer or designated representative. Unless indicated otherwise on the Standard Details or Drawings, the thickness of the plywood sheet shall be a minimum of ¾ inches (19 mm).

B. Posts

Lumber posts, of the size indicated on the Standard Details or on the Drawings, shall be pressure treated with pentachlorophenol.

C. Paint

Exterior oil base paint, colors as indicated on the Standard Details or on the Drawings.

D. Decals for Capital Improvement Projects and Bond Program Projects

City seal shall be in color using the 4 color process. Electronic images, in EPS format, are available from the Public Works Website (http://www.ci.austin.tx.us/publicworks/techspecs.htm) for downloading.

802S.3 Installation

The signs shall be erected at each major entrance to the project for maximum public identification and exposure. At locations where construction is confined to a specific area, the installed sign size shall be 4 x 8 foot (1.2 x 2.4 meter). At locations where C.I.P. roadway construction is in progress, such as a street paving or construction of a sidewalk, the sign shall be 2 x 3 foot (0.2 x 0.8 meter). Signs for Bond Program Projects shall be 3 x 4 foot (0.9 x 1.2 meters).

The signs shall be posted on portable wood frames or stanchions and will be located in the proximity of the work area as construction progresses. All lumber shall be painted with 2 coats of paint as indicated herein, on the Standard Details or in the Drawings.

In special cases the size of the sign may be changed to meet special requirements, but general proportions shall be maintained.

It shall be the responsibility of the Contractor to maintain and relocate signs, if necessary during the progression of the project. Care shall be exercised to assure that placement of the signs does not interfere with or cause sight obstruction to vehicular and pedestrian traffic.

For projects located on a street with curb and gutter, signs shall be installed no closer than 2 feet (0.6 meter) from the face of curb on the street.

For projects located on a street without curb and gutter, signs shall be installed no closer than 6 feet (1.8 meters) from the edge of street pavement.

The Contractor may install, at the Contractor's own expense, company signs to identify the Contractor, architectural firm, etc. Signs are to be securely attached to the posts at locations indicated on the Drawings and shall not be larger than 18 x 36 inches (0.45 x 0.90 meter).

802S.4 Measurement

The CIP contract and/or Bond Program, signs shall be measured by either lump sum or per each.

802S.5 Payment

The work performed and the materials furnished as prescribed by this item shall be paid for by lump sum or per each price bid only. The 'lump sum' bid or 'per each' price bid shall include full compensation for all work performed and all materials furnished in constructing, transporting, maintaining and removing the signs as specified on the Drawings and as directed by the Engineer or designated representative.

Payment will be made under one of the following:

Pay Item No. 802S-A C.I.P.: C.I.P. Project Signs	Lump Sum.
Pay Item No. 802S-B C.I.P.: C.I.P. Project Sign	Per Each.
Pay Item No. 802S-A BOND: Bond Project Signs	Lump Sum.
Pay Item No. 802S-B BOND: Bond Project Sign	Per Each.

End

SPECIFIC CROSS REFERENCE MATERIALS
Specification Item No. 802S, "Project Signs"

City of Austin Standard Details

Designation	Description
Item No. 802S-1	2.4 m x 1.2 m (8'x4') C. I. P. Building Project Sign
Item No. 802S-1A	2.4 m x 1.2 m (8'x4')Bond Program Building Project Sign
Item No. 802S-2	600 mm x 900 mm (24" x 36")C. I. P. Movable Sign Type II
Item No. 802S-2A	600 mm x 900 mm (24" x 36")Joint C. I. P. Movable Sign Type II
Item No. 802S-2B	900 mm x1.2 m (36" x 48") Bond Program Project Movable Sign Type II
Item No. 804S-5	Typical CMTA / C.I.P. Sign Locations

SPECIAL PROVISION
To Standard Specification Item 401S
Structural Excavation and Backfill
09-26-2012 Version

For this project, City of Austin Standard Technical Specification Item 401S, "Structural Excavation and Backfill", is hereby amended with respect to the paragraphs cited below. No other paragraphs or requirements of this City of Austin standard specification are changed or waived.

1. **Section 401S.1 Description,** Excavation work on this project is "Unclassified Structural Excavation" which shall include the removal of all materials encountered regardless of their nature or the way they are removed.

2. **Section 401S.2 Submittals,** The submittal requirements shall also include the following:

   Supplier and certified test results for materials proposed for use as select fill below foundations and backfill against below-ground walls

3. **Section 401S.4 Construction Methods,** Under Section B Excavation, delete Subparagraph 8 and replace with the following:

   8. Preparation of the subgrade for transformer pads, switchgear pads, and other slabs-on-grade shall be in accordance with the following:

      a) Within the footprint of the structure and for a minimum distance of 1'-0" outside of the footprint, remove all top soil, vegetation, debris, and soil as required to insure that the final grading will provide a minimum of 12-inches of select fill below the bottom of the concrete pad, except as noted otherwise on the drawings.

      b) Rework and compact the top 6-inches of the exposed subgrade to 95-percent of maximum density, in accordance with TxDOT Method TEX-114-E. The moisture content shall be within 3 percentage points of the optimum moisture content at the time of compaction.

      c) Fill back to required grade with select fill material compacted in accordance with the requirements below.

      d) Select fill shall be placed in horizontal loose lifts of not more than 8-inches in thickness. Each lift shall be compacted to a minimum of 95-percent of the maximum dry density based on TxDOT Method TEX-113-E. The moisture content shall be within 3 percentage points of the optimum moisture content at the time of compaction. Field densities shall be checked in accordance with ASTM D2922.

4. **Section 401S.4 Construction Methods,** Under Section B Excavation, delete Subparagraph 9.

5. **Section 401S.5 Bridge Foundations and Retaining Walls**, Delete this section in its entirety.

6. **Section 401S.6 Cofferdams**, Delete this section in its entirety.

9. **Section 401S.8 Backfilling**, Delete section and replace with the following:

A. General

1. As soon as practical, all portions of the excavation not occupied by the permanent structure shall be backfilled with the specified material. Damp-proofing or waterproofing required on below-grade walls shall be in place prior to commencement of backfilling.

2. Backfill materials shall be placed in loose lifts not exceeding 8-inches in thickness. Each lift shall be compacted to a minimum of 95-percent of the maximum dry density based on TxDOT Method Tex-113-E. The moisture content should be within 3 percentage points of the optimum moisture content at the time of compaction.

