

**REQUEST FOR QUALIFICATIONS**

**FOR**



**ISSUE DATE:**

**RESPONSES DUE:**

**PRIOR TO:**

**DELIVER TO:**

**CONTRACT MANAGEMENT DEPARTMENT  
CONTRACT PROCUREMENT DIVISION  
ATTN:**

**105 W. RIVERSIDE DR., SUITE 210  
AUSTIN, TEXAS 78704**



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## **CONTRACT TEMPLATE**



# City of Austin

Founded by Congress, Republic of Texas, 1839

Contract Management Department, PO Box 1088, Austin, Texas 78767 Telephone 512/974-7181 Fax 512/974-7297

September 17, 2014

Re: Announcement  
Request for Statements of Qualifications (RFQ) for Providing Professional Engineering Services for  
Downtown District Cooling Plant #3  
Solicitation Number: CLMP165

The City of Austin, through Austin Energy and its Contract Management Department, is requesting statements of qualifications for the selection of a professional engineering firm for the above-noted project. Statement of qualifications will be due **PRIOR to 3:00 p.m., Wednesday, October 15, 2014**, at 105 W. Riverside Drive, Suite 210, Austin, TX 78704. All SOQs not received and stamped prior to the date and time set forth above **will not be accepted for consideration.** The time stamp clock in the Suite 210 Reception Area is the time of record and is verified with [www.time.gov](http://www.time.gov), the Official U.S. time. The selection process for this project is anticipated to be completed for City Council action in January, 2015.

**A pre-response meeting will be held beginning at 3:00 PM, Thursday, September 25, 2014, in the Rutherford Lane Campus Auditorium, 1520 Rutherford Lane, Building 1, Austin, TX 78754.** The purpose of the meeting will be to respond to consultants' questions about the project and the procurement process. Attendance at the meeting is not a requirement for selection; however, meeting minutes will not be issued.

**All prime firms and subconsultants must be registered to do business with the City of Austin prior to the contract award.** Prime firms are responsible for ensuring that their subconsultants are registered as vendors with the City of Austin. You may register through the City of Austin's online Vendor Registration system. Log on to [www.austintexas.gov/financeonline/vendor\\_connection/index.cfm](http://www.austintexas.gov/financeonline/vendor_connection/index.cfm) and follow the directions.

A Request for Statements of Qualifications (RFQ) for these services is available which provides project background and requirements for submittal. For a copy of the RFQ, log on to Vendor Connection at [www.austintexas.gov/financeonline](http://www.austintexas.gov/financeonline). The complete RFQ packet is located as an attachment under the solicitation CLMP165. Copies may also be obtained through the City's Vendor Connection website: [https://www.ci.austin.tx.us/financeonline/vendor\\_connection/index.cfm](https://www.ci.austin.tx.us/financeonline/vendor_connection/index.cfm). The authorized contact persons for this solicitation are Todd Shaw, Project Manager, at (512) 322-6267 or Lynn Rich, Buyer II, at (512) 974-7009. Please contact Todd Shaw for all project related questions and me for any RFQ procurement process questions.

Sincerely,

Lynn Rich, Buyer II  
Contract Management Department  
Contract Procurement Division

cc: Todd Shaw



## REQUEST FOR QUALIFICATIONS

Solicitation Number: CLMP165

Project Name: Engineering Services for Downtown District Cooling Plant #3

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The following is a summary of information for this Solicitation. The Consultant is cautioned to refer to other sections of this Request for Qualifications (RFQ) packet for further details.

The City of Austin, through its Contract Management Department, is requesting Statements of Qualifications (SOQs) for the selection of engineering services for the above-noted project.

Submittals will be received at 105 W. Riverside Drive, Suite 210 Austin, Texas 78704, Contract Management Department.

**ALL SUBMITTALS ARE DUE ON: Wednesday, October 15, 2014 PRIOR TO 3:00 pm**

**ATTENTION: Lynn Rich**

**ALL SUBMITTALS NOT RECEIVED PRIOR TO THE DATE AND TIME SET FORTH ABOVE WILL NOT BE ACCEPTED FOR CONSIDERATION.** The time stamp clock in the **Suite 210** Reception Area is the time of record and is verified with [www.time.gov](http://www.time.gov), the Official U.S. time. The qualification statement evaluation criteria for this project are included in this packet for your information. The selection process for this project is anticipated to be complete for City Council action in January, 2015. Contract execution is anticipated for March, 2015.

All prime firms and subconsultants must be registered to do business with the Owner prior to the contract award. Prime firms are responsible for ensuring that their subconsultants are registered as vendors with the City of Austin. You may register through the Owner's on-line Vendor Registration system. Log on to the following link and follow the directions:  
[https://www.austintexas.gov/financeonline/vendor\\_connection/index.cfm](https://www.austintexas.gov/financeonline/vendor_connection/index.cfm).

All City procurements are subject to the City's Minority-Owned and Women-Owned Business Enterprise Procurement Program (Chapter 2-9-B of the MBE/WBE Ordinance, revised June 15, 2006). The program provides Minority-Owned and Women-Owned Business Enterprises (MBEs/WBEs) opportunity to participate in all City contracts. Information on achieving the MBE/WBE participation goals or documenting good faith efforts to achieve the goals is contained in the MBE/WBE Procurement Program Package included in this RFQ packet. Entities submitting statements of qualifications are required to complete and return the MBE/WBE Compliance Plan with their response.

The selected consultant will be required to execute a standard City of Austin professional services agreement. A copy of this document is included in this RFQ packet. Prior to contract execution, the selected firm must submit either their existing or an updated personnel policy (on letterhead) documenting conformity with City Code, 5-4, § 5-4-2. If the Consultant does not submit a copy of their personnel policy incorporating the non-discrimination policy, the company will not be in compliance and the City will exercise its option to cease contract negotiations.

The selected consultant shall carry insurance in the following types and amounts for the duration of the Agreement, and furnish certificates of insurance along with copies of policy declaration pages and policy endorsements as evidence thereof:

- Workers' Compensation and Employers' Liability Insurance coverage with limits consistent with statutory benefits outlined in the Texas Workers' Compensation Act (Art. 8308-1.01 et seq. Tex. Rev. Civ. Stat.) and minimum policy limits for Employers' Liability Insurance of \$100,000 bodily injury each accident, \$500,000 bodily injury by disease policy limit and \$100,000 bodily injury by disease each employee. The firm's policy shall apply to the State of Texas and include these endorsements in favor of the City of Austin:
  - (a) Waiver of Subrogation, form WC 420304.
  - (b) 30 day Notice of Cancellation, form WC 420601.
- Commercial General Liability Insurance with a minimum combined bodily injury and property damage per occurrence limit of \$500,000 for coverage A & B. The policy shall contain the following provisions:
  - (a) Blanket contractual liability coverage for liability assumed under the Agreement and all contracts relative to this project.
  - (b) Independent Contractors coverage.
  - (c) City of Austin listed as an additional insured, endorsement CG 2010.
  - (d) 30 day Notice of Cancellation in favor of the City of Austin, endorsement CG 0205.
  - (e) Waiver of Transfer Right of Recovery Against Others in favor of the City of Austin, endorsement CG 2404.
- Business Automobile Liability Insurance for all owned, non-owned and hired vehicles with a minimum combined single limit of \$500,000 per occurrence for bodily injury and property damage. Alternate acceptable limits are \$250,000 bodily injury per person, \$500,000 bodily injury per occurrence and at least \$100,000 property damage liability per accident. The policy shall contain the following endorsements in favor of the City of Austin:
  - (a) Waiver of Subrogation endorsement TE 2046A.
  - (b) 30 day Notice of Cancellation endorsement TE 0202A.
  - (c) Additional Insured endorsement TE 9901B.

Professional Liability Insurance with a minimum limit of \$ 1,000,000 per claim and in aggregate to pay on behalf of the assured all sums which the assured shall become legally obligated to pay as damages by reason of any negligent act, error, or omission committed or alleged to have been committed with respect to estimates, schedules, analyses, reports, surveys, designs or specifications prepared or alleged to have been prepared by the assured. Coverage, including any renewals, shall have a retroactive date coincident with or prior to the date of the Agreement. The consultant shall provide the City of Austin annually with a certificate of insurance as evidence of such insurance. The policy shall provide for 30 day notice of cancellation in favor of the City of Austin. The consultant shall provide a discovery period on professional liability policies that is commensurate with the warranty period of the project.

Should you have any questions concerning the information included in this RFQ, **please attend a pre-response meeting on Thursday, September 25, 2014 at 3:00 pm in the Rutherford Lane Campus Auditorium, 1520 Rutherford Lane, Building 1, Austin, Texas, 78754.** Attendance at the meeting is not required; however, meeting minutes will not be issued.

Thank you for requesting the RFQ and your interest in the City of Austin. For information about other professional services procurement actions of this office, please visit us at [https://www.austintexas.gov/financeonline/vendor\\_connection/index.cfm](https://www.austintexas.gov/financeonline/vendor_connection/index.cfm).

**AUTHORIZED CONTACT PERSONS**

**PROJECT MANAGER: Todd Shaw telephone (512) 322-6267 email Todd.Shaw@austinenergy.com**

**BUYER II: Lynn Rich telephone (512) 974-7009 email lynn.rich@austintexas.gov**

**END**



## INSTRUCTIONS TO CONSULTANTS

Solicitation Number: CLMP165

Project Name: Engineering Services for Downtown District Cooling Plant #3

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### I. Preparation of Response

- a. **Request for Qualifications (RFQ) Response Forms.** Enclosed are the RFQ response forms which are to be completed and returned as part of your firm's response. Please use the enclosed current forms and organize your response in the order in which the forms are presented in the Table of Contents. **Forms may be recreated; however, all requested information must be included.** Please submit **one (1) original, one (1) copy and one (1) copy on CD or flash drive** of the RFQ response. Wherever used, "page" refers to single-sided, single-spaced, 10 point minimum font printed on 8 ½ x 11 inch pages. Sections should be divided by tabs for ease of reference.

Responses sent to the City of Austin are subject to disclosure pursuant to the Public Information Act, Government Code, Chapter 552.

- b. **Disclosure of Proprietary Information.** All materials submitted to OWNER become public property and are subject to the Texas Public Information Act, Government Code Chapter 552, upon receipt. If Consultant does not desire proprietary information in the Proposal to be disclosed, each page must be identified and marked proprietary at time of submittal. OWNER will, to the extent allowed by law, endeavor to protect such information from disclosure. The final decision as to what information must be disclosed, however, lies with the Texas Attorney General. Failure to identify proprietary information will result in all unmarked sections being deemed non-proprietary and available upon public request.
- c. **Further Information.** Information may be secured by contacting the authorized contact persons listed in the RFQ. Persons desiring further information or interpretation of the solicitation requirements shall make a written request for such information to OWNER no later than seven (7) working days before submittal due date and time. Interpretation of Solicitation Documents will be made by Addendum or Clarification and a copy of each document will be emailed to each person to whom has obtained a RFQ packet. The addendum or clarification will also be available through the City's Vendor Connection.
- d. **Anti-Lobbying and Procurement.** Entities submitting statements of qualifications, including their agents and representatives, shall not undertake any activities or actions to promote or advertise their statement of qualifications to any member of the Austin City Council or City staff except in the course of City-sponsored inquiries, briefings, interviews, or presentations between the statement of qualifications submission date and award by City Council. Any violation of this provision may result in disqualification of the entity. Entity shall execute by signature the following Entity's Affidavit of Non-Collusion, Non-Conflict of Interest, and Anti-Lobbying and return the signed affidavit with their statement of qualifications. The Affidavit form is Form 4 under Proposal

Forms. Article 6, Chapter 2-7, Austin City Code, prohibits lobbying activities or representations by the Consultant between the date that the Request for Qualifications (RFQ) is issued and the date of contract execution. The text of the pertinent City Ordinance may be viewed at the following link:

<http://www.cityofaustin.org/edims/document.cfm?id=161145>.

(1) Definitions

- (A) "Authorized Contact Person" means the Project Manager listed in the Cover Letter of the RFQ, or other persons specifically named and designated in the RFQ as the contact for questions and comments regarding the RFQ.
- (B) "Completed Project" - The City will consider a project complete when:
- a) The specified discipline for which you are working has been completed; or,
  - b) All phases or scopes of work have been completed
- (C) "No-Contact Period" means the period of time from the date the RFQ is issued until a contract is executed. If the City withdraws the RFQ or rejects all responses with the stated intention to reissue the same or a similar RFQ for the same or similar project, the no-contact period continues during the time period between the withdrawal and reissue.
- (D) Project Manager - The City defines a project manager as an individual in the prime firm who:
- i. Sets deadlines, assigns responsibilities, and monitors and summarizes progress of the project.
  - ii. Has the responsibility of the planning, execution, and closing of a project.
  - iii. Is responsible for accomplishing the stated project objectives.
  - iv. Leads project meetings to collect and disseminate information pertaining to project.
  - v. Coordinates the collection and dissemination of information between/within the company and City.
  - vi. Manages all aspects of the project, including subconsultants.
- (E) Project Principal - The City defines a project principal as an individual in the prime firm who:
- i. Has executive oversight of projects.
  - ii. Has the authority to remove the PM, PE or PA assigned to this project.
  - iii. Has the authority to secure additional resources to the project.
- (F) Project Professional - The City defines a project professional as an individual in the prime firm who:
- i. Serves as lead Engineer, Architect, Landscape Architect, Planner or other professional on the proposed team who designs and develops project specifications.
  - ii. Creates, reviews and provides resolution of technical specifications.

- iii. Directs other professional activities.
- iv. Is responsible for the preparation of probable construction cost estimates.
- v. Has all required licenses, certifications or registrations at the time of submittal

(G) "Response" means a statement of qualifications.

(H) "Respondent" means a person responding to a City solicitation including a bidder, a quoter, responder, or a proposer. The term "respondent" also includes:

- (i) an owner, board member, officer, employee, contractor, subsidiary, joint enterprise, partnership, agent, lobbyist, or other representative of a respondent;
- (ii) a person or representative of a person that is involved in a joint venture with the respondent, or a subconsultant in connection with the respondent's response; and
- (iii) a respondent who has withdrawn a Response or who has had a Response rejected or disqualified by the City.

(I) "Representation" means a communication related to a response to a council member, official, employee, or City representative that is intended to or that is reasonably likely to:

- (i) provide information about the Response;
- (ii) advance the interests of the Respondent;
- (iii) discredit the Response of any other respondent;
- (iv) encourage the City to withdraw the RFQ;
- (v) encourage the City to reject all of the responses;
- (vi) convey a complaint about a particular response; or
- (vii) directly or indirectly ask, influence, or persuade any City official, City employee, or body to favor or oppose, recommend or not recommend, vote for or against, consider or not consider, or take action or refrain from taking action on any vote, decision, or agenda item regarding the solicitation.

(J) "City" means Owner.

(2) Restrictions on Contacts

(A) During a no-contact period, a Respondent shall make a representation only through the authorized contact person.

(B) During the no-contact period, a Respondent may not make a representation to a City official or to a City employee other than to the authorized contact person. This prohibition also applies to a vendor that communicates and then becomes a Respondent.

- (C) The prohibition of representation during the no-contact period applies to a representation initiated by a Respondent, and to a representation made in response to a representation initiated by a City official or a City employee other than the Authorized Contact Person.
- (D) If the City withdraws an RFQ or rejects all Responses with a stated intention to reissue the same or similar RFQ for the same or similar project, the no-contact period shall expire after the ninetieth day after the date the RFQ is withdrawn or all Responses are rejected if the RFQ has not been reissued during the 90-day period.
- (E) For a single vendor award, the no-contact period shall expire when the first of the following occurs: contract is executed or solicitation is cancelled
- (F) For a multiple vendor award, the no-contact period shall expire when the last of the following occurs: all contracts are executed, negotiations have been fully terminated, or the ninetieth day after the solicitation is cancelled.
- (G) The purchasing officer or the director may allow respondents to make representations to city employees or city representatives in addition to the authorized contact person for a solicitation that the purchasing officer or the director finds must be conducted in an expedited manner; an expedited solicitation is one conducted for reasons of health or safety under the shortest schedule possible with no extensions. The purchasing officer's or director's finding and additional city employees or city representative who may be contacted must be included in the solicitation documents.
- (H) Representation to an independent contractor hired by the City to conduct or assist with a solicitation will be treated as representations to a City employee.
- (I) A current employee, director, officer, or member of a respondent, or a person related within the first degree of consanguinity or affinity to a current employee, director, officer or member of a respondent, is presumed to be an agent of the respondent for purposes of making a representation. This presumption is rebuttable by a preponderance of the evidence as determined by the purchasing officer or director.
- (J) A respondent's representative is a person or entity acting on a respondent's behalf with the respondent's request and consent. For example, a respondent may email their membership list and ask members to contact council members on the respondent's behalf. The members are then acting per respondent's request and with their consent, and the members have become respondent representatives.

### (3) Permitted Representations

- (A) If City seeks additional information from respondent, the Respondent shall submit the representation in writing **only** to the authorized contact person. The contact person will then distribute the written representation in accordance with the

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terms of the RFQ. A Respondent cannot amend or add information to a Response after the Response deadline.

- (B) If respondent wishes to send a complaint to the City, the respondent shall submit the complaint in writing only to the authorized contact person. The authorized contact person will then distribute a complaint regarding the process to members of the City Council or members of the City board, to the director of the department that issued the solicitation, and to all respondents of the RFQ. However the director shall not permit distribution of any complaint that promotes or disparages the qualifications of a respondent, or that amends or adds information to a response. A determination what constitutes promoting or disparaging the qualifications of a respondent or constitutes amending or adding information is at the director's sole discretion.
- (C) If a Respondent submits a written inquiry regarding an RFQ, the authorized contact person will provide a written answer and distribute both the inquiry and answer to all Respondents on the RFQ.
- (D) If a Respondent does not receive a response from the authorized contact person, the Respondent may contact the director as appropriate.
- (E) A respondent may ask a purely procedural question, for example a question regarding the time or location of an event or where information may be obtained, of a City employee other than the authorized contact person. No suggestions or complaints about the contract process that constitute a representation to a City employee is allowed. A respondent may not ask a procedural question to a Council member, a council member's aide, or of a City board member except in a meeting held under the Texas Government Code, Chapter 551 (Open Meetings Act).
- (F) The Anti-Lobbying ordinance allows representations:
  - (1) made at a meeting convened by the authorized contact person, including meetings to evaluate responses or negotiate a contract;
  - (2) required by protest procedures for vendors;
  - (3) made at a protest hearing;
  - (4) provided to the Small & Minority Business Resources Department in order to obtain compliance with the MBE/WBE Procurement Program Ordinance;
  - (5) made to the City Risk Management coordinator about insurance requirements for a solicitation;
  - (6) made public at a meeting held under the Open Meetings Act; or
  - (7) made from a respondent's attorney to an attorney in the Law Department in compliance with Texas Disciplinary Rules of Professional Conduct.

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- (G) Nothing in the Anti-Lobbying Ordinance prohibits communications regarding the solicitation between or among City official or City employees acting in their official capacity.
- (H) A contribution or expenditure defined in Chapter 2-2 (Campaign Finance) is not a representation.
- (4) Contract Voidable. If a contract is awarded to a Respondent who has violated these Anti-Lobbying & Procurement provisions, the contract is voidable by the Owner.
- (5) Debarment. If a Respondent has been disqualified under these provisions more than two times in a sixty month period the purchasing officer shall debar the responder from responding for a period not to exceed three years, provided the Respondent is given written notice and a hearing in advance of the debarment.

## II. Rejection of Proposals

OWNER reserves the right to reject any or all responses received for this RFQ and to waive any minor informality in any submittal or solicitation procedure (a minor informality is one that does not affect the competitiveness of the Consultants).

I. The following **will** cause your firm to be deemed non-responsive:

- Form 2 – Affidavit of Authentication is not included with original signature and notarized.
- Form 3 – Prime Firm’s EEO Program and Title VI Assurances is not included with original signature certifying firm conforms to City Code 5-4-2.
- The required Key Personnel do not have a current license/registration in the State of Texas at the time of submittal.
- The required Key Personnel are not employed by the prime firm as stated in the evaluation criteria.
- Failure to submit MBE/WBE or DBE Compliance Plan (or other MBE/WBE Procurement Program documents) in accordance with the MBE/WBE Procurement Program Package or DBE Procurement Program Package.
- Failure to have an authorized agent of the Proposer attend the mandatory Pre-Response Meeting, if applicable.
- Statement of Qualifications (SOQs) received from a Proposer who has been debarred or suspended by OWNER’s Purchasing Officer.
- SOQs received from a Proposer when Proposer or principals are currently debarred or suspended by Federal, State or City governmental agencies.

II. The following **may** cause your firm to be deemed non-responsive:

- Form 4 - Affidavit of Non-Collusion, Non-Conflict of Interest, and Anti-Lobbying is not included with original signature and notarized.
- Form 5 - Affidavit of Availability is not included with original signature and notarized.
- Form 6 – Affidavit of Contract Execution is not included with original signature and notarized.
- Failure to provide a response to one or more of the Consideration Items.
- Response failed to show the prime firm performing the plurality of the services.
- Prime firm and/or subconsultants did not provide the number of projects required for an evaluation criteria item.
- Exceeding the maximum number of page limitations in any of the sections designated.
- Including projects that have not been completed within the specified time period.
- Combining forms.
- Failure to use the current City of Austin forms.
- Failure to acknowledge receipt of Addenda on Form 1 – Prime Firm General Information.
- Listing a subconsultant’s qualifications in the body of the SOQ, yet failing to list the subconsultant on the compliance plan.

### **III. Release of Information**

Under Texas law, information relating to this Solicitation may be kept confidential until a contract has been executed. OWNER shall not release information relative to this Solicitation during the proposal evaluation process or prior to contract execution, except as otherwise required by law.

### **IV. Award and Execution of Contract**

Contract Management Director shall submit recommendation for award to the City Council for those project awards requiring City Council action. Contract will be signed by City Manager or his/her designee after award and submission of required documentation by consultant. Contract will not be binding upon OWNER until it has been executed by both parties. OWNER will process the Contract expeditiously. However, OWNER will not be liable for any delays prior to the award or execution of Contract. The consultant must adhere to the terms stated in Form 6 – Affidavit of Contract Execution.

Upon contract award, the selected consultant must submit either their existing or an updated personnel policy (on letterhead) documenting conformity with City Code, Chapter 5-4, § 5-4-2. If the company does not submit a copy of their personnel policy incorporating the non-discrimination policy, the company will not be in compliance and will not receive a contract award.

## V. Protest Procedures

The OWNER's Contract Management Director has the authority to settle or resolve any claim of an alleged deficiency or protest. The procedures for notifying the City of an alleged deficiency or filing a protest are listed below. If you fail to comply with any of these requirements, the Contract Management Director may dismiss your complaint or protest.

Prior to Solicitation Due Date: If you are a prospective Respondent and you become aware of the facts regarding what you believe is a deficiency in the solicitation process before the Solicitation is due, you must notify the City in writing, through the authorized contact person, of the alleged deficiency before that date, giving the City an opportunity to resolve the situation prior to the Solicitation Due Date.

After Solicitation Due Date: If you submit a response to the City and you believe that there has been a deficiency in the solicitation process or the award, you have the opportunity to protest the solicitation process or the recommended award as follows:

1. You must file written notice of your intent to protest within four (4) calendar days of the date that you know or should have known of the facts relating to the protest. If you do not file a written notice of intent within this time, you have waived all rights to protest the solicitation process or the award.
2. You must file your written protest within fourteen (14) calendar days of the date that you know or should have known of the facts relating to the protest unless you know of the facts before the Solicitation was due. If you know of the facts before that date, you must notify the City as stated above.
3. You must submit your protest in writing, through the authorized contact person, and must include the following information:
  - a. your name, address, telephone, and fax number;
  - b. the solicitation number and the CIP number, if applicable;
  - c. a detailed statement of the factual grounds for the protest, including copies of any relevant documents.
4. Your protest must be concise and presented logically and factually to help with the City's review.
5. When the City receives a timely written protest, the Contract Management Director will determine whether the grounds for your protest are sufficient. If the Contract Management Director decides that the grounds are sufficient, the Contract Management Department will schedule a protest hearing, usually within five (5) working

days. If the Contract Management Director determines that your grounds are insufficient, you will be notified of that decision in writing.

6. The protest hearing is informal and is not subject to the Open Meetings Act. The purpose of the hearing is to give you a chance to present your case, it is not an adversarial proceeding. Those who may attend from the City are: representatives from the department that requested the purchase, the Law Department, the Contract Management Department, and other appropriate City staff. You may bring a representative or anyone else that will present information to support the factual grounds for your protest with you to the hearing.
7. A decision will usually be made within fifteen (15) calendar days after the hearing.
8. The Contract Management Director will send you a copy of the hearing decision after the appropriate City staff have reviewed the decision.
9. When a protest is filed, the City usually will not make an award until a decision on the protest is made. However, the City will not delay an award if the City Manager or the Contract Management Director determines that:
  - a. The City urgently requires the supplies or services to be purchased, or
  - b. Failure to make an award promptly will unduly delay delivery or performance.

In those instances, the Contract Management Department will notify you and make every effort to resolve your protest before the award.

10. The protest or notice of intent and the protest shall be submitted in writing to the following address:

P.O. Address for U.S. Mail:

City of Austin  
ATTN: Director, Contract Management Dept.  
P.O. Box 1088  
Austin, Texas 78767-0845

Street Address for Hand Delivery/Courier Service:

City of Austin  
ATTN: Director, Contract Management Dept.  
105 W. Riverside Dr., Suite 205  
Austin, Texas 78704

PHONE: (512) 974-7181

**END**



## SCOPE OF SERVICES

Solicitation Number: CLMP165

Project Name: Engineering Services for Downtown District Cooling Plant #3

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### **PROJECT FOR:**

CITY OF AUSTIN, AUSTIN ENERGY, THROUGH ITS CONTRACT MANAGEMENT DEPARTMENT

### **PROJECT TITLE:**

Engineering Services for Downtown District Cooling Plant #3

### **BACKGROUND:**

The Austin Energy Downtown District Cooling System is located in Austin and is bound by 6th street to the North, Cesar Chavez Street on the South, Red River Street on the East and Bowie Street on the West. There are two existing plants, Paul Robbins District Chilled Water Plant in the center, and District Cooling Plant #2 in the eastern part of the system. There are approximately 26 customers served for a total load of 15,000 tons. Austin Energy distributes chilled water from its plants to individual buildings via a network of underground pipes and heat exchangers within the customers' mechanical rooms.

### **OBJECTIVES OF THE PROJECT:**

The City of Austin (City) Austin Energy Department (AE), through its Contract Management Department (CMD), is seeking Statements of Qualifications from qualified firms interested in providing engineering services for the new Downtown District Cooling Plant #3 to be located at the Crescent Tract Property, 812 1/2 W 2nd Street.

The objective of this project is the installation of 5,000 to 10,000 tons of additional chilled water capacity including chillers, cooling of condenser water using cooling towers or once through lake water from Lady Bird Lake, associated infrastructure, and appurtenances. The project also includes the construction of a retaining wall along the railroad property to increase available space on the site. The east property line is common with the Union Pacific Railroad Right of Way. The selected firm will provide engineering and professional services for preliminary design, design, community involvement, permitting, bid/award, construction, and warranty phases of the chiller installation project. The selected firm shall receive a 25% engineering package (excludes retaining wall design) showing the piping and instrument diagram, site layout, flow diagram, building lay outs, electrical one line diagram for the proposed plant. Additionally, existing site utilities and chilled water piping record drawings will be provided along with the site survey and geotechnical reports from previous site projects. The design shall address the hydraulic, mechanical, civil, structural, electrical, instrumentation & controls, permitting, architectural, and environmental aspects of the facility. The Firm will develop drawings and other visual aids to communicate the aesthetics of the plant design to nearby property owners, predominantly condominiums and apartments, and stakeholders such

as City Council, Electric Utility Commission, and Downtown Austin Neighborhood Alliance who will have input into the final design. The project will be subject to City of Austin Arts in Public Places requirements and as such, the Firm will be expected to incorporate specified design elements into project. The design of the plant shall take into consideration the existing downtown chilled water operations from Paul Robbins District Cooling Plant, District Cooling Plant #2, and temporary chillers on site which cannot be negatively impacted during any phase of the permanent chiller installation project. Therefore, measures to ensure uninterrupted chilled water operations during the construction phase will have to be planned for and integrated into the construction sequencing.

Nearby property owners and stakeholders will have input on the sound levels from the plant. An engineering sound report, provided by AE, will be completed and used as the basis for equipment selection. The engineering sound report will detail the background noise levels and the noise levels for new chiller/cooling tower options. The selected firm will be required to model the impact of the sound from its design on nearby apartments and condominiums.

**ANTICIPATED SERVICES:**

The following is intended as a guide to the general nature of services which will be provided based on the Major Scopes of Work described herein.

**PRELIMINARY STUDIES AND SITE CONDITION ASSESSMENT**

1. Identify and conduct required environmental site assessments and studies for lake cooling water intake and wastewater discharge permits, including, but not limited to:
  - Characterizing the thermal plume using models or data collection for establishing temperature limits for TCEQ TPDES Permit;
  - Source water physical characterization such as bathymetry, flow rates and volumes to assure the physical environment is not adversely impacted by upsetting lake stratification of diverting greater than 5% of river flow;
  - National Pollutant Discharge Elimination System (NPDES) 316(b) best technology available (BTA) demonstration for intake velocities 0.5 ft/s;
  - Source water biological assessment including identification of historical and contemporary biota, studying the baseline fish and/or shellfish endangered species, important commercial and/or sport fisheries and other species of concern. Assessment includes their abundance, spatial and temporal variations in spawning, feeding and migration of the species impacted;
  - Delineation of wetlands and other bodies of water in the area and assessment of wetlands loss;
  - Inventory of listed endangered species or critical habitat; and
  - Inventory of historic property that could be affected by project.
2. Perform surveys for existing as-built conditions, topography, sub-surface utilities, and geotechnical conditions.

**Deliverables:**

- A. Studies and site assessments required for local, state and federal permit applications, including, but not limited to, Army Corp of Engineers Permit, NPDES Permit for cooling water intake and discharge, local site development and Lady Bird Lake impact analysis.
- B. Surveys for existing as-built conditions, topography, sub-surface utilities, and geotechnical conditions.

**PHASE I - DESIGN AND BID SERVICES**

Subsequent to the review of the preliminary engineering phase report (PER) and environmental assessment, the selected Firm will prepare an assessment of the preliminary design and permitting report to identify any issues which may require rework by the selected firm. Engineer will perform detailed design and preparation of documents required to bid the construction phase services. The design shall consist of 60%, 90% and 100% stages of completion, incorporating City of Austin and Austin Energy Standards. At each of the milestones, the plans, specifications and calculations (if requested) shall be submitted to the City for review followed by a project review meeting.

Design elements shall include the ability to operate and maintain equipment. Project shall be designed to be consistent with surrounding development aesthetics. Engineer will participate in public involvement process. Aesthetics of design is to be vetted through all stakeholders. The design shall ensure decibel levels limits agreed to by adjacent property owners and stakeholders will be achieved.

The Engineer will prepare an estimate of probable construction cost at the 60%, 90% and 100% stages of completion. The Firm will also be responsible for preparing all documents required for applicable Federal, State, and Local permits and be responsible for permitting of improvements. The selected engineer shall provide bid phase services including the issuance of any addenda, participation in pre-bid conference, preparation of responses to questions from contractors, and work with the City's team to evaluate bids received and recommend the construction contract award.

**Deliverables:**

1. Report on preliminary design and permitting assessment performed by others,
2. Detailed engineering documents sealed by a Professional Engineer in Texas and associated services required for the permitting, bidding and construction of the Downtown Cooling Plant
3. Engineering documents shall be delivered for City review at the 60%, 90%, and 100% stages of completion,
3. Project schedules,
4. Opinion of Probable Cost for construction beginning at 60% and greater stages,
5. Permit applications and utility coordination documents (if required),
6. Bid phase addenda and responses to questions,
7. Architectural renderings and public outreach materials,
8. Monthly updates of design schedule and design costs to date.

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## PHASE II - CONSTRUCTION SERVICES

The selected engineering firm shall provide construction phase services for each below, which will include having an on-site representative at the construction site to monitor contractor's performance during construction. Engineer shall review submittals and requests for information, review change orders, approve field design changes, attend weekly construction meetings, and make periodic site investigations to ensure project is constructed in accordance with engineer's design. Prepare as-built drawings as well as civil, mechanical and electrical drawings.

### Deliverables

- Approve submittals, requests for information, change orders and design changes;
- As-built drawings;
- Inspection and testing reports;
- Oversee and approve commissioning of plant;
- Attend weekly project meetings and record and submit minutes; and
- Monthly updates of design schedule and design costs to date.

### Construction Phase Activities

*This work is anticipated to be scheduled in the order below:*

1. Install erosion and sedimentation controls;
2. Construction of required retaining wall and grade the site to make maximum use of space;
3. Connect to existing, underground chilled water lines, potable water and sewer;
4. Installation of new electrical service and equipment for the new facility;
5. Install building structures to house chillers, mechanical and electrical systems, and appurtenances;
6. Install cooling water intake structure and associated intake and discharge piping system (if cooling water intake design not used);
7. Install new chillers, pumps, variable frequency drives, piping, chemical treatment and compressed air facilities and other required appurtenances, as well as electrical switchgear and control systems;
8. Install new cooling towers;
9. Test Chiller Units and cooling towers for performance;
10. Finish architectural elements; and
11. Surface and site restoration.

## PHASE III - WARRANTY SERVICES

Provide support to City on an as needed basis to evaluate and make engineering recommendations regarding warranty issues related to the improvements.

**PROPOSED SCHEDULE:**

Owner will begin designing for both options, but may elect to cease design for one or the other options depending on cost, schedule or constructability issues.

***Option A – Cooling Tower***

Complete selection of Engineer and Council approval of Recommendation to Council by February 2015.

Issue Notice to Proceed by March 2015

Complete 60% design by July 2015

Complete 90% design by November 2015

Complete 100% design by January of 2016

Complete Permitting by February of 2016

Begin Construction by June 2016

Complete Construction for September 2018

***Option B – Lake Cooling Water Intake***

Complete selection of Engineer and Council approval of Recommendation to Council by February 2015.

Issue Notice to Proceed by March 2015

Complete 60% design by August 2015

Complete 90% design by December 2015

Complete 100% design by February of 2016

Complete Permitting by February of 2017

Begin Construction by June 2017

Complete Construction for September 2019

**COST ESTIMATE FOR ENGINEERING SERVICES:**

Option A - \$2,000,000 - \$3,000,000

Option B - \$5,000,000 - \$8,000,000

**MAJOR AND OTHER SCOPES OF WORK:**

Below is a list of the major scopes of work that the City has identified for this project. ***\*There must be representation for all major scopes of work listed in the prime's statement of qualifications. The experience of the firms listed to perform the Major Scopes of Work, whether a subconsultant or prime firm, will be evaluated under Consideration Item 6 – Major Scopes of Work – Comparable Project Experience.*** In addition, the City has identified Other Scopes of work that MAY materialize during the course of the project. The City does not guarantee the scopes listed under Other Scopes of Work will materialize on this contract. If the prime consultant intends to enter into a subconsulting agreement on a scope of work not listed below, the prime consultant is required to contact SMBR and request an updated availability list

of certified firms in each of the scopes of work for which the prime consultant intends to utilize a subconsultant.