3. Over-compaction of the backfill shall be avoided to avoid an increase of lateral earth pressures on below-grade walls. Compaction conducted within 4-feet of the walls shall be performed with a manually-propelled compactor (weighing less than 500 pounds) to reduce lateral pressures applied to the walls. Backfill material in this zone should be placed in 6-inch loose lifts and compacted as described above.

B. Backfill against below-grade vault walls

Do not begin placing backfill against the walls of below-grade vaults until the walls have been in place at least 7 days and the concrete has attained its full design compressive strength.

C. Bedding and Backfill at utility trenches located below structural foundations

1. Bedding material at utility trenches shall extend 6-inches below and 12-inches above the utilities in question. The bedding shall be course aggregate and shall be placed in loose lifts not to exceed 8-inches. The bedding shall be compacted thoroughly to provide uniform support for the utilities and shall fill all voids around the pipe.

2. Utility trenches shall be backfilled with material meeting the requirements for qualified backfill. Backfill material shall be placed in loose lifts not exceeding 8-inches and uniformly compacted as described in this specification. Alternatively, trenches may be backfilled with CLSM.

End

SPECIAL PROVISION
To Standard Specification Item 403S
Concrete for Structures
09-26-2012 Version

For this project, City of Austin Standard Technical Specification Item 403S, "Concrete for Structures", is hereby amended with respect to the paragraphs cited below. No other paragraphs or requirements of this City of Austin standard specification are changed or waived.

1. **Section 403S.4 Storage of Materials,** Delete the reference to "Section 13" in Subparagraph D and replace it with a reference to "Section 14".

2. **Section 403S.6 Mix Design,** In the Subparagraph beginning with "The mix design …", delete the words "Table 5" and insert the words "Table 6".

3. **Section 403S.7 Consistency and Quality of Concrete,** In the Subparagraph beginning with "When control of concrete quality…", delete the reference to "Table 4" and replace with a reference to "Table 5".

End

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SPECIAL PROVISION
To Standard Specification Item 406S
Reinforcing Steel
09-26-2012 Version

For this project, City of Austin Standard Technical Specification Item 406S, "Reinforcing Steel", is hereby amended with respect to the paragraphs cited below. No other paragraphs or requirements of this City of Austin standard specification are changed or waived.

1. **Section 406S.2 Submittals**, Delete Subparagraph B and insert the following:

   B. Shop Drawings for Reinforcing Steel shall detail fabrication, bending, and placement. Include bar sizes, lengths, material, grade, bar schedules, stirrup spacing, bent bar diagrams, bar arrangement, splices and laps, mechanical connections, tie spacing, hoop spacing, and supports for concrete reinforcement.

2. **Section 406S.3 Materials**, Delete the line in Subparagraph B that states "ASTM A615/615M, Grades 40 or 60 (300 or 420)" and insert the following:

   ASTM A615/615M, Grade 60 (420)

3. **Section 406S.3 Materials**, Delete the line in Subparagraph B that states "ASTM A996/996M, Grades 40 or 60 (300 or 420)" and insert the following:

   ASTM A996/996M, Grade 60 (420)

4. **Section 406S.3 Materials**, Delete the line in Subparagraph C that states "Smooth bars that are greater in diameter than a No. 3 (10 mm) designation shall conform to ASTM A615 or meet the physical requirements of ASTM A36."

5. **Section 406S.3 Materials**, Add the following to Subparagraph G:

   Mechanical couplers shall develop at least 125-percent of the specified yield strength of the bar, in tension or compression, as applicable.

6. **Section 406S.3 Materials**, Delete Subparagraph H and its associated table and insert the following:

   H. Chairs and Supports

      All accessories shall be in accordance with the CRSI's "Manual of Standard Practice," latest edition. Bar supports for concrete surfaces exposed to weather, water, soil, or high humidity shall be fabricated from stainless steel or plastic. For concrete surface applications that are not exposed to weather or corrosive conditions, provide bar supports manufactured from steel wire with plastic coated feet. For slab-on-grade reinforcing, provide bar supports manufactured from hot-dip galvanized steel, stainless steel, plastic, or precast concrete blocks of equal or greater compressive strength as the concrete being poured.

7. **Section 406S.5 Tolerances**, Insert the following additional text at the end of the paragraph:

   Fabricate reinforcing according to CRSI's "Manual of Standard Practice", latest edition.

8. **Section 406S.7 Splices**, Delete the line starting with “Splices not indicated on the drawings will be …” and replace with the following:

   Splices not indicated on the drawings will be permitted in slabs, columns and walls.

9. **Section 406S.7 Splices**, Delete the Table in its entirety and replace with:

Minimum Lap Requirements		
Bar Number in1/8inches (mm)	**Case 1 Lap Length**	**Case 2 Lap Length**
3 (10)	18 inches	24 inches
4 (13)	24 inches	32 inches
5 (16)	30 inches	40 inches
6 (19)	36 inches	48 inches
7 (22)	54 inches	70 inches
No. 8 (25)	62 inches	80 inches
No. 9 (29)	70 inches	90 inches
No. 10 (32)	78 inches	102 inches
No. 11 (36)	88 inches	114 inches

Case 1: Horizontal bars with less than 12” of concrete below bars, and all vertical bars.

Case2: Horizontal bars with 12” or more of concrete below the bars.

10. **Section 406S.7 Splices**, Delete the paragraph starting with “Welding of reinforcing bars may be used only …” in its entirety:

11. **Section 406S.7 Splices**, Delete the paragraph starting with “End preparation for butt-welding reinforcing …” in its entirety:

12. **Section 406S.7 Splices**, Modify the last paragraph starting with “Unless otherwise indicated on the drawings, dowel bars…”:

Unless otherwise indicated on the drawings, dowel bars transferring tensile stresses shall have a minimum embedment equal to the minimum lap requirements shown in the Table above. Shear transfer dowels shall have a minimum embedment of 6 inches (150mm).

13. **Section 406S.8 Placement**, Delete the Table in its entirety and replace with:

	Minimum Cover, Inches (mm)
(a) Concrete cast against and permanently exposed to earth	3 (76 mm)
(b) Concrete exposed to earth or weather:	
Bar No. 6 (19) through No. 18 bars (57)	2 (51 mm)
Bar No. 5 (16), W31 (W200) or D31 (D200) wire and smaller	2 (51 mm)

14. **Specific Cross Reference Materials**, insert the following reference:

Concrete Reinforcing Steel Institute, CRSI

Designation	Description
MSP-1	Manual of Standard Practice

End

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SPECIAL PROVISION
To Standard Specification Item 410S
Concrete Structures
09-26-2012 Version

For this project, City of Austin Standard Technical Specification Item 410S, "Concrete Structures", is hereby amended with respect to the paragraphs cited below. No other paragraphs or requirements of this City of Austin standard specification are changed or waived.

1. **Section 410S.2 Submittals**, Delete the Subparagraph beginning with "Contractor's formwork plan…", and replace with the following:

   Prior to any construction, submit Monolith Drawings, in part or full, as directed by the Engineer. Drawings, in either two dimensions or isometric, shall clearly identify, locate, and dimension the following:

   a. Construction, contraction, and expansion joints.
   b. Embedded items.
   c. All concrete penetrations.
   d. Key elevations.
   e. Electrical conduit.
   f. All other items passing through concrete.

2. **Section 410S.3 Materials**, Delete Subparagraph "F. Waterstop", and replace with the following:

   F. Waterstops

   Unless noted otherwise on the drawings, provide self-expanding strip waterstops. These waterstops shall be manufactured from rectangular or trapezoidal strip, bentonite-free, hydrophilic material for adhesive bonding to concrete. These waterstops shall be manufactured from hydro-expansive rubber with a minimum expansion capability of 200-percent of its original volume after immersion in water.

3. **Section 410S.3 Materials**, Delete Subparagraph J. City of Austin Survey Monuments.

4. **Section 410S.4 General Requirements**, Add the following subparagraph to the end of the section:

   Sequence of Pouring Operations: When construction requires the placement of concrete in successive pours, Contractor is to submit sketch and schedule for pouring sequence. Schedule and sequence is to allow a minimum of two days (48 hours) curing of adjacent concrete on all sides prior to placing new concrete in contact with previously placed concrete.

5. **Section 410S.5 Drains**, Delete this section in its entirety.

6. **Section 410S.7 Construction Joints**, Delete the subparagraph beginning with "The joint surface shall be coated with…".

7. **Section 410S.8 Foundation and Substructure**, Delete course aggregate grades 2 and 3 in the subparagraph beginning with "Concrete for foundation seals…"

8. **Section 410S.8 Foundation and Substructure**, Delete the subparagraph beginning with "The seal shall…".

9. **Section 410S.9 Falsework**, Delete the four subparagraphs beginning with the following sentences:

- "Falsework, which cannot…"
- "In general, each…"
- "In setting falsework…"
- "When the falsework…"

10. **Section 410S.10 Forms**, Modify Subparagraph B Timber Forms as follows:

    - In the subparagraph beginning with "Metal form ties…", add the following:

      Tapered form ties are acceptable for use.

    - In the subparagraph beginning with "All metal appliances…" and the subparagraph beginning with "Any wire ties…", change the depth to which metal appliances and wire ties shall be removed from 1/2 inch (13 mm) to 2 inches (52 mm).

11. **Section 410S.10 Forms**, Delete Subparagraph D. Form Supports for Overhang Slabs.

12. **Section 410S.12 Placing Concrete**, In Subparagraph A. General, delete the entire paragraph beginning with "Exposed concrete surfaces, while…".

13. **Section 410S.12 Placing Concrete**, In Subparagraph C. Placing Temperature, delete the paragraph that paragraph that states "The height of freefall of concrete shall be limited to 5 feet (1.575 meters) to prevent segregation". This subject is addressed elsewhere in the specification.

14. **Section 410S.12 Placing Concrete**, Delete Subparagraph G. Finishing.

15. **Section 410S.16 Placing Concrete in Superstructure**, Delete this section in its entirety.

16. **Section 410S.17 Placing Concrete in Concrete Arches**, Delete this section in its entirety.

17. **Section 410S.18 Placing Concrete in Box Culverts**, Delete this section in its entirety.

18. **Section 410S.19 Placing Concrete in Foundations and Substructure**, Delete the two subparagraphs beginning with the following sentences:

    - "Placing of concrete footings…"
    - "All temporary wales…"

19. **Section 410S.20 Treatment and Finishing of Horizontal Surfaces Except Bridge Slabs**, Delete this section in its entirety.

20. **Section 410S.21 Finish of Bridge Slabs**, Delete this section in its entirety.

21. **Section 410S.22 Placing Survey Monuments**, Delete this section in its entirety.

22. **Section 410S.23 Curing Concrete**, In the subparagraph beginning with "All concrete shall…", delete "4 curing days" and replace with "7 curing days".

23. **Section 410S.24 Removal of Forms and Falsework**, Delete this section in its entirety and replace with the following:

A. Unless otherwise indicated on the drawings, forms for vertical surfaces shall not be removed until the concrete has cumulatively cured for at least 48 hours after initial set, provided it can be done without damage to the concrete. This provision applies to the sides of beams, walls, and columns.

B. Forms for beam soffits, joists, slabs, and other similar structural elements must remain in place for at least 7 days or until the concrete has achieved at least 75-percent of its 28-day design compressive strength.

C. If all concrete cylinders made for the purpose of form removal have been tested without attaining the required strength, forms shall remain in place for a minimum of 14 curing days.

D. Remove all metal appliances used inside of forms for alignment to a depth of at least 2 inches from the concrete surface. The appliances shall be manufactured to allow their removal without undue chipping or spalling of the concrete. Appliances shall leave a smooth opening in the concrete surface when removed. Rods, bolts, and ties shall not be burned-off.

24. **Section 410S.26 Finishing Exposed Surfaces**, To Subparagraph A, Item 3, add the following sentences:

Prior to filling the holes created by tapered form ties, install tight-fitting waterproof plugs in each hole to seal the voids. Plugs shall be sized to fit and function within the middle third of the wall. After installing plugs, fill the holes with non-shrink grout at both sides of the wall.

25. **Specific Cross Reference Materials**, insert the following reference:

American Concrete Institute, ACI

Designation	Description
ACI 302.1R	Guide for Concrete Floor and Slab Construction

End

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SPECIAL PROVISION To
Standard Specification 506S (03/15/11)
Manholes

For this project 506S, "Manholes", of the City of Austin Standard Technical Specifications is hereby amended with respect to the clauses cited below. No other clauses or requirements of this Section of the City of Austin Standard Specifications are waived or changed.