**MAJOR SCOPES OF WORK**

Civil Engineering  
 Mechanical Engineering  
 Structural Engineering  
 Electrical, Controls and Instrumentation Engineering  
 Federal, State and Local Environmental Permitting

**OTHER SCOPES OF WORK**

Geotechnical Services  
 Surveying  
 Construction Inspections: Electrical and Controls  
 General Construction: Management, Scheduling, Cost  
 Architectural Services  
 Acoustic Consulting: Noise Modeling and Abatement  
 Public Involvement  
 Plant Commissioning

**CITY OF AUSTIN PUBLIC PARTICIPATION PRINCIPLES: Accountability and Transparency**

*The City will enable the public to participate in decision-making processes by providing clear information on the issues, the ways to participate, and how their participation contributes to the decision.*

- **Fairness & Respect**  
*The City will maintain a safe environment that cultivates and supports respectful public engagement and will expect participants to do so in turn.*
- **Accessibility**  
*The City will respect and encourage participation by providing ample public notice of opportunities and resources and accommodations that enable all to participate.*
- **Predictability & Consistency**  
*The City will prepare the public to participate by providing meeting agendas, discussion guidelines, notes, and information on next steps.*
- **Creativity & Community Collaboration**  
 (Inclusivity and Diversity)  
*The City will use innovative, proven, and customized engagement solutions that are appropriate to the needs of the projects and the participants.*
- **Stewards of Resources**

*The City will balance its commitment to provide ample opportunities for public involvement with its commitment to delivering government services efficiently and using City resources wisely.*

**NOTES:**

Participation at the prime or sub-consultant level may create a conflict of interest, and thus necessitates exclusion from any contracts resulting from the work performed in the design phase.

A consultant performance evaluation will be performed on all professional services contracts. This evaluation will be conducted at the end of each Preliminary, Design and Construction phase.

Construction Inspection and Public Information and Communications are sub-consultant opportunities. These services will be performed under this contract.



## EVALUATION CRITERIA STAND ALONE SOLICITATIONS

Solicitation Number: CLMP165

Project Name: Engineering Services for Downtown District Cooling Plant #3

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The following is a description of items to receive consideration in the evaluation of responses for providing professional engineering/architectural/planning services to the City of Austin. Following each description are the evaluation points associated with the item. TOTAL POSSIBLE POINTS EQUALS 100 (plus 15 points for interviews, if conducted). Wherever used, "prime firm" denotes a single firm or a joint venture responding as the prime consultant. Wherever used, "page" refers to single-sided, single spaced, 10-point minimum font printed 8-1/2 x 11-inch pages. The prime firm shall perform the largest share of the assignment (on an estimated percentage of total agreement basis). Responses failing to show the prime firm performing the plurality of the services shall be rejected as non-responsive.

**Limitations on volume of requested information apply equally to single firms and joint ventures regardless of the number of firms partnering in the joint venture. Responses with excess volume or which do not include information for the evaluation of all consideration items may not be thoroughly reviewed or may be rejected as non-responsive.**

All prime firms and subconsultants must be registered to do business with the Owner prior to contract award. Prime firms are responsible for ensuring that their subconsultants are registered as vendors with the City of Austin. You may register through the Owner's on-line Vendor Registration system. Log on to the link below and follow the directions:

[https://www.ci.austin.tx.us/financeonline/vendor\\_connection/index.cfm](https://www.ci.austin.tx.us/financeonline/vendor_connection/index.cfm)

NOTE: Firms and individuals, who are proposed as staff on this RFQ, must adhere to the requirements of Subchapter A of the Texas Professional Engineering Practice Act regarding the use of the term "engineer". The full text of the Texas Professional Engineering Act may be found at: <http://www.engineers.texas.gov>.

### **CONSIDERATION ITEM 1** **MBE/WBE PROCUREMENT PROGRAM**

Were Goals achieved or did response indicate that a Good Faith Effort was made to achieve the Goals?

- No** - Response **will not** be evaluated.
- Yes** - Evaluation of the response will continue.

Attach the following:

- **MBE/WBE Compliance Plan**
- **Letters from subconsultants confirming contact/commitment to the project.**

**CONSIDERATION ITEM 2**  
**TURNED IN ALL REQUIRED DOCUMENTS**

Did respondent turn in the requested documents as required by this Consideration Item and the forms and submittal requirements for all other consideration items?

- No** - Response **will not** be evaluated.  
**Yes** - Evaluation of the response will continue.

Respondent must attach the following to Consideration Item 2:

- **Form 1 – Prime Firm General Information**
- **Form 2 – Affidavit of Authentication**
- **Form 3 - Prime Firm’s EEO Program and Title VI Assurances**
- **Form 4 - Affidavit of Non-Collusion, Non-Conflict of Interest, and Anti-Lobbying**
- **Form 5 - Affidavit of Availability**
- **Form 6 - Affidavit of Contract Execution**

**NOTE: Other forms and submittal documents required in the remaining consideration items should be attached to that respective consideration item.**

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**CONSIDERATION ITEM 3a**  
**TEAM’S STRUCTURE**  
**10 Points Maximum**

City is interested in team's organizational structure. Identify project leadership, reporting responsibilities, how prime firm will interface with City's project manager, and how subconsultants will work within the team structure. Describe the roles of the key individuals proposed to work on this project.

Firms will have to demonstrate how their team structure will ensure the success of the project given the unique challenges at the project location. The design of this project will be difficult for several reasons including 1) proximity to nearby high rise residential apartments and condominiums, 2) small and oddly shaped footprint of the Crescent Tract, 3) applicable Capital View Corridor height restrictions and 4) use of temporary chillers on the project site concurrent with construction of new plant. Individuals living close to the project site have already vocalized concerns with potential noise levels from the plant and have raised these concerns at City Commission meetings. Therefore, the project design will include input from the public regarding noise levels and aesthetics.

Firm will initially permit and design for two methods of providing condenser water cooling, cooling towers and once through cooling using water from Lady Bird Lake. Therefore, the design

team structure may be different for the two design options. The permitting is significantly more complex for the once through cooling water option, but may be preferable due to lower noise levels than using cooling towers.

The proposed staff must include individual(s) with experience in sustainable design and capable of designing and managing the project during construction to provide a facility meeting the requirements of the Council Resolutions 20071129-045 & 20071129-046. The City has established a process for implementation of sustainable principles in design and construction of buildings and site development projects. The resolutions can be downloaded from the City's website by accessing the following:

[20071129-045, Resolution](http://www.cityofaustin.org/edims/document.cfm?id=110795) <http://www.cityofaustin.org/edims/document.cfm?id=110795>

[20071129-046, Resolution](http://www.cityofaustin.org/edims/document.cfm?id=110796) <http://www.cityofaustin.org/edims/document.cfm?id=110796>

- **Provide an organizational chart and brief narrative. The total number of pages should not exceed five (5) pages. Indicate activities, responsibilities and key personnel on the organizational chart. Response should align with team's proposed MBE/WBE Compliance Plan provided in Consideration Item 1 above.**
- 

### **CONSIDERATION ITEM 3b**

#### **TEAM'S PROJECT APPROACH**

#### **20 Points Maximum**

City is interested in team's overall understanding of the project scope and issues. Describe any significant project issues and the team's approach in addressing those issues. Reference issues seen on similar scoped projects, and the overall approach to mitigate those and other issues. Describe your team's methods to successfully complete the work; your team's understanding of the techniques and sequencing required; and how the prime firm will interface with the City's appointed representative. Please describe the major subconsultants' placement in the overall approach to the project.

Please describe chilled water plant projects in denser urban communities where design had to take into consideration maximizing the capacity and efficiency of the plant while minimizing noise and addressing community aesthetic expectations.

Please detail successful projects where environmental permitting was required including design of cooling water intake structures subject to National Pollution Discharge Elimination System (NPDES) Section 316(b) requirements and local, state and federal requirements for discharge of wastewater (For example, Corps of Engineers, Environmental Protection Agency, Texas Commission on Environmental Quality, and City of Austin Watershed Protection Department) The Firm should also demonstrate experience with working with local regulations and permitting discharges. Furthermore, demonstrate success with obtaining approvals for controversial

projects from City of Austin Commissions and Council.

- Provide a narrative not to exceed five (5) pages.
- 

**CONSIDERATION ITEM 4**

**EXPERIENCE OF PROJECT MANAGER, PROJECT PROFESSIONAL, AND PROJECT PRINCIPAL (past 10 Years)**

**20 Points Maximum**

***(Project Manager – 10 points; Project Professional – 6 points; Project Principal – 4 points)***

City is interested in the experience of the Project Manager, Project Professional, and Project Principal that demonstrates history and success with projects of similar programs, budgets, and/or clients as the project described in this solicitation. Points will be awarded as indicated above. Only one individual per job responsibility should be designated. The prime consultant must employ the Project Manager, Project Professional, and Project Principal. The **Project Professional must be licensed as a professional engineer registered in the State of Texas at the time of submittal.**

List three (3) projects meeting these criteria which have been completed in the past ten (10) years for each individual.

- **Complete Form 7 – Experience of Project Manager. Please provide no more than one (1) page per project.**
- **Complete Form 8 – Experience of Project Professional. Please provide no more than one (1) page per project.**
- **Complete Form 9 – Experience of Project Principal. Please provide no more than one (1) page per project.**
- **Attach a resume of no more than two (2) pages for each individual.**

Definitions:

The following definitions are meant to assist the prime firm in determining the appropriate key team members for this project. These definitions are not exhaustive and are meant only as a guide.

Project Manager: The COA defines a project manager as an individual in the prime firm who:

- ◆ Sets deadlines, assigns responsibilities, and monitors and summarizes progress of project.
- ◆ Has the responsibility of the planning, execution, and closing of a project.
- ◆ Is responsible for accomplishing the stated project objectives.
- ◆ Leads project meetings to collect and disseminate information pertaining to project.

- ◆ Coordinates the collection and dissemination of information between/within the company and COA.
- ◆ Manages all aspects of the project, including subconsultants.

Project Principal: The COA defines a project principal as an individual in the prime firm who:

- ◆ Has executive oversight of projects.
- ◆ Has the authority to remove the PM, PE or PA assigned to this project.
- ◆ Has the authority to secure additional resources to the project.

Project Professional: The COA defines a project professional as an individual in the prime firm who:

- ◆ Serves as lead Engineer on the proposed team who designs and develops project specifications.
  - ◆ Creates, reviews and provides resolution of technical specifications.
  - ◆ Directs other professional activities.
  - ◆ Is responsible for the preparation of probable construction cost estimates.
  - ◆ Has all required licenses, certifications or registrations at the time of submittal.
- 

#### **CONSIDERATION ITEM 5**

##### **PRIME FIRM'S COMPARABLE PROJECT EXPERIENCE (past 10 years)**

**15 points maximum**

City is interested in the prime firm's history and success with projects of similar programs, budgets, and/or clients as the project described in this solicitation. List six (6) projects meeting these criteria which have been completed in the past five years, three (3) of which will include condenser water cooling using lake or river water complying with NPDES 316(b) requirements and (3) that include cooling towers. In addition, City may consider history of firm in complying with project programs, schedules, and budgets on previous City projects.

- **Provide a narrative not to exceed one (1) page. Complete Form 10 and provide no more than one (1) page per project.**
- 

#### **CONSIDERATION ITEM 6**

##### **MAJOR SCOPES OF WORK - COMPARABLE PROJECT EXPERIENCE (past 10 years)**

**15 points maximum**

The Major Subconsultant Opportunities which will be evaluated are identified in the Scope of Services. The City has identified Major Scopes of Work to be provided for this project, which are included in the Scope of Services. Each scope of work can be accomplished through subcontracting other firms or utilizing the prime firm. The City is interested in the history and

success of the firm proposed to perform the scope of work (subconsultant or prime), with projects of similar programs, budgets, and/or clients as the areas identified. List three (3) projects per Major Scope of Work meeting these criteria which have been completed in the past ten years. In addition, City may consider history of firms in complying with project programs, schedules, and budgets based on previous City projects. If more than one firm is listed for a particular Major Scope of Work, the City expects the work will be divided evenly among them.

- **Complete Form 11 for each Major Scope of Work listed in the Scope of Services. Provide no more than one page per opportunity. All major subconsultants listed in this item must also be included in your MBE/WBE compliance plan.**
- 

**CONSIDERATION ITEM 7**

**TEAM’S EXPERIENCE WITH AUSTIN ISSUES**

**10 Points Maximum**

City is interested in team’s (including subconsultants) experience with Austin issues, as may be evidenced by work in the Austin area during the past five (5) years. Briefly describe experience in the following areas and reference projects relating to that experience:

- ◆ City of Austin site development and/or building permit requirements.
- ◆ Austin area construction in the public right-of-way.
- ◆ Austin area construction costs and practices.
- ◆ Austin environmental community, conditions and constraints.
- ◆ Public awareness and involvement in project development in the Austin area.
- ◆ Responsiveness due to proximity of projects to local office.
- ◆ Downtown design requirements for industrial operations including those for noise limits and visual aesthetics.
- ◆ City of Austin Watershed where discharge water temperature was a concern.

- **Provide a brief narrative of no more than four (4) pages.**
- 

**CONSIDERATION ITEM 8**

**CITY OF AUSTIN’S EXPERIENCE WITH PRIME FIRM (past 5 years)**

**10 Points Maximum**

The City will consider the history of the firm in complying with project programs, schedules, and budgets on previous City of Austin projects within the last five (5) years. Firms with previous projects with the City of Austin and have had no issues will receive 10 points. Points will be deducted if the City has had negative experience with the prime firm’s performance on City projects. Deductions are based on Consultant Evaluations completed by Project Managers at the end of each phase of the project.

Specific consideration items by phase may include:

- ◆ Timely completion of projects and timeliness of performance per PSA and authorized amendments.
- ◆ Timely, accurate, and complete payment applications and payments to subconsultants.
- ◆ Deliverables met criteria established in contract / resolution of significant issues in writing.
- ◆ Compliance with City ordinances on substitution/addition/deletion of subconsultants.
- ◆ Compliance with Minority and Women-Owned Business Procurement Program.
- ◆ Compliance with City standards, including regulatory compliance and permitting requirements.
- ◆ Conformance to City budget/cost requirements.
  - Preliminary, Design, and Bid/Award - estimates were within Fixed Construction Budget.
  - Construction - dollar value of change orders were  $\leq 5\%$  of construction contract amount.
- ◆ Quality of work performed.

Firms who have had no previous projects with the City of Austin will receive a score equal to the average of all engineering firms in the data base with previous City projects.

### **CONSIDERATION ITEM 9**

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#### **INTERVIEWS (OPTIONAL)**

##### **15 Points Maximum**

The City may determine that it is necessary to interview short-listed firms prior to making a recommendation to the City Council. Staff intends to use the following guidelines for the mandatory interview process:

- ◆ The point difference between the first and second ranked firm is less than three points.
- ◆ The number of firms interviewed will depend on the closeness of the scores following evaluation of the written proposals.
- ◆ Staff will consider significant gaps in point separation between the top ranked firms in determining the number of firms to be interviewed.
- ◆ Only firms that are considered qualified to perform the work, on the basis of their written proposal, will be invited for interviews.
- ◆ No more than five firms will be interviewed.
- ◆ Staff may conduct interviews in other cases where staff believes it is in the best interest of the City.
- ◆ The City reserves the right to determine whether an interview will be conducted for every solicitation/project.

# CITY OF AUSTIN



## **CITY CODE CHAPTER 2-9B PROFESSIONAL SERVICES MBE/WBE PROCUREMENT PROGRAM**



**Project Name:**

**Project/Solicitation Number:**

**Date:**



*JANUARY 2011*

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**MBE/WBE GOALS**

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<b>Annual/Project Participation Goals:</b>			<b>Annual/Project Participation Subgoals:</b>	
MBE	%		African American	%
WBE	%	<b>OR</b>	Hispanic	%
			Asian/Native American	%
			WBE	%

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**OVERVIEW**

This document should be read in conjunction with the City of Austin’s Minority-owned and Women-owned Business Enterprise Procurement Program Ordinance for Professional Services (Chapter 2-9B of the Austin City Code) and the Small and Minority Business Resources Department (SMBR) Rules. The definitions contained in Chapter 2-9B apply to this document. Copies of Chapter 2-9B and SMBR Rules may be obtained online at <http://www.ci.austin.tx.us/smbr/rules.htm> or from SMBR, 4201 Ed Bluestein, Austin, Texas 78721 (512) 974-7600.

Firms or individuals submitting responses to this Request for Qualifications agree to abide by the City’s Minority-owned and Women-owned Business Enterprise (MBE/WBE) Procurement Program and Rules. The City’s MBE/WBE program is intended (1) to promote and encourage MBEs and WBEs to participate in business opportunities with the City of Austin; (2) to afford MBEs and WBEs an equal opportunity to compete for work on City contracts; and (3) to encourage consultants to provide subcontracting opportunities to certified MBEs and WBEs by soliciting such Firms for subcontracting opportunities. The City of Austin and its consultants shall not discriminate on the basis of race, color, national origin, disability, or gender in the award and performance of contracts.

The City encourages Proposers to achieve the MBE/WBE participation goals and subgoals for this contract. However, Proposers may comply with the City Code and Rules without achieving the participation goals so long as they make and document Good Faith Efforts that would allow MBE and WBE participation per Section 2-9B-21 of the City Code and Section 9.1 of the Rules. Proposers that do not meet the project’s goals and subgoals are subject to Good Faith Efforts review.

Prior to the due date and time specified in the City’s solicitation documents, all Proposers (including those Firms certified as MBE/WBEs) shall submit: (1) an *MBE/WBE Compliance Plan* (Appendix A); and (2) if the project goals are not met, all appropriate documentation to demonstrate Good Faith Efforts to meet the project goals. Any questions regarding preparation of the *Compliance Plan* should be directed to SMBR at (512) 974-7600. Such contact will not be a violation of the Anti-Lobbying Ordinance.

The City has implemented Anti-Lobbying Ordinance 20071206-045 (Chapter 2-7 of the Austin City Code). Under Chapter 2-7, there is a “no-contact” period from the date the City issues a solicitation until the contract is executed. During the “no-contact” period, a person responding to a City solicitation can speak only to the contract’s authorized contact person regarding their solicitation response. Chapter 2-7 allows certain exceptions; for instance, a person responding to a City solicitation may speak to SMBR regarding this *Compliance Plan*. See the full language of the Ordinance, City Chapter, or solicitation documents for further details.

**If the *Compliance Plan* and Good Faith Efforts documentation are not submitted prior to the due date specified in the solicitation documents, the bid will be deemed non-responsive and not be accepted for consideration.**

## **COMPLIANCE PLAN INSTRUCTIONS**

(See Appendix A)

SMBR may request written clarification of items listed on the *Compliance Plan*. However, there will be no further opportunity for the Proposer to augment the MBE/WBE participation originally listed in the *Compliance Plan* or to demonstrate Good Faith Efforts that were not made prior to the submission of the *Compliance Plan*. Changes to the *Compliance Plan* are permitted only after contract execution and only with prior written approval of SMBR.

Please type or clearly print all information, use “none” or “N/A” where appropriate, and sign and date the *Compliance Plan* as indicated. Please fill in all the blanks and use EXACT numbers. DO NOT USE: “approximate,” “plus or minus (+ -),” “up to,” “to be determined (TBD),” “<”, “>”, or any other qualifying language.

***Compliance Plans* not complying with the *Compliance Plan* Instructions shall be rejected as non-responsive. Submissions not utilizing the forms provided with the solicitation may render the submission nonresponsive or noncompliant.**

### **Section I Project Identification and Goals**

This section includes the pre-printed Project Name, Project/Solicitation Number, and goals and/or subgoals. The Proposer does not need to fill in any information under Section I.

### **Section II Proposer Information**

The Proposer should complete this section with its information and sign in the space provided. The portion of Section II marked as “Reserved for City of Austin SMBR Only” should be left blank.

### **Section III *Compliance Plan* Summary**

This section is a summary of subconsultant participation for this solicitation. Proposers should complete Sections IV-VI, described below, before attempting to complete Section III. After completing Sections IV-VI, calculate the percentage of MBE/WBE participation for each goal and enter the information in the blanks provided. Because Section III is a summary, if there are any inconsistencies between Sections IV-VI and Section III, the calculations contained in Sections IV-VI will prevail.

### **Section IV Disclosure of MBE and WBE Participation**

Please list all certified MBE/WBEs subconsultants, using the legal name under which they are registered to do business with the City of Austin, to be used in the performance of this contract. If Proposer is not completing this *Compliance Plan* in response to a Rotation List solicitation, please list the percentage of the overall contract that corresponds with the value of the work the subconsultants will be performing themselves. Do not include the value of work that the MBE/WBEs subconsultants will be subcontracting to second-level subconsultants. **If Proposer is completing this *Compliance Plan* in response to a Rotation List solicitation, do not list the percentages.**

By listing certified MBE and WBE Firms on the *Compliance Plan*, the Proposer indicates that both parties acknowledge the price and scope of work and that they are prepared to contract for that price and scope if the City awards the project to the Proposer. A Letter of Intent (LOI) does not replace a binding contract between a prime consultant and a subconsultant.

Before completing Section IV of the *Compliance Plan*, please read the following instructions regarding how to count MBE/WBE participation:

(A) Only the value of the work actually performed by the MBE/WBE shall be counted toward the goals. This includes:

- (1) work performed by the MBE/WBE's own forces;
- (2) the cost of supplies, materials, or equipment purchased, leased, or otherwise obtained by the MBE/WBE for the work of the contract (except that supplies, materials, and equipment purchased or leased from the prime consultant or its affiliate may not be counted toward the goal); and
- (3) fees or commissions charged by an MBE/WBE for providing a bona fide service, such as professional, technical, consultant, or managerial services, or for providing bonds or insurance specifically required for the performance of a contract, provided the fee is reasonable and not excessive as compared with fees customarily allowed for similar services.

(B) When a Proposer purchases supplies, materials, or equipment from an MBE/WBE, the cost of those supplies, materials, or equipment shall be counted toward the goals as follows:

- (1) If the supplies, materials, or equipment are obtained from an MBE/WBE that is a Manufacturer or Regular Dealer, 100 percent of the payment for the supplies, materials, or equipment shall be counted toward the goals.
- (2) If the supplies, materials, or equipment are obtained from an MBE/WBE that is neither a Manufacturer nor a Regular Dealer, the cost of the materials and supplies themselves shall not be counted toward the goals. However, fees or commissions charged for assistance in the procurement of the materials and supplies, or fees or transportation charges for the delivery of materials or supplies required on a job site, may be counted toward the goals if the payment of such fees is a customary industry practice and such fees are reasonable and not excessive as compared with fees customarily allowed for similar services.

(C) When an MBE/WBE subconsultant listed on the *Compliance Plan* subcontracts part of the work of its contract to another Firm, the value of that second-level subcontracted work may not be counted toward the goals based on the initial subconsultant's MBE/WBE certification. Please see Section VI for an explanation of how to count the value of second-level subconsultants' work.

(D) A Firm owned by a minority woman may be certified as both an MBE and a WBE (dual certified). On a single contract, the value of the work performed by a dual certified subconsultant may not be counted toward both the MBE and the WBE goals. The Proposer must decide whether to designate

the dual certified subconsultant as an MBE or a WBE in the *Compliance Plan* for the purpose of meeting the goals set for that contract. That designation may not be changed for the duration of the contract.

(E) When an MBE/WBE performs as a participant in a certified Joint Venture, only the portion of the contract value that is the result of the distinct, clearly defined portion of the work that the MBE/WBE performs with its own forces and for which it is at risk shall be counted towards the project goals. For more specific information regarding requirements and evaluations of certified MBE/WBE Joint Ventures, please see the City's MBE/WBE Procurement Program Rules or contact SMBR's Certification Division.

(F) Only expenditures to an MBE/WBE contractor that is performing a Commercially Useful Function shall be counted toward the project goals. If SMBR makes an initial determination that an MBE/WBE is not performing a Commercially Useful Function given the type of work involved and normal industry practices, the MBE/WBE may present evidence to rebut this presumption.

(G) To be counted toward project goals, MBE/WBEs must be certified by SMBR prior to the due date to submit the *Compliance Plan* as specified in the City's solicitation documents. A Firm that is certified as an MBE/WBE at the time that the *Compliance Plan* is filed may cease to be a certified Firm before the contract is completed. Only the value of the work performed by such a Firm while it is certified may be counted toward the project goals.

## **Section V Disclosure of Non-Certified Subconsultants**

Please list all known non-certified subconsultants, using the legal name under which they are registered to do business with the City of Austin, to be used in the performance of this contract. If Proposer will not use any non-certified Firms, please write "N/A" in the first box on this page. If Proposer is not completing this *Compliance Plan* in response to a Rotation List solicitation, please list the percentage of the overall contract that corresponds with the value of the work the subconsultants will be performing themselves. Do not include the value of work that the MBE/WBE subconsultants will be subcontracting to second-level subconsultants. **If Proposer is completing this *Compliance Plan* in response to a Rotation List solicitation, do not list the percentages.**

**If additional scopes of work are identified in this section as available for subcontracting beyond those identified in the availability lists provided, Proposer must contact SMBR to request an availability list of certified Firms for those additional scopes of work.**

The scopes of work indicated in Section V will be considered subcontracting opportunities for MBEs and WBEs, unless it is demonstrated that certified MBEs or WBEs are unavailable or do not possess the requirements in the technical portion of the solicitation to perform the work involved. If Proposer did not meet the project goals, Proposer must explain in the space provided why MBEs/WBEs were not used as subconsultants. If Proposer did meet the project goals, please write "Goals Met" in the space provided.

## **Section VI Disclosure of Second-Level Subconsultants**

Please complete this section if Proposer knows that one or more of Proposer's subconsultants will subcontract part of the work of their contracts to second-level subconsultants. In the last line of each entry box, please write the name of the first-level subconsultant that will be subcontracting work to the

second-level subconsultant. Identify second-level contractors by the legal name under which they will be registered to do business with the City. The first-level subconsultant should be listed in Section IV or Section V. If Proposer is not aware of any second-level subconsultants, please write “N/A” in the first box on this page.

If Proposer is not completing this *Compliance Plan* in response to a Rotation List solicitation, please list the percentage of the overall contract that corresponds with the value of the work the second-level subconsultants will be performing themselves. **If Proposer is completing this *Compliance Plan* in response to a Rotation List solicitation, do not list the percentages.**

As discussed in Section IV above, when an MBE/WBE subconsultant subcontracts part of the work of its contract to another Firm, the value of that second-level subcontracted work may not be counted toward the goals based on the initial subconsultant’s MBE/WBE certification. The value of the second-level subcontracted work may be counted toward the project goals only based on the second-level subconsultant’s own MBE/WBE certification, if any. Work that an MBE/WBE subconsultant contracts to a non-certified Firm does not count toward the goals. Work that an MBE/WBE subconsultant contracts to another certified Firm shall not be counted twice towards the goal.

## **Section VII MBE/WBE *Compliance Plan* Check Sheet**

Please complete the MBE/WBE *Compliance Plan* Check Sheet with the information requested.

## **GOOD FAITH EFFORTS INSTRUCTIONS**

**(See Appendices B and D)**

The Proposer has a responsibility to make a portion of the work available to MBE/WBE subconsultants so as to facilitate meeting the goals or subgoals. If the Proposer cannot achieve the goals or subgoals, documentation of the Proposer's Good Faith Efforts to achieve the goals or subgoals must be submitted at the same time as the *Compliance Plan*. The SMBR Director will review the documentation provided and determine if the Proposer made sufficient Good Faith Efforts. That there may be some additional costs involved in soliciting and using MBEs and WBEs is not a sufficient reason for a Proposer's failure to meet the goals and subgoals, as long as such costs are reasonable. However, a Proposer is not required to accept a higher quote from a subconsultant in order to meet a goal or subgoal.

### **Contacting Potential MBE/WBE Subconsultants**

The City has determined the scopes of work for this project and provided an Availability List of all the MBE and WBE firms certified to perform those scopes. The Availability List is found at Appendix D and has two sections: *Vendors Within the Significant Local Business Presence (SLBP) Area* and *Vendors Outside the Significant Local Business Presence (SLBP) Area*. As part of Good Faith Efforts, Proposers **must** contact **all** the firms in the *Vendors Within the SLBP Area* section. Please note that every firm on the Availability List – within and outside the SLBP – is certified as an MBE or WBE for purposes of meeting the project goals, and Proposers are encouraged to contact all the firms. If a Proposer identifies an additional scope of work for this project, the Proposer must request an Availability List for that scope. The SMBR Director determines whether the Proposer has made sufficient Good Faith Efforts if goals or subgoals are not met.

**The City neither warrants the capacity nor guarantees the performance of any Firm indicated on the availability list.**

The availability list is sorted in numerical sequence by National Institute of Governmental Purchasing (NIGP) Commodity Code. It includes all certified MBE/WBE vendors for the scopes of work identified by the City as being potentially applicable to this project. However, the availability list is not a comprehensive identification of all areas of potential subcontracting opportunities. If a Proposer identifies one or more work areas that are appropriate subcontracting opportunities that not included on the availability list, the Proposer shall contact SMBR to request the availability of MBE and WBE Firms in those areas. Requests for supplemental availability lists will be evaluated as a part of the Proposer's Good Faith Efforts to meet the goals.

If the Proposer believes any of the work areas on the availability list are not applicable to the project's scope of work or if the Proposer believes that the lists are inaccurate, notify the authorized contact person of the concern. All Proposers will be notified in writing of any inaccuracy by addendum to the solicitation. Concerns about a particular MBE's/WBE's certification status may be addressed to SMBR at (512) 974-7600 or the SMBR Certification Division at (512) 974-7645. If the Proposer wants to use a certified subconsultant that does not appear on this list, Proposer may either request the certified subconsultant to furnish proof of certification and the specific work areas for which it has been certified or request such information from SMBR.

Appendix B shows the format for collecting required information from the subconsultants on the *Vendors Within SLBP Area* availability list. The information must be obtained at least seven (7) business days prior

to the submission of the *Compliance Plan*; alternate formats may be acceptable as long as they gather the same required information. Attached to the Subconsultant Vendor List at Appendix D is a list containing the names and addresses of all these MBE/WBE Firms in alphabetical order. This list is in label format and is designed to facilitate the printing of mailing labels.

The following codes are used on the availability lists:

GND	A firm's two-digit gender/ethnicity code (e.g., FA, MA, or FB)	LCTN	A firm's two-digit location code (e.g., SL or TX)
FA	Female / Asian-American	FN	Female / Native American
MA	Male / Asian-American	MN	Male / Native American
FB	Female / African-American	FW	Female
MB	Male / African-American	SL	Significant Local Business Presence (SLBP)
FH	Female / Hispanic	TX	Outside SLBP
MH	Male / Hispanic		
MBE	A firm certified as a Minority-owned Business Enterprise	WBE	A firm certified as a Woman-owned Business Enterprise
M/WB	A firm certified as both a Minority-owned & Woman-owned Business Enterprise	W/MB	A firm certified as both a Minority-owned & Woman-owned Business Enterprise
M/WDB	A firm certified as a Minority-owned; Woman-owned; and Disadvantaged Business Enterprise	W/MDB	A firm certified as a Minority-owned; Woman-owned; and Disadvantaged Business Enterprise

**Good Faith Efforts Review**

If goals are not met, SMBR will examine the *Compliance Plan* and the Good Faith Efforts documentation submitted with the *Compliance Plan* to ensure that the Proposer made Good Faith Efforts to meet the project goals or subgoals. In determining whether the Proposer has made Good Faith Efforts, SMBR will consider, at a minimum, the Proposer's efforts to do the following:

- (A) Solicit certified MBE/WBE subconsultants with a Significant Local Business Presence (SLBP) and request a response from those interested subconsultants who believe they have the capability to perform the work of the contract through at least two reasonable, available, and verifiable means. The Proposer must solicit this interest more than seven (7) business days prior to submission of the Compliance Plan to allow sufficient time for the MBEs or WBEs to respond. (The date bids/proposals are due to the City should not be included in the seven day solicitation criteria.) The Proposer must take appropriate steps to follow up with subcontractors who respond. The Proposer must state a specific and verifiable reason for not contacting each certified Firm with a significant local business presence.

- (B) Provide interested MBEs/WBEs with adequate information about the plans, specifications, and requirements of the contract, including addenda, in a timely manner, to assist them in responding and submitting a proposal.
- (C) Negotiate in good faith with interested MBEs/WBEs that have submitted bids/proposals to the Proposer. An MBE/WBE that has submitted a bid to a Proposer but has not been contacted within five (5) business days of submission of the bid may contact SMBR to request a meeting with the Proposer. Evidence of good faith negotiation includes the names, addresses, and telephone numbers of MBEs/WBEs that were considered; a description of the information provided regarding the plans and specifications for the work selected for subcontracting; and evidence as to why additional agreements could not be reached for MBEs/WBEs to perform the work. Bid shopping is prohibited.
- (D) Select portions of the work to be performed by MBEs/WBEs in order to increase the likelihood that the MBE/WBE goals or subgoals will be met. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MBE/WBE participation, even when the Proposer might otherwise prefer to perform these work items with its own forces.
- (E) Publish solicitation notice in a local publication (i.e. newspaper, trade association publication, or via electronic/social media).
- (F) Use the services of available community organizations; minority persons/women contractors' groups; local, state, and federal minority persons/women business assistance offices; and other organizations as allowed on a case-by-case basis to provide assistance in the recruitment and placement of MBEs/WBEs.
- (G) Seek guidance from SMBR on any questions regarding compliance with this section.

The following factors may also be considered by SMBR in determining compliance through good faith efforts; however, they are not intended to be a mandatory checklist, nor are they intended to be exclusive or exhaustive:

- (A) Whether the Proposer made efforts to assist interested MBEs/WBEs in obtaining bonding, lines of credit, or insurance as required by the City or contractor.
- (B) Whether the Proposer made efforts to assist interested MBEs/WBEs in obtaining necessary equipment, supplies, materials, or related assistance or services.

In assessing minimum good faith efforts, SMBR may consider whether the Proposer sought assistance from SMBR on any questions related to compliance with this section. In addition, SMBR may also consider the performance of other Proposers successfully meeting the goals.

The ability or desire of a Proposer to perform the work of a contract with its own organization does not relieve the Proposer of the responsibility to make Good Faith Efforts.

Proposers may reject MBE/WBEs as unqualified only following thorough investigation of their capabilities. The MBE/WBE's membership or lack of membership in specific groups, organizations, or associations, and political or social affiliations (for example union or non-union employee status), are not legitimate causes for the rejection or non-solicitation of bids/proposals in the Proposer's efforts to meet the project goals or subgoals.