1. Delete Section 506.3/Project Submittals, and replace with the following:

"A. Submit the following in accordance with Section 01300, "Submittals":

1. In addition to the items specified in Section 01300 "Submittals", furnish the following information:

a. Products and Materials

The Contractor shall submit descriptive information and evidence that the materials the Contractor proposes for incorporation in the Work are of the kind and quality that satisfy the requirements in the Contract Documents. The City of Austin Water Utility Standard Products Lists are considered a part of the Specifications for the Work. The Contractor shall use products from the SPLs for all water and wastewater construction unless alternative products are shown on the Drawings; called for in the specifications; or specified in the Bidding Requirements, Contract Forms and Conditions of the Contract.

The products included in the Standard Products Lists current at the time of plan approval shall govern; unless a specific product or products on the lists have subsequently been removed from those SPLs because of quality or performance issues. Products and materials that are not covered by SPLs shall meet the requirements in the contract documents.

Submittals for the products and materials covered by this specification shall include manufacturer catalog sheets, technical data sheets, shop drawings, product or material test results, requirements listed below, and any other information needed to adequately describe the product or material. For products covered by SPLs, the submittal shall include a copy of the applicable SPL with the proposed product identified. An SPL by itself is not considered an adequate submittal.

The submittal requirements of this specification item include:

1. For pre-cast manholes and junction boxes: shop drawings for each structure showing, at a minimum, the Project and Contractor's name: manufacturer's name and plant location; applicable specifications; list of materials (such as adjusting rings, boots, gaskets, and pre-cast sections) by type and quantity; elevation view showing diameter or size, ring and cover size and elevation, ring type (bolted or unbolted, flared top or

flared bottom) wall thickness, elevations of transitions from large diameter sections to smaller diameter sections, base width and thickness, total depth, size of openings, reinforcement, and length of each pre-cast section; structure identification number and station location; pipe line identification; pipe material and size; pipe flowline elevations; plan view showing azimuthal orientation (based on 360 degrees clockwise) of the pipes relative to the outflow pipe; technical data sheets covering pipe-to-manhole or pipe-to-junction box connectors, and gaskets.

2. For cast-in-place manholes and junction boxes: formwork drawings sealed by a registered Professional Engineer licensed in the State of Texas with documented experience in formwork design for wall pours that exceed 4 feet in height and slabs that are not ground supported.

3. For hydraulic cement concrete; mix components and proportions, material sources, materials test results.

4. For mortar: mix components and proportions, material sources, materials test results.

5. For non-shrink grout: technical data sheet indicating ASTM type and containing instructions on surface preparation, mixing, placing, and curing procedures.

6. For wastewater manhole coatings and linings: technical data sheets that include instructions on surface preparation, mixing, placing, and curing procedures.

b. Acceptance Test Records

Submittal of acceptance test records is required for wastewater manholes and shall include as a minimum the following items:

Name of the manhole manufacturer
Interior surface coating type and application method
Model and manufacturer of vacuum tester
Date tested/date re-tested
Indication of whether test passed or failed and statement of corrective action taken if test failed
Test Method Used
Location/station of manhole
Type of base: Precast/cast-in-place
Type of repairs made to the joints

The test records shall also be included as part of the Project records turned in with the acceptance package."

2. Delete Section 506S.4/H, and replace with the following:

"Precast concrete base sections, riser sections, flat-top slabs, and cones shall conform to the requirements of ASTM C 478. The width of the invert shall be specifically sized for the connecting pipes. Inverts shall be "U" shaped channels. The channel depth at the point where a pipe connects to the manhole wall, for pipes 24 inches in diameter and smaller, shall be a minimum of three fourths of the diameter of the pipe, with the top of the channel being a smooth transition between the inlet and outlet pipe connection points. For manholes connecting to pipes larger than 24 inches in diameter, the channel depth at the point where a pipe connects to the manhole wall shall be at least equal to the full pipe diameter. Changes in flow direction in the inverts of manholes shall be made by constructing smooth, long-radius sweeps to minimize splashing, turbulence, and eddies. The manhole invert grade shall 1) be a continuation of the inlet and outlet pipe grades carried through to the centerline of the manhole, or 2) have a minimum slope of 2.5 percent between the inlet and outlet pipe inverts, or 3) have a minimum difference of 0.10 feet between the inlet and outlet pipe inverts, whichever provides the maximum difference in invert elevation between the inlet and outlet pipes. In all cases, the bottom(s) of the channel(s) shall provide a smooth transition between inlet and outlet pipes. Where wastewater lines enter a manhole above the flowline of the outlet, the invert shall be filleted to prevent splashing and solids deposition.

Joints for wastewater base sections, riser sections, and cones shall conform to the requirements of ASTM C 443. Precast bases for 48 inch inside diameter manholes shall have preformed inverts. Inserts acceptable to the Engineer or designated representative shall be embedded in the concrete wall of the manhole sections to facilitate handling; however, through-wall holes for lifting will not be permitted."

3. Delete Section 506S.4/L, and replace with the following:

"Tee manholes shall be allowed only where indicated on the Drawings or as directed by the Engineer or designated representative. The vertical manhole portion (tee) above the main pipe shall conform to the requirements of the precast components.

The manhole tee shall have a minimum inside diameter of 48 inches and shall rise vertically centered or tangent to the main pipe, as indicated on the Drawings or as directed by the Engineer or designated representative. An access hole less than 48-inches in diameter shall be cut into the main pipe to allow a ledge for support of access ladders."

4. Delete Section 506S.4/Q, and replace with the following:

"O-rings and wedge seals for the joints of all wastewater manholes, and for stormwater manholes when indicated on the Drawings, shall conform to the requirements of ASTM C443. PVC waterstops installed in joints and waterproofing compounds applied to the exterior surfaces of manholes and junction boxes shall be as specified in the Contract Documents."

8. Delete Section 506S.5/B, and replace with the following:

"Manholes shall be founded at the established elevations on uniformly stable subgrade. Unstable subgrade shall be over-excavated a minimum of 12 inches and replaced with a

material acceptable to the Engineer or designated representative. Precast base units shall be founded and leveled on a 6-inch thick layer of coarse aggregate bedding. A pipe section with a prefabricated tee manhole and half the length of the adjoining pipe sections on each side shall be founded on a minimum of 6 inch thick layer of unreinforced Class A concrete. The cast-in-place concrete cradle shall be placed against undisturbed trench walls up to the pipe's springline."

10. Delete Section 506S.5/D, and replace with the following:

"Pre-cast bases shall conform to requirements in 506.4.H.