**At a minimum, the following should be submitted to support Good Faith Effort documentation (documentation is not limited to this list):**

- Fax logs, emails, and/or copies of documents sent to firms within the SLBP area.
- Copies of written correspondence to certified firms (include names, addresses, and other identifying information).
- Phone logs with responses (*Phone contacts, alone, will not be sufficient.*).
- Lists and copies of letters sent by mail, hand delivered, or e-mailed.
- Breakdown of negotiations made with certified firms.
- Copies of advertisements with local newspapers, trade associations, Chambers of Commerce and/or any other public media.
- Other communications regarding contacts with trade associations and Chambers of Commerce.

**The following additional Good Faith Efforts factors may also be considered**

- Copies of emails or phone logs regarding assistance in bonding, lines of credit, or insurance (as required by City or Contractor).
- Copies of emails or phone logs regarding assistance in obtaining equipment, supplies, materials, or services.
- Copies of all proposals received in response to Proposer contacting other Firms.

## **POST-AWARD INSTRUCTIONS**

(See Appendix C)

### **Confirmation Letters**

All Proposers are required to include copies of the confirmation letters received from subconsultants, confirming the Subconsultants' willingness to provide services should the contract be awarded.

Changes to the *Compliance Plan* including additions, deletions, contract changes, or substitutions of subconsultants are permitted only after contract execution and only with prior written approval of SMBR. Request for changes to the *Compliance Plan* must be submitted on the *Request for Change of Compliance Plan Form* for all levels of subcontracting.

### **Post-Award Monitoring**

The City will monitor post-award compliance information regarding the use of certified MBE/WBE Firm(s) listed on the *Compliance Plan*. The Consultant will be required to submit post award reports detailing the utilization of all Subconsultants. The reports and other information regarding post-award compliance will be discussed with the successful Proposer. The following information on Payment Verification, Change Order/Contract Amendments, and Progressive Sanctions provides an overview of some of the post-award monitoring process.

#### **▪ Payment Verification**

Proposers are advised that the contract resulting from this solicitation includes a subconsultant payments clause. This clause requires all subconsultants to be paid within ten (10) calendar days from the date that the Consultant has been paid by the City for invoices submitted by subconsultants.

The Consultant shall submit a *Subcontractor/Supplier Awards and Expenditures Report* to the project manager and/or contract administrator at the time specified by the managing department. The report shall be in the format required by the City and shall include all awards and payments to subconsultants for goods and services provided under the contract during the previous month. This report may be used by the City to verify utilization of and payment to MBEs and WBEs.

The Consultant and/or any subconsultant whose subcontracts are being counted toward the MBE/WBE requirements shall allow the City access to records relating to the contract, including but not limited to, subcontracts, payroll records, tax information, and accounting records, for the purpose of determining whether the MBEs/WBEs are performing the scheduled subcontract work.

In determining achievement of MBE/WBE goals, the participation of an MBE/WBE subconsultant shall not be counted until the amount being counted toward the goal has been paid.

#### **▪ Change Order/Contract Amendments**

The goals on this contract shall also apply to change orders that require work beyond the scope(s) of trades originally required to accomplish the project. The Consultant is required to make Good Faith Efforts to obtain MBE/WBE participation for additional scopes of work.

Change orders that do not alter the type of trades originally required to accomplish the project may be undertaken using the subconsultants already under contract to the Consultant. Project managers will have automatic SMBR approval to authorize any change order that **increases** the contract amount for an **existing** certified subcontractor and is **within** the existing scope being performed by that subcontractor.

- **Progressive Sanctions**

The successful Proposer's *Compliance Plan* will be incorporated into the resulting contract with the City and shall be considered part of the contractor's performance requirements. Progressive sanctions may be imposed for failure to comply with Chapter 2-9B of the City Code, including:

- Providing false or misleading information in Good Faith Efforts documentation, post award compliance, or other Program operations;
- Substituting Subconsultants without first receiving approval for such substitutions, which may include the addition of an unapproved Subconsultant and failure to use a Subconsultant listed in the approved *Compliance Plan*; and
- Failure to comply with the approved *Compliance Plan* without an approved Request for Change, an approved Change Order, or other approved change to the Contract.

Please refer to Section 2-9B-25 of the City Code and SMBR Rule 11.5 for additional information.

**RFQ – MBE/WBE COMPLIANCE PLAN**  
*All sections (I-VII) must be completed and submitted  
 prior to the due date in the solicitation documents*

**Section I — Project Identification and Goals**

<b>Project Name</b>	
<b>Solicitation Number</b>	

Project Goals or Subgoals	
MBE	%
African American	%
Hispanic	%
Native/Asian American	%
WBE	%

**Section II — Prime Company Information**

Name of Company	
Address	
City, State Zip	
Phone	
Fax	
Name of Contact Person	
Is prime company City certified?	Yes <input type="checkbox"/> No <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> MBE/WBE Joint Venture <input type="checkbox"/>

**I certify that the information included in this *Compliance Plan* is true and complete to the best of my knowledge and belief. I further understand and agree that this *Compliance Plan* shall become a part of my contract with the City of Austin.**

\_\_\_\_\_  
 Name and Title of Authorized Representative

\_\_\_\_\_  
 Signature

\_\_\_\_\_  
 Date

<b><u>For SMBR Use Only:</u></b>	
I have reviewed this <i>Compliance Plan</i> and found that the Proposer <b>HAS</b> <input type="checkbox"/> or <b>HAS NOT</b> <input type="checkbox"/> complied as per the City Code Chapter 2-9B.	
Reviewing Counselor _____	Date _____
Director/Assistant Director _____	Date _____

Section III — *Compliance Plan Summary*

**Note:**

- Fill in all the blanks.
- For project participation numbers use an EXACT number. DO NOT USE: approximate, plus or minus (+ -), up to, to be determined (TBD), < >, or any other qualifying language.
- Compliance plans not complying with these requirements shall be rejected as non-responsive.

<b>Goals: Proposed Participation</b>	
MBE	%
WBE	%
Non-Certified	%

<b>Subgoals: Proposed Participation</b>	
African American	%
Hispanic	%
Native/Asian American	%
WBE	%
Non-Certified	%

**Proposer’s own participation in project (less any amount subcontracted):**

Percentage: \_\_\_\_\_%

**Are the stated goals or subgoals of the solicitation met?** *(If no, attach documentation of Good Faith Efforts)*

Yes  No

**For SMBR Use Only:**

Verified Goals OR Subgoals:

MBE \_\_\_\_\_ % WBE \_\_\_\_\_ %

African-American \_\_\_\_\_ %; Hispanic \_\_\_\_\_ %; Native/Asian American \_\_\_\_\_ %; WBE \_\_\_\_\_ %

**Section IV — Disclosure of MBE and WBE Participation**

Duplicate As Needed

- Note:**
- Fill in all the blanks.
  - For project participation numbers use an EXACT number. DO NOT USE: approximate, plus or minus (+ -), up to, to be determined (TBD), < >, or any other qualifying language.
  - Compliance plans not complying with these requirements shall be rejected as non-responsive.
  - If you are completing this *Compliance Plan* in response to a Rotation List solicitation, do not list the percentages.
  - Fill in names of MBE/WBE Certified Firms as registered with the City of Austin.

Name of MBE/WBE Certified Firm	
City of Austin Certified	MBE <input type="checkbox"/> WBE <input type="checkbox"/> Ethnic/Gender Code:
Vendor Code	
Address/ City / State / Zip	
Contact Person	Phone #:
Percent of Subcontract	%
Commodity codes/describe services	

Name of MBE/WBE Certified Firm	
City of Austin Certified	MBE <input type="checkbox"/> WBE <input type="checkbox"/> Ethnic/Gender Code:
Vendor Code	
Address/ City / State / Zip	
Contact Person	Phone #:
Percent of Subcontract	%
Commodity codes/describe services	

Name of MBE/WBE Certified Firm	
City of Austin Certified	MBE <input type="checkbox"/> WBE <input type="checkbox"/> Ethnic/Gender Code:
Vendor Code	
Address/ City / State / Zip	
Contact Person	Phone #:
Percent of Subcontract	%
Commodity codes/describe services	

Name of MBE/WBE Certified Firm	
City of Austin Certified	MBE <input type="checkbox"/> WBE <input type="checkbox"/> Ethnic/Gender Code:
Vendor Code	
Address/ City / State / Zip	
Contact Person	Phone #:
Percent of Subcontract	%
Commodity codes/describe services	

**Section V — Disclosure of Non-Certified Subconsultants**  
Duplicate As Needed

- Note:**
- Fill in all the blanks.
  - For project participation numbers use an EXACT number. DO NOT USE: approximate, plus or minus (+ -), up to, to be determined (TBD), < >, or any other qualifying language.
  - Compliance plans not complying with these requirements shall be rejected as non-responsive.

Fill in names of Non-Certified Subcontractors as registered with the City of Austin.

Subconsultant	
Vendor Code	
Address/ City / State / Zip	
Contact Person	Phone #:
Percent of Subcontract	%
Commodity codes/describe services	
Reason MBE/WBE not used	

Subconsultant	
Vendor Code	
Address/ City / State / Zip	
Contact Person	Phone #:
Percent of Subcontract	%
Commodity codes/describe services	
Reason MBE/WBE not used	

Subconsultant	
Vendor Code	
Address/ City / State / Zip	
Contact Person	Phone #:
Percent of Subcontract	%
Commodity codes/describe services	
Reason MBE/WBE not used	

Subconsultant	
Vendor Code	
Address/ City / State / Zip	
Contact Person	Phone #:
Percent of Subcontract	%
Commodity codes/describe services	
Reason MBE/WBE not used	

**Section VI Disclosure of Second-Level Subconsultants**

Duplicate as Needed

**Note:**

- Fill in all the blanks.
- For project participation numbers use an EXACT number. DO NOT USE: approximate, plus or minus (+ -), up to, to be determined (TBD), < >, or any other qualifying language.
- Compliance plans not complying with these requirements shall be rejected as non-responsive.
- Fill in names of Second-Level Subcontractors as registered with the City of Austin.

Second-Level Subconsultant	
City of Austin Certified?	No <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Ethnic/Gender Code:
Vendor Code	
Address/ City / State / Zip	
Contact Person	Phone #:
Percent of Second-Level Subcontract	%
Commodity codes/describe services	
First-Level Subconsultant	

Second-Level Subconsultant	
City of Austin Certified?	No <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Ethnic/Gender Code:
Vendor Code	
Address/ City / State / Zip	
Contact Person	Phone #:
Percent of Second-Level Subcontract	%
Commodity codes/describe services	
First-Level Subconsultant	

Second-Level Subconsultant	
City of Austin Certified?	No <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Ethnic/Gender Code:
Vendor Code	
Address/ City / State / Zip	
Contact Person	Phone #:
Percent of Second-Level Subcontract	%
Commodity codes/describe services	
First-Level Subconsultant	

Second-Level Subconsultant	
City of Austin Certified?	No <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Ethnic/Gender Code:
Vendor Code	
Address/ City / State / Zip	
Contact Person	Phone #:
Percent of Second-Level Subcontract	%
Commodity codes/describe services	
First-Level Subconsultant	

## SECTION VII — MBE/WBE COMPLIANCE PLAN CHECK LIST

The MBE/WBE *Compliance Plan* must be completed and submitted by the time specified in the solicitation documents. If the goals or subgoals were not achieved, Good Faith Efforts documentation must be submitted with the MBE/WBE *Compliance Plan*. All questions in this section (Section VII) MUST be completed and submitted with the *Compliance Plan* if goals or subgoals are not met.

1. Were written notices sent to all MBE/WBEs from the Significant Local Business Presence (SLBP) availability list at least seven (7) business days prior to the submission of this *Compliance Plan*?

Yes  No

2. Were two separate methods used to contact all MBE/WBEs from the SLBP availability list at least seven (7) business days prior to the submission of this *Compliance Plan*? Please list the two methods used to contact MBE/WBEs. (*i.e. fax, email, mail, and/or phone*)

Yes  No

List Methods: \_\_\_\_\_

3. Were steps taken to follow up with interested MBE/WBEs? Yes  No

4. Were advertisements placed with a local publication? (*i.e. newspaper, minority or women organizations, or electronic/social media*)?

Yes  No

5. Were written notices sent to Minority or Women organizations? Yes  No

6. Were additional elements of work identified to achieve the goals or subgoals?

Yes  No

If yes, please explain: \_\_\_\_\_

\_\_\_\_\_

## SECTION VII — MBE/WBE COMPLIANCE PLAN CHECK LIST CONTINUED....

7. Was SMBR contacted for assistance? Yes  No

If yes, complete following:

Contact Person: \_\_\_\_\_

Date of Contact: \_\_\_\_\_

Summary of Request: \_\_\_\_\_

8. Were Minority or Women organizations contacted for assistance? Yes  No

If yes, complete following:

Organization(s): \_\_\_\_\_

Date of Contact: \_\_\_\_\_

Summary of Request: \_\_\_\_\_

9. Is the following documentation attached to support good faith effort requirements to achieve goals or subgoals? (*Documentation is not limited to this list.*)

Yes  No  Copy of written solicitation sent to MBE/WBEs in SLBP area.

Yes  No  Two separate methods of notices sent to MBE/WBEs in SLBP area (fax transmittals, emails, and/or phone log).

Yes  No  Copy of advertisements.

Yes  No  Copy of notices sent to Minority and Women organizations.

Yes  No  Documentation that demonstrates efforts made to reach agreements with the MBE/WBEs who responded to Proposer's written notice? (i.e. copy of bids/proposals, spreadsheet breakdown of MBE/WBEs considered follow-up emails/phone logs and/or correspondence between Bidder and interested MBE/WBEs).



**CONFIRMATION LETTER**

(printed on Subconsultant letterhead)

Date

Contact Name  
Business Name  
Street Address  
City, State Zip

Re: Solicitation # \_\_\_\_\_

Dear (Contact Name):

This letter is to confirm that (insert Subcontractor name here) is pleased to provide (insert Prime Contractor name here) (insert service here) for the above-referenced project.

***If this confirmation is not for a rotation list, we understand that we will be completing \_\_\_% of the work on this project.***

We look forward to working with you and the City of Austin should your team be awarded the project.

Sincerely,

(insert signature)

Contact Name  
Title  
Business Name

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**Vendors Within the SLBP Area**

**90602 Acoustics; Noise Abatement - Architectural Service**

RAP7016655 DICKENSHEETS DESIGN ASSOCIATES LLC 12335 Hymeadow Dr Ste 200 Austin Tx 78750-1816	512-331-8977 512-331-8947 ruthann@dickensheets.com	WB	F/Caucasian	AU
VS0000027487 Delgado Daniels & Associates, Inc. 4525 Grand Cypress Drive Austin Tx 78747	512-280-4188 5122804188 pat@dmdesignworks.com	MWB	F/Hispanic	AU
V00000906830 Gamble Osgood Collaborative, LLC 4015 Avenue D Austin Tx 78751	512-203-6110 sarah.gamble@gocoastin.com	WB	F/Caucasian	AU
VIL5001500 NEGRETE & KOLAR ARCHITECTS LLP 11720 North Ih35 Austin Tx 78753	512-474-6526 512-474-6761 dnegrete@nekoarch.com	MDB	M/Hispanic	AU

**90607 Architect Services, Professional**

EMI7074695 ACME ARCHITECTURE 1001 E 8th St Austin Tx 78702-3249	512-477-1727 512-477-9876 EMILY@CLAYTONLANDLITTLE.COM	WDB	F/Caucasian	AU
TAN4546250 ALAN Y TANIGUCHI ARCHITECT & Assoc Inc 1609 W 6th St Austin Tx 78703-5059	512-474-7079 512-474-7579 evan@taniguchi-arch.com	MB	M/Asian	AU
V00000909861 ANA D GALLO 1501 Barton Springs Rd #230 Austin Tx 78704	512-236-0868 5122360868 ana@anagallo.com	MWDB	F/Hispanic	AU
ASD7048240 ASD CONSULTANTS INC 8120 N Ih 35 Austin Tx 78753	512-836-3329 512-836-3802 curtis2aia@aol.com	MDB	M/African American	AU
ARC7068555 AUSTIN ARCHITECTURE PLUS INC 1907 N Lamar Blvd Ste 260 Austin Tx 78705-4900	512-478-0970 512-478-0920 info@austinarchplus.com	WDB	F/Caucasian	AU

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	VS0000029061 B+V Design, LLC 208 W. 4th St., 3a Austin Tx 78701	512-293-6290 5124741988 stephi@b-vdesign.com	WB	F/Caucasian	AU
	BAR7168210 BARNES GROMATZKY KOSAREK 1508 W. 5th Street, Suite 200 Austin Tx 78703-5137	512-476-7133 512-478-2624 albin@bgkarchitects.com	MB	M/Hispanic	AU
	VC0000102050 BENZ RESOURCE GROUP INC 1101-B E 6th St Austin Tx 78702	512-220-9542 512-220-9543 BENZ@BENZRESOURCEGROUP.COM	WDB	F/Caucasian	AU
	BLG0714750 BLGY INC 2204 Forbes Dr Ste 101 Austin Tx 78754-5143	512-977-0390 512-977-0838	MB	M/African American	AU
	CAR8304844 CARTER DESIGN ASSOC INC 817 W 11th St Austin Tx 78701-2009	512-476-1812 512-476-1819 CDA@CARTERDESIGN.NET	MWDB	F/African American	AU
	COT8308600 COTERA + REED ARCHITECTS INC 812 San Antonio St., Ste. 406 Austin Tx 78701	512-472-3300 512-472-3611 info@coterareed.com	MDB	M/Hispanic	AU
	V00000909095 DK Studio, pc 611 West 15th Street Austin Tx 78701	512-473-8909 dkett@studiodk.com	WB	F/Caucasian	AU
	VS0000027487 Delgado Daniels & Associates, Inc. 4525 Grand Cypress Drive Austin Tx 78747	512-280-4188 5122804188 pat@dmddesignworks.com	MWB	F/Hispanic	AU
	V00000900691 Dunnam Tita, PLLC 1201 W 6th Suite E Austin Tx 78703	512-482-9790 kate@dunnamtita.com	WB	F/Caucasian	AU
	ELI7156085 ELIZABETH SALAIZ ARCHITECT INC 2305 Rundell Pl Austin Tx 78704-3027	512-761-4546 esarch@sbcglobal.net	MWB	F/Hispanic	AU
	FAC8301027 FACILITIES RESOURCE INC 9737 Great Hills Trail Suite 305 Austin Tx 78759	512-371-1232 512-371-9155 dross@fri-texas.com	WDB	F/Caucasian	AU

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V00000906830 Gamble Osgood Collaborative, LLC 4015 Avenue D Austin Tx 78751		512-203-6110 sarah.gamble@gocoaustin.com	WB	F/Caucasian	AU
CAS7072670 JAIME BEAMAN AIA INC 3821 Juniper Trace, Suite 104 Austin Tx 78738-		512-458-5700 512-458-5755 JBEAMAN@CASABELLA-ARCHITECTS.COM	MDB	M/Hispanic	SL
VS0000027746 Jacqui Dodson AIA Architecture and Interior Design Inc 2105 Arpdale St Austin Tx 78704		512-699-9708 jacqui@jdaistudio.com	WB	F/Caucasian	AU
VS0000011600 Karen A McGraw 4315 Ave C Austin Tx 78751		512 459-2261 512-452-4139 mcgrawka@earthlink.net	WB	F/Caucasian	AU
LIM7079715 LIMBACHER & GODFREY INC 2124 E 6th St Unit 102 Austin Tx 78702		512-450-1518 512-320-1916 info@limbacher-godfrey.com	WB	F/Caucasian	AU
LOP8322397 LOPEZ SEIDEL ARCHITECTS INC 9901 Brodie Lane, Suite 160 Austin Tx 78748		5125221959 lenz@lopezsalas.com	MDB	M/Hispanic	AU
LSJ8318707 LS JOHNSTON ARCHITECTS 1313 E 6th St Austin Tx 78702-3301		512-478-4952 512-478-4972 LSJ@LSJOHNSTON.COM	WB	F/Caucasian	AU
VC0000101572 MCCANN ADAMS STUDIO 515 Congress Ave, Ste 1600 Austin Tx 78701		512-732-0001 512-732-0004 JANAM@MCCANNADAMSSTUDIO.COM	WDB	F/Caucasian	AU
MIR7167890 MIRO RIVERA ARCHITECTS INC 505 Powell St Austin Tx 78703-5121		512-477-7016 512-476-7672 rosa@mirorivera.com	MDB	M/Hispanic	AU
MAR3044500 MWM DESIGNGROUP INC 305 E Huntland Dr Ste 200 Austin Tx 78752		512-453-0767 512-453-1734 juliah@mwminc.com	WDB	F/Caucasian	AU
VIL5001500 NEGRETE & KOLAR ARCHITECTS LLP 11720 North lh35 Austin Tx 78753		512-474-6526 512-474-6761 dnegrete@nekoarch.com	MDB	M/Hispanic	AU

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V00000904523 Nassri-Warren Group Architects, Inc. 2313 Lake Austin Ave. Suite 209 Austin Tx 78703		512-481-1700 5124811700 agarza@twgarch.com	MWB	F/Hispanic	AU
VS0000036953 OFFICE FOR LOCAL ARCHITECTURE LLC 4105 Ave G Apt B Austin Tx 78751		512-786-1101 contact@ola-austin.com	WB	F/Caucasian	AU
SIT4249250 SITE SPECIFICS INC 700 N Lamar Blvd Ste 200a Austin Tx 78703-5430		512-472-5252 512-472-2224 specificsites@aol.com	WDB	F/Caucasian	AU
VC0000103087 STUDIO 8 ARCHITECTS INC 611 W 15th St Austin Tx 78701		512-473-8989 512-473-8982 MILTON.HIME@STUDIO8ARCHITECTS.COM	MB	M/Hispanic	AU
VC0000103455 STUDIO BALCONES LLC 702 San Antonio Street Austin Tx 78701		512-383-8815 jennifer@studiobalcones.com	WB	F/Caucasian	AU
SUN4499350 SUNLAND GROUP INC 1033 La Posada Drive Suite 370 Austin Tx 78752		512-590-7951 512-494-0406 cthompson@sunlandgrp.com	WDB	F/Caucasian	AU
VC0000102925 SUSAN H WELKER 4911 Rollingwood Dr Austin Tx 78746		512-329-5998 512-329-5998 SWELKER@HARRISWELKERARCHITECTS.COM	WDB	F/Caucasian	AU
V00000913709 Studio D Consulting+Design, LLC Po Box 340183 Lakeway Tx 78734		512-970-6180 5122849651 deb@studiodconsulting.com	WB	F/Caucasian	AU
ARI0290800 THE ARIZPE GROUP INC 6330 E Hwy 290 Ste 375 Austin Tx 78723-1156		512-339-3707 512-339-3709 Robert.Arizpe@Arizpe.com	MDB	M/Hispanic	AU
LAU8315738 THE LAUCK GROUP INC 106 East 6th Street Suite 700 Austin Tx 78701		512-479-0337 512-479-7833 mary.bledsoe@lauckgroup.com	WB	F/Caucasian	AU
THI8305569 THIRD LAND INC P.O. Box 162137 Austin Tx 78716-		512-306-8885 512-732-0853 EFRANKE@THIRDLAND.COM	WDB	F/Caucasian	AU

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	VS0000029419 VEALENZUELA PRESERVATION STUDIO LLC 4401 Hoffman Drive Austin Tx 78749	512-291-8108 5122918108 beth@v-preservationstudio.com	WDB	F/Caucasian	AU
<b>90779 Surveying Services (Not Aerial or Research)</b>					
	V00000907236 360 Professional Services, Inc. P.O. Box 3639 Cedar Park Tx 78630	512-354-4682 103 tammy.foster@360psinc.com	WDB	F/Caucasian	SL
	CHA7128190 CHAPARRAL PROFESSIONAL LAND SURVEYING INC 3500 Mccall Lane Austin Tx 78744	512-443-1724 512-389-0943 sharon@chapsurvey.com	WDB	F/Caucasian	AU
	AUS7040915 EILEEN MERRITT INC 3636 Executive Center Drive Suite 100 Austin Tx 78731	512-328-6995 512-328-6996 sharon_sargent@ats-engineers.com	WDB	F/Caucasian	AU
	V00000907852 GarzaBury, L.L.C. 221 W. Sixth Street, Suite 380 Austin Tx 78701	512-298-3284 5122982592 rgarza@garzabury.com	MB	M/Hispanic	AU
	HEJ7022940 HEJL LEE & ASSOC INC 321 Ed Schmidt Blvd., Suite 100 Hutto Tx 78634	512-642-3292 512-642-4230 hlainc@austin.rr.com	MDB	M/Asian	SL
	ITG8318552 I T GONZALEZ ENGINEERS 3501 Manor Rd Austin Tx 78723-5815	512-447-7400 11 512-447-6389 itgonz@swbell.net	MDB	M/Hispanic	AU
	VS0000036360 INDUSTRIAL LAMINATES CORPORATION Po Box 6070 Austin Tx 78762	5124767568 5124749038 kwimbish@ilcor.com	WDB	F/Caucasian	AU
	V00000923526 INLAND GEODETICS LLC 1504 Chisholm Trail Rd Ste 103 Round Rock Tx 78681	5122381200 5122381251 brenda@inland-geo.com	WDB	F/Caucasian	SL
	KUR8303988 KURKJIAN ENGINEERING CORP 111 W Anderson Ln Bldg D #202 Austin Tx 78752-1132	512-371-3535 512-371-7333 kec@austin.rr.com	MWDB	F/Hispanic	AU

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	WAT8308391 LANDESIGN SERVICES INC 1220 Mcneil Road, Suite 200 Round Rock Tx 78681	512-238-7901 512-238-7902 s.beavers@lndsgn.com	MWDB	F/Native American	SL
	LAN7050345 LANDMARK SURVEYING L P 2205 E. 5th Street Austin Tx 78702	512-328-7411 104 512-328-7413 dana@landmarksurveying.com	WDB	F/Caucasian	AU
	MAC2981000 MACIAS & ASSOCIATES LP 5410 S 1st St Austin Tx 78745-3040	512-442-7875 512-442-7876 gino.macias@macsurv.com	MDB	F/Hispanic	AU
	MCG3115750 MCGRAY & MCGRAY LAND SURVEYORS INC 3301 Hancock Dr Ste 6 Austin Tx 78731-5441	512-451-8591 512-451-8791 mcgray@mcgray.com	WDB	F/Caucasian	AU
	MAR3044500 MWM DESIGNGROUP INC 305 E Huntland Dr Ste 200 Austin Tx 78752	512-453-0767 512-453-1734 julia@mwminc.com	WDB	F/Caucasian	AU
	URB4919250 URBAN DESIGN GROUP 3660 Stoneridge Rd Ste E101 Austin Tx 78746-7759	512-347-0040 512-347-1311 ltoups@udg.com	WDB	F/Caucasian	AU
	VIC7091950 VICKREY & ASSOC INC 1717 W 6th St Ste 260, Hartland Plaza Austin Tx 78703	512-494-8014 512-494-8054 austin@VICKREYNET.COM	WDB	F/Caucasian	AU
	WAL8312258 WALKER TEXAS SURVEYORS INC Po Box 324 Cedar Park Tx 78630-0324	512-259-3361 walkertxsurvey@earthlink.net	WDB	F/Caucasian	SL
	ZAM8305129 ZAMORA LLC 1435 S Loop 4 Buda Tx 78610	512-295-6201 26 512-295-6091 GRZAMORA@ZWA-TEXAS.COM	MDB	M/Hispanic	SL
<b>91826 Communications: Public Relations Consulting</b>					
	ACC8309269 ACCURATE CAD & TECHNICAL SERVICES INC 8500 Bluffstone Cv Ste B-103b Austin Tx 78759-7811	512-795-9194 512-338-1160 NAHID@ACCUCADTECH.COM	MWB	F/Asian	AU

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ADIS8313185 ADISA PUBLIC RELATIONS 12401 Los Indios Trail 42 Austin Tx 78729		512-472-6112 512-472-6112 srobinson@makingthingsclear.com	MWDB	F/African American	AU
VC0000102169 AMELIA C SONDGEROTH 2638 Barton Hills Dr Austin Tx 78704		512-441-9727  AMELIASONDGEROTH@YAHOO.COM	MWB	F/Hispanic	AU
REA7089305 BETTY ROGERS 6810 Miranda Dr Austin Tx 78752-3118		512-453-0177  Betty@BettyRogers.com	WB	F/Caucasian	AU
VC0000102206 BEVERLY S. SILAS Po Box 493 Austin Tx 78767-0493		512-374-4997 5123239800 bsilas@beverlysilas.com	MWDB	F/African American	AU
VC0000101188 BOBBIE GARZA-HERNANDEZ Po Box 3911 Austin Tx 78764-3911		512-878-2246 512-878-2244 bobbie@pinkpr.biz	MWDB	F/Hispanic	AU
BUS0885250 CAROL SUE HADNOT 6448 Hwy 290 E Ste E107 Austin Tx 78723-1041		512-467-6894 512-467-9808 BRC-PRO@SWBELL.NET	MWDB	F/African American	AU
V00000900854 Catherine M Sckerl 3702 Hollywood Avenue Austin Tx 78722		512-784-5935  catherine@studioespero.com	WDB	F/Caucasian	AU
V00000916212 Cindy L French 1505 Canyon Edge Drive Austin Tx 78733		512-413-1931 5123519903 cindy@french-enterprises.net	WDB	F/Caucasian	AU
VS0000010052 Concept Development & Planning, LLC P.O. Box 5459 Austin Tx 78763-5459		512-533-9100 12 512-533-9101 agray@cdandp.com	WDB	F/Caucasian	AU
VS0000024713 Cultural Strategies Inc. 3300 Bee Cave Rd. #650-1136 Austin Tx 78746		512-501-4971 701 512-501-4971 spuente@cultural-strategies.com	MDB	M/Hispanic	AU
VS0000027487 Delgado Daniels & Associates, Inc. 4525 Grand Cypress Drive Austin Tx 78747		512-280-4188 5122804188 pat@dmdesignworks.com	MWB	F/Hispanic	AU

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VS0000037487 Diane M Miller 7605 Clydesdale Drive Austin Tx 78745		512-971-3033  dmiller@civiccollaboration.com	WDB	F/Caucasian	AU
VS0000004423 Dig Market Research, LLC 4200 Hycrest Drive Austin Tx 78759		512-413-3785  ecartsonis@digmarketresearch.com	WB	F/Caucasian	AU
VC0000102993 ELENA QUEZADA RODRIGUEZ 907 Canyon Wren Drive Buda Tx 78610		512-784-3277 512-879-6885 ELENAQMEDIA@YAHOO.COM	MWDB	F/Hispanic	SL
VS0000026197 EQ CONSULTANTS GROUP 12329 Double Tree Lane Austin Tx 78750		512-827-8468  elizabeth.quintanilla@gmail.com	MWDB	F/Hispanic	AU
V00000906830 Gamble Osgood Collaborative, LLC 4015 Avenue D Austin Tx 78751		512-203-6110  sarah.gamble@gocoaustin.com	WB	F/Caucasian	AU
HAY2261500 HAYNES-EAGLIN-WATERS Po Box 82448 Austin Tx 78708-2448		512-451-6600 512-879-1088 chaynes@hewaustin.com	MWDB	F/African American	AU
LAU8322378 LAURA B CARROLL 111 W 8th St Austin Tx 78701		512-583-0929 5122368890 LAURA@RAUNPR.COM	WB	F/Caucasian	AU
VS0000031322 LaTonya J Pegues 1701 Intervail Dr Austin Tx 78746		512-686-3664  lpegues@BOAZent.com	MWB	F/African American	AU
V00000916533 Luis Pablo Martinez 7604 Orrick Drive Austin Tx 78749		312-730-3613  luis@virtuinstrategy.com	MDB	M/Hispanic	AU
INF2505500 MARTHA P COTERA 1502 Norris Dr Austin Tx 78704-2021		512-444-7595 512-444-8431	MWB	F/Hispanic	AU
MAR3044500 MWM DESIGNGROUP INC 305 E Huntland Dr Ste 200 Austin Tx 78752		512-453-0767 512-453-1734 juliah@mwminc.com	WDB	F/Caucasian	AU
VC0000102100 NANCY LEDBETTER & ASSOCIATES INC 20020 Farm Pond Ln Pflugerville Tx 78660		512-694-7797 512-252-8322 NANCY@NANCYLEDBETTER.COM	WDB	F/Caucasian	AU

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V00000912073 PINNACLE PROCESS SOLUTIONS INTERNATIONAL LLC 304 Cordova Cv Cedar Park Tx 78613		512-212-1166  adil@pinnacleprocess.com	MB	M/Asian	AU
GRO7148575 RJW OPERATIONS INC 8401 Shoal Creek Blvd Austin Tx 78757		512-448-4459 512-454-1342 rj@groupsolutionsrjw.com	MWDB	F/African American	AU
FOL7011755 ROSALIND M OLIPHANT P.O. Box 3313 Pflugerville Tx 78619		5124616311  ROZOJ@HOTMAIL.COM	MWDB	F/African American	SL
VS0000012578 Rifeline, LLC 1214 W. 5th Street Suite C Austin Tx 78703		5127979019  lrife@rifeline.com	WDB	F/Caucasian	AU
SNA8315942 SNAP MANAGEMENT GROUP INC 6928 Robert Dixon Dr Austin Tx 78749-2218		512-899-8788 512-474-8788 Darrell@snapmgt.com	MDB	M/African American	AU
VC0000103179 SUE ELLEN JACKSON 8827 Silverarrow Circle Austin Tx 78759		512-345-5259 512-345-1458 SEJACKSON@AUSTIN.RR.COM	WDB	F/Caucasian	AU
VC0000103065 SUSAN ROTH CONSULTING LLC 4111 Tablerock Dr Austin Tx 78731		512-796-6692  SUSAN@SROTHCONSULTING.COM	WDB	F/Caucasian	AU
V00000913460 Synergia Discovery & Insight, LLP 9600 Escarpment Boulevard Suite 745-201 Austin Tx 78749		512-410-0280 202  info@synergiaplanning.com	WMB	F/Hispanic	AU
TEC8316580 TECHPEOPLE.US INC 1508 Dessau Ridge Lane Ste 703 Austin Tx 78754		512-989-5959 200 5126174163 raul.gonzales@techpeople.us	MDB	M/Asian	AU
V00000911108 Taylor Collective Solutions, LLC 1524 S. Ih-35, Suite 200 Austin Tx 78704		512-465-2162  kim@taylorcollective.com	WDB	F/Caucasian	AU
V00000917700 Texas Pros, LLC Po Box 2604 Austin Tx 78768		512-774-4466  joypecoraro@gmail.com	MWDB	F/Hispanic	AU