Cast-in-place bases shall have a minimum thickness of 12 inches at the invert flowline. The widths of all manhole inverts shall be specifically sized for the connecting pipes. Inverts shall be "U" shaped channels. The channel depth at the point where a pipe connects to the manhole wall, for pipes 24 inches in diameter and smaller, shall be a minimum of three-fourths of the pipe diameter, with the top of the channel being a smooth transition between the inlet and out pipe connection points. For manholes connecting to pipes greater than 24 inches in diameter, the channel depth at the point where a pipe connects to the manhole wall shall be equal to the full pipe diameter. The manhole invert grade shall 1) be a continuation of the inlet and outlet pipe grades carried through to the centerline of the manhole, or 2) have a minimum slope of 2.5 percent between the inlet and outlet pipe inverts, or 3) have a minimum difference of 0.10 feet between the inlet and outlet pipe inverts, whichever provides the maximum difference in invert elevation between the inlet and outlet pipes. In all cases, the bottom(s) of the channel(s) shall provide a smooth transition between the inlet and outlet pipes. Changes in flow direction in the inverts of manholes shall be made by constructing smooth, large-radius sweeps to prevent splashing, turbulence, and eddies. The lowermost riser section may be set in the Portland cement concrete, while still plastic, after which the base shall be cured a minimum of 24 hours prior to proceeding with construction of the manhole up to 12 feet in depth. The base shall be cured an additional 24 hours prior to continuing construction above the 12-foot level.

Wastewater manholes having cast-in-place bases may be constructed over existing wastewater pipes and the top half of the pipe removed to facilitate invert construction, except where the existing pipe is PVC, in which case, the entire pipe shall be removed from inside the manhole. The manhole floor shall rise outwardly from the springline elevation of the pipe, approximately one inch for each 12 inch of run (8 percent slope). The floors of stormwater manholes, also, shall rise outwardly from the springline elevation of the pipe, approximately one inch for each 12 inches of run (8 percent slope).

Wastewater manholes with lines larger than 18 inches shall require pre-cast bases; manholes constructed over in-service mains however, may be built on cast-in-place bases if the flow cannot be interrupted."

11. Delete Section 506S.5/H, and replace with the following:

"Backfilling of manholes shall conform to the density requirements shown on PLANS. Manhole construction in roadways may be staged to facilitate pavement base construction. Manholes constructed to interim elevations to facilitate interim construction shall be covered with steel plates. Steel plates on wastewater manholes shall be set in mortar to minimize inflow of storm water runoff. Manholes shall be completed to finish elevation prior to placement of the roadway's finish surface except on pavement reconstruction projects, where castings may be adjusted after paving is completed."

12. Delete Section 506S.5/I/2, and replace with the following:

"Minor manhole height adjustments shall be performed as indicated on the PLANS, and shall consist of adding precast reinforced concrete rings to adjust new and existing manholes to final grade. Brick shall not be used in making height adjustments to wastewater manholes.

If the adjustment involves raising the elevation of the top of the manhole, the top of brick or concrete ring shall be cleaned and built up vertically to the new elevation, using new or salvaged concrete rings or bricks and the ring and cover installed with the top surface conforming to the proposed grade.

For new manhole construction, the maximum allowable throat or chimney height, including the depth of the ring casting, shall be limited to 21 inches of vertical face on the interior surface. For adjustments of existing manholes that fall within the limits of overlay and street reconstruction projects, the maximum vertical allowable height, including the depth of the ring casting, shall be limited to 27 inches of vertical face on the interior surface. All other existing manholes shall have a maximum allowable throat or chimney height adjustment, including the depth of the ring casting, of 12 inches of vertical face on the interior surface. Manholes not located in paved areas shall have bolted covers. Manholes located within paved areas (street right of way only) shall be standard non-bolted unless otherwise noted on the drawings."

13. Delete Section 506S.5/I/3, and replace with the following:

"Any adjustment that exceeds the requirements of Minor Manhole Adjustments, shall consist of any combination of removing the concrete rings, and/or the manhole cone section, and/or the straight riser section of the manhole in order to bring the manhole to final grade. Major manhole adjustments shall apply only to existing manholes. Manholes not located in paved areas shall have bolted covers. Manholes located within paved areas (street right of way only) shall be standard non-bolted unless otherwise noted on the drawings."

14. Delete Section 506S.5/L, and replace with the following:

"Manholes designated on the Drawings for abandonment, shall be removed to a level not less than four feet below grade. Two-foot long sections of the inlet and outlet pipes shall be cut and removed on the outside of the manhole, the ends of the remaining pipe and the pipe sections penetrating the manhole wall shall be securely plugged, and the structure filled with material in accordance with the PLANS or as directed by the Engineer or designated representative."

End

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Austin Water

FACILITY SECURITY PROCEDURE FOR CONTRACTORS

SP-1070

Water and Wastewater Treatment Plants, Water Pump Stations and Reservoirs, Lift Stations, Service Centers, and Administration Buildings

NOTICE: ACCESS TO AUSTIN WATER SITES WILL NOT BE GRANTED UNTIL THE ENCLOSED APPLICATION IS SUBMITTED AND APPROVED.

Facility Security Procedure for Contractors

SP-1070

Approval Record

Prepared by Austin Water Security Management Division:

Rick Verardi 05/12/2021

Rick Verardi, Security Manager Date

Approved by Austin Water Security Steering Committee:

Shay Ralls Roalson 5/12/2021

Shay Ralls Roalson, P.E., Assistant Director, Engineering Committee Chair Date

Anna Bryan - Borja 5/12/2021

Anna Bryan-Borja, CIA, CFE, Assistant Director, Business Services Date

Rick Coronado 5/12/2021

Rick Coronado, P.E., Assistant Director, Operations Date

Steven W. Hutton 5/12/2021

Steve Hutton, Acting Chief Information Officer Date

Facility Security Procedure for Contractors

SP-1070

Revision Record

Version	Date	Description- Affected Pages	Approved By
1	16 Sept 2014	Updated, included all facilities All pages	Rick Verardi Security Mgr.
2	16 Sept 2018	Updated decal issuing/valid dates	Rick Verardi Security Mgr.
3	24 Oct 2019	Updated Escorted access, removed decal, added ID Badges	Rick Verardi Security Mgr.
4	12 May 2021	Updated Escorted access, application updated, additional notices/updates	Rick Verardi Security Mgr.

FACILITY SECURITY PROCEDURE FOR CONTRACTORS

SP-1070

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1 SECURITY POLICY

Paramount to Austin Water (AW) is:

- The production and delivery of adequate supply of safe drinking water to all customers
- Uninterrupted collection of wastewater, without spills, and
- Adequate processing of wastewater to be safely returned to the environment.