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	VS0000003166 The Conrado Group, Inc. 2126 Simbrah Drive Cedar Park Tx 78613	512-219-0996  jerri@marketingedgeventures.com	WDB	F/Caucasian	AU
	VS0000034326 The Entermedia Group, LLC 900 Rr 620 South, C101-153 Austin Tx 78734	512-553-8341  lorraine.jordan@theentermediagroup.com	MWDB	F/African American	AU
	VS0000014892 Yates Consulting Inc 611 S. Congress, Suite 100 Austin Tx 78704	512-288-4054 5122360843 elyse@influenceopinions.com	WDB	F/Caucasian	AU
	V00000904094 Yolanda McRae 5800 Techni Center Drive #220 Austin Tx 78721	404-669-6753  info@yempromotions.com	MWDB	F/African American	AU
<b>92517 Civil Engineering</b>					
	V00000907236 360 Professional Services, Inc. P.O. Box 3639 Cedar Park Tx 78630	512-354-4682 103  tammy.foster@360psinc.com	WDB	F/Caucasian	SL
	AGU8313738 AGUIRRE & FIELDS LP 12708 Riata Vista Circle Ste A-109 Austin Tx 78727	512-609-1502 1507 281-340-8909 chris.bilich@aguirre-fields.com	MDB	M/Hispanic	AU
	ALL7111300 ALLIANCE-TEXAS ENGINEERING COMPANY 11500 Metric Blvd Bldg M1, Ste 150 Austin Tx 78758	512-821-2081 512-821-2085 GHEATH@EMAILATG.COM	WDB	F/Caucasian	AU
	ROD8321224 ANDREW A RODRIGUEZ 8137 Osborne Dr Austin Tx 78729-8074	512-989-3336 512-989-9192 RODZENG@AOL.COM	MDB	M/Hispanic	AU
	ASI8308112 ASIA TRADING INC 13401 Wyoming Valley Dr Austin Tx 78727-3427	512-251-3880  chango5@sbcglobal.net	MDB	F/Asian	AU
	AXI8316197 AXIOM ENGINEERS INC 13276 Research Blvd Ste 208 Austin Tx 78750	512-506-9335 512-506-9377 NCF@AXIOMTEXAS.COM	WDB	F/Caucasian	AU

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BAE7086810 BAER ENGINEERING & ENVIRONMENTAL CONSULTING INC 7756 Northcross Dr Ste 211 Austin Tx 78757-1725		512-453-3733 512-453-3316 tbaer@BaerEng.com	WDB	F/Caucasian	AU
CEP8319715 BOWMAN ENGINEERING & CONSULTING INC 902 Rio Grande Austin Tx 78701		512-263-5677 214-382-9410 SHAUNA@BOWMANENGINEERS.COM	WDB	F/Caucasian	AU
CAS7170685 CAS CONSULTING & SVCS INC 7908 Cameron Rd Austin Tx 78754		512-836-2388 512-836-4515 CHANNYS@CASEENGINEERS.COM	MDB	M/Asian	AU
VC0000103053 CHAN & PARTNERS ENGINEERING LLC 4319 James Casey St Ste 300 Austin Tx 78745		512-480-8155 512-480-8811 RAYMONDC@CHANPARTNERS.COM	MDB	M/Asian	AU
VC0000102500 CIVIL LAND GROUP LLC 206 W Main St Ste 101 Round Rock Tx 78664		512-992-0118 512-246-1856 BFRYE@CIVLNDGRP.COM	MWB	F/Hispanic	SL
CLO8320728 CLOTTEY ENGINEERING INC 210 N Kings Canyon Dr Cedar Park Tx 78613-3043		512-996-9020 512-996-9520 CCLOTTEY@CLOTTEYENGINEERING.COM	MDB	M/African American	AU
CRE7038055 CRESPO CONSULTING SERVICES INC 4131 Spicewood Springs Rd #B2 Austin Tx 78759-8658		512-343-6404 512-343-8120 SSTECHER@CRESPOINC.COM	MDB	M/Hispanic	AU
VS0000011100 Castleberry Engineering & Consulting, P.L.L.C. P.O. Box 40546 Austin Tx 78704		512-751-9272 c.castleberry@castleberryengineering.com	WDB	F/Caucasian	AU
V00000908664 Cook-Joyce, Inc. 812 W. 11th Street Austin Tx 78701		512-474-9097 2494 elizabeth.rabaey@cook-joyce.com	WB	F/Caucasian	AU
V00000905281 Corsair Consulting LLC 9442 Capital Of Texas Hwy N Plaza One, Suite 500 Austin Tx 78759		512-342-8877 clinharris@corsairus.com	MDB	M/Asian	AU

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C Code & Description	Vend Code/Adr	Phone/Fax/Email	W/MB Code	G/E	LCTN
V00000904121 D. F. Noble Consulting, LLC 1185 Taylor Ranch Road Wimberley Tx 78676		512-809-8226  txdotnoble@yahoo.com	MWDB	F/Hispanic	SL
DAT8307094 DATUM GOJER ENGINEERS L L C 5929 Balcones Dr Ste 100 Austin Tx 78731		512-469-9490 512-469-2924 erikap@datumengineers.com	MB	M/Hispanic	AU
DAV1449500 DAVCAR INC 1010 Land Creek Cove Ste 200 Austin Tx 78746-		512-328-4428 512-306-8330 DAVID@DAVCAR.COM	MDB	M/Hispanic	AU
AUS7040915 EILEEN MERRITT INC 3636 Executive Center Drive Suite 100 Austin Tx 78731		512-328-6995 512-328-6996 sharon_sargent@ats-engineers.com	WDB	F/Caucasian	AU
VC0000101365 ELECTRIC POWER ENGINEERS INC 13101 W Highway 71, Suite 201 Austin Tx 78738		512-382-6700 866-265-0827 hballouz@epeconsulting.com	WB	F/Caucasian	SL
ENC1735650 ENCOTECH ENGINEERING CONSULTANTS INC 8500 Bluffstone Cove, #B-103 Austin Tx 78759		512-338-1101 101 512-338-1160 KHATAW@ENCOTECHENGINEERING.COM	MB	M/Asian	AU
VC0000102911 FAYEZ S KAZI 411 W Saint Elmo Rd Unit #1 Austin Tx 78745		512-761-6161 5127616167 fayez@civility.com	MDB	M/Asian	AU
FRA8312411 FRANK LAM & ASSOC INC 508 W 16th St Austin Tx 78701-1502		512-476-2717 512-476-2714 FRANK@FRANKLAMINC.COM	MDB	M/Asian	AU
V00000915371 G Sylva, LLC 9712 Indina Hills Dr. Austin Tx 78717		512-934-3860  gilbert.sylva@gsylva.com	MDB	M/Hispanic	AU
VS0000008581 GLOBAL ENGINEERS INC 4219 Pebblestone Trl Round Rock Tx 78665-5027		512-417-3172 512-246-2212 munirmkhan@gmail.com	MDB	M/Asian	AU
VC0000101227 GONZALEZ - DE LA GARZA & ASSOCIATES 8313 Gallatin Dr Austin Tx 78736		512-785-9856 210-208-9401 AGONZALEZ@GDA-US.COM	MWDB	F/Hispanic	AU

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	V00000907852 GarzaBury, L.L.C. 221 W. Sixth Street, Suite 380 Austin Tx 78701	512-298-3284 5122982592 rgarza@garzabury.com	MB	M/Hispanic	AU
	HAR8321937 HARKINS ENGINEERING INC 3300 Lost Oasis Hollow Austin Tx 78739-7603	512-291-8219 512-280-1462 VHARKINS@HARKINSENGINEERING.COM	WDB	F/Caucasian	AU
	HUR2455500 HARUTUNIAN ENGINEERING INC 305 E Huntland Dr Ste 500 Austin Tx 78752-3730	512-454-2788 512-454-6434 PROCURE@HEIWORLD.COM	WB	F/Caucasian	AU
	HEJ7022940 HEJL LEE & ASSOC INC 321 Ed Schmidt Blvd., Suite 100 Hutto Tx 78634	512-642-3292 512-642-4230 hlainc@austin.rr.com	MDB	M/Asian	SL
	VC0000102904 HILARIO N ARRIAGA 6708 Dubuque Lane Austin Tx 78723	512-926-4066 HILARIOARRIAGA@ATT.NET	MDB	M/Hispanic	AU
	HOL2400500 HOLT ENGINEERING INC 2220 Barton Skyway Austin Tx 78704-5737	512-447-8166 512-447-0852 l.holt@holteng.com	WB	F/Caucasian	AU
	ITG8318552 I T GONZALEZ ENGINEERS 3501 Manor Rd Austin Tx 78723-5815	512-447-7400 11 512-447-6389 itgonz@swbell.net	MDB	M/Hispanic	AU
	VS0000035915 JM Engineering, LLC 1314 Hillridge Drive Round Rock Tx 78665	512-550-6450 melissa@jm-engineer.com	WDB	F/Caucasian	AU
	GUE2157000 JOSE I GUERRA INC 2401 S Ih-35 Ste 210 Austin Tx 78741-3823	512-445-2090 512-445-2099 RGUERRA@GUERRA.COM	MDB	M/Hispanic	AU
	KFR8309453 K FRIESE & ASSOC INC 1120 S Capital Of Texas Hwy, Cityview 2, Ste 100 Austin Tx 78746	512-338-1704 512-338-1784 kfriese@kfriese.com	WDB	F/Caucasian	AU
	V00000906667 KB PIKE ENGINEERING LLC 105 W Riverside Drive Suite 110 Austin Tx 78704	512-794-6787 jennifer@kbpik.com	WB	F/Caucasian	AU

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	KUR8303988 KURKJIAN ENGINEERING CORP 111 W Anderson Ln Bldg D #202 Austin Tx 78752-1132	512-371-3535 512-371-7333 kec@austin.rr.com	MWDB	F/Hispanic	AU
	LAK8323239 LAKESIDE ENGINEERS LLC 1713 Palma Plaza Austin Tx 78703	512-472-9488 2164729488 chris.ruiz64@gmail.com	MB	M/Hispanic	AU
	V00000907693 LEAP Structures, PLLC 3001 S. Lamar Blvd Suite 230 Austin Tx 78704	512-298-3999 1  tchu@leapstructures.com	MDB	M/Asian	AU
	MAR3044500 MWM DESIGNGROUP INC 305 E Huntland Dr Ste 200 Austin Tx 78752	512-453-0767 512-453-1734 juliah@mwminc.com	WDB	F/Caucasian	AU
	VS0000011481 Maldonado-Burkett Intelligent Transportation Systems, LLP 2205 Western Trails Blvd. Ste B Austin Tx 78745-1638	512-916-1386  ramon@mbitsgroup.com	MDB	M/Hispanic	AU
	V00000917073 NICOLE FRANCOIS 1008 Sundance Ridge Dripping Springs Tx 78620	512-965-8887  nfranconsulting@gmail.com	WDB	F/Caucasian	SL
	PAV8303934 PAVETEX ENGINEERING & TESTING INC 3989 Hwy 290 E Dripping Springs Tx 78620-4287	512-894-3040 512-858-2921 saraht@pavetex.com	MWDB	F/Hispanic	SL
	VS0000037698 PROFESSIONAL STRUCIVIL ENGINEERS INC 12710 Research Blvd. Suite 390 Austin Tx 78759	512-238-6422  psce@psceinc.com	MDB	M/Asian	AU
	PRO8301100 PROVIDENCE ENVIRONMENTAL CONSULTING INC 112 Las Colinas Dr Georgetown Tx 78628-1019	512-863-3492 512-869-0576 providenceenvironmental@suddenlink.net	WDB	F/Caucasian	SL
	VS0000004650 RGT Engineering, Inc. 1000 Heritage Center Circle Round Rock Tx 78664	512-689-2341 512-382-6851 rgonzalez@rgtengineering.com	MDB	M/Hispanic	SL

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ROD7082460 RODRIGUEZ TRANSPORTATION GROUP Inc 11211 Taylor Draper Ln Ste 100 Austin Tx 78759		512-231-9544 512-231-9133 MRODRIGUEZ@RTG-TEXAS.COM	MDB	M/Hispanic	AU
V00000901562 Regional Engineering Inc. 818 Wagon Trail Suit # 102 Austin Tx 78758		512-507-9355 5126708915 reiaustx@gmail.com	MDB	M/Asian	AU
VS0000026253 Rios Engineering, LLC 609 Irma Dr Austin Tx 78752		512-944-3023  ed@riosengineering.com	MDB	M/Hispanic	AU
VS0000015805 Rogers Moore Engineers, LLC 221 West 6th Street Suite 826 Austin Tx 78701		512-330-1282 512-330-1295 utuladhar@rogersmoorellc.com	WB	F/Caucasian	AU
STA8322362 STANSBERRY ENGINEERING CO. Po Box 309 Manchaca Tx 78652-0309		512-292-8000 512-292-7270 INFO@STANSBERRYENGINEERING.COM	WDB	F/Caucasian	AU
STR8322676 STRUCTURESPE L L P 1018 W 11th St Ste 100 Austin Tx 78703-4987		512-499-0919 512-320-8521 JERRY@STRUCTURESTX.COM	MDB	M/Hispanic	AU
SUN4499350 SUNLAND GROUP INC 1033 La Posada Drive Suite 370 Austin Tx 78752		512-590-7951 512-494-0406 cthompson@sunlandgrp.com	WDB	F/Caucasian	AU
VC0000103065 SUSAN ROTH CONSULTING LLC 4111 Tablerock Dr Austin Tx 78731		512-796-6692  SUSAN@SROTHCONSULTING.COM	WDB	F/Caucasian	AU
VS0000030160 Seiler/Lankes Group Po Box 2186 Round Rock Tx 78680		512-785-8564  glankes@slg-eng.com	MDB	M/Hispanic	SL
ARI0290800 THE ARIZPE GROUP INC 6330 E Hwy 290 Ste 375 Austin Tx 78723-1156		512-339-3707 512-339-3709 Robert.Arizpe@Arizpe.com	MDB	M/Hispanic	AU

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	TRA8311787 TRANSTEC GROUP INC 6111 Balcones Dr Austin Tx 78731-	512-451-6233 512-451-6234 DAN@THETRANSTECGROUP.COM	MDB	M/Hispanic	AU
	TRI4738850 TRICIA ALTAMIRANO Consulting Engineer Inc 1101 S Cap Of Tx Hwy Ste 210d Austin Tx 78746-6438	512-328-2203 512-327-2947 taltamirano@austin.rr.com	WB	F/Caucasian	AU
	VS0000022197 Texas Engineering Solutions, LLC 5000 Bee Caves Rd Suite 206 Austin Tx 78746	512-904-0505 205 512-904-0509 sdelgado@txengs.com	MDB	M/Hispanic	AU
	URB4919250 URBAN DESIGN GROUP 3660 Stoneridge Rd Ste E101 Austin Tx 78746-7759	512-347-0040 512-347-1311 ltoups@udg.com	WDB	F/Caucasian	AU
	URB7038110 UTE CONSULTANTS INC 2007 S 1st Street Austin Tx 78704	512-789-5018  joan@uteconsultants.com	WDB	F/Caucasian	AU
	VIC7091950 VICKREY & ASSOC INC 1717 W 6th St Ste 260, Hartland Plaza Austin Tx 78703	512-494-8014 512-494-8054 austin@VICKREYNET.COM	WDB	F/Caucasian	AU
	WAY5080500 WAY CONSULTING ENGINEERS INC 11615 Angus Rd Ste 119 Austin Tx 78759-4004	512-343-0766 512-343-9103 way@wayengineering.com	MB	M/Asian	AU
<b>92544 General Construction: Management, Scheduling, Cost</b>					
	V00000921397 APTUS ENGINEERING LLC 3400 Tavistock Dr Austin Tx 78748	5128504770  sujay@aptuseng.com	MB	M/Asian	AU
	V00000909871 ARCHE LLC 5700 North Hampton Rd Austin Tx 78723	512-350-4845  cescamilla@archeeng.com	MWB	F/Hispanic	AU
	AXI8316197 AXIOM ENGINEERS INC 13276 Research Blvd Ste 208 Austin Tx 78750	512-506-9335 512-506-9377 NCF@AXIOMTEXAS.COM	WDB	F/Caucasian	AU

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BAE7086810 BAER ENGINEERING & ENVIRONMENTAL CONSULTING INC 7756 Northcross Dr Ste 211 Austin Tx 78757-1725		512-453-3733 512-453-3316 tbaer@BaerEng.com	WDB	F/Caucasian	AU
BLG0714750 BLGY INC 2204 Forbes Dr Ste 101 Austin Tx 78754-5143		512-977-0390 512-977-0838	MB	M/African American	AU
CAS7170685 CAS CONSULTING & SVCS INC 7908 Cameron Rd Austin Tx 78754		512-836-2388 512-836-4515 CHANNYS@CASENGINEERS.COM	MDB	M/Asian	AU
VC0000102500 CIVIL LAND GROUP LLC 206 W Main St Ste 101 Round Rock Tx 78664		512-992-0118 512-246-1856 BFRYE@CIVLNDGRP.COM	MWB	F/Hispanic	SL
V00000908664 Cook-Joyce, Inc. 812 W. 11th Street Austin Tx 78701		512-474-9097 2494 elizabeth.rabaey@cook-joyce.com	WB	F/Caucasian	AU
DAV1449500 DAVCAR INC 1010 Land Creek Cove Ste 200 Austin Tx 78746-		512-328-4428 512-306-8330 DAVID@DAVCAR.COM	MDB	M/Hispanic	AU
ENC1735650 ENCOTECH ENGINEERING CONSULTANTS INC 8500 Bluffstone Cove, #B-103 Austin Tx 78759		512-338-1101 101 512-338-1160 KHATAW@ENCOTECHENGINEERING.COM	MB	M/Asian	AU
VC0000102911 FAYEZ S KAZI 411 W Saint Elmo Rd Unit #1 Austin Tx 78745		512-761-6161 5127616167 fayez@civillitude.com	MDB	M/Asian	AU
FOS7156650 FOSTER CM GROUP INC 111 Congress Ave 4th Fl Austin Tx 78701-4050		210-804-1004 210-828-5484 alindsey@fostercmgroup.com	MDB	M/African American	AU
V00000915371 G Sylva, LLC 9712 Indina Hills Dr. Austin Tx 78717		512-934-3860 gilbert.sylva@gsylva.com	MDB	M/Hispanic	AU
HUR2455500 HARUTUNIAN ENGINEERING INC 305 E Huntland Dr Ste 500 Austin Tx 78752-3730		512-454-2788 512-454-6434 PROCURE@HEIWORLD.COM	WB	F/Caucasian	AU

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ITG8318552 I T GONZALEZ ENGINEERS 3501 Manor Rd Austin Tx 78723-5815		512-447-7400 11 512-447-6389 itgonz@swbell.net	MDB	M/Hispanic	AU
JAS2584500 JASMINE ENGINEERING INC 100 Congress Ave Ste 2000 Austin Tx 78701		512-326-2900 512-326-2906 JASMINE@JASMINEENGINEERING.COM	MWDB	F/Hispanic	AU
KFR8309453 K FRIESE & ASSOC INC 1120 S Capital Of Texas Hwy, Cityview 2, Ste 100 Austin Tx 78746		512-338-1704 512-338-1784 kfriese@kfriese.com	WDB	F/Caucasian	AU
KUR8303988 KURKJIAN ENGINEERING CORP 111 W Anderson Ln Bldg D #202 Austin Tx 78752-1132		512-371-3535 512-371-7333 kec@austin.rr.com	MWDB	F/Hispanic	AU
LAK8323239 LAKESIDE ENGINEERS LLC 1713 Palma Plaza Austin Tx 78703		512-472-9488 2164729488 chris.ruiz64@gmail.com	MB	M/Hispanic	AU
VS0000028414 Lackey Commercial Properties, LLC Po Box 41270 Austin Tx 78704		512-971-1201 8883817794 mwlackey@lc-cx.com	MDB	M/Hispanic	AU
MAR3044500 MWM DESIGNGROUP INC 305 E Huntland Dr Ste 200 Austin Tx 78752		512-453-0767 512-453-1734 juliah@mwminc.com	WDB	F/Caucasian	AU
V00000915343 Majestic Services Inc 8120 North Ih 35, Suite 101 Austin Tx 78753		512-470-9221 5128363802 majesticvcinc@aol.com	WMDB	F/African American	AU
V00000917073 NICOLE FRANCOIS 1008 Sundance Ridge Dripping Springs Tx 78620		512-965-8887 nfranconsulting@gmail.com	WDB	F/Caucasian	SL
PRO8301100 PROVIDENCE ENVIRONMENTAL CONSULTING INC 112 Las Colinas Dr Georgetown Tx 78628-1019		512-863-3492 512-869-0576 providenceenvironmental@suddenlink.net	WDB	F/Caucasian	SL
VS0000004650 RGT Engineering, Inc. 1000 Heritage Center Circle Round Rock Tx 78664		512-689-2341 512-382-6851 rgonzalez@rgtengineering.com	MDB	M/Hispanic	SL

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	V00000901562 Regional Engineering Inc. 818 Wagon Trail Suit # 102 Austin Tx 78758	512-507-9355 5126708915 reiaustx@gmail.com	MDB	M/Asian	AU
	SAL7104020 SALDANA HOMES LLC 606 Allen St. Austin Tx 78702-4920	512-389-2449 512-389-2494 marie@saldanahomesllc.com	MB	M/Hispanic	AU
	SQU8302982 SQUARE ONE CONSULTANTS INC 1000 Westbank Dr Ste 4a Austin Tx 78746-7994	512-708-1162 512-708-1517	MB	M/Native American	AU
	SUN4499350 SUNLAND GROUP INC 1033 La Posada Drive Suite 370 Austin Tx 78752	512-590-7951 512-494-0406 cthompson@sunlandgrp.com	WDB	F/Caucasian	AU
	VS0000011064 Texas Energy Engineering Services, Inc. 1301 S. Capital Of Texas Highway Suite B-325 Austin Tx 78746	512-328-2533 201 512-328-2544 Saleem@teesi.com	MDB	M/Asian	AU
<b>92546 GEOTECHNICAL - SOILS</b>					
	VC0000101365 ELECTRIC POWER ENGINEERS INC 13101 W Highway 71, Suite 201 Austin Tx 78738	512-382-6700 866-265-0827 hballouz@epeconsulting.com	WB	F/Caucasian	SL
	ENC1735650 ENCOTECH ENGINEERING CONSULTANTS INC 8500 Bluffstone Cove, #B-103 Austin Tx 78759	512-338-1101 101 512-338-1160 KHATAW@ENCOTECHENGINEERING.COM	MB	M/Asian	AU
	HOL2400500 HOLT ENGINEERING INC 2220 Barton Skyway Austin Tx 78704-5737	512-447-8166 512-447-0852 l.holt@holteng.com	WB	F/Caucasian	AU
	PAV8303934 PAVETEX ENGINEERING & TESTING INC 3989 Hwy 290 E Dripping Springs Tx 78620-4287	512-894-3040 512-858-2921 saraht@pavetex.com	MWDB	F/Hispanic	SL

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	V00000911256 Rodriguez Engineering Laboratories LLC 13809 Turbine Drive Austin Tx 78728	512-251-4454 5122511380 rodriguezlab@aol.com	MDB	M/Hispanic	AU
<b>92557 Instrumentation/Engineering</b>					
	V00000921397 APTUS ENGINEERING LLC 3400 Tavistock Dr Austin Tx 78748	5128504770 sujay@aptuseng.com	MB	M/Asian	AU
	ENC1735650 ENCOTECH ENGINEERING CONSULTANTS INC 8500 Bluffstone Cove, #B-103 Austin Tx 78759	512-338-1101 101 512-338-1160 KHATAW@ENCOTECHENGINEERING.COM	MB	M/Asian	AU
	HUR2455500 HARUTUNIAN ENGINEERING INC 305 E Huntland Dr Ste 500 Austin Tx 78752-3730	512-454-2788 512-454-6434 PROCURE@HEIWORLD.COM	WB	F/Caucasian	AU
	POW8300999 POWER QUALITY ENGINEERING INC 3061 Woodall Dr Bldg A Cedar Park Tx 78613-7225	512-267-6656 512-267-0989 vbloom@pqeinc.com	MWB	F/Hispanic	AU
<b>92567 Mechanical Engineering</b>					
	V00000921397 APTUS ENGINEERING LLC 3400 Tavistock Dr Austin Tx 78748	5128504770 sujay@aptuseng.com	MB	M/Asian	AU
	VS0000015522 AYS Engineering, LLC 203 E. Main Street Ste 204 Round Rock Tx 78664	512-961-6835 raleman@ayseng.com	MB	M/Hispanic	SL
	CAS7170685 CAS CONSULTING & SVCS INC 7908 Cameron Rd Austin Tx 78754	512-836-2388 512-836-4515 CHANNYS@CASENGINEERS.COM	MDB	M/Asian	AU
	CLO8320728 CLOTTEY ENGINEERING INC 210 N Kings Canyon Dr Cedar Park Tx 78613-3043	512-996-9020 512-996-9520 CCLOTTEY@CLOTTEYENGINEERING.COM	MDB	M/African American	AU

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	VC0000101538 DOROTHY M BOTHNE 14201 Sandy Meadow Circle Leander Tx 78641	512-259-8476 512-259-8781 DBOTHNE@AUSTIN.RR.COM	WB	F/Caucasian	SL
	AUS7040915 EILEEN MERRITT INC 3636 Executive Center Drive Suite 100 Austin Tx 78731	512-328-6995 512-328-6996 sharon_sargent@ats-engineers.com	WDB	F/Caucasian	AU
	ENC1735650 ENCOTECH ENGINEERING CONSULTANTS INC 8500 Bluffstone Cove, #B-103 Austin Tx 78759	512-338-1101 101 512-338-1160 KHATAW@ENCOTECHENGINEERING.COM	MB	M/Asian	AU
	VS0000031778 ENGINEERED EXTERIORS, PLLC 13740 Research Blvd. Suite C2 Austin Tx 78750	5125713530  jen@engineeredexteriors.com	WB	F/Caucasian	AU
	V00000907852 GarzaBury, L.L.C. 221 W. Sixth Street, Suite 380 Austin Tx 78701	512-298-3284 5122982592 rgarza@garzabury.com	MB	M/Hispanic	AU
	HUR2455500 HARUTUNIAN ENGINEERING INC 305 E Huntland Dr Ste 500 Austin Tx 78752-3730	512-454-2788 512-454-6434 PROCURE@HEIWORLD.COM	WB	F/Caucasian	AU
	JAS2584500 JASMINE ENGINEERING INC 100 Congress Ave Ste 2000 Austin Tx 78701	512-326-2900 512-326-2906 JASMINE@JASMINEENGINEERING.COM	MWDB	F/Hispanic	AU
	GUE2157000 JOSE I GUERRA INC 2401 S Ih-35 Ste 210 Austin Tx 78741-3823	512-445-2090 512-445-2099 RGUERRA@GUERRA.COM	MDB	M/Hispanic	AU
	VS0000028414 Lackey Commercial Properties, LLC Po Box 41270 Austin Tx 78704	512-971-1201 8883817794 mwlackey@lc-cx.com	MDB	M/Hispanic	AU
	V00000917399 Nodal Partners, LLC 13640 Briarwick Dr. Suite 180 Austin Tx 78729	512-364-0688 128  lindsaypalinsky@beeusa.com	MB	M/Asian	AU

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	POW8300999 POWER QUALITY ENGINEERING INC 3061 Woodall Dr Bldg A Cedar Park Tx 78613-7225	512-267-6656 512-267-0989 vbloom@pqeinc.com	MWB	F/Hispanic	AU
	STE8305142 STEINMAN LUEVANO STRUCTURES LLP 5901 Old Fredericksburg Rd B101 Austin Tx 78749	512-891-6766 512-891-6966 john@slstructures.com	MDB	M/Hispanic	AU
	ARI0290800 THE ARIZPE GROUP INC 6330 E Hwy 290 Ste 375 Austin Tx 78723-1156	512-339-3707 512-339-3709 Robert.Arizpe@Arizpe.com	MDB	M/Hispanic	AU
	VS0000011064 Texas Energy Engineering Services, Inc. 1301 S. Capital Of Texas Highway Suite B-325 Austin Tx 78746	512-328-2533 201 512-328-2544 Saleem@teesi.com	MDB	M/Asian	AU
	VS0000035423 W&D Enterprises, L.L.C. 1747 Fort Grant Dr. Round Rock Tx 78665	512-563-1720 vwinston@mavaengineering.com	MDB	M/African American	AU
<b>92588 Structural Engineering</b>					
	AGU8313738 AGUIRRE & FIELDS LP 12708 Riata Vista Circle Ste A-109 Austin Tx 78727	512-609-1502 1507 281-340-8909 chris.bilich@aguirre-fields.com	MDB	M/Hispanic	AU
	BAE7086810 BAER ENGINEERING & ENVIRONMENTAL CONSULTING INC 7756 Northcross Dr Ste 211 Austin Tx 78757-1725	512-453-3733 512-453-3316 tbaer@BaerEng.com	WDB	F/Caucasian	AU
	CLO8320728 CLOTTEY ENGINEERING INC 210 N Kings Canyon Dr Cedar Park Tx 78613-3043	512-996-9020 512-996-9520 CCLOTTEY@CLOTTEYENGINEERING.COM	MDB	M/African American	AU
	DAT8307094 DATUM GOJER ENGINEERS L L C 5929 Balcones Dr Ste 100 Austin Tx 78731	512-469-9490 512-469-2924 erikap@datumengineers.com	MB	M/Hispanic	AU

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C Code & Description	Vend Code/Adr	Phone/Fax/Email	W/MB Code	G/E	LCTN
AUS7040915 EILEEN MERRITT INC 3636 Executive Center Drive Suite 100 Austin Tx 78731		512-328-6995 512-328-6996 sharon_sargent@ats-engineers.com	WDB	F/Caucasian	AU
ENC1735650 ENCOTECH ENGINEERING CONSULTANTS INC 8500 Bluffstone Cove, #B-103 Austin Tx 78759		512-338-1101 101 512-338-1160 KHATAW@ENCOTECHENGINEERING.COM	MB	M/Asian	AU
VS0000031778 ENGINEERED EXTERIORS, PLLC 13740 Research Blvd. Suite C2 Austin Tx 78750		5125713530  jen@engineeredexteriors.com	WB	F/Caucasian	AU
FRA8312411 FRANK LAM & ASSOC INC 508 W 16th St Austin Tx 78701-1502		512-476-2717 512-476-2714 FRANK@FRANKLAMINC.COM	MDB	M/Asian	AU
V00000915371 G Sylva, LLC 9712 Indina Hills Dr. Austin Tx 78717		512-934-3860  gilbert.sylva@gsylva.com	MDB	M/Hispanic	AU
V00000907852 GarzaBury, L.L.C. 221 W. Sixth Street, Suite 380 Austin Tx 78701		512-298-3284 5122982592 rgarza@garzabury.com	MB	M/Hispanic	AU
GUE2157000 JOSE I GUERRA INC 2401 S Ih-35 Ste 210 Austin Tx 78741-3823		512-445-2090 512-445-2099 RGUERRA@GUERRA.COM	MDB	M/Hispanic	AU
VS0000033389 JQ+TSEN LLC 1608 West 6th St Suite 200 Austin Tx 78703		512-474-4001 512-474-9179 stephanie.tsen@gmail.com	MWB	F/Asian	AU
KUR8303988 KURKJIAN ENGINEERING CORP 111 W Anderson Ln Bldg D #202 Austin Tx 78752-1132		512-371-3535 512-371-7333 kec@austin.rr.com	MWDB	F/Hispanic	AU
V00000913547 Kings Struarchural, Inc. 555 Round Rock West Dr Suite E217 Round Rock Tx 78681		512-228-4626  patricka@kingsse.com	MDB	M/African American	SL

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	VS0000037916 LAM+DCI, LLC 508 W 16th St Austin Tx 78701	512-476-2717 512-476-2714 franklam@franklaminc.com	MDB	M/Asian	AU
	V00000907693 LEAP Structures, PLLC 3001 S. Lamar Blvd Suite 230 Austin Tx 78704	512-298-3999 1 tchu@leapstructures.com	MDB	M/Asian	AU
	VS0000029260 Oakhill Engineering, LLC 5705 Janabyrd Lane Austin Tx 78749	512-497-5256 512-747-8916 dchen@oakhillengineering.com	MDB	M/Asian	AU
	PES8307381 P E STRUCTURAL CONSULTANTS INC 8436 Spicewood Springs Rd Austin Tx 78759-6050	512-250-5200 512-250-5222 LPOWELL@PESTRUCTURAL.COM	WDB	F/Caucasian	AU
	VS0000037698 PROFESSIONAL STRUCIVIL ENGINEERS INC 12710 Research Blvd. Suite 390 Austin Tx 78759	512-238-6422 psce@psceinc.com	MDB	M/Asian	AU
	VS0000015805 Rogers Moore Engineers, LLC 221 West 6th Street Suite 826 Austin Tx 78701	512-330-1282 512-330-1295 utuladhar@rogersmoorellc.com	WB	F/Caucasian	AU
	STE8305142 STEINMAN LUEVANO STRUCTURES LLP 5901 Old Fredericksburg Rd B101 Austin Tx 78749	512-891-6766 512-891-6966 john@slstructures.com	MDB	M/Hispanic	AU
	STR8322676 STRUCTURESPE L L P 1018 W 11th St Ste 100 Austin Tx 78703-4987	512-499-0919 512-320-8521 JERRY@STRUCTURESTX.COM	MDB	M/Hispanic	AU
	SUN4499350 SUNLAND GROUP INC 1033 La Posada Drive Suite 370 Austin Tx 78752	512-590-7951 512-494-0406 cthompson@sunlandgrp.com	WDB	F/Caucasian	AU
	VS0000019122 TSENSTRUCTURAL LLC 906 E 53 1/2 St Austin Tx 78751	512-415-0658 stephanie@tsenstructural.com	MWDB	F/Asian	AU

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	WAY5080500 WAY CONSULTING ENGINEERS INC 11615 Angus Rd Ste 119 Austin Tx 78759-4004	512-343-0766 512-343-9103 way@wayengineering.com	MB	M/Asian	AU
<b>92670 Permitting Services, Environmental</b>					
	AXI8316197 AXIOM ENGINEERS INC 13276 Research Blvd Ste 208 Austin Tx 78750	512-506-9335 512-506-9377 NCF@AXIOMTEXAS.COM	WDB	F/Caucasian	AU
	V00000903997 AmaTerra Environmental, Inc. 4009 Banister Lane, Ste. 300 Austin Tx 78704	512-329-0031 5123290012 jmadden@amaterra.com	WDB	F/Caucasian	AU
	BAE7086810 BAER ENGINEERING & ENVIRONMENTAL CONSULTING INC 7756 Northcross Dr Ste 211 Austin Tx 78757-1725	512-453-3733 512-453-3316 tbaer@BaerEng.com	WDB	F/Caucasian	AU
	V00000908664 Cook-Joyce, Inc. 812 W. 11th Street Austin Tx 78701	512-474-9097 2494 elizabeth.rabaey@cook-joyce.com	WB	F/Caucasian	AU
	VS0000009931 Cox McLain Environmental Consulting, Inc. 6010 Balcones Dr Ste 210 Austin Tx 78731	512-338-2223 512-338-2225 lorie@coxmcclain.com	WDB	F/Caucasian	AU
	V00000904121 D. F. Noble Consulting, LLC 1185 Taylor Ranch Road Wimberley Tx 78676	512-809-8226 txdotnoble@yahoo.com	MWDB	F/Hispanic	SL
	VS0000025424 Energy Renewal Partners, LLC 305 Camp Craft Rd Suite 575 Westlake Hills Tx 78746	512-222-1125 101 5122221132 telizondo@energyrenewalpartners.com	WB	F/Caucasian	AU
	FRE8311925 FRED L MCGHEE & ASSOCIATES 2316 Thrasher Ln Austin Tx 78741-6622	512-275-6027 512-716-8001 FMCGHEE@FLMA.ORG	MDB	M/African American	AU
	VC0000101227 GONZALEZ - DE LA GARZA & ASSOCIATES 8313 Gallatin Dr Austin Tx 78736	512-785-9856 210-208-9401 AGONZALEZ@GDA-US.COM	MWDB	F/Hispanic	AU