AW shall provide high quality physical security at all its facilities and shall initiate, implement, enforce, and update as needed, specific rules and procedures to protect property, personnel, equipment, and material against unauthorized entry, trespass, damage, sabotage, or other acts that might threaten the security of essential facilities, the quality of the drinking water, or the quality of treated wastewater.

2 SECURITY PROCEDURE

2.1 PURPOSE

The purpose of this Procedure is to provide Contractors and Subcontractors with standard operating methods, directives and instructions in accordance with AW's mission to protect its assets.

2.2 SECURITY PROCEDURE MAINTENANCE

The maintenance and updating of this Facility Security Procedure is the responsibility of the Security Manager with input from the Treatment Program Division Managers and Facilities Management.

This Facility Security Procedure will be reviewed and updated at least yearly. A record of all revisions will be maintained at the front of all copies.

2.3 RESTRICTED AREA ACCESS POLICY

2.3.1 Unescorted Access

Unescorted access is granted to contractors that have completed the "Application for Authorization to Enter Secured Austin Water Facilities" (Appendix B) and clear the background security check. An Austin Water technology badge or identification badge will be issued.

2.3.2 Escorted Access

Escorted access is not granted to contractors performing regularly scheduled contract work for Austin Water. Escorted access may be granted in situations that necessitate the need for Austin Water staff to be present for the contractors visit. Escorted access, when approved, is allowed provided an Austin Water and/or City of Austin employee physically escorts the visitor and is present with the visitor at all times.

Exceptions to the Escorted access policy are reviewed and approved by Security Management on a case by case basis. Contractors requesting escorted access must submit their request in writing to Security Management and the site Supervisor a minimum of 12 hours before they intend to be on site except in emergency situations. Contractors must have written confirmation of an exception to the escort policy from Security Management before being granted site access. Security management can be reached at AWFacilitySecurity@austintexas.gov.

Any exceptions granted to contractors regarding the Escorted Access policy are valid for one (1) day. Contractors scheduled for contract work for longer than one (1) day are required to apply for unescorted access.

In the event of an unplanned facility emergency that requires an immediate response from a contractor, that contractor may be granted temporary access to the facility. The contractor must be met at the facility entry point by an Austin Water or approved City of Austin employee escort, must sign the visitor guest log (which will include the name of AW/CoA employee escorting the contractor), and must remain in the presence of the escorting AW/CoA employee for the duration of the visit. Security Management must approve all City of Austin employees assigned to escort on Austin Water property.

2.4 CONTRACTOR'S BASIC SECURITY RESPONSIBILITIES

2.4.1 Read-and-Follow Requirement

All Contractors and Subcontractors shall read and follow this Procedure. The Contractor's Project Manager and Site Superintendent, and all Subcontractors' on-site Supervisors will be required to sign the "CONTRACTOR'S ACKNOWLEDGEMENT OF AUSTIN WATER FACILITY SECURITY PROCEDURES FOR CONTRACTORS" (Appendix A) attesting to the fact that they have read and understood this Procedure. The "Contractor's Acknowledgement" signed by the Contractor's Project Manager and Site Superintendent shall be delivered to AW at the Preconstruction Conference, and before any access is permitted to the work site. The "Contractor's

Acknowledgement" signed by the Subcontractors' Project Managers and Site Supervisors will be delivered to AW at least **two (2) weeks** before the Subcontractor requires access to the site.

All Contractor's and Subcontractors' employees are expected to be thoroughly knowledgeable in the contents of this Procedure. Contractors and Subcontractors shall instruct their employees on the provisions and requirements of this procedure and shall emphasize the importance of their compliance. **Any person's failure to follow any of the provisions or requirements of this Procedure may result in that person being removed from the job site and losing access privileges.**

Persons removed from the site for violations of this Procedure may reapply for access to the site if approved by Security Management and the site Superintendent. Security Management reserves the right to refuse access to persons found to have intentionally violated this Procedure.

2.4.2 Basic Security Functions

The basic security functions of Contractors and Subcontractors shall center on the protection of water and wastewater quality, personnel, and AW and Contractors' property. These basic functions will involve securing and monitoring the project site, controlling access, preventing unlawful entry, unlocking and locking buildings, and enforcing AW's rules, policies, procedures and directives.

Contractors and Subcontractors shall provide deterrence against fire, theft, vandalism, and trespass and shall assist in the elimination of safety hazards and security breaches. In some cases, Contractors and Subcontractors will be called upon to assist in the detection and detention of persons guilty of trespassing on or committing offenses on AW property. Uniformed officers from the Austin Police Department and local Sheriffs' Departments shall provide the enforcement support for criminal acts committed on AW property.

Contractors and Subcontractors shall accurately report and record all transgressions and incidents.

2.5 APPLICATIONS FOR AUTHORITY TO ENTER FACILITIES, BACKGROUND SECURITY CHECKS AND IDENTIFICATION BADGES

2.5.1 Applications for Authority to Enter Facilities

The Contractor shall submit an "Application for Authorization to Enter Secured Austin Water Facilities" (Appendix B) for each of their employees and their Subcontractors' employees before that employee is allowed to enter the project site. All information requested on the application shall be provided, including required signatures. Each application submitted shall be an original document. Copies or facsimiles are not acceptable.

Each application shall be accompanied by a complete background security check. Each employee's application and background security check shall be submitted at least **five (5) working days** prior to the first day that employee is to work on the secured site to allow for adequate review of the documents. Each background check submitted shall be an original document. Copies or facsimiles are not acceptable.

2.5.2 Background Security Checks

The Contractor shall submit a "Criminal History Records Check Disqualifying Criminal Offenses" (Appendix C) and provide a current **fingerprint based** background security check for each of their employees and their Subcontractors' employees submitted along with the "Application for Authorization to Enter Secured Austin Water Facilities" (Appendix B). The background check must be performed by either the United States Federal Government (FBI) or the Department of Public Safety from the employee's home state (the state in which the employee resides and from which they obtained their driver's license or identification card). The background security check for a non-US citizen shall be performed by their native country's national law-enforcement agency (e.g. a Canadian citizen shall submit a background check performed by the Royal Canadian Mounted Police). Contractors may employ a background check service. Contractors are responsible for ensuring the service conducts the correct type of background check.

The results of each background check shall be dated and submitted as an original, certified official document from the agency performing the check, and shall bear all appropriate letterheads, seals, and signatures. The background check shall clearly indicate the agency performing the check and include their address and telephone number. Background checks performed less than one year prior to the subject Contract's Notice-to Proceed will be acceptable.