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C Code & Description	Vend Code/Adr	Phone/Fax/Email	W/MB Code	G/E	LCTN
	SAN2347000 HICKS & CO ENVIRONMENTAL/ARCHEOLOGICAL CONSULTANTS 1504 W 5th St Austin Tx 78703-5157	512-478-0858 512-474-1849 HICKS@HICKSENV.COM	WDB	F/Caucasian	AU
	HOR2411500 HORIZON ENVIRONMENTAL SVCS INC 1507 South lh-35 Austin Tx 78741	512-328-2430 512-328-1804 lee_sherrod@horizon-esi.com	WDB	F/Caucasian	AU
	KFR8309453 K FRIESE & ASSOC INC 1120 S Capital Of Texas Hwy, Cityview 2, Ste 100 Austin Tx 78746	512-338-1704 512-338-1784 kfriese@kfriese.com	WDB	F/Caucasian	AU
	VS0000032495 O-SDA Industries, LLC 323 Congress Ave Austin Tx 78701	830-330-0762 512-608-4004 mdeluna@o-sda.com	MWB	F/Native American	AU
	SIT4249250 SITE SPECIFICS INC 700 N Lamar Blvd Ste 200a Austin Tx 78703-5430	512-472-5252 512-472-2224 specificsites@aol.com	WDB	F/Caucasian	AU
	V00000921504 TERRA ECOSERVICES LLC 11006 Swelfling Terrace Austin Tx 78737	5122882167 hross@terraecoservices.com	WDB	F/Caucasian	SL
	V00000908538 TOORAN KHOSH 3910 Galacia Dr Austin Tx 78759	512-461-5610 leavemail@yahoo.com	MWDB	F/Asian	AU

### 96114 Commissioning of Facilities Services (Functional & Prefuncti

	V00000921397 APTUS ENGINEERING LLC 3400 Tavistock Dr Austin Tx 78748	5128504770 sujay@aptuseng.com	MB	M/Asian	AU
	ARC7068555 AUSTIN ARCHITECTURE PLUS INC 1907 N Lamar Blvd Ste 260 Austin Tx 78705-4900	512-478-0970 512-478-0920 info@austinarchplus.com	WDB	F/Caucasian	AU
	ENC1735650 ENCOTECH ENGINEERING CONSULTANTS INC 8500 Bluffstone Cove, #B-103 Austin Tx 78759	512-338-1101 101 512-338-1160 KHATAW@ENCOTECHENGINEERING.COM	MB	M/Asian	AU

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	JAS2584500 JASMINE ENGINEERING INC 100 Congress Ave Ste 2000 Austin Tx 78701	512-326-2900 512-326-2906 JASMINE@JASMINEENGINEERING.COM	MWDB	F/Hispanic	AU
	VS0000028414 Lackey Commercial Properties, LLC Po Box 41270 Austin Tx 78704	512-971-1201 8883817794 mwlackey@lc-cx.com	MDB	M/Hispanic	AU
	V00000917399 Nodal Partners, LLC 13640 Briarwick Dr. Suite 180 Austin Tx 78729	512-364-0688 128  lindsaypalinsky@beeusa.com	MB	M/Asian	AU
	V00000906661 PDG Ventures, LLC 106 E. 6th Street Suite 900 Austin Tx 78701	512-322-5333 5125829515 rayburn@valterarealty.com	WDB	F/Caucasian	AU
	ARI0290800 THE ARIZPE GROUP INC 6330 E Hwy 290 Ste 375 Austin Tx 78723-1156	512-339-3707 512-339-3709 Robert.Arizpe@Arizpe.com	MDB	M/Hispanic	AU
	VS0000011064 Texas Energy Engineering Services, Inc. 1301 S. Capital Of Texas Highway Suite B-325 Austin Tx 78746	512-328-2533 201 512-328-2544 Saleem@teesi.com	MDB	M/Asian	AU
<b>96847 Inspection Services, Construction Type</b>					
	AGU8313738 AGUIRRE & FIELDS LP 12708 Riata Vista Circle Ste A-109 Austin Tx 78727	512-609-1502 1507 281-340-8909 chris.bilich@aguirre-fields.com	MDB	M/Hispanic	AU
	ALL8308356 ALL POINTS INSPECTION SVCS INC 6613 Bramber Ln Austin Tx 78754	512-272-5056 512-272-8278 AUSTIN@APISGROUP2.COM	MWDB	F/African American	AU
	VC0000102621 ANDERSON CONSTRUCTION SERVICES LLC 10305 Trout Cv Austin Tx 78749	512-923-4737 5122808256 acsastintx@gmail.com	MWDB	F/Native American	AU

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	BAE7086810 BAER ENGINEERING & ENVIRONMENTAL CONSULTING INC 7756 Northcross Dr Ste 211 Austin Tx 78757-1725	512-453-3733 512-453-3316 tbaer@BaerEng.com	WDB	F/Caucasian	AU
	CED7142775 CEDA-TEX SVCS INC 500 Brushy Creek Road, Suite 306 Cedar Park Tx 78613	512-339-0155 5123392829 contact@cedatex.com	MDB	M/African American	AU
	VC0000102500 CIVIL LAND GROUP LLC 206 W Main St Ste 101 Round Rock Tx 78664	512-992-0118 512-246-1856 BFRYE@CIVLNDGRP.COM	MWB	F/Hispanic	SL
	ENC1735650 ENCOTECH ENGINEERING CONSULTANTS INC 8500 Bluffstone Cove, #B-103 Austin Tx 78759	512-338-1101 101 512-338-1160 KHATAW@ENCOTECHENGINEERING.COM	MB	M/Asian	AU
	FOS7156650 FOSTER CM GROUP INC 111 Congress Ave 4th Fl Austin Tx 78701-4050	210-804-1004 210-828-5484 alindsey@fostercmgroup.com	MDB	M/African American	AU
	HAF8322659 HAFCO SERVICES INC 11411 Bristle Oak Trail Austin Tx 78750-1307	512-925-1193 512-996-0722 humberto.friede@hafcoservices.com	MDB	M/Hispanic	AU
	HAY2261500 HAYNES-EAGLIN-WATERS Po Box 82448 Austin Tx 78708-2448	512-451-6600 512-879-1088 chaynes@hewaustin.com	MWDB	F/African American	AU
	IHS8315176 IHS DESIGN STUDIO 3005 S Lamar Blvd #D109 Pmb314 Austin Tx 78704-9995	512-589-5844 LESMARRERO@AUSTIN.RR.COM	MWB	F/African American	AU
	JAS2584500 JASMINE ENGINEERING INC 100 Congress Ave Ste 2000 Austin Tx 78701	512-326-2900 512-326-2906 JASMINE@JASMINEENGINEERING.COM	MWDB	F/Hispanic	AU
	V00000907327 JOSEPH P GARCIA 804 W Walter Ave Pflugerville Tx 78660	512-423-9357 5129396365 jpgconstinsp@att.net	MDB	M/Hispanic	AU
	VS0000013540 JUST GROOVING LTD CO LLC 5428 Walnut Grove Dr Austin Tx 78744	512-773-7180 512-524-4589 wyette@justgrooving.com	MDB	M/African American	AU

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	PAV8303934 PAVETEX ENGINEERING & TESTING INC 3989 Hwy 290 E Dripping Springs Tx 78620-4287	512-894-3040 512-858-2921 saraht@pavetex.com	MWDB	F/Hispanic	SL
	VS0000004650 RGT Engineering, Inc. 1000 Heritage Center Circle Round Rock Tx 78664	512-689-2341 512-382-6851 rgonzalez@rgtengineering.com	MDB	M/Hispanic	SL
	V00000901562 Regional Engineering Inc. 818 Wagon Trail Suit # 102 Austin Tx 78758	512-507-9355 5126708915 reiaustx@gmail.com	MDB	M/Asian	AU
	V00000911256 Rodriguez Engineering Laboratories LLC 13809 Turbine Drive Austin Tx 78728	512-251-4454 5122511380 rodriguezlab@aol.com	MDB	M/Hispanic	AU
	STE8305142 STEINMAN LUEVANO STRUCTURES LLP 5901 Old Fredericksburg Rd B101 Austin Tx 78749	512-891-6766 512-891-6966 john@slstructures.com	MDB	M/Hispanic	AU
	V00000900746 Under Construction, Inc. 233 W. Anderson Lane, Suite B505 Austin Tx 78752	512-900-7956 5129007957 info@ucitexas.com	MDB	M/African American	AU
	VIC7091950 VICKREY & ASSOC INC 1717 W 6th St Ste 260, Hartland Plaza Austin Tx 78703	512-494-8014 512-494-8054 austin@VICKREYNET.COM	WDB	F/Caucasian	AU

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## Vendors Outside the SLBP Area

### 90602 Acoustics; Noise Abatement - Architectural Service

V00000907873 REED FIRE PROTECTION ENGINEERING LLC 14135 Midway Road Ste. G260 Addison Tx 75001	214-638-7599 102 2146384710 droberts@reedfire.com	MB	M/Hispanic	TX
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### 90607 Architect Services, Professional

DUR8311134 DURAND-HOLLIS RUPE ARCHITECTS INC Building 18 San Antonio Tx 78230	210-308-0080 210-697-3309 office@dhrarchitects.com	MDB	M/Hispanic	TX
VS0000024106 Melissa L Brand-Vokey 3044 Old Denton Dr Suite 111-249 Carrollton Tx 75007	972-741-3705 mbv@bv-arch.com	WB	F/Caucasian	TX
V00000907873 REED FIRE PROTECTION ENGINEERING LLC 14135 Midway Road Ste. G260 Addison Tx 75001	214-638-7599 102 2146384710 droberts@reedfire.com	MB	M/Hispanic	TX
WES8311414 WESTEAST DESIGN GROUP L L C 200 E Grayson St Ste 207 San Antonio Tx 78215-1267	210-530-0755 210-530-9427 katherinek@westeastdesign.com	MDB	M/Asian	TX

### 90779 Surveying Services (Not Aerial or Research)

VS0000032809 EBG Engineering, LLC. 10300 N Central Expy, Ste 160 Dallas Tx 75231	2143213861 2143612131 support@ebgeng.com	WDB	F/Caucasian	TX
VS0000019943 JQ INFRASTRUCTURE LLC 2105 Commerce Steet Suite 200 Dallas Tx 75201	972-392-7340 214-550-2536 crm@mwbgroup.com	MDB	M/Asian	TX
KUN7086405 KUNA ENTERPRISES INC 1610 Lakeshore Dr Abilene Tx 79602	325-672-3171 NICKKK@SBCGLOBAL.NET	MDB	M/Asian	TX

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	V00000911438 Lamb-Star Engineering, LP Suite 1000 Plano Tx 75093	214-440-3600 2144403601 john.lamb@lamb-star.com	MDB	M/Native American	TX
	V00000914457 MHR Engineering, LLC. 16845 Blanco Road, Suite 106 San Antonio Tx 78232	210-641-0734 2104972227 hrashid@mhreng.com	MDB	M/Asian	TX
	POZ8319072 POZNECKI-CAMARILLO INC 5835 Callaghan Rd Ste 200 San Antonio Tx 78228-1224	210-349-3273 210-349-4395 fcamarillo@pozcam.com	MDB	M/Hispanic	TX
<b>91826 Communications: Public Relations Consulting</b>					
	BOW7102455 BOWMAN-MELTON ASSOC INC 4140 Commerce St, Ste 101 Dallas Tx 75226	214-828-2144  amelton@bowman-melton.com	WDB	F/Caucasian	TX
	VC0000102141 DM DUKES & ASSOCIATES INC 3218 E MI King Jr Blvd Ste 104 Austin Tx 78	512-481-0506 512-990-3809 DUKESDM@AOL.COM	MWDB	F/African American	TX
	VS0000027333 Green and Sustainable Services, LLC 2421 Amyx Ranch Drive Ponder Tx 76259	940-597-3723 9404792009 tsmith@gmserv.com	WDB	F/Caucasian	TX
	RJR8317892 RJ RIVERA ASSOC INC 601 Nw Loop 410, Suite 410 San Antonio Tx 78216	210-785-0888 2103405664 melissa.barton@rjrivera.com	MDB	M/Hispanic	TX
	SWA8303727 SWAYZER ENGINEERING INC 3102 Maple Ave Ste 450 Dallas Tx 75201-1261	713-942-7929 281-480-5580 info@swayzer.com	MWDB	F/African American	TX
<b>92517 Civil Engineering</b>					
	AVI0530500 AVIATION ALLIANCE INC Po Box 799 Colleyville Tx 76034-0799	817-498-0388 817-281-1867 Shirley@AviationAllianceInc.com	WDB	F/Caucasian	TX
	VC0000103077 BRIONES CONSULTING & ENGINEERING LTD 8118 Broadway San Antonio Tx 78209	210-828-1431 210-828-1432 RBRIONES@BRIONESENGINEERING.COM	MDB	M/Hispanic	TX

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	VS0000032809 EBG Engineering, LLC. 10300 N Central Expy, Ste 160 Dallas Tx 75231	2143213861 2143612131 support@ebgeng.com	WDB	F/Caucasian	TX
	VS0000014891 Eckermann Engineering, Inc. 202 Spring Ho Avenue Lampasas Tx 76550	512-556-8160 5125565122 derrek@eckermannengineering.com	MB	M/Native American	TX
	VS0000037291 GC Engineering, Inc. 2505 Park Avenue Pearland Tx 77581	281-412-7008 281-412-4623 crodrigo@gc-engineering.com	MWDB	F/Hispanic	TX
	V00000908561 Goetting Rowe Engineering, LLC 130 Regents Park San Antonio Tx 78230	210-530-7800 bkellyrowe@goettingrowe.com	WB	F/Caucasian	TX
	HVJ2459750 HVJ ASSOCIATES INC 6120 S Dairy Ashford Houston Tx 770072	512-447-9081 281-933-7293 HJOHNSON@HVJ.COM	MDB	M/African American	TX
	VS0000023267 Hayden Consultants, Inc. 5646 Milton St. Suite 515 Dallas Tx 75206	214-753-8100 214-750-9329 bhart@haydenconsultants.com	WDB	F/Caucasian	TX
	IBA7153325 IBARRA CONSULTING ENGINEERS INC 3131 Turtle Creek Blvd #1151 Dallas Tx 75219-5445	214-219-1030 214-219-1035	MWDB	F/Hispanic	TX
	VS0000019943 JQ INFRASTRUCTURE LLC 2105 Commerce Steet Suite 200 Dallas Tx 75201	972-392-7340 214-550-2536 crm@mwbgroup.com	MDB	M/Asian	TX
	VS0000030321 Jaymark Engineering Corporation 16000 Stuebner Airline Rd., Suite 320 Spring Tx 77379	281-374-0399 281-374-0391 brandon@jaymarkengineering.com	MDB	M/African American	TX
	VS0000025710 LDP Consultants, Inc. 2115 Chantilly Ln Houston Tx 77018	832-489-9928 linda.pechacek@sbcglobal.net	WB	F/Caucasian	TX
	V00000911438 Lamb-Star Engineering, LP Suite 1000 Plano Tx 75093	214-440-3600 2144403601 john.lamb@lamb-star.com	MDB	M/Native American	TX
	MAE8319636 MAESTAS & ASSOCIATES INC 11550 Ih 10 W Ste 320 San Antonio Tx 78230	210-366-1988 210-366-1980 EMAESTAS@MAESCE.COM	MDB	M/Hispanic	TX

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	V00000914457 MHR Engineering, LLC. 16845 Blanco Road, Suite 106 San Antonio Tx 78232	210-641-0734 2104972227 hrashid@mhreng.com	MDB	M/Asian	TX
	V00000905113 OMEGA ENGINEERS, INC. 16420 Park Ten Place., Suite 520 Houston Tx 77084	281-647-9182 2816479184 scastaneda@omegaengineers.com	MDB	M/Hispanic	TX
	POZ8319072 POZNECKI-CAMARILLO INC 5835 Callaghan Rd Ste 200 San Antonio Tx 78228-1224	210-349-3273 210-349-4395 fcamarillo@pozcam.com	MDB	M/Hispanic	TX
	RJR8317892 RJ RIVERA ASSOC INC 601 Nw Loop 410, Suite 410 San Antonio Tx 78216	210-785-0888 2103405664 melissa.barton@rjrivera.com	MDB	M/Hispanic	TX
	VS0000011185 RODS Subsurface Utility Engineering, Inc. 6810 Lee Road Suite 300 Spring Tx 77379	713-560-6933 hilda@rodssue.cc	MWDB	F/Hispanic	TX
	VS0000034345 SE3, LLC 230 Sw Main St. Suite 213 Lees Summit Mo 64063	630-464-9900 708 469-2566 mspires@se3.us	MDB	M/African American	OS
	V00000917428 TLC Engineering Inc. 8204 Westglen Drive Houston Tx 77063	713-868-6900 7138680001 tonycouncil@tlceng.com	MDB	M/African American	TX
	UNI8318182 UNINTECH CONSULTING ENGINEERS INC 2431 E Evans Rd. San Antonio Tx 78259	210-641-6003 210-641-8279 apeters@unintech.com	MWB	F/Asian	TX
	VAC8317945 V&A CONSULTING ENGINEERS INC 155 Grand Ave Ste 700 Oakland Ca 94612-3592	510-903-6600 510-903-6001 KBell@vaengineering.com	MB	M/Hispanic	OS
	V00000912661 Verdunity, Inc. Suite #110 Dallas Tx 75248	214-729-8733 kristin@verdunity.com	WDB	F/Other Minority	TX
	VS0000028307 Watearth, Inc. P.O. Box 10194 Houston Tx 77206-0194	832-444-0663 8005193774 jwalker@watearth.com	WB	F/Caucasian	TX

92544 General Construction: Management, Scheduling, Cost

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C Code & Description	Vend Code/Adr	Phone/Fax/Email	W/MB Code	G/E	LCTN
	VS0000014891 Eckermann Engineering, Inc. 202 Spring Ho Avenue Lampasas Tx 76550	512-556-8160 5125565122 derrek@eckermannengineering.com	MB	M/Native American	TX
	V00000904851 MS ENGINEERING, LLC. 208 Chattington Ct. San Antonio Tx 78213	210-885-9270 2103204191 msquire@msengnieeringus.com	MWDB	F/Asian	TX
	V00000905113 OMEGA ENGINEERS, INC. 16420 Park Ten Place., Suite 520 Houston Tx 77084	281-647-9182 2816479184 scastaneda@omegaengineers.com	MDB	M/Hispanic	TX
	SWA8303727 SWAYZER ENGINEERING INC 3102 Maple Ave Ste 450 Dallas Tx 75201-1261	713-942-7929 281-480-5580 info@swayzer.com	MWDB	F/African American	TX
	V00000909036 Smith-Mobley, Inc. Suite 1200 Houston Tx 77098	713-831-6817 7138316888 ldsmith@smithmobleyinc.com	MDB	M/African American	TX
<b>92546 GEOTECHNICAL - SOILS</b>					
	ARI8319669 ARIAS & ASSOCIATES INC 142 Chula Vista San Antonio Tx 78232-3015	210-308-5884 2103085886 eramirez@ariasinc.com	MDB	M/Hispanic	TX
	HVJ2459750 HVJ ASSOCIATES INC 6120 S Dairy Ashford Houston Tx 770072	512-447-9081 281-933-7293 HJOHNSON@HVJ.COM	MDB	M/African American	TX
	V00000919268 JRB Engineering, LLC 7701 West Little York, Suite 600 Houston Tx 77040	713-996-9979 1404 7139969972 egarcia@jrbengineering.com	MDB	M/Hispanic	TX
<b>92557 Instrumentation/Engineering</b>					
	GUP7149060 GUPTA & ASSOC INC 13626 Gamma Road Dallas Tx 75244-	972-490-7661 972-490-7125 VKGUPTA@GAICONSULTING.COM	MB	M/Asian	TX
	V00000917428 TLC Engineering Inc. 8204 Westglen Drive Houston Tx 77063	713-868-6900 7138680001 tonycouncil@tlceng.com	MDB	M/African American	TX

## City of Austin Subcontract Vendor List - VCRCVS

Solicitation No.: RFQS 6100 CLMP165 Engineering Services for Downtown District Cooling Plant #3

Version No.: 1

Phase: 1

C Code & Description	Vend Code/Adr	Phone/Fax/Email	W/MB Code	G/E	LCTN
	V00000913751 Team One Integration, LLC P.O. Box 117370 Carrollton Tx 75011	214-718-7253  hli@teamoneintegration.com	MDB	M/Asian	TX
<b>92567 Mechanical Engineering</b>					
	VS0000020957 Azcarate & Associates Consulting Engineers, LLC 7920 Belt Line Road, Suite 930 Dallas Tx 75254	214-217-9993  razcarate@aace-eng.com	MDB	M/Hispanic	TX
	CNG8321131 CNG ENGINEERING P L L C 1917 N New Braunfels Ave Ste 201 San Antonio Tx 78208-1419	210-224-8841 210-224-8824 TRAVIS.WILTSHIRE@CNGENGINEERING.COM	MDB	M/African American	TX
	V00000908561 Goetting Rowe Engineering, LLC 130 Regents Park San Antonio Tx 78230	210-530-7800  bkellyrowe@goettingrowe.com	WB	F/Caucasian	TX
	VS0000035278 RGM Engineering, LLC 700 N Saint Marys Suite 1225 San Antonio Tx 78205	210-299-4522 204 210-299-4525 elizabeth@rgmengineering.net	MB	M/Hispanic	TX
	SWA8303727 SWAYZER ENGINEERING INC 3102 Maple Ave Ste 450 Dallas Tx 75201-1261	713-942-7929 281-480-5580 info@swayzer.com	MWDB	F/African American	TX
	V00000917428 TLC Engineering Inc. 8204 Westglen Drive Houston Tx 77063	713-868-6900 7138680001 tonycouncil@tlceng.com	MDB	M/African American	TX
<b>92588 Structural Engineering</b>					
	AVI0530500 AVIATION ALLIANCE INC Po Box 799 Colleyville Tx 76034-0799	817-498-0388 817-281-1867 Shirley@AviationAllianceInc.com	WDB	F/Caucasian	TX
	VC0000103077 BRIONES CONSULTING & ENGINEERING LTD 8118 Broadway San Antonio Tx 78209	210-828-1431 210-828-1432 RBRIONES@BRIONESENGINEERING.COM	MDB	M/Hispanic	TX

**City of Austin  
Subcontract Vendor List - VCRCVS**

Solicitation No.: RFQS 6100 CLMP165 Engineering Services for Downtown District Cooling Plant #3

Version No.: 1

Phase: 1

C Code & Description	Vend Code/Adr	Phone/Fax/Email	W/MB Code	G/E	LCTN
	VS0000032809 EBG Engineering, LLC. 10300 N Central Expy, Ste 160 Dallas Tx 75231	2143213861 2143612131 support@ebgeng.com	WDB	F/Caucasian	TX
	IBA7153325 IBARRA CONSULTING ENGINEERS INC 3131 Turtle Creek Blvd #1151 Dallas Tx 75219-5445	214-219-1030 214-219-1035	MWDB	F/Hispanic	TX
	VS0000019943 JQ INFRASTRUCTURE LLC 2105 Commerce Steet Suite 200 Dallas Tx 75201	972-392-7340 214-550-2536 crm@mwbgroup.com	MDB	M/Asian	TX
	VS0000030321 Jaymark Engineering Corporation 16000 Stuebner Airline Rd., Suite 320 Spring Tx 77379	281-374-0399 281-374-0391 brandon@jaymarkengineering.com	MDB	M/African American	TX
	V00000905113 OMEGA ENGINEERS, INC. 16420 Park Ten Place., Suite 520 Houston Tx 77084	281-647-9182 2816479184 scastaneda@omegaengineers.com	MDB	M/Hispanic	TX
	V00000919663 OUTLIER ENGINEERING INC 240 Oak Court New Braunfels Tx 78132	830-625-5947  amys@outliereng.com	WB	F/Caucasian	TX
	VS0000035278 RGM Engineering, LLC 700 N Saint Marys Suite 1225 San Antonio Tx 78205	210-299-4522 204 210-299-4525 elizabeth@rgmengineering.net	MB	M/Hispanic	TX
	V00000917428 TLC Engineering Inc. 8204 Westglen Drive Houston Tx 77063	713-868-6900 7138680001 tonycouncil@tlceng.com	MDB	M/African American	TX
	UNI8318182 UNINTECH CONSULTING ENGINEERS INC 2431 E Evans Rd. San Antonio Tx 78259	210-641-6003 210-641-8279 apeters@unintech.com	MWB	F/Asian	TX
<b>92670 Permitting Services, Environmental</b>					
	VS0000027333 Green and Sustainable Services, LLC 2421 Amyx Ranch Drive Ponder Tx 76259	940-597-3723 9404792009 tsmith@grnserv.com	WDB	F/Caucasian	TX

## City of Austin Subcontract Vendor List - VCRCVS

Solicitation No.: RFQS 6100 CLMP165 Engineering Services for Downtown District Cooling Plant #3

Version No.: 1

Phase: 1

C Code & Description	Vend Code/Adr	Phone/Fax/Email	W/MB Code	G/E	LCTN
	VS0000017547 MEDINA CONSULTING COMPANY INC 6391 Dezavala Rd, Ste 113 San Antonio Tx 78249	210-694-4545 210-694-4577 kmcgooney@medinacci.com	WDB	F/Caucasian	TX
	POZ8319072 POZNECKI-CAMARILLO INC 5835 Callaghan Rd Ste 200 San Antonio Tx 78228-1224	210-349-3273 210-349-4395 fcamarillo@pozcam.com	MDB	M/Hispanic	TX
	V00000904056 Terra Nova Consulting, Inc. 2425 Fountain View Drive, Suite 310-B Houston Tx 77057	713-482-8787 8322022524 lina.jazi@ternov.com	WDB	F/Caucasian	TX
<b>96114 Commissioning of Facilities Services (Functional &amp; Prefuncti</b>					
	VS0000020957 Azcarate & Associates Consulting Engineers, LLC 7920 Belt Line Road, Suite 930 Dallas Tx 75254	214-217-9993 razcarate@aace-eng.com	MDB	M/Hispanic	TX
<b>96847 Inspection Services, Construction Type</b>					
	V00000905113 OMEGA ENGINEERS, INC. 16420 Park Ten Place., Suite 520 Houston Tx 77084	281-647-9182 2816479184 scastaneda@omegaengineers.com	MDB	M/Hispanic	TX
	UNI8318182 UNINTECH CONSULTING ENGINEERS INC 2431 E Evans Rd. San Antonio Tx 78259	210-641-6003 210-641-8279 apeters@unintech.com	MWB	F/Asian	TX
				Total in SLBP:	294
				Total Outside SLBP:	76

360 Professional Services, Inc.  
P.O. Box 3639  
Cedar Park Tx 78630

Accurate Cad & Technical Services Inc  
8500 Bluffstone Cv Ste B-103b  
Austin Tx 78759-7811

Acme Architecture  
1001 E 8th St  
Austin Tx 78702-3249

Adisa Public Relations  
12401 Los Indios Trail 42  
Austin Tx 78729

Aguirre & Fields Lp  
12708 Riata Vista Circle Ste A-109  
Austin Tx 78727

Alan Y Taniguchi Architect  
& Assoc Inc 1609 W 6th St  
Austin Tx 78703-5059

All Points Inspection Svcs Inc  
6613 Bramber Ln  
Austin Tx 78754

Alliance-Texas Engineering Company  
11500 Metric Blvd Bldg M1, Ste 150  
Austin Tx 78758

Amelia C Sondgeroth  
2638 Barton Hills Dr  
Austin Tx 78704

Ana D Gallo  
1501 Barton Springs Rd #230  
Austin Tx 78704

Anderson Construction Services Llc  
10305 Trout Cv  
Austin Tx 78749

Andrew A Rodriguez  
8137 Osborne Dr  
Austin Tx 78729-8074

Aptus Engineering Llc  
3400 Tavistock Dr  
Austin Tx 78748

Arche Llc  
5700 North Hampton Rd  
Austin Tx 78723

Arias & Associates Inc  
142 Chula Vista  
San Antonio Tx 78232-3015

Asd Consultants Inc  
8120 N Ih 35  
Austin Tx 78753

Asia Trading Inc  
13401 Wyoming Valley Dr  
Austin Tx 78727-3427

Austin Architecture Plus Inc  
1907 N Lamar Blvd Ste 260  
Austin Tx 78705-4900

Aviation Alliance Inc  
Po Box 799  
Colleyville Tx 76034-0799

Axiom Engineers Inc  
13276 Research Blvd Ste 208  
Austin Tx 78750

Ays Engineering, Llc  
203 E. Main Street Ste 204  
Round Rock Tx 78664

Amaterra Environmental, Inc.  
4009 Banister Lane, Ste. 300  
Austin Tx 78704

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Llc  
7920 Belt Line Road, Suite 930  
Dallas Tx 75254

B+V Design, Llc  
208 W. 4th St., 3a  
Austin Tx 78701

Baer Engineering & Environmental Consulting  
Inc  
7756 Northcross Dr Ste 211  
Austin Tx 78757-1725

Barnes Gromatzky Kosarek  
1508 W. 5th Street, Suite 200  
Austin Tx 78703-5137

Benz Resource Group Inc  
1101-B E 6th St  
Austin Tx 78702

Betty Rogers  
6810 Miranda Dr  
Austin Tx 78752-3118

Beverly S. Silas  
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Austin Tx 78767-0493

Blgly Inc  
2204 Forbes Dr Ste 101  
Austin Tx 78754-5143

Bobbie Garza-Hernandez  
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Austin Tx 78764-3911

Bowman Engineering & Consulting Inc  
902 Rio Grande  
Austin Tx 78701

Bowman-Melton Assoc Inc  
4140 Commerce St, Ste 101  
Dallas Tx 75226

Briones Consulting & Engineering Ltd  
8118 Broadway  
San Antonio Tx 78209

Carol Sue Hadnot  
6448 Hwy 290 E Ste E107  
Austin Tx 78723-1041

Carter Design Assoc Inc  
817 W 11th St  
Austin Tx 78701-2009

Cas Consulting & Svcs Inc  
7908 Cameron Rd  
Austin Tx 78754

Ceda-Tex Svcs Inc  
500 Brushy Creek Road, Suite 306  
Cedar Park Tx 78613

Chan & Partners Engineering Llc  
4319 James Casey St Ste 300  
Austin Tx 78745

Chaparral Professional Land Surveying Inc  
3500 McCall Lane  
Austin Tx 78744

Civil Land Group Llc  
206 W Main St Ste 101  
Round Rock Tx 78664

Clotey Engineering Inc  
210 N Kings Canyon Dr  
Cedar Park Tx 78613-3043

Cng Engineering P L L C  
1917 N New Braunfels Ave Ste 201  
San Antonio Tx 78208-1419

Cotera + Reed Architects Inc  
812 San Antonio St., Ste. 406  
Austin Tx 78701

Crespo Consulting Services Inc  
4131 Spicewood Springs Rd #B2  
Austin Tx 78759-8658

Castleberry Engineering & Consulting, P.L.L.C.  
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Cindy L French  
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Concept Development & Planning, Llc  
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Austin Tx 78763-5459

Cook-Joyce, Inc.  
812 W. 11th Street  
Austin Tx 78701

Corsair Consulting Llc  
9442 Capital Of Texas Hwy N Plaza One, Suite  
500  
Austin Tx 78759

Cox Mclain Environmental Consulting, Inc.  
6010 Balcones Dr Ste 210  
Austin Tx 78731

Cultural Strategies Inc.  
3300 Bee Cave Rd. #650-1136  
Austin Tx 78746

D. F. Noble Consulting, Llc  
1185 Taylor Ranch Road  
Wimberley Tx 78676

Datum Gojer Engineers L L C  
5929 Balcones Dr Ste 100  
Austin Tx 78731

Davcar Inc  
1010 Land Creek Cove Ste 200  
Austin Tx 78746-

Dickensheets Design Associates Llc  
12335 Hymeadow Dr Ste 200  
Austin Tx 78750-1816

Dk Studio, Pc  
611 West 15th Street  
Austin Tx 78701

Dm Dukes & Associates Inc  
3218 E MI King Jr Blvd Ste 104  
Austin Tx 78

Dorothy M Bothne  
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Leander Tx 78641

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14603 Huebner Rd Building 18  
San Antonio Tx 78230

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Buda Tx 78610

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8500 Bluffstone Cove, #B-103  
Austin Tx 78759

Engineered Exteriors, Pllc  
13740 Research Blvd. Suite C2  
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Eq Consultants Group  
12329 Double Tree Lane  
Austin Tx 78750

Eckermann Engineering, Inc.  
202 Spring Ho Avenue  
Lampasas Tx 76550

Energy Renewal Partners, Llc  
305 Camp Craft Rd Suite 575  
Westlake Hills Tx 78746

Facilities Resource Inc  
9737 Great Hills Trail Suite 305  
Austin Tx 78759

Fayez S Kazi  
411 W Saint Elmo Rd Unit #1  
Austin Tx 78745

Foster Cm Group Inc  
111 Congress Ave 4th Fl  
Austin Tx 78701-4050

Frank Lam & Assoc Inc  
508 W 16th St  
Austin Tx 78701-1502

Fred L Mcghee & Associates  
2316 Thrasher Ln  
Austin Tx 78741-6622

G Sylva, Llc  
9712 Indina Hills Dr.  
Austin Tx 78717

Gc Engineering, Inc.  
2505 Park Avenue  
Pearland Tx 77581

Global Engineers Inc  
4219 Pebblestone Trl  
Round Rock Tx 78665-5027

Gonzalez - De La Garza & Associates  
8313 Gallatin Dr  
Austin Tx 78736

Gupta & Assoc Inc  
13626 Gamma Road  
Dallas Tx 75244-

Gamble Osgood Collaborative, Llc  
4015 Avenue D  
Austin Tx 78751

Garzabury, L.L.C.  
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Austin Tx 78701

Goetting Rowe Engineering, Llc  
130 Regents Park  
San Antonio Tx 78230

Green And Sustainable Services, Llc  
2421 Amyx Ranch Drive  
Ponder Tx 76259

Hafco Services Inc  
11411 Bristle Oak Trail  
Austin Tx 78750-1307

Harkins Engineering Inc  
3300 Lost Oasis Hollow  
Austin Tx 78739-7603

Harutunian Engineering Inc  
305 E Huntland Dr Ste 500  
Austin Tx 78752-3730

Haynes-Eaglin-Waters  
Po Box 82448  
Austin Tx 78708-2448

Hejl Lee & Assoc Inc  
321 Ed Schmidt Blvd., Suite 100  
Hutto Tx 78634

Hicks & Co Environmental/Archeological  
Consultants  
1504 W 5th St  
Austin Tx 78703-5157