Due to the current nationwide pandemic Applications and Background Security Checks may be submitted electronically to Security management.

2.5.3 Security Identification Badges

Austin Water utilizes two methods of Security ID Badges

ID Badge: This badge is issued to contractors working at a facility that require access to the facility grounds via the entrance gate. An example would-be long-term contractor. Badges are given an **expiration date** set to expire at a date determined by Security Management. Badges are issued for a maximum of two years.

Technology Badge: This badge is issued to contractors working at a facility that require access to secured buildings on an AW site. Examples are the cleaning crew, security guards, and contractor superintendents supervising multiple job sites. Badges are given an expiration date set to expire at a date determined by Security Management. Badges are issued for a maximum of two years. **Technology Badges are issued at the discretion of Security Management**.

Authorization to enter protected facilities and the issuing of an ID Badge or Technology Badge shall require a review of the background security check and approval from Security Management.

A new background check is required prior to the issuance of another Badge after the previous one expires.

2.5.4 Identification Badge Control and Handling

All personnel on the job site shall maintain the Security Identification Badge on their person at all times. The Badge should be displayed on their outer clothing in a clear manner. Arm band ID holders are acceptable.

Security Identification Badge holders will take reasonable care to protect their badge from unauthorized use. **Badge holders will not allow others to use their badge.**

In the event that a Security Badge holder discovers that their badge has been lost, the badge holder shall immediately report the loss to the Contractor's Site Superintendent, who will immediately report the loss to the AW Project Sponsor/Manager, and to the AW Plant Superintendent (at treatment plants) or the AW Operation Manager at the South First Support Center (512-972-0502) (for pump stations and reservoirs).

2.5.5 Revoking Access Authorization

Authorization to enter and/or work on any Austin Water site is at the sole discretion of AW and may be revoked at any time.

Authorization to enter secured AW sites shall be revoked immediately for the following reasons:

- The badge holder ends their employment with the Contractor or Subcontractor
- The badge holder allows another person to use their badge, or the badge holder permits, or allows another person without a badge to enter a secured site.
- The badge holder acts without authorization to defeat any security device at any secured site.
- The badge holder's actions (or inaction) result(s) in damage to AW facilities or threaten(s) the quality of the drinking water.
- The badge holder has been involved in a criminal action on site and has been determined as a threat to any persons or property at this site.

Personnel in the following positions may revoke Access Authorization:

- AW Director and Assistant Directors for Treatment and for Engineering
- AW Division Heads, Plant Superintendents, and Supervisors in the Treatment Program Area
- AW Security Manager
- AW Project Sponsor/Manager and Consultant's Project Manager
- City of Austin Public Works Project Manager
- Contractor's Project Manager and Site Superintendent

If a person in one of the positions listed above believes that another person's Access Authorization should be immediately revoked to eliminate or mitigate a threat to site security or the security of the water, they shall contact the Project Manager/Sponsor or the Contractor's Site Superintendent. The badge shall be taken from the person and they shall be escorted from the work site.

2.6 FACILITY SECURITY AND ACCESS CONTROL

2.6.1 Access to Facilities

Access to AW facilities shall be limited to:

- City of Austin Public Works or Austin Water employees who possess appropriate unescorted access authorization by AW and have a valid Security Identification Badge.
- Contractor's and Subcontractors' employees who possess appropriate access authorization(s) and possess and wear a proper AW-authorized Security Identification Badge. All Contractor's and Subcontractors' employees will follow AW procedures while on the site.
- Contractor's or Subcontractors' supplier delivery personnel. Delivery personnel may be permitted access to complete material deliveries and will not be required to obtain a Security Identification Badge. Delivery personnel shall be closely escorted within the secured site by the Contractor's Site Superintendent and shall be permitted access only for the time required to unload the material being delivered. Under no circumstances will any delivery personnel be allowed to remain on site for longer than one hour. If delivery of any material or equipment is projected to require more than one hour, an "Application for Authorization to Enter Secured Water Treatment Facilities", complete Background Security Check, and Security Identification Badge will be required for all personnel associated with making that delivery.
- Visitors who have been authorized in advance, in writing, by the Plant Superintendent or Division Manager. (Persons who perform work on the site or deliver equipment or materials to the site are not considered to be visitors) Visitors who have been authorized such access must be closely escorted within AW facility by an AW employee who possesses the appropriate access authorization and Security Identification Badge. The Entry gate guard staff shall maintain a Visitor Register to record all visits. The Visitor Register shall record the name of each visitor, their employer, date of the visit, arrival and departure times, the purpose of visit and the name of the escort. This Visitor Register shall be made available at every project progress meeting and shall be delivered to the AW Project Manager at the end of the project. Visitors do not require an ID badge. Contractors are not eligible for access to the facility as a visitor except for pre-bid meetings.

2.6.2 Site Security Requirements

All AW facilities shall be kept secure at all times. The following provisions, at a minimum shall be maintained:

- An eight-foot high perimeter fence shall be maintained without gaps or holes, with gate(s) locked with a tempered chain and padlock. A security guard may also be employed if desired by the Contractor or required by the Contract.
- All perimeter door(s) (if present) shall be locked and alarmed.
- Other installed security devices (if present) such as motion detectors, fence monitors and CCTV cameras shall be operational.
- All classified material (if present) shall be properly stored.

During the Contractor's working hours, the Contractor's Site Superintendent shall maintain an active cellular telephone to enable AW's Plant Superintendent or the Central Security Operator to contact him/her in the event that a security alarm is triggered on the job site. If an alarm is triggered and the Plant Superintendent or the Central Security Operator is unable to contact the Contractor's Site Superintendent to ascertain the reason for the alarm, law enforcement officers will be summoned to the site.

At the beginning of each day that the Contractor or a Subcontractor performs work on a pump station or reservoir site, a Supervisor authorized by the Contractor (and previously identified to the pump station or reservoir Central Security Operator) shall open the security gate to the site as follows:

- Place a telephone call to the Central Security Operator (512-972-0502) before unlocking the gate.
- Identify themselves and advise the Central Security Operator that they are at the site entrance, identify where on the site they intend to work, and advise that they are about to open the gate. The Central Security Operator will disarm any alarms that might be triggered by the gate opening or by employees working in the areas identified. The Central Security Operator will advise the Supervisor placing the call when the alarms are disarmed.
- Unlock the gate, enter the site, and lock the gate or post a guard to assure that only authorized personnel wearing required, proper Security Identification Badges may enter.