Hilario N Arriaga  
6708 Dubuque Lane  
Austin Tx 78723

Holt Engineering Inc  
2220 Barton Skyway  
Austin Tx 78704-5737

Horizon Environmental Svcs Inc  
1507 South Ih-35  
Austin Tx 78741

Hvj Associates Inc  
6120 S Dairy Ashford  
Houston Tx 770072

Hayden Consultants, Inc.  
5646 Milton St. Suite 515  
Dallas Tx 75206

I T Gonzalez Engineers  
3501 Manor Rd  
Austin Tx 78723-5815

Ibarra Consulting Engineers Inc  
3131 Turtle Creek Blvd #1151  
Dallas Tx 75219-5445

Ihs Design Studio  
3005 S Lamar Blvd #D109 Pmb314  
Austin Tx 78704-9995

Industrial Laminates Corporation  
Po Box 6070  
Austin Tx 78762

Inland Geodetics Llc  
1504 Chisholm Trail Rd Ste 103  
Round Rock Tx 78681

Jaime Beaman Aia Inc  
3821 Juniper Trace, Suite 104  
Austin Tx 78738-

Jasmine Engineering Inc  
100 Congress Ave Ste 2000  
Austin Tx 78701

Jm Engineering, Llc  
1314 Hillridge Drive  
Round Rock Tx 78665

Jose I Guerra Inc  
2401 S Ih-35 Ste 210  
Austin Tx 78741-3823

Joseph P Garcia  
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Pflugerville Tx 78660

Jq Infrastructure Llc  
2105 Commerce Steet Suite 200  
Dallas Tx 75201

Jq+Tsen Llc  
1608 West 6th St Suite 200  
Austin Tx 78703

Jrb Engineering, Llc  
7701 West Little York, Suite 600  
Houston Tx 77040

Just Grooving Ltd Co Llc  
5428 Walnut Grove Dr  
Austin Tx 78744

Jacqui Dodson Aia Architecture And Interior  
Design Inc  
2105 Arpdale St  
Austin Tx 78704

Jaymark Engineering Corporation  
16000 Stuebner Airline Rd., Suite 320  
Spring Tx 77379

K Friese & Assoc Inc  
1120 S Capital Of Texas Hwy, Cityview 2, Ste  
100  
Austin Tx 78746

Kb Pike Engineering Llc  
105 W Riverside Drive Suite 110  
Austin Tx 78704

Kuna Enterprises Inc  
1610 Lakeshore Dr  
Abilene Tx 79602

Kurkjian Engineering Corp  
111 W Anderson Ln Bldg D #202  
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Lam+Dci, Llc  
508 W 16th St  
Austin Tx 78701

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Houston Tx 77018

Leap Structures, Pllc  
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Limbacher & Godfrey Inc  
2124 E 6th St Unit 102  
Austin Tx 78702

Lopez Seidel Architects Inc  
9901 Brodie Lane, Suite 160  
Austin Tx 78748

Ls Johnston Architects  
1313 E 6th St  
Austin Tx 78702-3301

Latonya J Pegues  
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Austin Tx 78746

Lackey Commercial Properties, Llc  
Po Box 41270  
Austin Tx 78704

Lamb-Star Engineering, Lp  
5700 W. Plano Parkway Suite 1000  
Plano Tx 75093

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7604 Orrick Drive  
Austin Tx 78749

Macias & Associates Lp  
5410 S 1st St  
Austin Tx 78745-3040

Maestas & Associates Inc  
11550 Ih 10 W Ste 320  
San Antonio Tx 78230

Martha P Cotera  
1502 Norris Dr  
Austin Tx 78704-2021

Mccann Adams Studio  
515 Congress Ave, Ste 1600  
Austin Tx 78701

Mcgray & Mcgray Land Surveyors Inc  
3301 Hancock Dr Ste 6  
Austin Tx 78731-5441

Medina Consulting Company Inc  
6391 Dezavala Rd, Ste 113  
San Antonio Tx 78249

Mhr Engineering, Llc.  
16845 Blanco Road, Suite 106  
San Antonio Tx 78232

Miro Rivera Architects Inc  
505 Powell St  
Austin Tx 78703-5121

Ms Engineering, Llc.  
208 Chattington Ct.  
San Antonio Tx 78213

Mwm Designgroup Inc  
305 E Huntland Dr Ste 200  
Austin Tx 78752

Majestic Services Inc  
8120 North Ih 35, Suite 101  
Austin Tx 78753

Maldonado-Burkett Intelligent Transportation  
Systems, Llp  
2205 Western Trails Blvd. Ste B  
Austin Tx 78745-1638

Melissa L Brand-Vokey  
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Carrollton Tx 75007

Nancy Ledbetter & Associates Inc  
20020 Farm Pond Ln  
Pflugerville Tx 78660

Negrete & Kolar Architects Llp  
11720 North Ih35  
Austin Tx 78753

Nicole Francois  
1008 Sundance Ridge  
Dripping Springs Tx 78620

Nassri-Warren Group Architects, Inc.  
2313 Lake Austin Ave. Suite 209  
Austin Tx 78703

Nodal Partners, Llc  
13640 Briarwick Dr. Suite 180  
Austin Tx 78729

O-Sda Industries, Llc  
323 Congress Ave  
Austin Tx 78701

Office For Local Architecture Llc  
4105 Ave G Apt B  
Austin Tx 78751

Omega Engineers, Inc.  
16420 Park Ten Place., Suite 520  
Houston Tx 77084

Outlier Engineering Inc  
240 Oak Court  
New Braunfels Tx 78132

Oakhill Engineering, Llc  
5705 Janabyrd Lane  
Austin Tx 78749

P E Structural Consultants Inc  
8436 Spicewood Springs Rd  
Austin Tx 78759-6050

Pavetex Engineering & Testing Inc  
3989 Hwy 290 E  
Dripping Springs Tx 78620-4287

Pdg Ventures, Llc  
106 E. 6th Street Suite 900  
Austin Tx 78701

Pinnacle Process Solutions International Llc  
304 Cordova Cv  
Cedar Park Tx 78613

Power Quality Engineering Inc  
3061 Woodall Dr Bldg A  
Cedar Park Tx 78613-7225

Poznecki-Camarillo Inc  
5835 Callaghan Rd Ste 200  
San Antonio Tx 78228-1224

Professional Strucivil Engineers Inc  
12710 Research Blvd. Suite 390  
Austin Tx 78759

Providence Environmental Consulting Inc  
112 Las Colinas Dr  
Georgetown Tx 78628-1019

Reed Fire Protection Engineering Llc  
14135 Midway Road Ste. G260  
Addison Tx 75001

Rgm Engineering, Llc  
700 N Saint Marys Suite 1225  
San Antonio Tx 78205

Rgt Engineering, Inc.  
1000 Heritage Center Circle  
Round Rock Tx 78664

Rj Rivera Assoc Inc  
601 Nw Loop 410, Suite 410  
San Antonio Tx 78216

Rjw Operations Inc  
8401 Shoal Creek Blvd  
Austin Tx 78757

Rodriguez Transportation Group  
Inc 11211 Taylor Draper Ln Ste 100  
Austin Tx 78759

Rods Subsurface Utility Engineering, Inc.  
6810 Lee Road Suite 300  
Spring Tx 77379

Rosalind M Oliphant  
P.O. Box 3313  
Pflugerville Tx 78619

Regional Engineering Inc.  
818 Wagon Trail Suit # 102  
Austin Tx 78758

Rifeline, Llc  
1214 W. 5th Street Suite C  
Austin Tx 78703

Rios Engineering, Llc  
609 Irma Dr  
Austin Tx 78752

Rodriguez Engineering Laboratories Llc  
13809 Turbine Drive  
Austin Tx 78728

Rogers Moore Engineers, Llc  
221 West 6th Street Suite 826  
Austin Tx 78701

Saldana Homes Llc  
606 Allen St.  
Austin Tx 78702-4920

Se3, Llc  
230 Sw Main St. Suite 213  
Lees Summit Mo 64063

Site Specifics Inc  
700 N Lamar Blvd Ste 200a  
Austin Tx 78703-5430

Snap Management Group Inc  
6928 Robert Dixon Dr  
Austin Tx 78749-2218

Square One Consultants Inc  
1000 Westbank Dr Ste 4a  
Austin Tx 78746-7994

Stansberry Engineering Co.  
Po Box 309  
Manchaca Tx 78652-0309

Steinman Luevano Structures Llp  
5901 Old Fredericksburg Rd B101  
Austin Tx 78749

Structurespe L L P  
1018 W 11th St Ste 100  
Austin Tx 78703-4987

Studio 8 Architects Inc  
611 W 15th St  
Austin Tx 78701

Studio Balcones Llc  
702 San Antonio Street  
Austin Tx 78701

Sue Ellen Jackson  
8827 Silverarrow Circle  
Austin Tx 78759

Sunland Group Inc  
1033 La Posada Drive Suite 370  
Austin Tx 78752

Susan H Welker  
4911 Rollingwood Dr  
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Susan Roth Consulting Llc  
4111 Tablerock Dr  
Austin Tx 78731

Swayzer Engineering Inc  
3102 Maple Ave Ste 450  
Dallas Tx 75201-1261

Seiler/Lankes Group  
Po Box 2186  
Round Rock Tx 78680

Smith-Mobley, Inc.  
3730 Kirby Drive Suite 1200  
Houston Tx 77098

Studio D Consulting+Design, Llc  
Po Box 340183  
Lakeway Tx 78734

Synergia Discovery & Insight, Llp  
9600 Escarpment Boulevard Suite 745-201  
Austin Tx 78749

Techpeople.us Inc  
1508 Dessau Ridge Lane Ste 703  
Austin Tx 78754

Terra Ecoservices Llc  
11006 Swelfling Terrace  
Austin Tx 78737

The Arizpe Group Inc  
6330 E Hwy 290 Ste 375  
Austin Tx 78723-1156

The Lauck Group Inc  
106 East 6th Street Suite 700  
Austin Tx 78701

Third Land Inc  
P.O. Box 162137  
Austin Tx 78716-

Tlc Engineering Inc.  
8204 Westglen Drive  
Houston Tx 77063

Tooran Khosh  
3910 Galacia Dr  
Austin Tx 78759

Transtec Group Inc  
6111 Balcones Dr  
Austin Tx 78731-

Tricia Altamirano  
Consulting Engineer Inc 1101 S Cap Of Tx Hwy  
Ste 210d  
Austin Tx 78746-6438

Tsenstructural Llc  
906 E 53 1/2 St  
Austin Tx 78751

Taylor Collective Solutions, Llc  
1524 S. Ih-35, Suite 200  
Austin Tx 78704

Team One Integration, Llc  
P.O. Box 117370  
Carrollton Tx 75011

Terra Nova Consulting, Inc.  
2425 Fountain View Drive, Suite 310-B  
Houston Tx 77057

Texas Energy Engineering Services, Inc.  
1301 S. Capital Of Texas Highway Suite B-325  
Austin Tx 78746

Texas Engineering Solutions, Llc  
5000 Bee Caves Rd Suite 206  
Austin Tx 78746

Texas Pros, Llc  
Po Box 2604  
Austin Tx 78768

The Conrado Group, Inc.  
2126 Simbrah Drive  
Cedar Park Tx 78613

The Entermedia Group, Llc  
900 Rr 620 South, C101-153  
Austin Tx 78734

Unintech Consulting Engineers Inc  
2431 E Evans Rd.  
San Antonio Tx 78259

Urban Design Group  
3660 Stoneridge Rd Ste E101  
Austin Tx 78746-7759

Ute Consultants Inc  
2007 S 1st Street  
Austin Tx 78704

Under Construction, Inc.  
233 W. Anderson Lane, Suite B505  
Austin Tx 78752

V&A Consulting Engineers Inc  
155 Grand Ave Ste 700  
Oakland Ca 94612-3592

Vealenzuela Preservation Studio Llc  
4401 Hoffman Drive  
Austin Tx 78749

Vickrey & Assoc Inc  
1717 W 6th St Ste 260, Hartland Plaza  
Austin Tx 78703

Verdunity, Inc.  
17000 Preston Road Suite #110  
Dallas Tx 75248

W&D Enterprises, L.L.C.  
1747 Fort Grant Dr.  
Round Rock Tx 78665

Walker Texas Surveyors Inc  
Po Box 324  
Cedar Park Tx 78630-0324

Way Consulting Engineers Inc  
11615 Angus Rd Ste 119  
Austin Tx 78759-4004

Westeast Design Group L L C  
200 E Grayson St Ste 207  
San Antonio Tx 78215-1267

Watearth, Inc.  
P.O. Box 10194  
Houston Tx 77206-0194

Yates Consulting Inc  
611 S. Congress, Suite 100  
Austin Tx 78704

Yolanda Mcrae  
5800 Techni Center Drive #220  
Austin Tx 78721

Zamora Llc  
1435 S Loop 4  
Buda Tx 78610



**FORM 1**  
**PRIME FIRM GENERAL INFORMATION**

Solicitation Number: CLMP165

Engineering Services for Downtown District Cooling Plant #3

Project Name:

Firm Name:	
Firm Address:	
Headquarter Address if different than firm address listed	
Telephone number:	
Federal Tax ID Number:	
Contact Person (Person City should contact for questions with submittal):	
COA Vendor Registration Number:	
Address of contact person:	
Phone number of contract person:	
E-mail Address of contact person:	
Year of Registration:	
<i>If submitting as a joint venture, the following information is required for each joint venture firm.</i>	
Firm Name	
Participating Firms Percentage of Control:	
Number of Years in Business:	
Organization Type:	
Date of Organization (MM/YYYY):	
Date of Predecessor Organization:	

**Add Additional Joint Venture Firm****Office Personnel  
List of Principals and Titles:**

Name of Principal	
Title	
<b>Add Additional Principals</b>	
Personnel Other Than Principals	
Total number of employees in firm	
Number of registered environmental engineers	
Number of registered civil engineers	
Number of other registered engineers	
Number of other professionals	
Number of Support Personnel	
<b>Insurance Information</b>	
Worker's Compensation and Employers' Liability Insurance	
<input type="checkbox"/> Yes	<input type="checkbox"/> No
Commercial General Liability Insurance	
<input type="checkbox"/> Yes	<input type="checkbox"/> No
If "yes", please state limits.	
Business Automobile Liability Insurance	
<input type="checkbox"/> Yes	<input type="checkbox"/> No
If "yes", please state limits.	
Professional Liability Insurance	
<input type="checkbox"/> Yes	<input type="checkbox"/> No
If "yes", please state limits.	

**SUBCONSULTANT INFORMATION**

Complete the MBE/WBE Compliance Plan in the MBE/WBE Procurement Program package. All subconsultant recommendations will be subject to approval by the City. If for any reason an MBE or WBE subconsultant must be replaced, the prime consultant firm will be required to make good faith efforts to replace with another MBE or WBE.

Attach a letter from each subconsultant on the proposed team, confirming that they have been contacted and are prepared to provide services for the project.

<b>Addenda</b>			
Addendum No.	Date	Received By	
			Add another addendum

**OTHER CONSIDERATIONS**

Describe the quantity and nature of any work, interest in work, partnership interest, land ownership or other interest in any project, property or business dealing within the proposed project area or past or current business relationship which may give rise to a potential conflict of interest for your firm or associated firms in the execution of this project.



**FORM 2**  
**AFFIDAVIT OF AUTHENTICATION**

Solicitation Number: CLMP165

Project Name: Engineering Services for Downtown District Cooling Plant #3

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Entities submitting qualification statements shall provide authentication that the electronic version (CD or flash drive) of the Statement of Qualifications is an exact duplicate of the 'Original' hard copy submittal. The City of Austin is not responsible for discrepancies between the submitting firm's electronic version and 'Original' hard copy submittal. The City of Austin reserves the right to use the electronic version as an 'Original'.

I hereby certify that the electronic version of the Statement of Qualifications submitted is an exact duplicate of the 'Original' hard copy. I understand if there are discrepancies between the hard copy 'Original' and the electronic version, we may be deemed non-responsive.

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Title: \_\_\_\_\_

Firm/Entity: \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary Public

My Commission Expires \_\_\_\_\_

**END**



### FORM 3

## PRIME FIRM'S EEO PROGRAM AND TITLE VI ASSURANCES

Solicitation Number: CLMP165

Project Name: Engineering Services for Downtown District Cooling Plant #3

City of Austin, Texas

Human Rights Commission

To: City of Austin, Texas, ("OWNER")

I hereby certify that our firm conforms to the Code of the City of Austin Section 5-4-2 as reiterated below:

Chapter 5-4. Discrimination in Employment by City Consultants.

**Sec. 4-2 Discriminatory Employment Practices Prohibited.** (B) As an Equal Employment Opportunity (EEO) employer, the Consultant will conduct its personnel activities in accordance with established federal, state and local EEO laws and regulations and agrees:

- (1) Not to engage in any discriminatory employment practice defined in this chapter.
- (2) To take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without discrimination being practiced against them as defined in this chapter. Such affirmative action shall include, but not be limited to: all aspects of employment, including hiring, placement, upgrading, transfer, demotion, recruitment, recruitment advertising; selection for training and apprenticeship, rates of pay or other forms of compensation, and layoff or termination.
- (3) To post in conspicuous places, available to employees and applicants for employment, notices to be provided by OWNER setting forth the provisions of this chapter.
- (4) To state in all solicitations or advertisements for employees placed by or on behalf of Consultant, that all qualified applicants will receive consideration for employment without regard to race, creed, color, religion, national origin, sexual orientation, gender identity, disability, veteran status, sex or age.
- (5) To obtain a written statement from any labor union or labor organization furnishing labor or service to Consultants in which said union or organization has agreed not to engage in any discriminatory employment practices as defined in this chapter and to take affirmative action to implement policies and provisions of this chapter.
- (6) To cooperate fully with OWNER's Human Rights Commission in connection with any investigation or conciliation effort of said Human Rights Commission to ensure that the purpose of the provisions against discriminatory employment practices are being carried out.
- (7) To require compliance with provisions of this chapter by all subconsultants having fifteen or more employees who hold any subcontract providing for expenditure of \$2,000.00 or more in connection with any Agreement with OWNER subject to the terms of this chapter.

For the purposes of this statement of qualifications and any resulting Professional Services Agreement, Consultant adopts the provisions of the City's Minimum Standard Nondiscrimination Policy set forth below.

### City of Austin

#### Minimum Standard Nondiscrimination in Employment Policy

As an Equal Employment Opportunity (EEO) employer, the Consultant will conduct its personnel activities in accordance with established federal, state and local EEO laws and regulations.

The Consultant will not discriminate against any applicant or employee based on race, creed, color, national origin, sex, age, religion, veteran status, gender identity, disability, or sexual orientation. This policy covers all aspects of employment, including hiring, placement, upgrading, transfer, demotion, recruitment, recruitment advertising, selection for training and apprenticeship, rates of pay or other forms of compensation, and layoff or termination.

Further, employees who experience discrimination, sexual harassment, or another form of harassment should immediately report it to their supervisor. If this is not a suitable avenue for addressing their complaint, employees are advised to contact another member of management or their human resources representative. No employee shall be discriminated against, harassed, intimidated, nor suffer any reprisal as a result of reporting a violation of this policy. Furthermore, any employee, supervisor, or manager who becomes aware of any such discrimination or harassment should immediately report it to executive management or the human resources office to ensure that such conduct does not continue.

Consultant agrees that to the extent of any inconsistency, omission, or conflict with its current non-discrimination employment policy, the Consultant has expressly adopted the provisions of the City's Minimum Non-Discrimination Policy contained in Section 5-4-2 of the City Code and set forth above, as the Consultant's Non-Discrimination Policy or as an amendment to such Policy and such provisions are intended to not only supplement the Consultant's policy, but will also supersede the Consultant's policy to the extent of any conflict.

*UPON CONTRACT AWARD, THE CONSULTANT SHALL PROVIDE A COPY TO THE CITY OF THE CONSULTANT'S NON-DISCRIMINATION POLICY ON COMPANY LETTERHEAD, WHICH CONFORMS IN FORM, SCOPE, AND CONTENT TO THE CITY'S MINIMUM NON-DISCRIMINATION POLICY, AS SET FORTH HEREIN, OR THIS NONDISCRIMINATION POLICY, WHICH HAS BEEN ADOPTED BY THE CONSULTANT FOR ALL PURPOSES (THE FORM OF WHICH HAS BEEN APPROVED BY THE CITY'S EQUAL EMPLOYMENT/FAIR HOUSING OFFICE), WILL BE CONSIDERED THE CONSULTANT'S NON-DISCRIMINATION POLICY WITHOUT THE REQUIREMENT OF A SEPARATE SUBMITTAL.*

#### Sanctions:

Our firm understands that non-compliance with Chapter 5-4 may result in sanctions, including termination of the Agreement and suspension from participation in future City contracts until deemed compliant with the requirements of Chapter 5-4.

#### Term:

The Consultant agrees that this Form 3 or the Consultant's separate conforming policy, which the Consultant has executed and filed with the Owner, will remain in force and effect for one year from the date of filing. The Consultant further agrees that, in consideration of the receipt of

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continued contract payments, the Consultant's Non-Discrimination Policy will automatically renew from year-to-year for the term of the underlying Agreement.

Dated this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

CONSULTANT \_\_\_\_\_

Authorized Signature \_\_\_\_\_

Title \_\_\_\_\_

END

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**APPENDIX A**  
**OF THE TITLE VI ASSURANCES**

During the performance of this contract, the contractor, for itself, its assignees and successors in interest (hereinafter referred to as the "contractor" agrees as follows:

1. Compliance with Regulations: The contractor shall comply with the Regulations relative to nondiscrimination in Federally-assisted programs of the Department of Transportation (hereinafter, "DOT") Title 49, Code of Federal Regulations, Part 21, as they may be amended from time to time, (hereinafter referred to as the Regulations), which are herein incorporated by reference and made a part of this contract.
2. Nondiscrimination: The contractor, with regard to the work performed by it during the contract, shall not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The contractor shall not participate either directly or indirectly in the discrimination prohibited by section 21.5 of the Regulations, including employment practices when the contract covers a program set forth in Appendix B of the Regulations.
3. Solicitations for Subcontracts, Including Procurements of Materials and Equipment: In all solicitations either by competitive bidding or negotiation made by the contract for work to be performed under a subcontract, including procurements of materials or leases of equipment, each potential subcontractor or supplier shall be notified by the contractor of the contractor's obligations under this contract and the Regulations relative to nondiscrimination on the grounds of race, color, or national origin.
4. Information and Reports: The contractor shall provide all information and reports required by the Regulations or directives issued pursuant thereto, and shall permit access to its book, records, accounts, other sources of information, and its facilities as may be determined by the Recipient or the Texas Department of Transportation to be pertinent to ascertain compliance with such Regulations, orders and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish this information the contractor shall so certify to the Recipient, or the Texas Department of Transportation as appropriate, and shall set forth what efforts it has made to obtain the information.
5. Sanctions for Noncompliance: In the event of the contractor's noncompliance with the nondiscrimination provisions of this contract, the Recipient shall impose such contract sanctions as it or the Texas Department of Transportation may determine to be appropriate, including, but not limited to:
  - (a) withholding of payments to the contractor under the contract until the contractor complies, and or
  - (b) cancellation, termination or suspension of the contract, in whole or in part.

6. Incorporation of Provisions: The contractor shall include the provisions of paragraphs (1) through (6) in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Regulations, or directives issued pursuant thereto. The contractor shall take such action with respect to any subcontract or procurement as the Recipient or the Texas Department of Transportation may direct as a means of enforcing such provisions including sanctions for non-compliance: Provided, however, that, in the event a contractor becomes involved in, or is threatened with, litigation with a subcontractor or supplier as a result of such direction, the contractor may request the Recipient to enter into such litigation to protect the interests of the Recipient, and, in addition, the contractor may request the United States to enter into such litigation to protect the interests of the United States.

(DOT 1050.2, 08/24/71)

Signature:

Printed Name:

Title:

Company:

Date:

END



**FORM 4**  
**AFFIDAVIT OF NON-COLLUSION, NON-CONFLICT OF INTEREST AND**  
**ANTI-LOBBYING**

Solicitation Number: CLMP165

Project Name: Engineering Services for Downtown District Cooling Plant #3

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State of Texas

County of Travis

The undersigned "Affiant" is a duly authorized representative of the Responder for the purpose of making this Affidavit, and, after being first duly sworn, has deposed and stated and hereby deposes and states, to the best of his or her personal knowledge and belief as follows:

The term "Respondent", as used herein, includes the individual or business entity submitting the response and for the purpose of this Affidavit includes the directors, officers, partners, managers, members, principals, owners, agents, representatives, employees, other parties in interest of the Respondent, and anyone or any entity acting for or on behalf of the Respondent, including a subconsultant in connection with this response.

The terms "City" and "Owner" are synonymous.

1. **Anti-Collusion Statement.** The Respondent has not and will not in any way directly or indirectly:
  - a. colluded, conspired, or agreed with any other person, firm, corporation, respondent or potential respondent to the amount of this response or the terms or conditions of this response.
  - b. paid or agreed to pay any other person, firm, corporation, respondent or potential respondent any money or anything of value in return for assistance in procuring or attempting to procure a contract or in return for establishing the prices in the attached response or the response of any other respondent.
2. **Preparation of Invitation for Response and Contract Documents .** The Respondent has not received any compensation or a promise of compensation for participating in the preparation or development of the underlying response or contract documents. In addition, the Respondent has not otherwise participated in the preparation or development of the underlying response or contract documents, except to the extent of any comments or questions and responses in the solicitation process, which are available to all respondents, so as to have an unfair advantage over other respondents, provided that the Respondent may have provided relevant product or process information to a consultant in the normal course of its business.
3. **Participation in Decision Making Process.** The Respondent has not participated in the evaluation of responses or proposals or other decision making process for this solicitation, and, if Respondent is awarded a contract hereunder, no individual, agent, representative, consultant or sub contractor or consultant associated with Respondent, who may have been

involved in the evaluation or other decision making process for this solicitation, will have any direct or indirect financial interest in the Contract, provided that the Respondent may have provided relevant product or process information to a contractor or another consultant in the normal course of its business.

4. **Present Knowledge.** Respondent is not presently aware of any potential or actual conflicts of interest regarding this solicitation, which either enabled Respondent to obtain an advantage over other Respondents or would prevent Respondent from advancing the best interests of OWNER in the course of the performance of the Contract.
5. **City Code.** As provided in Sections 2-7-61 through 2-7-65 of the City Code, no individual with a substantial interest in Respondent is a City official or employee or is related to any City official or employee within the first or second degree of consanguinity or affinity.
6. **Chapter 176 Conflict of Interest Disclosure.** In accordance with Chapter 176 of the Texas Local Government Code, the Respondent:
  - a. does not have an employment or other business relationship with any local government officer of OWNER or a family member of that officer that results in the officer of family member receiving taxable income;
  - b. has not given a local government officer of OWNER one or more gifts, other than gifts of food lodging transportation or entertainment accepted as a guest, that have an aggregate value of more than \$250 in the twelve month period preceding the date the officer becomes aware of the execution of the Contract or that OWNER is considering doing business with the Respondent.

As required by Chapter 176, Respondent must have filed a Conflicts of Interest Questionnaire with the Purchasing Department no later than the seventh business day after the commencement of contract discussions or negotiations with the City or the submission of a Response, response to a request for proposals, or other writing related to a potential contract with OWNER. The questionnaire must be updated not later than the seventh day after the date of an event that would make a statement in the questionnaire inaccurate or incomplete. There are statutory penalties for failure to comply with Chapter 176.

7. **Anti-Lobbying Ordinance.** As set forth in Attachment 2 of the solicitation documents, between the date that the Invitation for Response was issued and the date of full execution of the Contract, Respondent has not made and will not make a representation to a member of the City Council, a member of a City Board, or any other official, employee or agent of the City, other than the authorized contact person for the solicitation, except as permitted by the Ordinance

If the Respondent cannot affirmatively swear and subscribe to the forgoing statements, the Respondent shall provide a detailed written explanation in the space provided below or, as necessary, on separate pages to be annexed hereto.





**FORM 5**  
**AFFIDAVIT OF AVAILABILITY**

Solicitation Number: CLMP165

Project Name: Engineering Services for Downtown District Cooling Plant #3

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Entities submitting qualification statements, including prime firms and subconsultants, shall have adequate current staff (including professionals registered in applicable fields, other professionals, and technicians) to competently and efficiently perform the work. The prime firm and subconsultants must commit that staff proposed in this submittal will be available to perform the proposed work within the anticipated project schedule.

In addition, prime firms who list individuals in Consideration Item 4 - Experience of Key Personnel must commit that those individuals are indeed employed by the prime firm and are not contracted employees.

I hereby certify that our staff and the staff of our subconsultants proposed in this submittal are available to perform the proposed work in a competent and efficient manner. In the event an individual proposed in this submittal is not available, I understand that after contract award we will be required to submit a change request with an individual equally or more qualified, which is subject to review and approval by the City. In the event the City does not approve the change request, I understand our firm will no longer be awarded the contract.

I hereby certify that the individuals listed in Consideration Item 4 - Experience of Key Personnel are employed by the prime firm and are not contracted employees.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Title: \_\_\_\_\_

Firm/Entity: \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

\_\_\_\_\_  
Notary Public

My Commission Expires \_\_\_\_\_

**END**

**FORM 6  
AFFIDAVIT OF CONTRACT EXECUTION**

Solicitation Number: CLMP165

Project Name: Engineering Services for Downtown Cooling Plant #3

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Entities submitting qualification statements shall be prepared to be responsive to City staff following Council award in providing documents required for contract execution, including but not limited to insurance, corporate resolution, hourly rate information and non-discrimination policy. The prime firm must commit to meeting schedules and deadlines set by City staff in order to execute the contract in a timely manner. We anticipate contract execution on or before March, 2015.

I hereby certify that following Council award, our firm will be responsive to City staff in submitting the required documents by the deadlines set forth by City staff. I understand that if we do not meet this requirement, contract negotiations will cease. I also understand if we do not submit this completed form with our Statement of Qualifications, we may be deemed non-responsive.

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Title: \_\_\_\_\_

Firm/Entity: \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary Public

My Commission Expires \_\_\_\_\_

**END**



**FORM 7  
EXPERIENCE OF PROJECT MANAGER**

Solicitation Number: CLMP165

Project Name: Engineering Services for Downtown District Cooling Plant #3

Firm Name:	
*Name of Project Manager:	
Current Years of Experience:	
Registration Number:	
Year of Registration:	

**\*[If licensed, list name as shown on registration with Texas Board of Professional Engineers (TBPE) or Texas Board of Architectural Examiners (TBAE)]**

*(The following information is required for each project. Provide no more than one page per project. Refer to the Evaluation Criteria for the number of projects required and timeframe.)*

Project Name/Location:	
Firm Name Work Performed Under:	
Year Completed:	
Construction Cost:	
Name of Client/Owner's Representative:	
Title of Client/Owner's Representative	
Address of Client/Owner's Representative:	
Phone number of Client/Owner's Representative:	
Project Description:	
Work performed by Individual:	
<b>Add Another Project</b>	



**FORM 8  
EXPERIENCE OF PROJECT PROFESSIONAL**

Solicitation Number: CLMP165

Project Name: Engineering Services for Downtown District Cooling Plant #3

Firm Name:	
*Name of Project Engineer	
Current Years of Experience:	
Registration Number:	
Year of Registration:	

**\*[List name as shown on registration with Texas Board of Professional Engineers (TBPE) or Texas Board of Architectural Examiners (TBAE)]**

*(The following information is required for each project. Provide no more than one page per project. Refer to the Evaluation Criteria for the number of projects required and timeframe.)*

Project Name/Location:	
Firm Name Work Performed Under:	
Year Completed:	
Construction Cost:	
Name of Client/Owner's Representative:	
Title of Client/Owner's Representative:	
Address of Client/Owner's Representative:	
Phone number of Client/Owner's Representative:	
Project Description:	
Work performed by Individual:	
<b>Add Another Project</b>	



**FORM 9  
EXPERIENCE OF PROJECT PRINCIPAL**

Solicitation Number: CLMP165

Project Name: Engineering Services for Downtown District Cooling Plant #3

Firm Name:	
Project Principal	
Current Years of Experience	

*(The following information is required for each project. Provide no more than one page per project. Refer to the Evaluation Criteria for the number of projects required and timeframe.)*

Project Name/Location:	
Firm Name Work Performed Under:	
Year Completed:	
Construction Cost:	
Name of Client/Owner's Representative:	
Title of Client/Owner's Representative:	
Address of Client/Owner's Representative:	
Phone number of Client/Owner's Representative:	
Project Description:	
Work performed by Project Principal:	
<b>Add Another Project</b>	



**FORM 10  
PRIME FIRM'S COMPARABLE PROJECT EXPERIENCE**

Solicitation Number: CLMP165

Project Name: Engineering Services for Downtown District Cooling Plant #3

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Firm Name:

*(The following information is required for each project. Provide no more than one page per project. Refer to the Evaluation Criteria for the number of projects required and timeframe.)*

Project 1	
Project Name/Location:	
Date Completed: Month/Year:	
Client or Owner's Representative	
Construction Cost:	
Project Description:	
Services Provided:	
<b>Add Another Project</b>	



## FORM 11

### MAJOR SCOPES OF WORK - COMPARABLE PROJECT EXPERIENCE

Solicitation Number: CLMP165

Project Name: Engineering Services for Downtown District Cooling Plant #3

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Scope of Work:	
Firm Name:	

*(The following information is required for each project. Provide no more than one page per scope of work per firm. Refer to the Evaluation Criteria for the number of projects required and timeframe.)*

Project 1	
Project Name/Location:	
Date Completed: Month/Year:	
Name of Client or Owner's Representative	
Construction Cost:	
Project Description:	
Services Provided:	
Add Another Project	

AGREEMENT BETWEEN THE CITY OF  
AUSTIN, TEXAS AND CONSULTANT

This AGREEMENT made as of this            day of            ,

BETWEEN:        The City of Austin, Texas, a Municipal Corporation situated in  
                         Travis County, Texas  
                         P.O. Box 1088  
                         Austin, Texas 78767

hereinafter referred to as "OWNER",

and:

**(Name of Firm)**

hereinafter referred to as "CONSULTANT",

For the following PROJECT: **<Name of Project>**: Various Projects assigned by the OWNER in subsequent written authorizations as defined in Section 6 of the **General Conditions of the Agreement**.

The OWNER is represented herein for all purposes of this AGREEMENT by the Director of the Department of Public Works, or such other representative as may be authorized by the City Manager of the City of Austin.

The OWNER has the need, on an as-designated and requested basis, for professional services in connection with design and construction services on capital improvement projects, and other projects to be subsequently assigned, individually referred to as the PROJECT.

The OWNER anticipates identifying projects involving **<Project Scope>** and consultation on any project to be performed by experienced professional and trained personnel to meet the PROJECT and OWNER's needs.

The CONSULTANT employs professionals duly licensed to practice in the State of Texas, has the professional abilities, experience, expertise and facilities to provide such professional services, and agrees to undertake and furnish said services in accordance with this AGREEMENT.