At the end of every work day at a pump station or reservoir site, when the last employee has left the job site, the Contractor's Site Superintendent shall place a call to the Central Security Operator to advise that everyone has left the site, that the security gate is locked, and that the alarms should be rearmed.

2.6.3 Combinations and Key Controls

Knowledge of the combination of locks and access to any keys will be limited to designated individuals assigned to work in the associated facilities. All combinations will be changed regularly at times designated by the Division Manager, and whenever it is suspected the combination has been compromised.

Facility keys are cut to fit a number of cylinders. If a key shared with the Contractor is lost, all similar lock cylinders, whether present on the site or off the site, will be re-keyed by AW, at the expense of the Contractor.

2.6.4 Operation of Access-Controlled Doors at Remote Facilities

Entry to all remote facilities will be coordinated with the Central Security Operator. Many facilities include an access-control door. An access-control door may be used by authorized Security Identification Badge holders for entry and exit using the following procedure:

Entry procedure:

1. Contact the Central Security Operator (512-972-0502) and request permission to enter. Hang up the telephone.
2. The CSO will call back the person requesting to enter (on their previously authorized number) and verify the request.
3. The CSO will unlock the door or request that the person's Security Identification Badge be presented to the exterior card reader.
4. Unlock the deadbolt (if present)
5. Enter PIN
6. Open door
7. Enter
8. Close the door

Exit procedure:

1. Press crash bar
2. Open door
3. Exit
4. Close the door

5. Lock the deadbolt (if present)
6. Contact the CSO and request that the door be locked, advise them that the site's alarms need to be re-armed
7. The CSO shall lock the door and re-arm all alarm devices
8. The CSO shall call back and ask the requestor to verify that the door is locked

2.6.5 Tailgating

Tailgating is the entry of multiple individuals through an access-controlled door or gate without closing the door (or gate) between entries. Tailgating is allowed by authorized ID Badge holders provided that each presents their badge to the card reader or person controlling access prior to entry. Tailgating by unauthorized individuals or anyone not possessing an authorized ID Badge is not allowed.

APPENDIX A:

CONTRACTOR'S ACKNOWLEDGEMENT OF AUSTIN WATER FACILITY SECURITY PROCEDURES FOR CONTRACTORS

PROJECT NAME: _____

CIP/PROJECT NUMBER: _____

By signing this document, I acknowledge that I have received a copy of the Austin Water **SP-1070 FACILITY SECURITY PROCEDURE FOR CONTRACTORS** and that I have read it and understand its contents. Furthermore, I agree to follow all the provisions contained therein.

CONTRACTOR: _____

PROJECT MANAGER:

_____ _____
Print Signature

SITE SUPERINTENDENT:

_____ _____
Print Signature

SUBCONTRACTOR: _____

PROJECT MANAGER:

_____ _____
Print Signature

SITE SUPERINTENDENT:

_____ _____
Print Signature

SUBCONTRACTOR: _____

PROJECT MANAGER:

_____ _____
Print Signature

SITE SUPERINTENDENT:

_____ _____
Print Signature

APPENDIX B:

APPLICATION FOR AUTHORIZATION TO ENTER SECURED AUSTIN WATER FACILITIES (CONTRACTOR EMPLOYEE)

PROJECT: _____ **Date of Application:** ____ - ____ - ____

CONTRACTOR: _____

SUBCONTRACTOR: _____

SITE(S) TO BE ACCESSED: _____

APPLICANT: _____ _____ _____
Last Name First Name Middle Name

Job Title: _____

Home Address: _____ Birth date: _____ - ____ - _____
Street / Apt No.

_____ _____ _____ _____
City State Country Zip Code

SUPERVISOR: _____ **Job Title:** _____

Work Telephone No. _____

AUTHORIZATIONS:
CONTRACTOR'S PROJECT MANAGER: _____ _____
Print Sign

I HAVE READ AND UNDERSTAND THE POLICIES AND PROCEDURES LISTED IN THE SP-1070 DOCUMENT. I UNDERSTAND THAT ANY VIOLATION OF THOSE POLICIES MAY RESULT IN MY ACCESS TO ALL AUSTIN WATER SITES BEING REVOKED.

SIGNATURE: _____

APPENDIX C:

Criminal History Records Check Disqualifying Criminal Offenses

An individual has a disqualifying offense if the individual has been convicted of, or found not guilty of by reason of insanity, any of the disqualifying crimes listed in this paragraph in any jurisdiction during the 10 years before the date of the individual's application for unescorted access authority, or while the individual has unescorted access authority. The disqualifying criminal offenses are as follows:

		Yes	No
1	Felony involving burglary.	☐	☐
2	Felony involving theft.	☐	☐
3	Felony involving dishonesty, fraud, or misrepresentation.	☐	☐
4	Felony involving possession or distribution of stolen property.	☐	☐
5	Aggravated assault.	☐	☐
6	Felony involving bribery.	☐	☐
7	Terrorist threat.	☐	☐
8	Armed or felony unarmed robbery.	☐	☐
9	Felony involving willful destruction of property.	☐	☐
10	Felony involving importation or manufacture of a controlled substance.	☐	☐
11	Extortion.	☐	☐
12	Felony arson.	☐	☐

		Yes	No
13	Murder.	☐	☐
14	Assault with intent to murder.	☐	☐
15	Espionage.	☐	☐
16	Rape or aggravated sexual abuse.	☐	☐
17	Kidnapping or hostage taking.	☐	☐
18	Treason.	☐	☐
19	Class A Criminal Mischief (500 or Above).	☐	☐
20	Criminal Trespass at Critical Infrastructure Facility.	☐	☐
21	Burglary of Vehicle	☐	☐
22	Engaging in Organized Criminal Activity	☐	☐
23	Conspiracy or attempt to commit any of the criminal acts listed on this page.	☐	☐

By signing below, I certify that I do not have any of the above listed disqualifying criminal offenses.

Also signing below indicates my understanding that I have a continuing obligation under Title 49, CFR, Parts 1542.209 and 1544.229 to disclose to Austin Water within 24 hours if I plead guilty or nolo contendere ("no contest") to, have an adjudication withheld, have been convicted or found not guilty by reason of insanity to any of the disqualifying crimes listed on this application or the federal security regulations.

Also signing below indicates the information I have provided on this application is true, complete, and correct to the best of my knowledge and belief and is provided in good faith. I understand that a knowing and willful false statement on this application can be punished by fine or imprisonment or both. (See section 1001 of Title 18 United States Code.)

PRINT NAME	SIGNATURE	DATE