The OWNER and the CONSULTANT agree to the terms and conditions of AGREEMENT specified in the **General Conditions** and the **Supplemental Terms and Conditions**, attached hereto and made a part of this AGREEMENT.

This AGREEMENT is executed to be effective upon the date of the last party to sign.

City of Austin, OWNER  
P.O. Box 1088  
Austin, Texas 78767

**(Name of Firm), CONSULTANT**

**(Address of Firm)**

By: \_\_\_\_\_

By: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

Date: \_\_\_\_\_

Approved As To Form:

Attest:

By: \_\_\_\_\_  
Assistant City Attorney

By: \_\_\_\_\_  
Secretary, if a Corporation

The CONSULTANT is bound by a Code of Ethics and guided by rules and restrictions of a State licensing board. Contact the appropriate licensing board if an issue regarding ethics or the practice of consulting arises.

**END**

TEMPLATE

**PROFESSIONAL SERVICES AGREEMENT  
GENERAL CONDITIONS OF THE AGREEMENT**

**General Conditions Table of Contents**

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TEMPLATE

**SECTION 1 - CONSULTANT'S RESPONSIBILITIES**

**1.0 General**

The CONSULTANT will serve as the OWNER'S professional consultant in those phases of the PROJECT as stated in the **Supplemental Terms and Conditions of this AGREEMENT** and in subsequent written authorizations (as described in Section 6), and will consult and advise the OWNER during the performance of the CONSULTANT's services. The OWNER agrees to compensate the CONSULTANT for those services in accordance with Section 5. CONSULTANT shall report to OWNER's designated PROJECT Manager named in subparagraph 12.7.1.1 of the **Supplemental Terms and Conditions of this AGREEMENT**.

For the purposes of this AGREEMENT, individual assignments made by the OWNER to the CONSULTANT in subsequent written authorizations shall be referred to as "Subprojects".

**1.1 Performance of Services**

The CONSULTANT will perform services under this AGREEMENT with the degree of skill and diligence normally practiced by professional engineers, architects, or other consultants performing the same or similar service.

1.1.1 The CONSULTANT's employees and the CONSULTANT's associated subconsultants to be used in the performance of PROJECT professional services (as described in subsection 1.4) are identified in Attachment 3. The CONSULTANT must disclose any potential conflict of interest relating to the CONSULTANT, the CONSULTANT's employees, a subconsultant or supplier. Failure to disclose any such conflicts may be grounds for termination under subsection 8.5 of this AGREEMENT by the OWNER.

1.1.2 The person identified as PROJECT manager by the CONSULTANT, identified in Attachment 3, must be employed by the CONSULTANT.

1.1.3 The CONSULTANT is registered to do business with the OWNER and is responsible for ensuring that all subconsultants are registered as vendors with the City of Austin. All subconsultants have been registered with the OWNER prior to execution of this AGREEMENT.

1.1.4 The CONSULTANT agrees not to modify subconsultants' designs after subconsultants' seals have been affixed except with written consent of the subconsultant. The CONSULTANT is fully responsible for the subconsultants' performance and obligations under this AGREEMENT.

1.1.5 The CONSULTANT's key employees and the CONSULTANT's associated subconsultants to be employed in the performance of professional services of the PROJECT and any Subprojects, shall not be changed except with the OWNER's prior written approval, which will not be unreasonably withheld.

1.1.6 The CONSULTANT agrees to obtain OWNER's written approval prior to terminating, adding or substituting subconsultants. In the event that the CONSULTANT proposes the termination or change of an identified "Minority-Owned Business Enterprise" (MBE) or a "Women-Owned Business Enterprise" (WBE) certified subconsultant firm from its employ on this PROJECT, the CONSULTANT shall comply with the City of Austin MBE/WBE Program, Chapter 2-9A, Austin City Code, and the goals established in the PROJECT solicitation. If the CONSULTANT is unable to substitute a subconsultant firm in compliance with the Austin City Code, the CONSULTANT shall provide OWNER with written documentation of their good faith efforts to acquire the services of a MBE/WBE replacement firm. All requests to change the CONSULTANT's MBE/WBE Compliance Plan must include documentation to support the request.

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1.1.7 If the OWNER notifies the CONSULTANT that a member of the CONSULTANT's team, including subconsultants, is incompetent, disorderly, abusive, or disobedient, or has knowingly or repeatedly violated any federal, state, or local law, the CONSULTANT must immediately remove any such person from performing work on the PROJECT or any Subprojects. The OWNER's prior written consent must be obtained before any such person may be reinstated. Replacement of any subconsultant removed from the PROJECT or any Subprojects must be in accordance with paragraph 1.1.6. The OWNER may report any breaches of professional codes of ethics to the appropriate licensing board.

1.1.8 The CONSULTANT will attend and draft complete minutes of each PROJECT design and construction meeting and submit them to OWNER within seven (7) calendar days after each PROJECT meeting.

1.1.9 The CONSULTANT shall prepare and submit all appropriate permit applications and supporting drawings, specifications and other documents in the name of the City of Austin to utility companies and providers and governmental authorities having jurisdiction over the Subprojects and shall obtain all approvals and all development and building permits necessary to complete the Subprojects in accordance with the Subproject Resource Allocation Plan (RAP) described in Section 4, or as otherwise specified by OWNER. Development and permitting fees may be paid for in one of the following methods as mutually agreed:

- (a) Paid by CONSULTANT and billed to OWNER as a reimbursable or
- (b) Payment coordinated through the OWNER using an internal payment transfer document.

1.1.10 The CONSULTANT agrees to make those presentations, specified in the attached scope of services (Attachment 1) as Basic Services, including (i) Board and Commission meetings, (ii) public meetings, and (iii) internal City of Austin meetings. Any other Board or Commission presentations required by OWNER will be considered Additional Services in accordance with Paragraph 1.4.6 of the **Supplemental Terms and Conditions of this AGREEMENT** and paid for in accordance with Paragraph 5.1.3.

1.1.11 The CONSULTANT shall not knowingly specify, request or approve for use any asbestos containing materials or lead-based paint without the OWNER's prior written approval. For materials specified on the basis of performance criteria, the CONSULTANT shall include a requirement in the specifications effectively stating that "Asbestos containing materials or lead-based paint are prohibited from being used in the project." When a specific product is specified, the CONSULTANT shall make best efforts to verify that the product does not include asbestos containing material. The CONSULTANT agrees to execute a Statement of Non-Inclusion of Asbestos Containing Material, on a form provided by OWNER, both prior to design and upon completion of the Construction Documents Phase.

1.1.12 The CONSULTANT shall prohibit discrimination in employment based upon race, creed, color, religion, national origin, sexual orientation, gender identity, disability, veteran status, sex or age, in compliance with Chapter 5-4-2, Austin City Code. A copy of the CONSULTANT's non-discrimination policy has been provided prior to execution of this AGREEMENT.

## **1.2 Laboratory Services**

If laboratory services are provided for a Subproject by the CONSULTANT or its subconsultant(s), these services must be performed by a laboratory properly accredited in accordance with International Standards Organization (ISO)/International Electrotechnical Commission (IEC) Standard 17025:2005. The CONSULTANT agrees to provide evidence to the OWNER of such accreditation on an annual basis for the duration of this AGREEMENT. OWNER shall be allowed to inspect or audit the laboratories upon request.

## **1.3 Quality Control Plan (QCP)**

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1.3.1 The CONSULTANT agrees to perform on each Subproject quality assurance-quality control/constructability reviews in accordance with the CONSULTANT's approved Subproject Quality Control Plan (QCP) described in Attachment 4, that is incorporated by reference and which includes any subsequent revisions approved by OWNER. The QCP is to be submitted to the OWNER for approval within fourteen (14) calendar days of OWNER's issuance of a Notice to Proceed to the CONSULTANT. In addition to providing the reports required by the QCP, the CONSULTANT agrees to address any QCP comments from the OWNER and provide resolution to the OWNER's satisfaction. In the event the OWNER retains its own consultant to perform additional QCP services for the OWNER, the CONSULTANT will provide all necessary information to the OWNER, address any comments from the OWNER's consultant, and provide resolution to the OWNER's satisfaction. The CONSULTANT must include this language in all its subconsultant contracts to ensure subconsultants understand their responsibility for complying with the OWNER's or OWNER's consultant's QCP requirements.

1.3.2 The QCP reviews must be performed by a staff member of the CONSULTANT not involved in day-to-day Subproject tasks. If the CONSULTANT does not have the internal staff capacity to provide for this independent review, the CONSULTANT must include a QCP subconsultant on the Subproject team. The person performing the QCP reviews must certify, seal and attest that the final construction bid documents have been drafted in full compliance with the QCP work plan.

1.3.3 The CONSULTANT must perform QCP reviews at intervals during the design phase, specified in the QCP, to ensure plans, specifications, and drawings satisfy accepted quality standards and meet the requirements of the Subproject scope. Based on the findings of the QCP reviews, the CONSULTANT must reconcile the Subproject scope and budget as needed. Documentation will be included that verifies interdisciplinary coordination has occurred.

1.3.4 The CONSULTANT must perform constructability reviews, using persons with construction experience, at appropriate intervals during the design phase specified in the QCP to ensure that the Subproject is buildable, as well as cost-effective, biddable, and maintainable. Based on the findings of the constructability reviews, the CONSULTANT must redesign the Subproject to conform to the Fixed Construction Budget as noted in Section 3.3. The CONSULTANT must provide interim construction estimates to verify that the Subproject is within the Fixed Construction Budget as further described in the phase descriptions in the **Supplemental Terms and Conditions of this AGREEMENT**.

1.3.5 Acceptance and/or approval of the CONSULTANT's QCP documentation by the OWNER do not constitute a release of the responsibilities and liability of the CONSULTANT for the accuracy and competency of its QCP reviews and final construction documents.

#### **1.4 Basic Services**

The CONSULTANT will, in the scope of their work and in conformance with the approved PROJECT Resource Allocation Plan (RAP) for each Subproject, perform the basic services described in 1.4.1 et seq of the **Supplemental Terms and Conditions of this AGREEMENT**. These basic services shall be provided in phases and/or parts only as authorized by the OWNER (in subsequent written authorizations to proceed).

### **SECTION 2 - OWNER'S RESPONSIBILITIES**

2.1 The OWNER will:

2.1.1 Provide its requirements for each Subproject.

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2.1.2 Designate the OWNER's Project Manager.

2.1.3 Provide a "Fixed Construction Budget " for each Subproject as defined in subsection 3.1 prior to negotiation of this AGREEMENT.

2.1.4 Assist CONSULTANT by making available (i) reports; (ii) property, boundary, easement, right-of-way, topographic and utility surveys; (iii) zoning and deed restrictions; and (iv) other data relevant to the development of each Subproject.

2.1.5 Assist CONSULTANT in gaining entry to public property and private property, only when necessary, as may be required by the CONSULTANT in the performance of their services under this AGREEMENT.

2.1.6 Review and provide written comments on all submittals and other documents presented by the CONSULTANT and render decisions pertaining thereto within seven (7) calendar days. The OWNER will review and provide written comments on periodic plan and specifications submittals within fourteen (14) calendar days. OWNER shall immediately notify CONSULTANT if additional time is needed.

2.1.7 Give prompt written notice to the CONSULTANT whenever the OWNER observes or otherwise becomes aware of any defect in the CONSULTANT's work product or services.

2.1.8 Direct CONSULTANT by way of written supplemental amendment (described in Subsection 4.2) to provide any necessary Additional Services beyond those authorized in the approved Subproject RAP or as stipulated in the **Supplemental Terms and Conditions of this AGREEMENT**.

**SECTION 3 - FIXED CONSTRUCTION BUDGET**

3.1 The "Fixed Construction Budget" means the amount allocated by OWNER for each Subproject construction contract, which can only be adjusted by OWNER's prior written approval. The Fixed Construction Budget is shown in the approved PROJECT RAP (Attachment 1).

3.2 Fixed Construction Budget does not include the compensation of the CONSULTANT and the CONSULTANT'S subconsultants, the cost of the land, rights-of-way, or other costs which are the responsibility of the OWNER.

**3.3 Responsibility for Fixed Construction Budget**

3.3.1 CONSULTANT is responsible for designing each Subproject to be constructible within the Fixed Construction Budget. The CONSULTANT must determine what materials, equipment, component systems and types of construction to include in the Contract Documents, make reasonable adjustments in the scope of the Subproject with the OWNER's consent, and, with the OWNER's approval, develop bid alternates.

3.3.2 If the Fixed Construction Budget is exceeded by the lowest responsible bid, the OWNER shall either:

- (1) give written approval of an increase in the Fixed Construction Budget;
- (2) authorize rebidding of the Subproject within a reasonable time;
- (3) abandon the Subproject; or
- (4) cooperate in revising the Subproject's scope and quality as required to reduce the construction cost.

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In the case of (2) and/or (4), the CONSULTANT, without additional compensation, shall perform those services to produce the Drawings and Specifications as necessary to comply with the Fixed Construction Budget provided that the bidding or rebidding processes occur within six (6) months of the date that the CONSULTANT delivered the final bid documents to OWNER. If the bidding or rebidding processes occur after that six (6) month period, the CONSULTANT is entitled to additional compensation.

**3.3.3 Bid Alternates**

3.3.3.1 If, under the OWNER's direction, the CONSULTANT prepares the Subproject bid documents to include bid alternates as a means to keep the Subproject cost within the Fixed Construction Budget, and such alternates are within the original Subproject scope, compensation remains the established fee amount irrespective of the outcome of bids. In the event the base bid is not within the Subproject Fixed Construction Budget, Paragraph 3.3.2 of this AGREEMENT governs. The OWNER's acceptance of the base bid or bid alternates does not change the CONSULTANT's Bid Phase fee amount.

3.3.3.2 If, under the OWNER's direction, the CONSULTANT prepares Subproject Bid Documents that include bid alternates, and OWNER has advised CONSULTANT that such alternates may not be within the Fixed Construction Budget or the original Subproject scope, the CONSULTANT must track the cost of any such alternates. Compensation for the requested bid alternates will be as follows:

(1) If the bid for the alternates requested by OWNER is within the Subproject Fixed Construction Budget, there is no change in the fee.

(2) Otherwise, the work to reconfigure the Subproject Bid Documents to include the requested bid alternates will be considered Additional Services with compensation to be determined in accordance with Subsection 5.1 of this AGREEMENT.

**SECTION 4 - RESOURCE ALLOCATION PLAN (RAP)**

4.1 The CONSULTANT shall provide a Resource Allocation Plan (RAP) for each assigned Subproject as shown in Attachment 1. The CONSULTANT agrees to complete phases of services in accordance with an approved Subproject RAP as described in Section 6.1, and the applicable standard of professional care. A specific time period will be set for each phase.

4.1.1 The Subproject RAP for each assigned Subproject shall follow the rate structure included as Attachment 3 unless a revised rate structure has been approved through a Supplemental Amendment in accordance with Subsection 4.2. The City will consider rate revisions only if requested to do so by the CONSULTANT. However, rate revisions will not be considered until at least one (1) year after the date of this AGREEMENT or any subsequent amendments relating to rate revisions.

**4.2 Supplemental Amendments**

4.2.1 Before additional work may be performed or additional costs incurred beyond what is specified in the approved Subproject RAP, both parties must execute a written Supplemental Amendment. The OWNER is not responsible for actions by the CONSULTANT or any costs incurred by the CONSULTANT relating to additional work prior to the execution of the Supplemental Amendment. Any Supplemental Amendment must be executed within the time period specified in the Subproject RAP.

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4.2.1.1 More Time Needed. If the CONSULTANT determines or reasonably anticipates that the Subproject cannot be completed before the specified completion date, the CONSULTANT shall submit a RAP revision to the OWNER for approval. The OWNER may, at its sole discretion, extend the authorized Subproject period.

4.2.1.2 Changes in Scope. Changes that would modify the scope of work authorized for the Subproject must be established by a Supplemental Amendment. If the change in scope affects the schedule or CONSULTANT's fee for the Subproject, the CONSULTANT shall prepare a revised Subproject budget and RAP for the OWNER's approval.

4.2.2 The OWNER may ask the CONSULTANT to submit a proposal for additional work that is within the defined scope of work for the Subproject. The amount to be paid for the proposed additional work will be a lump sum for each proposal. The CONSULTANT may, without penalty, elect not to submit a proposal. If both parties agree to the proposal for additional work, the parties must execute a written Supplemental Amendment and revise the Subproject RAP.

4.3 If the OWNER sustains actual damages as a result of willful or negligent failure of the CONSULTANT to furnish services in compliance with the approved Subproject RAP described in this Section 4 and subsequent Supplemental Amendments in accordance with Subsection 4.2, the CONSULTANT agrees to compensate the OWNER for the cost of such damages in accordance with Section 9, itemized costs of which will be provided to the CONSULTANT by the OWNER. The OWNER agrees to provide the CONSULTANT written notification of such damages as the cost is being incurred.

4.4 The CONSULTANT shall not be liable or responsible for OWNER delays or postponements. If the CONSULTANT is delayed by conditions beyond its reasonable control, written time extension requests may be submitted to the OWNER for approval. These requests will be reviewed only if submitted to OWNER at least fourteen (14) calendar days prior to any affected milestone date in the Subproject RAP. If the next milestone date is less than fourteen (14) calendar days from the date of the delay or suspension of service, the CONSULTANT will be allowed fourteen (14) calendar days from the date of the delaying event to submit the request to OWNER.

4.5 If the CONSULTANT fails to meet the approved Subproject RAP, including subsequently approved Supplemental Amendments, OWNER may elect to invoke remedies outlined in Section 9 of this AGREEMENT.

4.6 Time required by the OWNER to review and return documents to the CONSULTANT following their submittal during and after each phase will be included in the approved Subproject RAP.

**SECTION 5 - COMPENSATION**

**5.1 Basis of Compensation**

5.1.1 The OWNER shall compensate the CONSULTANT for the Scope of Services described in the approved Subproject RAP or as subsequently amended, in accordance with Subsection 5.3, *PAYMENTS TO THE CONSULTANT*, and the other Terms and Conditions of this AGREEMENT, as follows:

5.1.1.1 No advance payment will be paid to the CONSULTANT prior to rendering services.

5.1.1.2 Payments for Basic Services will be made monthly in proportion to services performed within each phase of services, as shown in the Subproject RAP.

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5.1.1.3 For services provided by subconsultants, a multiple of one and five hundredth (1.05) times the amounts billed to the CONSULTANT for such services will be paid.

5.1.2 The total amount of compensation to be paid the CONSULTANT will not exceed the amount stated in paragraph 5.1.2.1 of the **Supplemental Terms and Conditions of this AGREEMENT**.

5.1.3 *Compensation for Additional Services*

5.1.3.1 For *PROJECT REPRESENTATION BEYOND BASIC SERVICES* as described in Subparagraph 1.4.6 of the **Supplemental Terms and Conditions of this AGREEMENT**, compensation will be made as an Additional Service in accordance with the schedule of hourly rates shown in Attachment 3.

5.1.3.2 Principals may only bill at the hourly rate of Principals when acting in that capacity. Principals acting in the capacity of staff must bill at staff rates. The CONSULTANT shall provide documentation with each payment request that clearly indicates how that individual's time is allocated and the justification for that allocation.

5.1.3.3 For *ADDITIONAL SERVICES OF SUBCONSULTANTS* a multiple of one and five hundredth (1.05) times the amounts billed to the CONSULTANT for such services will be paid.

5.1.4 *Compensation for Reimbursable Expenses*

5.1.4.1 For *REIMBURSABLE EXPENSES*, as described in Subsection 5.2, a multiple of one and five hundredths (1.05) times the amounts expended by the CONSULTANT, the CONSULTANT'S employees and subconsultants in the interest of the Subproject will be paid.

5.1.4.2 The OWNER is a tax-exempt organization as defined by Chapter 11 of the Property Tax Code of Texas. OWENR will furnish CONSULTANT with a Sales Tax Exemption Certification to be issued to suppliers in lieu of the tax. If payment of the sales tax is unavoidable in a specific case, the CONSULTANT will be reimbursed by the OWENR for any such costs incurred.

5.1.5 OWNER and the CONSULTANT agree in accordance with the Terms and Conditions of this AGREEMENT that:

5.1.5.1 If OWNER determines the scope of the PROJECT or any Subproject's services are changed materially, compensation will be equitably adjusted through negotiation.

5.1.5.2 If OWNER determines the Services covered by this AGREEMENT and a subsequent written authorization have not been completed within the time specified in the Subproject RAP, through no fault of the CONSULTANT, the amounts of compensation, rates and multiples set forth herein may be adjusted through negotiation.

5.1.6 *Period of Service*

5.1.6.1 This AGREEMENT will remain in force for that period required to complete the PROJECT (including required extensions thereto) unless discontinued by any of the several provisions contained elsewhere in this AGREEMENT. The total period of service is stated in subparagraph 5.1.2.1 of the **Supplemental Terms and Conditions of the AGREEMENT**.

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5.1.6.2 CONSULTANT's failure to meet an approved Subproject RAP may result in the assessment of remedies as described in Sections 8 and 9 of this AGREEMENT.

## **5.2 Reimbursable Expenses**

Reimbursable Expenses are part of Basic Services and include actual expenditures made by the CONSULTANT and the CONSULTANT's employees and subconsultants in performing services for the PROJECT and all Subprojects for the expenses listed in the following Subsections. CONSULTANT must submit invoices or other similar documentation for Reimbursable Expenses as part of a payment request. The OWNER is a tax exempt entity and will not reimburse the CONSULTANT for any tax expenses. The OWNER will consider exceptions on a case-by-case basis. **Reimbursable Expenses are limited to these specific items:**

5.2.1 By prior written approval of the OWNER, reasonable transportation and living expenses in connection with out-of-town travel.

5.2.1.1 All travel and lodging expenses in connection with the AGREEMENT for which reimbursement may be claimed will be reviewed against the City's Travel Policy and the current (at the time the travel occurs) General Services Administration (GSA) Domestic Per Diem Rates (the "GSA Rates") at [http://www.gsa.gov/Portal/gsa/ep/contentView.do?contentType=GSA\\_BASIC&contentId=17943&noc=T](http://www.gsa.gov/Portal/gsa/ep/contentView.do?contentType=GSA_BASIC&contentId=17943&noc=T). Amounts in excess of the Travel Policy or GSA Rates will not be paid. All invoices must be accompanied by copies of receipts (e.g. hotel bills, airline tickets).

5.2.1.2 Reimbursement will be made only for expenses actually incurred. Airline fares in excess of coach or economy will not be reimbursed.

5.2.1.3 Mileage charges for rental cars in connection with out-of-town travel may not exceed the amount permitted as a deduction in any year under the Internal Revenue Code or Regulations. Mileage costs for travel within the Austin metropolitan area are to be included in the CONSULTANT's overhead rate and not billed separately as a reimbursable expense.

5.2.2 Fees paid for securing approval of authorities having jurisdiction over the PROJECT and any Subproject.

5.2.3 Reproduction expenses for drawings, specifications and all other documents required for bidding, OWNER submittals, and for file copies of CONSULTANT, Contractor, and OWNER, and other parties approved by the OWNER.

5.2.4 Expense of renderings, models and mock-ups requested by the OWNER.

5.2.5 Expense of reproducing record drawings for the OWNER on sepia, mylars or plastic film.

5.2.6 Reproduction expense for drawings, specifications and any other documentation to be submitted to utility owners and governmental authorities having jurisdiction over the PROJECT and any Subproject.

## **5.3 Payments to the Consultant**

5.3.1 *Payments for Basic Services*

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5.3.1.1 Payments for Basic Services, including Reimbursable Expenses, will be made monthly in accordance with the approved Subproject RAP on the basis set forth in Subsections 5.1 and 5.2. CONSULTANT shall submit the application for payment using the form supplied by OWNER.

5.3.2 *Payments for Additional Services*

5.3.2.1 Payments for the CONSULTANT'S Subproject Additional Services as described in Paragraph 1.4.6 of the **Supplemental Terms and Conditions of the AGREEMENT** may be made no more often than monthly upon presentation by CONSULTANT of an acceptable statement of Additional Services rendered and/or expenses incurred. Each statement must include the form supplied by the OWNER, copies of invoices, time sheets, and any other evidence of expense requested by the OWNER.

5.3.3 *Payments Withheld*

The OWNER may withhold, amend, or nullify any request for payment by the CONSULTANT under conditions that include those described in Subparagraphs 5.3.3.1 through 5.3.3.7 below.

5.3.3.1 Failure of the CONSULTANT to follow the approved schedule and meet all phase and milestone requirements specified in the Subproject RAP.

5.3.3.2 OWNER'S receipt of notice that, despite payment to CONSULTANT for services rendered by subconsultants, CONSULTANT has not paid subconsultants for services invoiced to and paid by OWNER within fourteen (14) calendar days of CONSULTANT's receipt of payment from OWNER.

5.3.3.3 Payments for subconsultants costs when those subconsultants are not included in the approved MBE/WBE compliance plan.

5.3.3.4 Failure of the CONSULTANT to submit timely and complete records of Subproject meeting proceedings as specified in Paragraph 1.1.8.

5.3.3.5 Failure of the CONSULTANT to submit timely and complete weekly reports of its Subproject job site observations containing detailed information as specified in subparagraph 1.4.4.5.2 of the **Supplemental Terms and Conditions of this AGREEMENT**, as appropriate to the Subproject assignment.

5.3.3.6 Failure of the CONSULTANT to provide updated Subproject record drawings and Contractor's record contract documents to the OWNER within thirty (30) calendar days after Contractor's record contract documents have been provided to the CONSULTANT by the Contractor upon substantial or final completion of the Subproject.

5.3.3.7 Failure to make timely payment to the City of Austin for taxes.

5.3.4 *Prompt Payments*

The OWNER shall make payment to CONSULTANT of the sum named in a payment application within thirty (30) calendar days after the day on which the OWNER received the mutually acceptable payment application. If the OWNER fails to make such prompt payment, then OWNER will pay CONSULTANT, in addition to the amount owed for the payment application, interest thereon at the rate specified in Government Code, Section 2251.025(b) from date due until fully paid, which shall fully liquidate any injury to CONSULTANT growing out of such delay in payment.

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The OWNER cannot make a partial payment on an invoice in dispute. The CONSULTANT may resubmit an invoice for the undisputed amount or wait for payment until the dispute has been resolved. The thirty (30) calendar days restarts after the OWNER receives a corrected payment application.

**5.3.5 Payment for Project Suspension or Termination**

5.3.5.1 If a Subproject is suspended or abandoned in whole or in part for more than three months, the CONSULTANT will be compensated for all services performed prior to receipt of written notice from the OWNER of such suspension or abandonment, together with Reimbursable Expenses then due. If the Subproject is resumed after being suspended for more than three months, the CONSULTANT'S compensation may be equitably adjusted through negotiation. If the parties cannot agree on an adjustment, OWNER may terminate the Subproject in accordance with Subsection 8.6.

**SECTION 6 - SUBSEQUENT WRITTEN AUTHORIZATIONS**

6.1 The procedure for establishing each Subproject assignment by negotiating subsequent written authorizations is as follows:

6.1.1 Proposal Request: Upon identifying a specific Subproject assignment, the OWNER will issue a written Proposal Request, as shown in Attachment 2, to the CONSULTANT describing the Subproject, services required, proposed schedule, and method of compensation selected by OWNER.

6.1.2 CONSULTANT Proposal: After being contacted by the OWNER, the CONSULTANT shall arrange with the OWNER to review the Proposal Request and provide a Proposal for the OWNER's review within fourteen (14) calendar days of the OWNER's contact. The CONSULTANT's Proposal must include a Resource Allocation Plan (RAP), as described in Section 4, for the Subproject. The OWNER shall notify the CONSULTANT within seven (7) calendar days if the Proposal is acceptable or if revisions are needed. The approved CONSULTANT's proposal will be attached to the OWNER's Proposal Request and may include a clarification of the scope of services. The Proposal Request form, with the CONSULTANT's signature indicating acceptance of the Subproject assignment and compensation, will be returned to the OWNER within seven (7) calendar days of OWNER approval.

6.1.3 Notice to Proceed: When the OWNER receives the accepted Proposal Request form signed by the Consultant, the OWNER will sign the Notice to Proceed section, as shown in Attachment 2, and provide a copy to the CONSULTANT within three (3) calendar days of the OWNER's receipt. This Notice to Proceed will formally authorize the CONSULTANT to proceed with the initial phase of the Subproject services in accordance with the approved Subproject RAP included in the CONSULTANT's Proposal. The CONSULTANT agrees to begin work within seven (7) calendar days from the date of the OWNER's signature on the Notice to Proceed. The CONSULTANT will provide a Subproject QCP plan, as described in paragraph 1.3.1, within fourteen (14) calendar days of the OWNER's issuance of the Subproject Notice to Proceed.

6.2 Execution of a Proposal Request constitutes a Supplemental Amendment to this AGREEMENT. For the purpose of administration of this AGREEMENT, for resolving technical matters, and for the execution of subsequent Proposal Requests, the OWNER shall mean the Division Manager, Project Management Division, Public Works Department, or their designee.

**SECTION 7 - INSURANCE REQUIREMENTS**

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7.1 The CONSULTANT shall carry insurance in the types and amounts indicated below for the duration of the AGREEMENT:

7.1.1 Workers' Compensation and Employers' Liability Insurance Coverage with limits consistent with statutory benefits outlined in the Texas Workers' Compensation Act (Section 401) and (1) minimum policy limits for Employers Liability Insurance of \$100,000 bodily injury each accident, \$500,000 bodily injury by disease policy limit and \$100,000 bodily injury by disease each employee; or (2) as otherwise required in the **Supplemental Terms and Conditions of this AGREEMENT**. The CONSULTANT's policy must be issued by an insurer licensed or approved to do business in the State of Texas and include these endorsements in favor of the OWNER::

- (a) Waiver of Subrogation, form WC 420304, or equivalent.
- (b) 30 day Notice of Cancellation, form WC 420601, or equivalent.

7.1.2 Commercial General Liability Insurance with a minimum combined bodily injury and property damage per occurrence limit of \$500,000 for coverages A & B unless otherwise stated in the **Supplemental Terms and Conditions of this AGREEMENT**. The policy must contain the following provisions:

- (a) Blanket contractual liability coverage for liability assumed under this AGREEMENT and all contracts relative to this PROJECT.
- (b) Independent Contractors coverage.
- (c) OWNER listed as an additional insured, endorsement CG 2010, or equivalent.
- (d) 30 day Notice of Cancellation in favor of the OWNER, endorsement CG 0205, or equivalent.
- (e) Waiver of Transfer Right of Recovery Against Others in favor of the OWNER, endorsement CG 2404, or equivalent.
- (f) Aggregate limits of insurance per project, endorsement CG 2503, or equivalent

7.1.3 Business Automobile Liability Insurance for all owned, non-owned and hired vehicles (1) with a minimum combined single limit of \$500,000 per accident for bodily injury and property damage; or (2) \$250,000 bodily injury per person, \$500,000 bodily injury per occurrence and at least \$100,000 property damage liability; or (3) as otherwise required in the **Supplemental Terms and Conditions of this AGREEMENT**. The policy shall contain the following endorsements in favor of the OWNER:

- (a) Waiver of Subrogation endorsement TE 2046A, or equivalent.
- (b) 30 day Notice of Cancellation endorsement TE 0202A, or equivalent.
- (c) Additional Insured endorsement TE 9901B, or equivalent.

7.1.4 CONSULTANT's Professional Liability Insurance to pay on behalf of the assured all sums which the assured becomes legally obligated to pay as damages by reason of any negligent act, error, or omission committed or alleged to have been committed with respect to plans, maps, drawings, analyses, reports, surveys, change orders, designs or specifications prepared or alleged to have been prepared by the assured. The policy must provide for 30 day notice of cancellation in favor of the OWNER. The minimum limit is specified in subparagraph 7.1.4.1 of the **Supplemental Terms and Conditions of this AGREEMENT**.

## 7.2 General Requirements

**PROFESSIONAL SERVICES AGREEMENT  
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7.2.1 The CONSULTANT must complete and forward the OWNER'S standard certificate of insurance to the OWNER before the AGREEMENT is executed, as verification of coverage required in Paragraphs 7.1.1 through 7.1.4 above. The CONSULTANT shall not commence services until the required insurance has been obtained and until such insurance has been reviewed by the OWNER's Office of Contract and Land Management. Approval of insurance by the OWNER does not relieve or decrease the liability of the CONSULTANT hereunder and must not be construed to be a limitation of liability on the part of the CONSULTANT.

7.2.2 Applicable to all insurance policies: If coverage is underwritten on a claims-made basis, the retroactive date must be coincident with or prior to the date of this AGREEMENT and the certificate of insurance must state that the coverage is claims made and the retroactive date. The CONSULTANT shall maintain continuous coverage for the duration of this AGREEMENT and for not less than twenty-four (24) months following substantial completion of the PROJECT. Coverage, including any renewals, must have the same retroactive date as the original policy applicable to the PROJECT. The CONSULTANT shall, on at least an annual basis, provide the OWNER with a certificate of insurance as evidence of such insurance.

7.2.3 The CONSULTANT's insurance coverage must be written by companies licensed or approved to do business in the State of Texas at the time the policies are issued and must be written by companies with A.M. Best ratings of B+VII or better unless otherwise required in the **Supplemental Terms and Conditions of this AGREEMENT**. The OWNER will accept workers' compensation coverage written by the Texas Workers Compensation Insurance Fund.

7.2.4 All endorsements naming the OWNER as additional insured, waivers, and notices of cancellation endorsements as well as the certificate of insurance will indicate: City of Austin, Office of Contract and Land Management, P.O. Box 1088, Austin, Texas 78767.

7.2.5 The "other" insurance clause will not apply to the OWNER where the OWNER is an additional insured shown on any policy. It is intended that policies required in the AGREEMENT, covering both the OWNER and the CONSULTANT, be considered primary coverage as applicable.

7.2.6 If insurance policies are not written for amounts specified above, the CONSULTANT shall carry Umbrella or Excess Liability Insurance for any differences in amounts specified. If Excess Liability Insurance is provided, it must follow the form of the primary coverage.

7.2.7 The OWNER shall be entitled, upon request and without expense, to receive certified copies of policies and endorsements thereto and may make any reasonable requests for deletion or revision or modification of particular policy terms, conditions, limitations, or exclusions except where policy provisions are established by law or regulations binding upon either of the parties hereto or the underwriter on any such policies.

7.2.8 The OWNER reserves the right to review the insurance requirements set forth during the effective period of this AGREEMENT and to make reasonable adjustments to insurance coverage, limits and exclusions when deemed necessary and prudent by the OWNER based upon changes in statutory law, court decisions, the claims history of the industry or financial condition of the insurance company as well as the CONSULTANT.

7.2.9 The CONSULTANT shall not cause any insurance to be canceled nor permit any insurance to lapse during the term of the AGREEMENT or as required in the AGREEMENT.

7.2.10 The CONSULTANT shall be responsible for premiums, deductibles and self-insured retentions, if any, stated in policies. All deductibles or self-insured retentions shall be disclosed on the certificate of insurance.

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7.2.11 The CONSULTANT shall provide OWNER thirty (30) days written notice of erosion of the aggregate limits below occurrence limits for all applicable coverages indicated within the AGREEMENT.

7.2.12 If OWNER-owned property is being transported or stored off-site by the CONSULTANT, then the appropriate property policy will be endorsed for transit and storage in an amount sufficient to protect OWNER's property.

7.2.13 The insurance coverages required under this AGREEMENT are required minimums and are not intended to limit the responsibility or liability of the CONSULTANT.

7.3 CONSULTANT shall determine appropriate types and levels of insurance coverage to be provided by subconsultants and advise the subconsultants of the documentation to be provided to CONSULTANT to verify coverage.

**SECTION 8 - TERMINATION OF AGREEMENT**

8.1 The rights to terminate provided in this Section 8 are in addition to, and cumulative of, all other rights and remedies available to the parties at law or in equity.

8.2 This AGREEMENT may be terminated by the CONSULTANT upon at least seven (7) calendar days written notice should the OWNER substantially fail to perform in accordance with the OWNER's responsibilities through no fault of the CONSULTANT.

**8.3 Notice to Cure.**

OWNER shall endeavor to provide a Notice to Cure to the CONSULTANT to cure an event of default described in this Section and/or an anticipatory breach of contract. The CONSULTANT must attend a meeting with the OWNER regarding the Notice to Cure, the event of default, and/or the anticipatory breach of contract. The Notice to Cure will set forth the time limit in which the cure is to be completed or commenced and diligently prosecuted. Upon receipt of any Notice to Cure, the CONSULTANT must prepare a report describing its program and measures to effect the cure of the event of default and/or anticipatory breach of contract within the time required by the Notice to Cure. The CONSULTANT's report must be delivered to the OWNER at least three (3) business days prior to any requested meeting with the OWNER.

8.4 This AGREEMENT may be terminated by the OWNER upon at least seven (7) calendar days' written notice to the CONSULTANT in the event that the PROJECT is abandoned or indefinitely postponed.

8.5 This AGREEMENT may be terminated by the OWNER for cause upon seven (7) calendar days' written notice. In the event OWNER terminates the AGREEMENT with cause, the OWNER may reject any and all proposals submitted by CONSULTANT for up to three (3) years. In the event that a termination for cause is found to be wrongful, the termination shall be converted to a termination without cause as set forth in Subsection 8.6 and CONSULTANT's remedy for wrongful termination is limited to the recovery of payments permitted under Subsection 8.6.

The OWNER may terminate for cause due to the occurrence of any one of the following:

8.5.1 If CONSULTANT persistently fails to perform the work in accordance with the AGREEMENT, in particular the approved Subproject RAP;

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8.5.2 If CONSULTANT disregards laws or regulations of any public body having jurisdiction;

8.5.3 If CONSULTANT makes fraudulent statements;

8.5.4 If CONSULTANT fails to make adequate progress and endangers timely and successful completion of the AGREEMENT;

8.5.5 CONSULTANT's failure under 8.5.4 includes failure of subconsultants to meet contractual obligations; or

8.5.6 If CONSULTANT otherwise violates in any substantial way any provisions of the AGREEMENT.

8.6 This AGREEMENT may be terminated at the OWNER'S convenience upon seven (7) calendar days written notice; in which event, the CONSULTANT will be compensated for all services performed to termination date, together with Reimbursable Expenses then due, in accordance with Subsection 8.7, and the OWNER retains the right to continue the PROJECT consistent with paragraph 12.2.4.

8.7 In the event of termination not the fault of the CONSULTANT, the CONSULTANT will be compensated for all services performed to termination date, together with Reimbursable Expenses then due. CONSULTANT will submit to the OWNER, within the timeframe set in the termination notice, all work and documents prepared to that point. Fixed-fee payment to the CONSULTANT shall be proportional to services performed to the date of termination.

**SECTION 9 - OWNER REMEDIES**

9.1 The CONSULTANT and OWNER agree that in the event of a delay in completion for which the OWNER suffers actual damages, the OWNER may elect to pursue its actual damages and any other remedy allowed by law. Conditions under which the OWNER may seek other damages include, but are not limited to:

9.1.1 Failure of the CONSULTANT to make adequate progress in accordance with paragraph 8.5.4 above.

9.1.2 Failure of the CONSULTANT to design for compliance with the laws of City, State and federal governments as specified in paragraph 1.4.2 of the **Supplemental Terms and Conditions of this AGREEMENT**, such that subsequent compliance costs exceed expenditures which would have been involved had services been properly executed by the CONSULTANT. The CONSULTANT will financially participate in the OWNER'S financial losses for those non-value added compliance costs.

9.1.3 Losses are incurred, despite the Quality Control Plan (QCP), because of defects, errors and omissions in the design, working drawings, specifications or other documents prepared by the CONSULTANT to the extent that the financial losses are greater than the OWNER would have originally paid had there not been defects, errors and omissions in the documents. The CONSULTANT will financially participate in the OWNER'S financial losses for those non-value added work costs.

9.2 Pursuant to Section 7.1.4, the OWNER shall assert a claim against the CONSULTANT as appropriate when other remedies are not available or offered for design deficiencies discovered during and after Subproject

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construction. When the OWNER incurs non-value added work costs for change orders due to design errors or omissions, the OWNER will send the CONSULTANT a certified cost recovery letter that includes

- (1) summary of facts with supporting documentation;
- (2) instruction for CONSULTANT to revise design documents, if appropriate, at CONSULTANT's expense;
- (3) calculation of non-value added work costs incurred by the OWNER; and
- (4) deadline for CONSULTANT's response.

The CONSULTANT will provide a preliminary response to OWNER within seven (7) calendar days of receipt of the claim letter. The CONSULTANT must submit a formal documented response to the claim letter to the OWNER within fourteen (14) calendar days of the date of the preliminary response. The CONSULTANT will provide the payment requested by OWNER within thirty (30) calendar days of OWNER's acceptance of formal CONSULTANT response or the CONSULTANT will request alternative dispute resolution, as described in subsection 11.2 of this AGREEMENT, within fourteen (14) calendar days of OWNER's rejection of formal CONSULTANT response.

9.3 The CONSULTANT may be required to revise bid documents and re-advertise the Subproject at the CONSULTANT's sole cost (including printing) if, in the OWNER's judgment, the CONSULTANT generates excessive addenda, either in terms of the nature of the revisions or the actual number of changes due to the CONSULTANT's errors or omissions.

#### **9.4 Decisions to Withhold Payment**

9.4.1 OWNER may withhold or nullify the whole or part of any payment to such extent as may be necessary because of conditions outlined in paragraph 5.3.3 "Payments Withheld".

### **SECTION 10 - CONSULTANT REMEDIES**

10.1 If the CONSULTANT is prevented from completing any part of a Subproject within the time established in the Subproject RAP due to delays beyond the control of either the OWNER or the CONSULTANT, an extension of the Subproject schedule in an amount equal to the time lost due to such delay shall be the CONSULTANT's sole and exclusive remedy. Performance interrupted by an act of god or the result of war, riot, civil commotion, sovereign conduct, or the conduct of a third party, will be excused for a period of time necessary to remedy the effect of the precipitating occurrence. In such cases, a conference will be held within three (3) working days of the end of the occurrence to establish a revised schedule in the RAP

10.2 CONSULTANT's requests for remedies arising from the terms of this AGREEMENT for conditions other than those specified in subsection 10.1 must be done in accordance with the following:

10.2.1 Within thirty (30) calendar days after the CONSULTANT could be reasonably expected to know of the occurrence prompting the request, the CONSULTANT must deliver preliminary written notice to the OWNER describing the general nature of the request. Within thirty (30) calendar days after the preliminary notice, the CONSULTANT must provide the OWNER written supporting documentation stating all known amounts and/or time extensions to which the CONSULTANT is entitled.

10.2.2 Within thirty (30) calendar days of receipt of notice of the amount of the requested remedy with supporting data, OWNER and CONSULTANT will meet to discuss the request, after which an offer of settlement or notification of no settlement offer will be made to CONSULTANT. If CONSULTANT is not satisfied with the proposal presented, CONSULTANT will have thirty (30) calendar days in which to

- (1) submit additional supporting data requested by the OWNER;
- (2) modify the initial request for remedy; or
- (3) request Alternative Dispute Resolution.

## **SECTION 11 - DISPUTE RESOLUTION**

### **11.1 Filing of Claims**

11.1.1 Claims arising from the circumstances identified in this AGREEMENT, or other occurrences or events, shall be made by Written Notice delivered by the party making the Claim to the other party within thirty (30) calendar days after the start of the occurrence or event giving rise to the Claim and stating the general nature of the Claim. Notice of the amount of the Claim with supporting data shall be delivered in writing within thirty (30) calendar days after Written Notice of Claim is delivered by claimant and shall represent that the adjustment claimed covers all known amounts and/or extensions of time to which claimant is entitled.

11.1.2 Within thirty (30) calendar days of receipt of notice of the amount of the Claim with supporting data, the OWNER and CONSULTANT shall meet to discuss the Claim, after which an offer of settlement or notification of no settlement offer will be made to claimant. If claimant is not satisfied with the proposal presented, claimant shall have thirty (30) calendar days in which to: (i) submit additional supporting data requested by the other party; (ii) modify the initial Claim; or (iii) request Alternative Dispute Resolution.

### **11.2 Alternative Dispute Resolution**

11.2.1 If a dispute exists concerning a Claim, the parties agree to use the following procedure prior to pursuing any other available remedies.

#### *11.2.2 Negotiating with Previously Uninvolved Personnel*

Either party may make a written request for a meeting to be held between representatives of each party within fourteen (14) calendar days of the request or such later period that the parties may agree to. Each party shall endeavor to include, at a minimum, one (1) previously uninvolved senior level decision maker (an owner, officer, or employee of each organization) empowered to negotiate on behalf of their organization. If a previously uninvolved senior level decision maker is unavailable due to the size of the CONSULTANT's organization or any other reason, the CONSULTANT shall nonetheless provide an appropriate senior level decision maker for the meeting. The purpose of this and any subsequent meetings will be good faith negotiations of the matters constituting the dispute. Negotiations will be concluded within thirty (30) calendar days of the first meeting, unless mutually agreed otherwise.

### **11.3 Mediation**

11.3.1 If the procedure described in 11.2.2 proves unsuccessful or is waived pursuant to its terms, the parties shall initiate the mediation process. OWNER and CONSULTANT agree to select within thirty (30) calendar days a mediator trained in mediation skills and knowledgeable of the CONSULTANT's professional discipline, to assist with resolution of the dispute. OWNER and CONSULTANT agree to act in good faith in the selection of the mediator and to give consideration to qualified individuals nominated to act as mediator. Nothing in this AGREEMENT prevents the parties from relying on the skills of a person who also is trained in the subject matter of the dispute and/or a contract interpretation expert. Should the parties fail to agree on a mediator within thirty (30) calendar days of initiation of the mediation process, the parties agree to ask the Travis County Dispute Resolution Center to select a qualified individual, which selection is binding on the parties.

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11.3.2 Mediation is a forum in which an impartial person, the mediator, facilitates communication between parties to promote reconciliation, settlement, or understanding among them. The parties hereby agree that mediation, at a minimum, shall provide for

- (1) conducting an on-site investigation, if appropriate, by the mediator for fact gathering purposes;
- (2) a meeting of all parties for the exchange of points of view; and
- (3) separate meetings between the mediator and each party to the dispute for the formulation of resolution alternatives.

The parties agree to participate in mediation in good faith for up to thirty (30) calendar days from the date of the first mediation session, unless mutually agreed otherwise. Should the parties fail to reach a resolution of the dispute through mediation, then each party is released to pursue other remedies available to them.

**11.4 Resolution of Disputes between CONSULTANT and Subconsultant:**

The CONSULTANT agrees to follow the procedures paralleling those outlined in subsections 11.1, 11.2 and 11.3 in the event of a dispute with a subconsultant. The OWNER is not a party to the dispute resolution process between the CONSULTANT and subconsultants. However, if the OWNER is notified of a subconsultant claim, the OWNER will withhold payments to the CONSULTANT in accordance with subparagraph 5.3.3.2 until receiving notification that the claim has been resolved.

**SECTION 12 - MISCELLANEOUS PROVISIONS**

**12.1 Owner's Right to Audit**

12.1.1 Records means all records generated by or on behalf of CONSULTANT and each subconsultant, whether paper, electronic, or other media, which are in any way related to performance of or compliance with this Agreement, including, without limitation:

- .1 accounting records;
- .2 written policies and procedures;
- .3 subcontract files;
- .4 correspondence;
- .5 supplemental amendments (as appropriate);
- .6 agreements between CONSULTANT and any subconsultant;
- .7 records necessary to evaluate: contract compliance; and any claim submitted by CONSULTANT or any of its subconsultants;
- .8 any other CONSULTANT record that may substantiate any charge related to this Agreement; and
- .9 technical work products in accordance with the approved Subproject RAP.

12.1.2 CONSULTANT shall allow OWNER's agent or its authorized representative to inspect, audit, and/or reproduce all Records generated by or on behalf of CONSULTANT and each subconsultant, upon OWNER's written request. Further, CONSULTANT shall allow OWNER's agent or authorized representative to interview any of CONSULTANT's employees, all subconsultants, and all their respective employees.

12.1.3 CONSULTANT shall retain all its Records, and require all its subconsultants to retain their respective Records, during this Agreement and for the longest of these specified periods: (i) three (3) years after final payment, (ii) until all audit and litigation matters that OWNER has brought to the attention of CONSULTANT are resolved, or (iii) longer as required by law. OWNER's right to inspect, audit, reproduce Records (at no cost to

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OWNER), or interview employees of CONSULTANT or its respective subconsultants exists for the same period described in the preceding sentence.

12.1.4 CONSULTANT must provide sufficient and accessible facilities during its normal business hours for OWNER to inspect, audit, and/or reproduce Records, and to interview any person about the Records.

12.1.5 CONSULTANT shall insert these requirements in each written agreement between CONSULTANT and any subconsultant and require each subconsultant to comply with these provisions.

## **12.2 Ownership and Use of Documents**

12.2.1 All Subproject Drawings and Specifications produced by the CONSULTANT under this AGREEMENT are the property of the OWNER. The CONSULTANT shall also provide the OWNER high quality mylar and digital computer copies on CD or other OWNER-approved media of updated drawings and reproducible copies of specifications as specified in paragraph 1.4.2 of the **Supplemental Terms and Conditions of this AGREEMENT**. The cost of such copies will be paid as specified in Section 5 of this AGREEMENT. The CONSULTANT may not provide copies of or otherwise use the work products covered by this subsection 12.2 without the express prior written approval of the OWNER.

12.2.2 The CONSULTANT agrees that items such as Subproject plans, drawings, photos, designs, studies, specifications, computer programs, schedules, technical reports, or other work products which is/are specified to be delivered under this AGREEMENT, and which is/are to be paid for by the OWNER, is/are subject to the rights of the OWNER in effect on the date of this AGREEMENT. These rights include the right to use, duplicate and disclose such items in whole or in part, in any manner and for whatever purpose, and to have others do so. The CONSULTANT shall not copyright or otherwise claim ownership of the work products covered by this subsection 12.2. The CONSULTANT shall include in its subconsultant contracts appropriate provisions to achieve the purpose of this subsection 12.2.

12.2.3 All such items furnished by the CONSULTANT pursuant to this AGREEMENT are considered instruments of its services in respect to the PROJECT. It is understood that the CONSULTANT does not represent such items to be suitable for reuse on any other project or for any other purpose(s). If the OWNER reuses such items without the CONSULTANT's specific written verification or adaptation, such reuse will be at the risk of the OWNER, without liability to the CONSULTANT.

12.2.4 Should the CONSULTANT be terminated under this AGREEMENT, the OWNER may continue the PROJECT and receive copies within fourteen (14) calendar days of the termination notice. Copies will be in the format designated by the OWNER, as specified in paragraph 1.4.2 or 1.4.5 of the **Supplemental Terms and Conditions of this AGREEMENT** (depending on the PROJECT's status at time of termination). The OWNER may have these documents completed, corrected, revised or added to by another design professional according to Title 22, Chapter 137.33(i) of the Texas Administrative Code.

12.2.5 Submission or distribution to meet official regulatory requirements or for other purposes in connection with the PROJECT is not to be construed as publication in derogation of the CONSULTANT's rights.

## **12.3 Venue**

**12.3.1 In the event of any suit at law or in equity involving the AGREEMENT, venue will be exclusively in Travis County, Texas and the laws of the State of Texas shall apply to the interpretation and enforcement of this AGREEMENT.**

## 12.4 Definitions

12.4.1 Terms in this AGREEMENT will have the same meaning as those in the standard purchasing and construction documents for the City of Austin, Texas. The applicable definitions may be viewed at <http://www.ci.austin.tx.us/purchase/downloads/ifb0100.pdf> and <http://www.ci.austin.tx.us/aeservices/toc.htm> respectively.

## 12.5 Severability

12.5.1 If any word, phrase, clause, sentence or provisions of this instrument, or the application of same to any person or set of circumstances is for any reason held to be unconstitutional, invalid or unenforceable, that finding only effects such word, phrase, clause, sentence or provision, and such finding does not effect the remaining portions of this instrument; this being the intent of the parties in entering into this instrument; and all provisions of this instrument are declared to be severable for this purpose.

## 12.6 Indemnification

**12.6.1 The CONSULTANT shall indemnify and hold harmless the OWNER, and its officers, agents and employees, from and against all claims, demands, costs, causes of action, and liability of every kind and nature, including reasonable attorney's fees for the defense of any and all claims and demands, arising directly or indirectly from, or in any way connected with, the negligent performance of or failure to perform services in connection with this AGREEMENT by CONSULTANT, its officers, agents, employees, and parties with whom it contracts.**

## 12.7 Notices

12.7.1 Any and all notices under this AGREEMENT must be in writing and shall be delivered to the party entitled to receive the same by hand or U.S. Certified Mail, return receipt requested, addressed as specified in the **Supplemental Terms and Conditions of this AGREEMENT**.

12.7.2. Mailed notice will be deemed effective three (3) business days after such notice is mailed by Certified Mail with return receipt requested. Hand delivered notice will be effective when received and acknowledged by signed receipt.

## 12.8 Successors and Assigns

12.8.1 The OWNER and the CONSULTANT bind themselves, their partners, successors, assigns and legal representatives to the other party to this AGREEMENT with respect to all covenants of this AGREEMENT. Neither the CONSULTANT nor the OWNER will assign, sublet or transfer any interest in this AGREEMENT without the prior written consent of the other party.

## 12.9 Extent of Agreement

12.9.1 This AGREEMENT represents the entire and integrated agreement between the OWNER and the CONSULTANT and supersedes all prior negotiations, representations or agreements, either written or oral. This AGREEMENT may be amended only by written instrument signed by authorized representatives of both OWNER and CONSULTANT.

**END**

Insert Supplemental Conditions here upon contract negotiation.

TEMPLATE

# ATTACHMENT 1: RESOURCE ALLOCATION PLAN

*Note: PM will advise Consultant of level of detail and payment benchmarks desired for Task Descriptions*

Task Description	Budget	Start Date	End Date	% Complete	% Paid	% Time
A. Preliminary Phase	\$0.00					
				0.0%	0.0%	0.0%
				0.0%	0.0%	0.0%
<i>Phase Total</i>				0.0%	0.0%	0.0%
B. Design Phase	\$0.00					
				0.0%	0.0%	0.0%
				0.0%	0.0%	0.0%
				0.0%	0.0%	0.0%
				0.0%	0.0%	0.0%
				0.0%	0.0%	0.0%
				0.0%	0.0%	0.0%
				0.0%	0.0%	0.0%
				0.0%	0.0%	0.0%
				0.0%	0.0%	0.0%
				0.0%	0.0%	0.0%
				0.0%	0.0%	0.0%
				0.0%	0.0%	0.0%
				0.0%	0.0%	0.0%
<i>Phase Total</i>				0.0%	0.0%	0.0%
C. Bid-Award Execution Phase	\$0.00					
				0.0%	0.0%	0.0%
<i>Phase Total</i>				0.0%	0.0%	0.0%
D. Construction Phase	\$0.00					
				0.0%	0.0%	0.0%
				0.0%	0.0%	0.0%
				0.0%	0.0%	0.0%
<i>Phase Total</i>				0.0%	0.0%	0.0%
E. Post-Construction Phase	\$0.00					
				0.0%	0.0%	0.0%
<i>Phase Total</i>				0.0%	0.0%	0.0%
<b>Project Total</b>	<b>\$0.00</b>			<b>0.0%</b>	<b>0.0%</b>	<b>0.0%</b>

<b>APPROVED FIXED CONSTRUCTION BUDGET:</b>  <b>DATE OF CURRENT FCB:</b>
---

## ATTACHMENT 2

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**DATE:**                    **ASSIGNMENT NO.:**                    **PROPOSAL REQUEST NO.:**

**MASTER AGREEMENT:**                    **CONTRACT NUMBER (DO):** \_\_\_\_\_

Fund: \_\_\_\_\_ Dept: \_\_\_\_\_ Unit: \_\_\_\_\_ Object Code: \_\_\_\_\_ Activity: \_\_\_\_\_ Rept: \_\_\_\_\_ Percentage: \_\_\_\_\_

Fund: \_\_\_\_\_ Dept: \_\_\_\_\_ Unit: \_\_\_\_\_ Object Code: \_\_\_\_\_ Activity: \_\_\_\_\_ Rept: \_\_\_\_\_ Percentage: \_\_\_\_\_

Fund: \_\_\_\_\_ Dept: \_\_\_\_\_ Unit: \_\_\_\_\_ Object Code: \_\_\_\_\_ Activity: \_\_\_\_\_ Rept: \_\_\_\_\_ Percentage: \_\_\_\_\_

**TO: CONSULTANT:**

**FROM: OWNER:**                    Rotation List Manager:  
Project Manager / Department: /  
Contract Management Division  
Contract Management Department  
City of Austin  
P.O. Box 1088  
Austin, Texas 78767

The CONSULTANT PROPOSAL is for the following PROJECT assignment:

**SUBPROJECT NAME:**

**SUBPROJECT DESCRIPTION:**

Reference Attachments: \_\_\_\_\_

**SUBPROJECT FIXED CONSTRUCTION BUDGET (RE: Section 3):**                    Dollars (\$)                    )

*(continued on next page)*

**PHASES OF SERVICE AND RESOURCE ALLOCATION PLAN REQUIRED FOR BASIC SERVICES (RE: Sections 1 & 4):**

**Preliminary Phase**  **Required**  **Not Required**

The CONSULTANT shall commence the provision of services upon receipt of written authorization from the OWNER to proceed with this phase of the services as described in Subsection 1.4.1 of this AGREEMENT and agrees to complete all services required in ( ) calendar days.

**Design Phase**  **Required**  **Not Required**

The CONSULTANT shall commence the provision of services upon receipt of written authorization from the OWNER to proceed with this phase of the services as described in Subsection 1.4.2 of this AGREEMENT and agrees to complete all services required in ( ) calendar days.

**Bid-Award-Execution Phase**  **Required**  **Not Required**

**Construction Phase**  **Required**  **Not Required**

Construction Time Extensions (RE: Par. 1.4.4.1): Number of Days  
Resident PROJECT Representative (RE: Par. 1.4.4.14)  **Required**  **Not Required**

**Post Construction Phase**  **Required**  **Not Required**

Warranty Services Allowance (RE: Par. 1.4.5.6): Number of Hours

**OTHER BASIC SERVICES:** Reference Attachments:

**PAYMENTS FOR BASIC COMPENSATION**

**Lump Sum**  **Loaded Hourly Rate**

*Lump Sum:* Payments for Basic Services shall be made such that Basic Compensation for each Phase shall equal the following percentages of the total Basic Compensation payable:

Preliminary Phase:	percent (%)
Design Phase:	percent (%)
Bid-Award-Execution Phase:	percent (%)
Construction Phase:	percent (%)
Post Construction Phase*:	percent (%)

[ \* normally 6% percent of the Construction Phase compensation]

*Loaded Hourly Rate:* Complete Attachment 3

(continued on next page)

### CONSULTANT PROPOSAL

The proposed budget for this PROJECT assignment and the services described above is:

Basic Services	\$
Allowance for Reimbursable Expenses	\$
<b>TOTAL</b>	<b>\$</b>

Required Resource Allocation Plan (Sec. 4) is included as Attachment 1

Reference Other Attachments:

Signature: \_\_\_\_\_  
CONSULTANT \_\_\_\_\_ DATE \_\_\_\_\_

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### NOTICE TO PROCEED

When executed by the OWNER in the space provided below, and delivered to the CONSULTANT, the CONSULTANT is authorized to proceed with work as described in the PROPOSAL REQUEST, for the amount indicated above, in accordance with the contract referenced above and hereby incorporated herein.

NOTE: The Quality Control Plan (QCP) is to be submitted to the Project Manager no later than 14 calendar days from the date below.

OWNER : \_\_\_\_\_  
By: \_\_\_\_\_, Rotation List Manager \_\_\_\_\_ DATE \_\_\_\_\_  
Contract Management Division

cc: \_\_\_\_\_, Project Manager

## ATTACHMENT 3: HOURLY RATES

### DOCUMENTATION OF PROVISIONAL / OVERHEAD RATES

**Overhead rate documentation has been provided to the City of Austin and was utilized by the COA in reviewing and approving the loaded hourly rates below.**

	Hourly Rate	TX Registration Number
PRINCIPAL(S):		
(Name)	\$ / hr	
(Name)	\$ / hr	
Project Consultant	\$ / hr	
CAD Technician	\$ / hr	
Clerical	\$ / hr	
Other - Specify	\$ / hr	
<b>HOURLY RATE OF PRINCIPAL(S)- SUBCONSULTANTS:</b>		
<b>SUBCONSULTANT</b>		
(Name of Firm)		
PRINCIPAL(S)		
(Name)	\$ / hr	
(Name)	\$ / hr	
Project Consultant	\$ / hr	
CAD Technician	\$ / hr	
Clerical	\$ / hr	
Other - Specify	\$ / hr	
<b>SUBCONSULTANT</b>		
(Name of Firm)		
PRINCIPAL(S)		
(Name)	\$ / hr	
(Name)	\$ / hr	
Project Consultant	\$ / hr	
CAD Technician	\$ / hr	
Clerical	\$ / hr	
Other - Specify	\$ / hr	

**ADD ADDITIONAL SUBCONSULTANTS AS NEEDED**

## ATTACHMENT 4

### QUALITY CONTROL PLAN (QCP)

#### **Definitions**

##### *Quality Assurance*

A comprehensive program that verifies a facility, structure, system or component will perform satisfactorily and safely in service. A recognized benchmark for quality assurance programs is ISO 9000/9001.

##### *Quality Control*

The process of identifying and applying appropriate technical and professional standards when producing project design documents that meet or exceed the user's requirements.

##### *Constructability*

A review process using experienced personnel with extensive construction knowledge early and throughout the design phase to ensure projects are buildable, practical, and consistent with current construction practices while also being cost effective, biddable, and maintainable.

#### **Due Date:**

The Consultant must submit the QCP plan for the Owner's approval within fourteen (14) calendar days after the Owner issues a Notice to Proceed to the Consultant.

#### **Required Elements of QCP Plan** (Sec. 1.3 of PSA)

<b>Management Philosophy</b>	
1	<p><i>The QCP specifies how the organization's technical management philosophy supports its commitment to quality</i></p> <p><i>Needed: Certification by consultant firm's Board of Directors, president, owner, managing partner, or other executive-level staff that, to ensure quality of design products:</i></p> <ul style="list-style-type: none"><li><i>(a) firm is committing adequate manpower and resources</i></li><li><i>(b) Project Design Team (PDT) is accountable to Independent Technical Review Team (ITRT)</i></li><li><i>(c) Management and the PDT will emphasize quality control during the production of design documents</i></li><li><i>(d) Management and the PDT will establish internal quality checks and reviews</i></li><li><i>(e) Management and the PDT will assess independent quality control's contribution to the quality of design documents</i></li></ul>
<b>Management / Organization Structure</b>	
2	<p><i>The QCP specifies:</i></p>

	<ul style="list-style-type: none"> <li>• who manages the Independent Technical Review Team (ITRT) (internal or external to the design consulting firm)</li> <li>• if the ITRT is internal to the design consulting firm, that the ITRT is independent of the Project Design Team (PDT)</li> <li>• the ITRT reports to a management level the same or higher than the PDT</li> <li>• interrelationships of management, PDT, and ITRT (including all consultants)</li> </ul> <p><u>Needed:</u></p> <p>(a) An organization chart depicting the relationships of all parties noted above, identifying them by name and describing each person's responsibilities on the design project</p> <p>(b) Resumes for members of the ITRT</p>
<b>Quality Control Procedures</b>	
3	<p>The QCP specifies</p> <ul style="list-style-type: none"> <li>• management and control of design and QCP documents</li> </ul> <p><u>Needed:</u></p> <p>(a) Statement that access to design and QCP documents will be controlled</p> <p>(b) Procedures are defined to identify and track versions of documents</p> <p>(c) Document control plan</p> <p>(d) Also refer to "Documentation" section below</p>
4	<ul style="list-style-type: none"> <li>• internal and external communications, including an Issue Follow-Up Plan</li> </ul> <p><u>Needed:</u></p> <p>(a) description of management of QCP communications with all parties</p> <p>(b) Issue Follow-Up Plan to track problems identified and their resolution</p>
5	<ul style="list-style-type: none"> <li>• design coordination</li> </ul> <p><u>Needed:</u> Procedure must describe:</p> <p>(a) relationships, accountability, authority, and responsibilities within the Project Design Team</p> <p>(b) efforts to achieve interdisciplinary coordination</p>
6	<ul style="list-style-type: none"> <li>• design checks and reviews, specifically addressing: <ul style="list-style-type: none"> <li>▪ correct application of methods</li> <li>▪ validity of data and assumptions</li> <li>▪ accuracy of calculations</li> <li>▪ complete documentation</li> <li>▪ testing, modeling, assumptions, calculations, text &amp; graphical presentations in</li> </ul> </li> </ul>

	<ul style="list-style-type: none"> <li>▪ all documents</li> <li>▪ special project components</li> <li>▪ compliance with all applicable guidance, standards, regulations, codes &amp; laws</li> <li>▪ ensuring project is biddable, constructible and operable as well as environmentally compliant</li> </ul> <p><u>Needed:</u></p> <p>(a) types, intervals and frequency of reviews</p> <p>(b) identification of applicable guidance, standards, codes, specifications and laws</p> <p>(c) methodology for addressing constructability</p> <p>(d) description of testing, modeling, development of assumptions, calculations, and presentation methods in design documents to meet design criteria and standards of professional practice</p> <p>(e) methodology for identifying and addressing all appropriate environmental requirements</p>
7	<ul style="list-style-type: none"> <li>• independent technical reviews, specifically ensuring: <ul style="list-style-type: none"> <li>▪ seniority and technical qualifications of Independent Technical Review Team (ITRT) members and their separation from the Project Design Team (PDT)</li> <li>▪ concepts, assumptions and procedural details are accurate, appropriate and fully coordinated</li> <li>▪ examination of appropriate alternatives</li> <li>▪ definition and scoping of problems, issues and opportunities</li> <li>▪ validity of analytical methods</li> <li>▪ results and recommendations are reasonable, comply with all requirements, and are supported by the documents</li> <li>▪ any deviations from policy, guidelines or standards have been identified and approved by the appropriate parties</li> <li>▪ design documents result in project that is biddable, constructible, operable, environmentally sound, and cost-effective</li> <li>▪ design products meet City's needs</li> </ul> </li> </ul> <p><u>Needed:</u></p> <p>(a) Description of how the Independent Technical Review Team (ITRT) will validate the quality of the Project Design Team's (PDT) products prior to submission to the PM</p> <p>(b) Identification of any design components that will require special quality reviews</p> <p>(c) checklists for review of each design element</p>
8	<ul style="list-style-type: none"> <li>• managerial plan to maintain continuity of QCP effort</li> </ul> <p><u>Needed:</u></p> <p>(a) description of how management will maintain required level of effort and quality resources</p>

	(b) contingency plan for replacement of key PDT and/or ITRT staff
<b>Documentation</b>	
9	<p>The QCP specifies:</p> <ul style="list-style-type: none"> <li>• records control plan for all internal review documents, associated comments and responses, describing that: <ul style="list-style-type: none"> <li>▪ all documents retained in consultant's files</li> <li>▪ files are auditable and available to the City upon request</li> <li>▪ files are identified by document type and compiled according to a file index system</li> </ul> </li> </ul> <p><u>Needed:</u> Details on all items listed above</p>
10	<ul style="list-style-type: none"> <li>• upon project completion, the consultant will certify compliance with the QCP</li> </ul> <p><u>Needed:</u> Consultant submits draft Consultant Statement of Technical Review</p> <p>(a) verifying compliance with the QCP and</p> <p>b) agreeing to identify and assess issues that arise during later project phases with respect to the QCP</p> <p>The Statement must be signed by the Project Design Team (PDT), the Independent Technical Review Team (ITRT), and the Principal (or other executive-level official) of the consultant. The consultant will provide the City all Issues analyses from later phases</p>
<b>Schedule</b>	
11	<p>The QCP specifies that:</p> <ul style="list-style-type: none"> <li>• a design schedule showing the sequence of tasks to be completed within the time period specified by the City; must include <ul style="list-style-type: none"> <li>▪ design submittal dates to City</li> <li>▪ project design team (PDT) reviews</li> <li>▪ Independent Technical Review Team (ITRT) reviews</li> <li>▪ time for revisions prior to submittals to City</li> <li>▪ time for City review of submittals</li> </ul> </li> <li>• how all QCP measures will be tracked to avoid project delays</li> </ul> <p><u>Needed:</u> Items as described above</p>

**ATTACHMENT 5: MAXIMUM NOT-TO-EXCEED CONTRACT AMOUNTS BY PHASE**

<b>PHASE A: PRELIMINARY PHASE</b>		
Agreed Upon Fixed Fee Dollar Amount		
Maximum Cost		
	<i>PHASE A TOTAL</i>	
<b>PHASE B: DESIGN PHASE</b>		
Agreed Upon Fixed Fee Dollar Amount		
Maximum Cost		
	<i>PHASE B TOTAL</i>	
<b>PHASE C: BID-AWARD-EXECUTION PHASE</b>		
Agreed Upon Fixed Fee Dollar Amount		
Maximum Cost		
	<i>PHASE C TOTAL</i>	
<b>PHASE D: CONSTRUCTION PHASE</b>		
Agreed Upon Fixed Fee Dollar Amount		
Maximum Cost		
	<i>PHASE D TOTAL</i>	
<b>PHASE E: POST-CONSTRUCTION PHASE</b>		
Agreed Upon Fixed Fee Dollar Amount		
Maximum Cost		
	<i>PHASE E TOTAL</i>	
<b>ADDITIONAL COSTS</b>		
	<i>ADDITIONAL COSTS TOTAL</i>	
<b>REIMBURSABLE COSTS</b>		
	<i>REIMBURSABLE COSTS TOTAL</i>	
<b>MAXIMUM NOT-TO-EXCEED CONTRACT AMOUNT</b>		